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# Performance of coiled tube ultraviolet reactors to inactivate *Escherichia coli* W1485 and *Bacillus cereus* endospores in raw cow milk and commercially processed skimmed cow milk

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4

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23

24 **Abstract**

25 Two coiled tube reactors were designed to investigate the influence of Reynolds number ( $R_e$ ) and  
26 diameter of fluid carrying tube on UV-C inactivation of *Escherichia. coli* W1485 and *Bacillus*  
27 *cereus* endospores in raw cow milk (RCM) and skimmed cow milk (SCM) at room temperature.  
28 UV reactors were constructed using perfluoroalkoxy (PFA) tubing having internal diameters of  
29 1.6 mm and 3.2 mm and each had a residence time of 11.3 s. Four levels of  $R_e$  were tested for  
30 each milk type, each tube size and each bacteria type. Inactivation efficiency increased as the  $R_e$   
31 increased in both the reactors for both types of milk. The inactivation of both bacteria was higher  
32 in the 1.6 mm UV reactor than the 3.2 mm UV reactor. Maximum reduction of 7.8  $\log_{10}$  CFU/ml  
33 of *E. coli* was achieved in SCM in the 1.6 mm UV reactor corresponding to the  $R_e$  of 532 and  
34 higher, whereas the maximum reduction of *E.coli* in RCM was 4.1  $\log_{10}$  CFU/ml at the highest  
35 level of  $R_e$  (713) tested. For *B.cereus*, the maximum reduction was 2.72  $\log_{10}$  CFU/ml in 1.6 UV  
36 reactor, in SCM at  $R_e$  of 1024; whereas the maximum reduction of *B.cereus* in RCM was 2.65  
37  $\log_{10}$  CFU/ml at  $R_e$  value of 713. Inactivation efficiency of both bacteria was more in SCM than  
38 RCM. The coiled tube reactor design provided adequate mixing and UV-C dosage for efficient  
39 disinfection of *E.coli* cells in milk.  
40

41 **Keywords:** Ultraviolet C, ultraviolet reactor, nonthermal processing, cow milk, *E.coli*, *B. cereus*.  
42  
43

## 46 1. Introduction

47 Thermal processing of foods has been the most popular technology for food preservation.  
48 However, thermal processed foods were often reported to have cooked flavor, protein  
49 denaturation, loss of nutrients and vitamins (Wirjantoro and Lewis, 1997; Knorr, 1999).  
50 Moreover, reports suggest raw dairy products are preferred by consumers due to superior  
51 organoleptic characteristics (Buchin et al., 1998). Therefore there is an emphasis on developing  
52 nonthermal processing technologies with the goal of improving retention of quality and nutrition  
53 of food products (Knorr, 1999; IFT, 1999). Ultraviolet (UV) irradiation is one nonthermal  
54 technology that has garnered considerable interest for treatment of food.

55 Within the UV range, there are three regions based on the wave lengths in the  
56 electromagnetic spectrum: UV-A (315 - 400nm), UV-B (280 - 315nm) and UV-C (200 - 280nm).  
57 Studies (Bank et al., 1990; Miller et al., 1999) suggest the destruction of microorganisms occurs  
58 by penetration of UV-C light through the cell membrane resulting in damage of DNA due to the  
59 formation of thymine dimers which prevent DNA transcription and replication, eventually  
60 leading to cell death. The 253.7 nm in the UV-C region was reported to have the most efficient  
61 bactericidal effect because the DNA of microorganisms absorbs photons strongly at this  
62 wavelength (Harm, 1980).

63 When UV is used to treat food, the UV-C dose is the radiant UV-C energy incident on a  
64 unit surface area of food being treated. UV-C dose is normally calculated using the following  
65 equation (Quintero-Ramos et al., 2004).

$$66 \text{ UV-C dose (mJ/cm}^2\text{)} = \text{Irradiance intensity (mW/cm}^2\text{)} \times \text{Exposure time (s)} \quad (1)$$

67 Where, Irradiance intensity is the incident intensity of UV-C light on the surface being  
68 treated. It may be either directly measured using a UV-C sensor or estimated if the intensity of  
69 the source and optical properties of transmission medium is known.

70 Different types of ultraviolet reactors for liquid food pasteurization have been reported in  
71 literature. Wright et al. (2000) used a commercial thin film UV reactor (CIDER-10uv) to  
72 inactivate *Escherichia coli* O157:H7 in apple cider by 3.8 log<sub>10</sub> CFU/ml with a UV dose of 9.4 to  
73 61.0 mJ/cm<sup>2</sup>. Using another brand of a thin film UV reactor (CiderSure3500A), Hanes et al.  
74 (2002) reported the reduction of *Cryptosporidium parvum* oocysts by 6 log<sub>10</sub> CFU/ml in apple  
75 cider at the UV dose of 14.32 mJ/cm<sup>2</sup>. The earliest use of a coiled tube UV reactor for food  
76 treatment was reported by Geveke (2005) to reduce bacterial loads in apple cider. The author  
77 reported a reduction of *E. coli* K12 by 3.4 log<sub>10</sub> CFU/ml. Using another coiled tube UV reactor,  
78 Koutchma et al. (2007) studied inactivation of *E. coli* K12 in pineapple, guava, apples, and lilikoi  
79 juices and reported 5-log<sub>10</sub> CFU/ml reductions of *E. coli* K-12 in juices with absorption  
80 coefficient less than 15 cm<sup>-1</sup>. Franz et al. (2009) also used a coiled tube UV reactor (UVivatec)  
81 and reported inactivation of *E. coli* and *Lactobacillus brevis* in cloudy apple juice to below  
82 detectable limits from an inoculum level of 10<sup>6</sup> CFU/ml or 10<sup>4</sup> CFU/ml.

83 A few studies have reported using UV-C to ensure microbial safety of goat milk and raw  
84 cow milk (RCM) of different fat percentages (Matak, 2004; Matak et al., 2005; Reinemann et al.,  
85 2006). With goat milk, greater than 5-log<sub>10</sub> CFU/ml reduction of *Listeria monocytogenes* was  
86 achieved at a cumulative UV-C dose of 15.8 ± 1.6 mJ/cm<sup>2</sup> under turbulent flow conditions for a  
87 cumulative exposure time of 18 sec in a thin film UV reactor (Cider Sure 3500) (Matak et al.,  
88 2005). Using the same machine, Matak (2004) found efficacy of UV-C on inactivation of *E. coli*

89 ATCC 25922 (an *E. coli* O157:H7 surrogate) was highest with skimmed cow milk (SCM) (2.27  
90 logs) followed by reduced fat milk (1.82 logs) and whole milk (1.44 logs) at different  
91 temperatures under laminar flow conditions. Matak (2004) reported the reduction of the *E. coli*  
92 O157:H7 surrogate in whole milk treated with UV-C at 4°C was significantly lower than milk  
93 treated at 20°C. Employing a turbulent flow UV reactor, UV-C was also reported to inactivate  
94 background flora present in the raw cow milk by 2.29 log<sub>10</sub> CFU/ml of SPC, 2.55 log<sub>10</sub> CFU/ml  
95 of psychrotrophs, and 1.67 log<sub>10</sub> CFU/ml of thermotolerants (Reinemann et al., 2006).

96 Flow regime plays a role in killing microorganisms using continuous flow UV reactors  
97 for treating liquid foods (Koutchma et al., 2007; Matak, 2004; Matak et al., 2005). Reynolds  
98 number ( $R_e$ ) is the ratio of inertial forces to viscous forces and is expressed as:

$$99 R_e = (\rho/\mu) \times vD \quad (2)$$

100 where,  $R_e$  is Reynolds number,  $\rho$  is density of fluid,  $\mu$  is dynamic viscosity of fluid,  $D$  is  
101 diameter of tube carrying the fluid, and  $v$  is velocity of flow (Geankoplis, 1993).

102 Laminar flow occurs when  $R_e < 2100$ , whereas  $R_e > 4000$  indicates turbulent flow. A  
103 flow regime with  $R_e$  between these numbers is considered transient flow (Geankoplis, 1993). The  
104 flow pattern of a fluid in a coiled tube is known as Dean flow (Dean 1927). A secondary flow  
105 field accompanies laminar flow of fluid as centrifugal forces act on the fluid within the coiled  
106 tube. The Dean number  $D_e$  is the similarity parameter governing the fluid motion in coiled tube  
107 flow configuration.

$$108 D_e = R_e \sqrt{D/D_c} \quad (3)$$

109 where  $D$  is the tube diameter,  $D_c$  is the coil diameter, and  $R_e$  is the tube Reynolds number (Dean  
110 1927). According to Dean's (1927) theory, the secondary flow vortices occur in the range of  $0.03$   
111  $< D/D_c < 0.10$ . Koutchma et al. (2004) suggested that flow pattern and design of the UV reactor  
112 are critical factors for treatment of any liquid with UV-C. In a coiled tube UV reactor with Dean  
113 flow conditions, secondary flow vortices provide uniform mixing conditions of fluid particles,  
114 improving UV-C exposure (Koutchma et al., 2007; Franz et al., 2009).

115 There were outbreaks of *E. coli* O157:H7 in California, Washington, and Ohio due to  
116 consumption of RCM in 2006 (Int. Soc. for Infectious Diseases, 2006). In the study reported  
117 here, *E. coli* W 1485 was used as a surrogate of *E. coli* O157:H7 as a safety precaution.  
118 Bachmann (1972) reported that *E. coli* W1485 is related to *E. coli* K-12 strains. The strain is  
119 reported to be more resistant to UV-C than the pathogenic strain O157:H7 (Murakami et al.,  
120 2005). Thus, treatments that inactivate strain W1485 would be expected to be more effective  
121 with the pathogen.

122 Endospores produced by some Gram positive may survive pasteurization and cause  
123 processing problems for the dairy industry. Survival of endospores is a limiting factor for the  
124 shelf life of pasteurized milk. For example, *Bacillus cereus* is a potential food poisoning agent  
125 that can survive pasteurization and limit the shelf life of the fluid milk products (Magnusson et  
126 al., 2007).

127 Bandla (2010) evaluated *E. coli* W1485 inactivation in inoculated milk with a coiled tube  
128 UV reactor at different flow rates to determine a minimum residence time for a 5-log<sub>10</sub> CFU/ml  
129 reduction. The flow rates ranged from 25 ml/min to 100 ml/min. The *E. coli* was inactivated by  
130 more than 8.5 log<sub>10</sub> CFU/ml at flow rates up to 75 ml/min, corresponding with a minimum  
131 residence time of 11.3 s.

132 The objectives of this study was to design two coiled tube UV reactors for experimental  
133 purposes and examine their efficiency on inactivation of *E. coli* W 1485 and *B. cereus* spores in  
134 RCM and SCM. The effects of Reynolds number ( $R_e$ ) and tube diameter with a constant

135 residence time of the milk product inside the UV reactor were investigated. A design  
136 consideration was for the two UV reactors to be identical except for tube size and tube length,  
137 and for the UV reactor with the smaller diameter tube to have Dean flow and the other to be as  
138 near Dean flow as possible.

## 139 2. Materials and Methods

### 140 2.1. UV reactors

141 Two UV reactors were designed for this study (Figure 1). The UV-C source for each was  
142 a 8.7 W, 110 V, UV-C germicidal lamp with peak emission at 253.7 nm, having a 505 mm arc  
143 length and 15 mm outside diameter (OD) (SBL325, American Ultraviolet Company, Lebanon,  
144 IN, USA). The UV lamp was enclosed within a quartz glass sleeve (American Ultraviolet  
145 Company, Lebanon, IN, USA) with a 22 mm OD and an air gap of 2.4 mm between UV lamp  
146 and sleeve. Perfluoroalkoxy polymer resin (PFA) tubing was selected to wrap around the UV  
147 reactor based on Geveke's report (Geveke, 2008) that PFA tubing is highly transparent to UV  
148 light and has more chemical and heat resistance than polytetrafluoroethylene and fluorinated  
149 ethylene propylene. One UV reactor was designed using 1.6 mm inside diameter (ID) by 3.2 mm  
150 OD PFA tubing, hereafter called the 1.6 UV reactor. Another UV reactor was designed using 3.2  
151 mm ID by 4.8 mm OD PFA tube, hereafter called the 3.2 UV reactor. PFA tubing was wrapped  
152 in the form of coils around the UV lamp sleeve. Both UV reactors were covered with aluminum  
153 foil to prevent exposure to the personnel of UV light.

154 A range of  $R_e$  was required for this study and was achieved by using different flow rates  
155 of milk through the UV reactors and calculating the resulting  $R_e$ , based on equation 2 (Table 1).  
156 The density ( $\rho$ ) and viscosity ( $\mu$ ) of RCM and SCM milk was estimated according to the  
157 relationship developed by Bakshi and Smith (1984). RCM had a lower density ( $\rho = 1021.46$   
158  $\text{kg/m}^3$ ) and higher dynamic viscosity ( $\mu = 1.941 \times 10^{-3} \text{ Ns/m}^2$ ) than SCM ( $\rho = 1024.34 \text{ kg/m}^3$  and  
159  $\mu = 1.314 \times 10^{-3} \text{ Ns/m}^2$ ). This resulted in a higher kinematic viscosity ( $\mu/\rho$ ) in RCM ( $1.9 \times 10^{-6}$   
160  $\text{m}^2/\text{s}$ ) than SCM ( $1.27 \times 10^{-6} \text{ m}^2/\text{s}$ ) and lower  $R_e$  for RCM.

161 In order to maintain a consistent residence time of product exposure to UV, different  
162 lengths of tubing were used for each flow rate (and corresponding  $R_e$ ). A residence time of 11.3 s  
163 was selected based on the work of Bandla (2010).

164 The PFA tubing wrapped around the quartz glass sleeve was divided into two zones in  
165 both reactors (Figure 1). For the 1.6 UV reactor, the length of the tubing wrapped in the first  
166 zone was 240 cm and the second zone was 480 cm. For the 3.2 UV reactor, the length of the first  
167 zone of the tubing was 120 cm and the second zone was 240 cm. A three-way valve connected  
168 the two divided zones in each UV reactor, allowing flow through each zone only or through both  
169 zones. Four levels of  $R_e$  were achieved by changing the flow rate of milk in each reactor while  
170 keeping the residence time constant by allowing fluid flow through the first zone only, second  
171 zone only, first and second zone, and first and second zone with recirculation through the first  
172 zone. The recirculation was provided in a two step process. First, milk was pumped through the  
173 zone 1+2 and milk was collected, and then the collected milk was pumped through the zone 1  
174 only. See Table 1 for a listing of length of PFA tubing for each  $R_e$  and flow rate. Using this  
175 arrangement, residence time of milk in the both reactors was the same at all levels of  $R_e$ . Flow  
176 rate was doubled for the 3.2 UV reactor compared to the 1.6 UV reactor at each  $R_e$  level to  
177 achieve the same  $R_e$  at each level in both UV reactors.

178 The UV-C dose was calculated using equation (1) by multiplying the residence time (11.3  
179 s) with the irradiance intensity at the milk surface. The irradiance intensity was estimated by  
180 multiplying the UV-C intensity of the lamp at 5 mm from the lamp (1.375 mW/cm<sup>2</sup>) with the  
181 transmittance of quartz glass sleeve (90%) and PFA tube (80% in germicide range). Using these  
182 values, the estimated UV-C dose for the designed reactors was 11.187 mJ/cm<sup>2</sup>.

## 183 **2.2. Calibration of flow rate in UV reactor**

184 Prior to the experiments, the flow rates of RCM and SCM through the UV reactors were  
185 calibrated at 25, 50, 75 and 100 ml/min with the 1.6 UV reactor; and 50, 100, 150 and 200  
186 ml/min with the 3.2 UV reactor. These flow rates produced different  $R_e$  levels (Table 1). During  
187 calibration, the inlet and outlet temperatures of milk were observed to be in the range of 23 -  
188 24°C and 24 - 26°C, respectively. This temperature range was selected because the earlier studies  
189 reported a better kill rate at room temperature compared to that of the 4°C (Matak, 2004). Each  
190 type of milk (500 ml) was pumped through each UV reactor and collected in a graduated  
191 cylinder for 1 min with three replicates. The setting of peristaltic pump speed at each flow rate  
192 was recorded and a linear regression equation ( $R^2 = 0.98$  for RCM and  $R^2 = 0.94$  for SCM) was  
193 determined and used to set the pump speed setting.

194 During actual experiments, the pump speed dial was set per the respective regression  
195 equation. Actual flow rates were also measured during the experiments using a timer and a  
196 graduated cylinder. The difference between actual flow rates from the planned flow rates was  $\pm 1$   
197 ml/min at the set pump speed.

## 198 **2.3. Milk collection**

199 Fresh RCM was collected in an autoclaved glass bottle from the dairy farm of Southern  
200 Illinois University, Carbondale. Milk composition (fat, protein and total solids) was analyzed  
201 using an infrared analyzer (Infrared Analyzer, Denver Instrument Company, Arvado, CO) by the  
202 lab technicians of Prairie Farms (Carbondale, IL, 62901). Skimmed milk was purchased from a  
203 local grocery store. The milk samples were refrigerated until UV processing, about 5 - 6 h later.

## 204 **2.4. Milk quality**

205 Natural background floras were enumerated in RCM and SCM by standard plate count  
206 (SPC) and coliform count (Wehr and Frank, 2004). Dilution blanks were made up of phosphate-  
207 buffered saline (PBS). A series of dilutions were spread plated (0.1 ml) in duplicate onto tryptic  
208 soy agar (TSA) (Difco Laboratories, Detroit, MI). Plates were incubated at 33°C for 24 - 48  
209 hours. Natural presence of *E. coli* cells in RCM was assessed as per Marshall (2004) by using  
210 selective media namely, violet red bile agar (VRBA) with methylumbelliferyl- $\beta$ -D-glucuronide  
211 (MUG) (Difco Laboratories, Detroit, MI).

## 212 **2.5. Optical absorbance**

213 UV-C absorbance of RCM and SCM was measured at 24 - 25°C with a UV-visible  
214 spectrophotometer (UV-1601, Shimadzu, Columbia, MD) at 254 nm wavelength by diluting each  
215 milk sample to 99% with deionized water. Since milk samples were not very transparent to UV-  
216 C, it was not possible to get absorbance reading. Therefore the samples were diluted and the  
217 resultant absorption coefficient values were multiplied by 100 to obtain estimated absorption  
218 coefficient of undiluted SCM and RCM. Disposable polystyrene cuvettes (Fisher Scientific) with  
219 a path length of 10 mm were used to measure the absorbance. The absorption coefficient was

220 determined as the ratio of absorbance of milk to path length of the cuvette used to measure the  
221 absorbance.

## 222 **2.6. pH test**

223 A pH meter (Corning, NY, USA) was calibrated using buffer solutions before measuring  
224 pH in both types of milk. With milk temperature at 24 - 25°C, pH was measured three times for  
225 each type of milk.

## 226 **2.7. *E. coli* W1485 culture preparation**

227 Stock cultures of *E. coli* W1485 were obtained from Dr. David P. Clark, Department of  
228 Microbiology, Southern Illinois University, Carbondale, IL. A colony was picked with a  
229 sterilized wire loop from the stock culture. This colony was inoculated in 120 ml tryptic soy  
230 broth (TSB) and incubated in a gyratory water bath shaker (New Brunswick Scientific Edison,  
231 NJ, USA) at 34°C for 18 - 24 hours (Marshall 2004). Serial dilutions were made and 0.1 ml of  
232 each dilution was spread plated onto TSA plates for estimating the cells present in the 120 ml  
233 TSB after incubation. These plates were incubated at 33°C for 18 - 24 hours and enumeration  
234 was determined by counting the colony forming units (CFU). An agar plate containing media  
235 without spread plating was also incubated at the same incubation temperature to assess if the  
236 poured media in petri plates was contaminated. The culture obtained from TSB was centrifuged  
237 (Beckman J2-M1, Schaumburg, IL, USA) at 12,000 rpm for 15 min at 4°C and the supernatant  
238 was discarded (Krishnamurthy et al. 2007). The pellet was immediately mixed with milk samples  
239 as described in section 2.9.

## 240 **2.8. *B. cereus* endospore preparation**

241 *B. cereus* (ATCC Preceptrol® strain) was obtained from a -70°C glycerol stock supplied  
242 by the Department of Microbiology, Southern Illinois University, Carbondale, IL. Endospores of  
243 *B. cereus* were prepared according to Beuchat et al. (1997). Briefly, a pure-culture was  
244 inoculated into nutrient broth (Difco) and incubated at 30°C. Three successive loop transfers at  
245 24 h intervals were made before spreading 0.1 ml aliquots onto the sporulation medium (Nutrient  
246 agar, Difco) supplemented with 0.05 g of MnSO<sub>4</sub> per liter. The plates were incubated for 72 h at  
247 30°C and the spores were harvested by depositing 5 ml of sterile distilled water on the surface of  
248 each plate and rubbing gently with a sterile bent glass rod. The washing procedure was repeated  
249 twice. The resultant wash suspensions were centrifuged at 3000 rpm for 20 min at 5°C (Beckman  
250 J2-M1, Schaumburg, IL, USA) and the supernatant liquid was discarded. The resulting pellet  
251 was suspended in 100 ml of sterile distilled water and centrifuged at 6,000 rpm for 10 min at  
252 5°C. This procedure was repeated twice and the final pellet was suspended in sterile de-ionized  
253 water and 5 ml each were distributed in 15 ml sterile centrifuge tubes. The tubes were placed in a  
254 water bath at 80°C for 30 min to kill vegetative cells (Beuchat et al., 1997). After 30 min holding  
255 time at 80°C, the centrifuge tubes were stored in a cold room at 4°C to inhibit further growth.  
256 Gram stains of the endospore preparation were observed with a light microscope for the presence  
257 of vegetative cells. After making sure all the vegetative cells were destroyed by the heat  
258 treatment process, 5ml spores contained in centrifuge tubes were stored in a cold room at 4°C to  
259 inhibit the further growth of vegetative cells. These centrifuge tubes were used in the subsequent  
260 experiments.

## 261 **2.9. Inoculation of *E. coli* W 1485 and *B. cereus* spores**

262 Pyrex glass bottles (2000 ml) with a magnetic stirrer were autoclaved and filled with  
263 1500 - 1600 ml of the refrigerated RCM and SCM in separate bottles. An *E. coli* W1485 pellet



264 obtained from a 120 ml culture was directly added to each RCM and SCM container. This  
265 inoculated milk served as the source of milk that was treated in the reactors. The milk was slowly  
266 stirred with a stirrer for 30 min to warm the milk to room temperature (23-24°C) prior to  
267 sampling for microbial enumeration and UV-C treatment. Samples for microbial counts were  
268 serially diluted and 0.1 ml was spread plated onto petri plates containing VRBA with MUG  
269 (Difco Laboratories, Detroit, MI). VRBA with MUG is a selective medium to estimate the *E.*  
270 *coli* cells present in milk (Marshall 2004). This medium can differentiate *E. coli* from other  
271 species of coliforms by observing pink-colored *E. coli* colonies under long-wavelength UV light  
272 (Marshall 2004).

273 Sterile glass containers (2000 ml) were separately filled with 1500 – 1600 ml of  
274 RCM and SCM and inoculated with 5 ml of a *B. cereus* endospore preparation (see 2.8 above).  
275 The containers were slowly stirred on a magnetic stirrer for 15 - 20 min to suspend endospores  
276 uniformly in each milk type and then used for enumeration and UV-C treatment as described for  
277 *E. coli*. *B. cereus* endospores in the RCM and SCM were estimated using Mannitol egg yolk  
278 polymyxin agar (MYPA) (Beuchat et al. 1997). Sterile egg yolk and polymyxin vials were used  
279 to prepare MYPA media according to the manufacturer's directions (Difco Laboratories, Detroit,  
280 MI) (Donovan, 1958). Enumeration of microorganisms in untreated and treated milk samples  
281 was performed using plate counts as described in sections 2.7 and 2.8.

## 282 **2.10. UV reactor operation and cleaning**

283 Inoculated milk samples were treated using the UV reactors. A milk temperature of 24 -  
284 26°C was observed during each of the experiments. The UV lamp was turned on three minutes  
285 before pumping milk through the reactors. The pump speed of the peristaltic pump was set per  
286 the calibrated settings to provide proper flow rates for each  $R_e$ . The milk sample (500 ml) was  
287 pumped through the reactor until all 500ml was finished. In case of 4<sup>th</sup> level of  $R_e$ , all 500 ml  
288 milk was pumped through the reactor once more, this time through zone 1 only. Treated milk  
289 samples were collected in sterile bottles and immediately stored in a cold room (4°C). Treated  
290 milk samples (0.1 ml) were spread plated on agar plates and incubated at 32 - 35°C. The control  
291 samples were prepared by pumping the inoculated milk samples through the reactors while the  
292 UV lamp was turned off. The same counting procedure used for the controls was applied to the  
293 treated milk.

294 Both UV reactors were cleaned immediately after each treatment by pumping hot water  
295 (500 ml) at 70°C followed by 100 ml hypochlorite recirculation (200 ppm) for 10 min, followed  
296 by 100 ml ethyl alcohol (95%) recirculation for 4 min and a final rinse with sterile 500 ml  
297 deionized water at room temperature. The final rinse water was collected in a sterile test tube and  
298 spread plated directly onto TSA plates to examine the efficacy of the cleaning procedure. After  
299 cleaning the UV reactors with the above procedure, there were fewer survivors than the limit of  
300 detection (10 CFU/ml) in either reactor.

## 301 **2.11. Experimental design and statistical analysis**

302 Factorial experimental design was used for this study. UV reactor (tubing diameter) and  
303  $R_e$  were the main effects and log reduction of the bacteria was the dependent variable. Each of  
304 the four combinations of milk type (RCM and SCM) and bacteria (*E.coli* and *B. cereus*) was a  
305 separate experiment. The UV reactor variable treatments were 1.6 UV reactor and 3.2 UV  
306 reactor. The four levels of  $R_e$  for each of RCM and SCM are listed in Table 1. Each experiment  
307 consisted of eight treatments (2 UV reactors by 4  $R_e$  levels), which were completed in a  
308 randomized order and replicated three times.

309 The results of each experiment were analyzed separately with factorial ANOVA ( $\alpha =$   
310 0.05) using proc GLM in SAS 9.2 software (SAS, 2008). If the interaction of the main effects  
311 was significant, the simple effects of  $R_e$  within each UV reactor and the simple effects of UV  
312 reactor within each  $R_e$  were determined. The  $F$  value for the simple effect was computed per  
313 O'Rourke et al. (2005). Tukey's studentized range test was used to determine differences among  
314 means of significant effects.

### 315 **3. Results and Discussion**

#### 316 **3.1. UV Reactors**

317 UV reactors were constructed with two sizes of tubing: 1.6 mm ID and 3.2 mm ID. The  
318 coil diameter of the 1.6 UV reactor and the 3.2 UV reactor were 26 mm and 28 mm, respectively.  
319 The calculated  $D/D_c$  (equation 3) was 0.06 for the 1.6 UV reactor and 0.11 for the 3.2 UV  
320 reactor. The  $D/D_c$  for the 3.2 UV reactor was beyond the range of Dean flow ( $0.03 < D/D_c < 0.10$ ),  
321 but as close as possible while maintaining the same UV lamp and sleeve as the 1.6 UV reactor.  
322  $D/D_c$  for the 1.6 UV reactor indicates secondary vortices were developed inside the UV reactor,  
323 which were expected to promote mixing and provided uniform processing conditions. Secondary  
324 vortices (Dean flow) were expected to be less in the 3.2 UV reactor.

#### 325 **3.2. Quality of milk samples**

326 Average composition of RCM was  $4 \pm 0.1\%$  fat and  $12.8 \pm 0.15\%$  total solids during our  
327 experiments. SCM composition was 0.1% fat and 9.1% total solids. The average SPC of RCM  
328 was  $1.1 \times 10^4$  CFU/ml, whereas the average SPC for SCM was  $4.2 \times 10^3$  CFU/ml. Coliforms and *E.*  
329 *coli* were not detected in either type of milk. The pH of RCM and SCM at  $24^\circ\text{C}$  was 6.7 - 6.8  
330 which was within the range of normal pH (6.6 - 6.8) for both types of milk. UV-C absorption  
331 coefficient of RCM at 254 nm wavelength was  $220 \text{ cm}^{-1}$  whereas that of SCM was  $170 \text{ cm}^{-1}$ . The  
332 absorption coefficients of both types of milk were higher than that of fruit juices ( $11 - 78 \text{ cm}^{-1}$ )  
333 reported by Koutchma et al. (2007).

#### 334 **3.3. Bacteria counts in control samples**

335 The cell count of control samples (inoculated samples pumped through the reactor  
336 without UV lamp turned on) of RCM with *E. coli* and *B. cereus* were 8.66 and 7.74  $\log_{10}$   
337 CFU/ml respectively. The control samples of SCM inoculated with *E. coli* and *B. cereus* had cell  
338 counts of 7.78 and 7.25  $\log_{10}$  CFU/ml respectively. These counts indicate the potential maximum  
339 log reduction of bacteria in the treated milk.

#### 340 **3.4. General observations**

341 Tables 2-3 illustrate the relationships among UV reactor,  $R_e$  and mean number of log  
342 reductions, for each experiment. In all four experiments, the 1.6 UV reactor had a greater mean  
343 log reduction in bacteria than the 3.2 UV reactor at the same level of  $R_e$ . The 1.6 UV reactor  
344 benefitted from the combination of the smaller diameter tubing which resulted in a thinner path  
345 length for the UV-C to penetrate and the Dean flow which caused secondary vortices and better  
346 mixing of the milk. With the UV reactor design limitation of using the same UV lamp and quartz  
347 glass sleeve, the 3.2 UV reactor with the near Dean flow was not able to overcome the  
348 disadvantage of the thicker path length for the UV-C to penetrate.

349 Of the four experiments, bacteria removal to a non-detectable level was with the 1.6 UV  
350 reactor at  $R_e \geq 532$  treating SCM inoculated with *E. coli*. None of the other treatments achieved  
351 the minimum requirement of 5- $\log_{10}$  reduction (FDA, 2003). However, mean log reduction of

352 bacteria increased with  $R_e$  in each experiment, regardless of UV reactor, up to the limit of the  
353 number of bacteria present. Greater log reduction was also achieved in SCM than RCM for *E.*  
354 *coli* while reduction of *B. cereus* was nearly the same for both types of milk. Based on the results  
355 presented, increased  $R_e$  levels in both UV reactors resulted in increased log reduction of *E. coli*  
356 cells and *B. cereus*.

### 357 **3.5. *E. coli* inactivation in RCM**

358 Factorial ANOVA indicated significant interaction between the main effects of UV  
359 reactor and  $R_e$  ( $F_{(3, 16)} = 41.27, p < 0.0001$ ) on log inactivation of *E. coli* in RCM. The simple  
360 effect of  $R_e$  was significant for each of the 1.6 UV reactor ( $F_{(3,8)} = 110.3, p < 0.0001$ ) and 3.2 UV  
361 reactor ( $F_{(3,8)} = 20.32, p = 0.0004$ ). For the 1.6 UV reactor, log reduction significantly increased  
362 at each increase in  $R_e$  (Table 2). For the 3.2 UV reactor, log reduction of the two higher  $R_e$  levels  
363 was significantly higher than the log reduction of the two lower  $R_e$  levels.

### 364 **3.6. *B. cereus* inactivation in RCM**

365 Each of the main effects had a significant effect on log reduction of *B. cereus* spores in  
366 RCM. The 1.6 UV reactor had a significantly higher log reduction ( $F_{(1,16)} = 19.04, p = 0.0005$ )  
367 than the 3.2 UV reactor (Table 2). The effect of  $R_e$ , was also significant ( $F_{(3, 16)} = 7.14; p =$   
368  $0.0029$ ) on inactivation of *B. cereus* spores in RCM, with the lowest  $R_e$  of 181 having a  
369 significantly lower log reduction than the two higher  $R_e$  levels of 533 and 713. When  $R_e$  effects  
370 were isolated for each UV reactor, the highest  $R_e$  level had a significantly higher log reduction  
371 than the lowest  $R_e$  level for the 1.6 UV reactor ( $F_{(3, 8)} = 5.2; p = 0.0277$ ) and there were no  
372 differences for the 3.2 UV reactor ( $F_{(3, 8)} = 2.09; ns$ ) (Table 2).

### 373 **3.7. *E. coli* inactivation in SCM**

374 The interaction of main effects of UV reactor and  $R_e$  was significant ( $F_{(3, 16)} = 80.3, p <$   
375  $0.0001$ ) on log inactivation of *E. coli* in SCM. The simple effect of  $R_e$  was significant for each of  
376 the 1.6 UV reactor ( $F_{(3,8)} = 496.4, p < 0.0001$ ) and 3.2 UV reactor ( $F_{(3,8)} = 13.1, p = 0.0019$ )  
377 (Table 3). For the 1.6 UV reactor, the treated SCM at three higher  $R_e$  levels had undetectable  
378 bacteria levels (highest possible reduction =  $7.78 \log_{10}$  CFU/ml), which was significantly better  
379 than the log reduction of the lowest  $R_e$  level. This can be attributed to the significantly greater  
380 degree of mixing of SCM at higher  $R_e$  of 532 than the  $R_e$  of 265. Since the highest possible log  
381 reduction was already reached at this  $R_e$  level, the log reductions at  $R_e$  levels 794 and 1064 also  
382 had the same log reduction ( $7.78 \log_{10}$  CFU/ml). For the 3.2 UV reactor, log reduction of the  
383 highest  $R_e$  levels was significantly higher than the log reduction of the two lowest  $R_e$  levels  
384 (Table 3).

### 385 **3.8. *B. cereus* inactivation in SCM**

386 The interaction of main effects UV reactor and  $R_e$  upon log inactivation of *B. cereus* endospores  
387 in SCM was significant ( $F_{(3, 16)} = 8.69, p = 0.0012$ ) (Figure 5). The simple effect of  $R_e$  was  
388 significant for the 1.6 UV reactor ( $F_{(3,8)} = 85.19, p < 0.0001$ ) with each higher level of  $R_e$  having  
389 a significantly greater log reduction, except for the two highest levels of  $R_e$  which were not  
390 significantly different (Table 3). Similarly, the simple effect of  $R_e$  was significant for the 3.2 UV  
391 reactor ( $F_{(3,8)} = 4.31, p = 0.0436$ ), with the highest  $R_e$  level yielding a significantly higher log  
392 reduction than the lowest  $R_e$  level.

### 393 **3.9. General Discussion**

394 Inactivation efficiency of both bacteria increased as the  $R_e$  increased due to better mixing  
395 conditions inside the UV reactors. A similar inactivation pattern was not observed by Koutchma

396 et al. (2007) and Franz et al. (2009) because lower exposure time resulted from increased flow  
397 rates in their reactors while exposure time was kept constant at all levels of  $R_e$  in our study.  
398 Constant residence time with increased mixing likely accounted for differences in inactivation  
399 patterns when compared to the others studies. Therefore, we conclude that  $R_e$  number was the  
400 main factor responsible for the magnitude of inactivation of microorganisms by ultraviolet light.

401 Inactivation efficiency was higher for SCM than RCM for both bacteria. The inactivation  
402 differences between SCM and RCM in both UV reactors was due to the difference between UV  
403 absorption coefficients ( $170 \text{ cm}^{-1}$  for SCM and  $220 \text{ cm}^{-1}$  for RCM) of both types of milk. Optical  
404 absorption coefficient at 254 nm of RCM was higher than SCM due to higher levels of  
405 suspended fat particles. This might have contributed to the greater reduction of both the bacteria  
406 in the SCM. Matak (2004) reported that *E. coli* was reduced by 1.44 logs at  $20^\circ\text{C}$  in whole milk  
407 at UV dose of  $5.8 \text{ mJ/cm}^2$ , residence time of 1.5 sec, and  $R_e$  of 1371 in a thin film reactor. The  
408 Dean flow within the 1.6 UV reactor yielded more reduction of *E. coli* at lower  $R_e$  compared to  
409 Matak (2004) in RCM. One may anticipate fouling of tubes in coiled tube reactors over time,  
410 reducing transparency of tubing and efficiency of microbial reduction. During this study we did  
411 not face this problem because we were cleaning after each treatment. In case of regular use,  
412 fouling may be avoided by following an appropriate cleaning schedule.

413 The initial temperatures of both types of milk were in the range of  $23 - 24^\circ\text{C}$ . The average  
414 increase in the final temperature of milk at the outlet of UV reactors was  $4 - 6^\circ\text{C}$  for 1.6 UV  
415 reactor and  $2 - 3^\circ\text{C}$  for 3.2 UV reactor. This mild increase in milk temperature should not have  
416 significantly affected inactivation of the test bacteria (Matak et al., 2005).

417 Throughout this study, inactivation of *B. cereus* endospores was lower than the *E. coli* W  
418 1485 cells (Figures 2, 3, 4, and 5). *B. cereus* endospores are known to be highly heat resistant  
419 and can survive pasteurization whereas *E. coli* does not survive pasteurization (Marshall, 2004).  
420 Blatchley et al. (2005) found that UV inactivation kinetics of *B. cereus* endospores in aqueous  
421 suspensions (0.01M sodium bicarbonate) was characterized by a lag in inactivation for doses up  
422 to  $15-20 \text{ mJ/cm}^2$ , followed by roughly first-order inactivation. Inactivation response was roughly  
423  $4 \log_{10}$  units at doses above approximately  $30 \text{ mJ/cm}^2$ , but tailed off at higher UV doses. In our  
424 study we could achieve maximum inactivation of *B. cereus* endospores of 2.71 logs, which was  
425 about 68% of the maximum achievable reduction (4 logs).

#### 426 4. Conclusions

427 Inactivation of both the bacteria increased by increasing  $R_e$  at constant residence time in  
428 both UV reactors. The 1.6 UV reactor caused higher inactivation of *E. coli* W1485 and *B. cereus*  
429 endospores than the 3.2 UV reactor. The Dean flow condition and smaller tube diameter in the  
430 1.6 UV reactor provided adequate mixing and UV exposure, as indicated by the lower UV dose  
431 for inactivation of *E. coli* W1485 cells in milk in this study than the studies on UV treatment of  
432 milk in larger coiled tube reactors or thin film reactors that were not Dean flow.

433 Inactivation efficiency of *E. coli* cells was higher for SCM than RCM with both the UV  
434 reactors. *B. cereus* endospores showed more resistant to UV dose than the *E. coli* W 1485 cells in  
435 both types of milk. *E. coli* W1485 in SCM was inactivated by more than target level of  $5\text{-log}_{10}$   
436 reductions whereas in RCM it was close (4.14 logs). Further, it may be possible to get FDA  
437 acceptable reduction ( $\geq 5 \log_{10}$  reductions) of *E. coli* in RCM by increasing the UV dose  
438 (residence time) in the 1.6 UV reactor. Higher thickness of milk requires better mixing

439 conditions. Therefore *E. coli* inactivation in 3.2 UV reactor may be achieved by increasing  
440 Reynolds number, residence time, or a redesign to provide Dean flow.

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446

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549 of ultraviolet light for reducing *Escherichia coli* O157:H7 in unpasteurized apple cider.  
550 *Journal of Food Protection* 63, 563-567.  
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552

553 **Figure Captions**

554

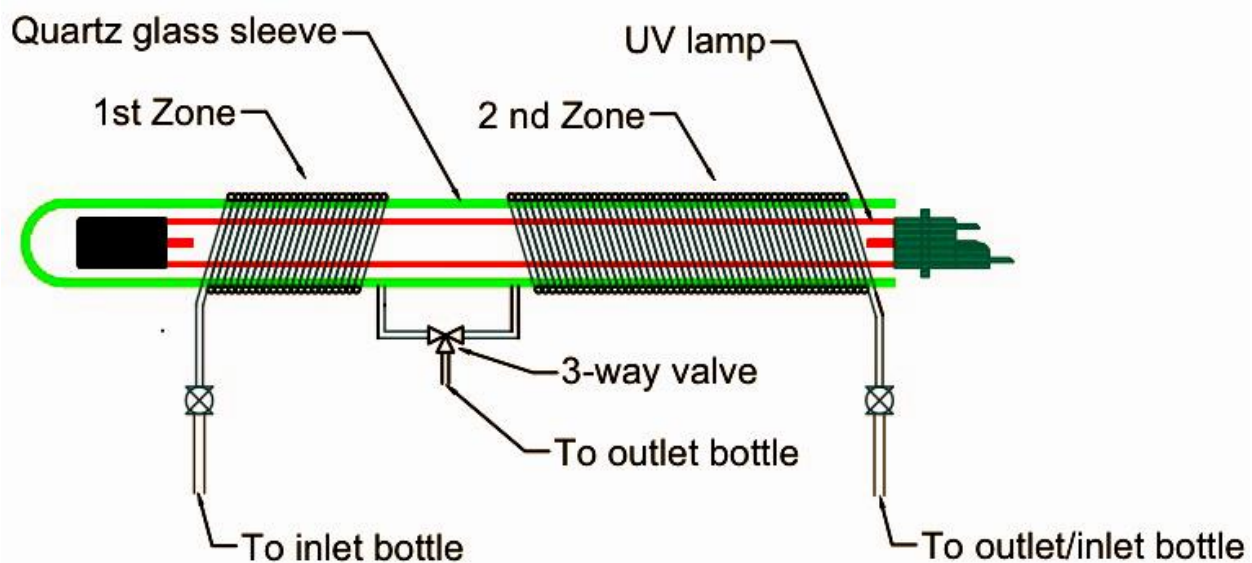
555 Figure 1. Diagram of coiled tube UV reactors designed for this study (drawing not to scale). For  
556 the 1.6 UV reactor, the inside diameter of fluid carrying PFA tube was 1.6 mm, whereas for the  
557 3.2 UV reactor, it was 3.2 mm.

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561

562 Figure 1. Diagram of coiled tube UV reactors designed for this study (drawing not to scale). For  
563 the 1.6 UV reactor, the inside diameter of fluid carrying PFA tube was 1.6 mm, whereas for the  
564 3.2 UV reactor, it was 3.2 mm.

565

566 Table 1. Levels of flow rate, tubing length and Reynolds number ( $R_e$ ) for each UV reactor.  
 567

$R_e$ Level	1.6 UV Reactor		3.2 UV Reactor		Mean $R_e$	
	Flow Rate (ml/min)	Tubing Length (cm)	Flow Rate (ml/min)	Tubing Length (cm)	Raw Cow Milk (RCM)	Skimmed Cow Milk (SCM)
1	25	240	50	120	181	265
2	50	480	100	240	359	532
3	75	720	150	360	533	794
4	100	960	200	480	713	1064

Mean  $R_e$  values were calculated from observed flow rates during experiments.  $R_e$  values at each  $R_e$  level were same for both the reactors while they differed for each milk type due to the difference in kinematic viscosity of RCM and SCM. The residence time in each reactor was 11.3 s and accordingly the calculated UV doses provided were also fixed (11.187 mJ/cm<sup>2</sup>).

568  
 569

570 Table 2. Simple effects of  $R_e$  within each UV reactor upon inactivation of *E. coli* and *B. cereus*  
 571 endospores in RCM.  
 572

Re	1.6 UV Reactor		3.2 UV Reactor	
	<i>E. coli</i> *	<i>B. cereus</i> *	<i>E. coli</i> *	<i>B. cereus</i> *
181	1.37 <sup>a</sup>	1.28 <sup>a</sup>	0.46 <sup>a</sup>	1.06 <sup>a</sup>
359	2.06 <sup>b</sup>	1.96 <sup>ab</sup>	0.63 <sup>a</sup>	1.19 <sup>a</sup>
533	2.95 <sup>c</sup>	2.26 <sup>ab</sup>	0.97 <sup>b</sup>	1.38 <sup>a</sup>
713	4.14 <sup>d</sup>	2.65 <sup>b</sup>	1.19 <sup>b</sup>	1.72 <sup>a</sup>

\* Within each bacteria and UV reactor,  $R_e$  means with same letter are not significantly different

573  
 574

575 Table 3. Simple effects of  $R_e$  within each UV reactor upon inactivation of *E. coli* and *B. cereus*  
 576 endospores in SCM.  
 577

Re	1.6 UV Reactor		3.2 UV Reactor	
	<i>E. coli</i> *	<i>B. cereus</i> *	<i>E. coli</i> *	<i>B. cereus</i> *
265	2.06 <sup>a</sup>	1.59 <sup>a</sup>	0.47 <sup>a</sup>	1.29 <sup>a</sup>
532	7.78 <sup>b</sup>	2.23 <sup>b</sup>	1.12 <sup>a</sup>	1.34 <sup>ab</sup>
794	7.78 <sup>b</sup>	2.57 <sup>c</sup>	1.45 <sup>ab</sup>	1.46 <sup>ab</sup>
1064	7.78 <sup>b</sup>	2.72 <sup>c</sup>	2.43 <sup>b</sup>	1.78 <sup>b</sup>

\* Within each bacteria and UV reactor,  $R_e$  means with same letter are not significantly different

578  
 579

580	<u>Nomenclature</u>	
581	<i>B.cereus</i>	<i>Bacillus cereus</i>
582	CFU	colony forming unit
583	CFU/ml	colony forming unit per milliliter
584	D	diameter (ID) of tube
585	D <sub>c</sub>	diameter of tube coil
586	D <sub>e</sub>	Dean number
587	<i>E.coli</i>	<i>Escherichia coli</i>
588	ID	inside diameter
589	μ	dynamic viscosity of fluid (milk)
590	mJ/cm <sup>2</sup>	milli joule per square centimeter
591	ml/min	milliliter per minute
592	mW/cm <sup>2</sup>	milli watt per square centimeter
593	MYPA	mannitol egg yolk polymyxin agar
594	nm	nanometer
595	OD	outside diameter
596	PFA	per-fluoro-alkoxy
597	ρ	density of fluid (milk)
598	RCM	raw cow milk
599	R <sub>e</sub>	Reynolds number
600	SCM	skimmed cow milk
601	SPC	standard plate count
602	TSA	tryptic soy agar
603	TSB	tryptic soy broth
604	UV	ultraviolet
605	UV-C	ultraviolet C
606	v	velocity of fluid flow
607	V	volt
608	W	Watt
609		