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Global Gray Matter Morphometry Differences between Children with Reading Disability,
ADHD, and Comorbid Reading Disability/ADHD

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Abstract:

Extensive, yet disparate, research exists elucidating structural anomalies in individuals with Reading Disability (RD) or ADHD. Despite ADHD and RD being highly comorbid, minimal research has attempted to determine shared patterns of morphometry between these disorders. In addition, there is no published research examining the morphometry of comorbid RD and ADHD (RD/ADHD). Hence, we conducted voxel-based morphometry on the MRI scans of 106 children, ages 8-12 years, with RD, ADHD, or RD/ADHD, and typically developing controls. We found right caudate and superior frontal regions in both RD and ADHD, along with areas specific to RD and to ADHD that are consistent with current theories on these disorders. Perhaps most importantly, we found a potential neurobiological substrate for RD/ADHD. Further, our findings illustrate both shared and specific contributors to RD/ADHD, supporting two current theories on the comorbidity of RD and ADHD, thereby facilitating future work on potential etiologies of RD/ADHD.

Keywords: reading disability, ADHD, reading disability/ADHD, structural neuroimaging, thalamus, frontal lobes, striatum, VBM, morphometry, occipital lobes

1.0 Introduction

Reading Disability (RD) and Attention Deficit Hyperactivity Disorder (ADHD) are two neurodevelopmental disorders that have a comorbidity greater than expected based upon the base rate of either disorder alone, about 25-40% (Boada, Willcutt, & Pennington, 2012; Shaywitz & Shaywitz, 2005). Despite the high comorbidity between these two disorders, the literature is disparate on whether comorbid RD/ADHD is a unique disorder or merely a summation of both RD and ADHD etiologies. As the literature deliberates, any contributions to understanding the neurobiological correlates of comorbid RD/ADHD may have wide-reaching implications in the field. Therefore, the primary purpose of this study was to discover whether there are distinct patterns of gray matter morphometry in children with comorbid RD/ADHD as compared to controls using VBM and if these patterns differ from having either disorder alone. Our secondary purpose was to determine if there are shared neurobiological correlates of RD and ADHD.

1.1 Reading Disability

Reading Disability (RD) is often defined as poor word identification and decoding skills (basic reading) despite intact cognitive ability (IQ or other cognitive functions; Pennington et al., 2010). There is substantial heterogeneity between theories on the etiology of reading disability. This heterogeneity is likely due to the diversity of symptoms across individuals with the disorder (Tamboer, Scholte, & Vorst, 2015) and to the different operational definitions of reading disability used throughout the literature. In terms of the latter, some researchers used the poor reader definition of reading disability which requires reading ability to be below average despite the child not being intellectually disabled; no IQ-achievement discrepancy is required (Siegel, 1992). Others used the discrepancy definition of reading disability which requires reading ability to be significantly below the child's measured intellect, following the DSM-IV as well as the

USA's IDEA requirements prior to 2004. The World Health Organization defined development dyslexia as poor word recognition and spelling abilities despite adequate instruction, intelligence and sensory abilities (see Peterson & Pennington, 2012), so dyslexia could be considered a subset of reading disability given the additional spelling requirement. The RD literature utilizes all three definitions. Irrespective of how RD is defined, three theories of reading disability have been utilized more often than the rest in neuroimaging studies: double deficit, dual route, and visual attention.

The double-deficit theory postulates that dyslexia is due to poor phonological awareness, rapid automatized naming, or both (Jednoróg, Gawron, Marchewka, Heim, & Grabowska, 2013; Pugh et al., 2013). The dual route theory suggests that there are two routes to reading: phonological and orthographic, and reading problems can occur due to damage or faulty development in either route (Coltheart, Rastle, Perry, Langdon, & Ziegler, 2001). The visual attention hypothesis states that reading problems are due to poor phonological processing, visual attention, both, or neither (Bosse, Tainturier, & Valdois, 2007). Hence, while there is heterogeneity between theories on the etiology of RD, one commonality across all theories is poor phonological processing, which is the most common deficit found in RD (Ramus et al., 2003). Further support for these theories is found in the morphometry literature.

Previous RD research using VBM analysis has found gray matter abnormalities in the occipital cortex, inferior and lateral temporal cortices, parietal cortex, frontal cortex and the cerebellum. Using the double deficit hypothesis of reading disability as a paradigm, Jednorog et al. (2013) found children with poor phonological awareness had smaller gray matter volume clusters in the right precentral and left parietal lobe but larger gray matter volume clusters in the left cerebellum and right putamen. Children with poor rapid automatic naming had the same

brain regions implicated but in an opposite volumetric pattern to the poor phonological awareness group. For children with both poor phonological processing and rapid automatic naming (double deficit), the VBM analysis found decreased gray matter in the right supramarginal gyrus and increased gray matter in the left cerebellum. In a study testing the visual attention theory of reading disability (Stein & Walsh, 1997), the authors found that left posterior STG and middle temporal deviations were associated with poor phonological processing/verbal working memory, and right lateral occipital/superior parietal deviations were related to visual attention deficits based on correlational analyses.

In contrast to these two theories, a considerable amount of research, both structural and functional, has been published related to the dual route model. Two studies proposed a similar model of dyslexia based on fMRI methodology (Pugh et al., 2000; Shaywitz, Lyon, & Shaywitz, 2006). They suggested three circuits are involved with dyslexia: ventral, dorsal and anterior. The ventral circuit includes the left lateral extrastriate and inferior occipital-temporal regions and is involved with rapid recognition of familiar words and letter strings (orthographic route to reading). The dorsal circuit includes left superior temporal and inferior parietal structures and is involved with the decoding of novel words (phonological route). The anterior route is used by individuals with RD to compensate for deficits in posterior functioning and includes the inferior frontal gyrus. Areas homologous to the dorsal and ventral routes in the right hemisphere may be used to compensate as well. All of the areas involved in the dorsal, ventral, and anterior circuits have been implicated in various VBM studies on RD (Black et al., 2012; Hoefft et al., 2007; Im et al., 2015; Linkersdorfer et al., 2012; Raschle et al., 2012; Richardson and Price, 2009; Richlan et al., 2013; Tamboer et al., 2015; Xia et al., 2016). Nonetheless, results are variable regarding whether these clusters are equal to, larger, or smaller than controls across studies (Jednoróg et

al., 2013; Pernet et al., 2009). This variability may be related to the heterogeneity of the disorder and/or variations in the operational definitions of RD used, language spoken by the various samples, and ages included in the various samples. Two recent review articles recapitulate this point. Xia and colleagues (2017) and Ramus and colleagues (2017) both cite language, as well as small sample size, as limitations in RD studies that use imaging methodology. Other potential causes of heterogeneity in RD studies include variability in VBM methodology (Ramus, Altarelli, Jednoróg, Zhao, & Scotto di Covella, 2017) and not considering RD's comorbidity with other neurodevelopmental disorders or RD subtypes (Xia, Hancock, & Hoefl, 2017). Therefore, future studies (including the current study) should address these methodological shortcomings.

1.2 Attention-Deficit/Hyperactivity Disorder

Attention-Deficit/Hyperactivity Disorder (ADHD) describes children who have heightened levels of inattention and/or hyperactivity/impulsivity for their age (APA, 2013). The most commonly cited theory on the etiology of ADHD is the frontal-striatal theory, which suggests that the prefrontal cortex and basal ganglia are not functioning optimally in ADHD (Barkley, 1997; Castellanos et al., 1996; Castellanos & Proal, 2012). Barkley (1997) found that the worst deficits in ADHD are within the areas of inhibition, working memory, self-regulation, sustained attention, other executive functions, and motor control. Many of these deficits are associated with the prefrontal-striatal circuit (in particular the dorsolateral prefrontal cortex and caudate), especially the cognitive aspects of executive functioning such as working memory, planning, and problem-solving (Castellanos et al., 1996; Castellanos & Proal, 2012; Dang et al., 2014; Monchi, Petrides, Mejia-Constain, & Strafella, 2007). Other structural research has identified additional frontal circuits that may be involved in executive functions, including the inferior frontal-striatal-

cerebellar (Carmona et al., 2005; Makris et al., 2015; Rubia, 2011), prefrontal-posterior parietal (Carmona et al., 2005; Seidman et al., 2006), and orbitofrontal-limbic (Carmona et al., 2005; Makris et al., 2007; Seidman et al., 2011) circuits. These circuits play a role in motor/behavioral inhibition, emotional regulation, selective attention, and visual regulation of attention (Castellanos & Proal, 2012), potentially for both bottom-up and top-down processes, depending upon the region and circuit (Sonuga-Barke, Sergeant, Nigg, & Willcutt, 2008). Moreover, the orbitofrontal-limbic circuit may serve an additional purpose of aiding in delay aversion processing – a behavior often compromised in those with ADHD (Sonuga-Barke et al., 2008). In general, the literature strongly implicates prefrontal (DLPFC, inferior frontal and orbitofrontal), striatal, limbic (cingulate and medial temporal lobe), and cerebellar abnormalities that may give rise to the various ADHD symptoms presented in the literature.

Corresponding with the different theories proposed, gray matter morphometry studies have found reduced volume in various parts of the prefrontal, parietal, temporal and cingulate cortices, the striatum, the cerebellum, and in total brain volume (Carmona et al., 2005; de Mello et al., 2013; Seidman et al., 2011; Yang et al., 2008). More specifically, multiple experiments have found that children with ADHD have smaller total gray and white matter volume compared to children without it (Carmona et al., 2005; Castellanos et al., 2002; Lim et al., 2013; Seidman et al., 2006; Yang et al., 2008), which persists from childhood into at least adolescence (Castellanos et al., 2002). When examining the frontal-striatal circuit, participants with ADHD have smaller gray matter clusters compared to controls in the dorsolateral prefrontal cortex, inferior frontal cortex, caudate, putamen, and anterior cingulate (Carmona et al., 2005; de Mello et al., 2013; Makris et al., 2015; Seidman et al., 2011; Tremols et al., 2008; see Krain and Castellanos, 2006 or Seidman et al., 2005 for a review). In addition, reduced gray matter has been found in

individuals with ADHD in the cerebellum and temporal-parietal regions (Carmona et al., 2005; Depue, Burgess, Bidwell, Willcutt, & Banich, 2011; Lim et al., 2013; Pironti et al., 2014; van 't Ent et al., 2007; Villemonteix et al., 2015) and in orbitofrontal and limbic structures (Carmona et al., 2005; Frodl & Skokauskas, 2012; Krain & Castellanos, 2006; Seidman et al., 2006; van 't Ent et al., 2007). Nonetheless, not all studies find reduced gray matter volume in these structures. For example, some researchers have found that people with ADHD have larger clusters in the dorsolateral prefrontal cortex, orbitofrontal cortex, caudate, putamen, inferior parietal cortex and/or temporal cortex (Makris et al., 2015; Moreno-Alcázar et al., 2016; Seidman et al., 2011, 2005), while others have found the basal ganglia, amygdala, and hippocampus to be commensurate in size to controls (Pironti et al., 2014). This variability may be related to the heterogeneity of symptomology and behavioral deficits found in ADHD (e.g., about 20% of individuals with ADHD do not present with an executive function deficit, and some have other deficits as well; Nigg et al., 2005) and medication status (i.e., medication naïve versus chronically treated; Villemonteix et al., 2015). Despite the heterogeneity found in the literature, there is sufficient evidence from gray matter morphometry studies to support a frontal-striatal and/or orbitofrontal-limbic deficit in many individuals with ADHD.

1.3 Comorbid ADHD and Reading Disability

Etiological research on why RD and ADHD are frequently comorbid is disparate. Some suggest ADHD and RD are two separate disorders that share select genetic, neurobiological and/or cognitive contributors which lead to their comorbidity (McGrath et al., 2012; Willcutt et al., 2001). Others suggest comorbid RD/ADHD is a unique subtype from RD and ADHD alone (Rucklidge & Tannock, 2002). Alternatively, RD, ADHD and RD/ADHD could be different manifestations of the same neurodevelopmental process (Gilger & Kaplan, 2001).

The first position, that of separate disorders with shared neurobiological and cognitive contributors, currently has the most support. Children with RD often have poor phonological processing (Lyon, Fletcher, & Barnes, 2003), whereas those with ADHD often have poor inhibitory control (Barkley, 1997). Children with comorbid RD/ADHD tend to display both sets of problems (Klorman et al., 1999; Korkman & Pesonen, 1994; Rucklidge & Tannock, 2002; Willcutt et al., 2001; Willcutt, Doyle, Nigg, Faraone, & Pennington, 2005), suggesting they possess shared deficits between the two disorders instead of a unique set of deficits specific to the comorbidity. Current research supports two potential sources of shared etiology: slow processing speed (McGrath et al., 2012; Shanahan et al., 2006) and/or poor focused auditory attention/rote verbal short-term memory (Kibby & Cohen, 2008). For example, Shanahan and colleagues (2006) found that measures of processing speed helped account for the relationship between RD and ADHD. Nonetheless, the authors stated slow processing speed was not sufficient to explain the extent of the shared variance between RD and ADHD, although neither disorder is fully explained without accounting for the impact of processing speed. Kibby and Cohen (2008) found reduced digit span forward performance in children with RD and in those with ADHD, along with short-term memory deficits specific to each disorder. The comorbid RD/ADHD group exhibited all the deficits found in the RD and ADHD groups but no additional deficits. Research on genetic origins also has found shared candidate genes between RD and ADHD (Willcutt, Betjemann, McGrath, & Pennington, 2010).

In contrast, Rucklidge and Tannock (2002) suggested that comorbid RD/ADHD could be its own unique subtype separate from RD and ADHD. More specifically, they found only the RD/ADHD group had poor rapid automatized naming of numbers and colors, as well as slower and less accurate responses, when compared to RD, ADHD, and controls. While coming to a

different conclusion, McGrath and colleagues (2012) did find that processing speed predicted group membership in the comorbid group over predicting ADHD or RD alone, being related to both the reading and inattention symptom dimensions. Finally, given that both are neurodevelopmental disorders and there is a high comorbidity between the two, some suggest RD and ADHD are different manifestations of the same neurodevelopmental process (Gilger & Kaplan, 2001; Pettersson, Gillberg, Lichtenstein, Pettersson, & Anckarsa, 2013; Visser, 2003).

Currently, there is limited research on the neurobiological basis of comorbid RD/ADHD, and none was found using morphometry. An early structural imaging study performed by Hynd and colleagues (1990) found that both ADHD and RD were associated with reduced right frontal volume. In a later study using tracing, Kibby and colleagues (2009) found that the right pars triangularis was smaller in those with ADHD, regardless of RD status; thus, the comorbid group shared similar reductions in pars triangularis size as ADHD. Furthermore, right pars triangularis size was associated with both rapid naming (a common problem in both RD and ADHD) and attention problems. Kibby et al. (2008) showed RD diagnosis was associated with reduced cerebellar asymmetry regardless of ADHD status, suggesting RD and comorbid RD/ADHD had similar atypicalities in the cerebellum. ADHD diagnosis was not associated with differences in cerebellum structure; nonetheless, anterior vermis volume correlated with both phonological awareness and ADHD symptoms, indicating another potential source of shared etiology. Therefore, based upon the limited MRI literature available, comorbid RD/ADHD may stem from additive neurobiological and cognitive effects of the separate disorders (Hynd et al., 1990; Kibby et al., 2008; Kibby et al., 2009) or could be a manifestation of the same neurodevelopmental disorder.

1.4 Specific Aims

This study had two objectives. One aim was to determine which brain areas are disparate in the comorbid group as compared to controls and whether these overlap with the RD group, ADHD group, or are unique to RD/ADHD, as there is a dearth of morphology research on this group. Given the limited amount of structural neuroimaging research comparing RD to ADHD in the same study, the second aim was to determine whether there are shared brain areas affected in both disorders, as well as areas that are specific to each disorder alone. Based on the VBM literature reviewed, we hypothesized that temporal-parietal, occipital-inferior temporal, and inferior frontal gyri along with the cerebellum would be smaller in RD compared to controls, and that the prefrontal, basal ganglia, anterior cingulate, temporal-parietal, and cerebellar regions would be smaller in ADHD compared to controls. Based upon the additive notion of comorbid RD and ADHD, individuals with comorbid RD/ADHD were hypothesized to exhibit decreased volume in brain regions that may be shared by both disorders (such as the temporal-parietal, inferior frontal and cerebellar regions), as well as in regions that may be disorder specific (e.g., occipital-inferior temporal gyrus, basal ganglia, anterior cingulate). The comorbid group was not expected to exhibit a unique gray matter pattern compared to ADHD or RD. Nevertheless, due to the limited quantitative MRI research on comorbid RD/ADHD and small sample size, the hypotheses were exploratory, and both corrected and uncorrected whole brain analyses are reported to guide future research.

2.0 Methods

2.1 Participants

Participants included 106 children, 8-12 years of age, 87% Caucasian, and 51% male. They were recruited through larger, NIH-funded projects that examined neuropsychological

characteristics of children with Attention-Deficit/Hyperactivity Disorder, reading disability, comorbid RD/ADHD, and typically developing controls (TDC). Only participants who completed MRI scans without substantial motion artifacts were included in this study (ADHD $n = 41$; RD $n = 17$; RD/ADHD $n = 16$; controls $n = 32$). All children had an IQ > 79 . Groups did not differ on gender, maternal education/SES, or age ($ps > .10$). Groups did differ in handedness [$F(3,102) = 4.34, p = .006$], such that more children with ADHD were left-handed than in the other three groups. Please refer to Table 1 for further participant demographic details. Children were recruited from local schools, through referrals from physicians and psychologists, and through flyers and media advertisements in southern Illinois, eastern Missouri, and western Kentucky, representing a community sample from a rural area. As compensation for participating in the larger study, families received a free neuropsychological evaluation on their child, and the children received a T-shirt.

[INSERT TABLE 1 HERE]

A child was diagnosed with reading disability (RD) in one of two ways, following the guidelines of Pennington (2010). Children were classified as a ‘poor reader’ if the child’s performance was one standard deviation or more below the mean on two out of three measures of basic reading ability: the Woodcock Johnson Tests of Achievement III (WJ-III; Woodcock, McGrew, & Mather, 2001), the Gray Oral Reading Test-4th edition (Gort-4; Wiederholt & Bryant, 2001) or the Boder Test of Reading-Spelling Patterns (Boder & Jarrico, 1982). The second way children were diagnosed was by a discrepancy definition; that is, if a child’s reading performance on two of the basic reading measures previously mentioned was significantly lower than expected based on their IQ, using the regression formula from the State of Washington which controlled for the correlation between the IQ and achievement measures. Both diagnostic

criteria were utilized following the suggestion of Pennington (2010), as children from both groups have similarly reduced phonological processing, which is the core deficit in RD (Liberman & Shankweiler, 1991; Stanovich, 1988; Swank, 1994). It also aids generalization across studies, as some prior work used a poor reader definition (e.g., Siegel, 1992) and others used a discrepancy definition (e.g., Eckert et al., 2005). A child only had to meet one definition to be included in the RD group.

Attention-deficit/hyperactivity disorder was diagnosed by a child clinical neuropsychologist using DSM-IV criteria, as this was the most recent DSM edition available at the time of data collection. As part of the diagnostic process, interview data from the parent to determine symptoms, the age of onset and impairment were used, as well as questionnaires. Questionnaires included parent and teacher ratings of attention problems and hyperactivity/impulsivity from the Behavior Assessment System for Children, Second Edition (BASC-2; Reynolds & Kamphaus, 2004) to verify the severity of symptoms was above average and appeared across the school and home settings.

Children who met criteria for both RD and ADHD were placed into the comorbid RD/ADHD group. Specifically, the child clinical neuropsychologist ensured that reading problems occurred on at least two measures and that ADHD symptoms occurred across settings (academic and non-academic). Because of the small size of the RD/ADHD group, ADHD was not broken down further into subtypes for VBM analysis. Nonetheless, ADHD and RD/ADHD did not differ in the proportion of ADHD subtypes ($X^2 = .03, p = .86$), with ADHD having 22 children with ADHD-PI and 19 with ADHD-C, and RD/ADHD having 9 children with ADHD-PI and 7 with ADHD-C.

Participants were classified as a TDC if they did not meet inclusion criteria for ADHD or RD. Participants in all groups met the exclusionary criteria. Children were excluded from the study if they had a history of medical or neurological disorders (e.g., traumatic brain injury, prolonged high fever), any significant perinatal complications (e.g., prematurity under 36 weeks.), or severe environmental problems (e.g., suspected abuse).

2.2 Procedures

2.21 MRI Data Collection. Children were scanned for 8 minutes on a Philips Intera 1.5 Tesla scanner. A 3-D, fast spin, gradient reversal acquisition protocol was used to acquire T1-weighted images with a TR of 30ms, a TE of 4.6ms, and a flip angle of 35. All images included 200 axial slices, spaced 0.8mm apart, with a slice thickness of 1.6mm, a FOV of 256mm by 256mm, and a voxel size of .89mm x .89 mm x 1.6mm. The child's head was stabilized with padding to reduce motion artifacts, and noise-reducing headphones were provided to facilitate comfort in the scanner.

2.22 MRI Data Preprocessing and Analysis. Children were excluded if there was too much motion in the structural scan that interfered with preprocessing, as noted above, resulting in the 106 participants included in this study. Preprocessing and analyses were conducted in SPM 8, using Ashburner's (2010) protocol for preprocessing with VBM. The images were manually reoriented to the anterior and posterior commissures. Manual reorientation was followed by segmentation using New Segment and DARTEL (create Templates and Normalized to MNI Space batch scripts) for realignment and normalization (including modulation) to the MNI template. This study did not use a custom template for normalization, as research conducted in middle childhood that compared the MNI template typically used for normalization with a

custom template for normalization did not find sufficient differences to warrant the added ambiguity in interpreting results (Hoeft et al., 2007). Finally, the images were smoothed with an 8mm Gaussian Kernel.

A whole brain approach was utilized as our goal was to compare the three clinical groups to determine where they had shared versus dissimilar brain morphology. While an a priori approach has many benefits, it was not used in this study for two reasons: 1) If the groups were contrasted on the ROIs implicated in both RD and ADHD, over ten regions would need to be compared based on the literature reviewed, which is extensive given our cell size; 2) There is a lack of research on the comorbid group, making a whole brain approach beneficial to guide future ROI research on this group. Hence, a one-way analysis of covariance was conducted with the four groups (ADHD, RD, RD/ADHD, and controls), regressing out effects of gender, age, handedness and total intracranial volume (TIV). TIV was the sum of white matter, gray matter, and cerebral spinal fluid segmented files provided from the segment pre-processing step in SPM. An implicit mask with an absolute threshold of 0.2 intensity value was used.

The first contrast utilized an FDR correction ($p < .05$) to minimize family-wise error and a cluster extent threshold of 20 voxels; it also was corrected for non-isotropic smoothness using the VBM8 toolbox (developed by Christian Gasser). This contrast subtracted the combined clinical group's (RD/ADHD, RD, and ADHD) gray matter maps from the control group's maps. The purpose of this overarching contrast was to identify the brain regions that are affected in our clinical groups in total, considering the potential shared etiologies of RD and ADHD, and to enhance power given the small sample size of the various clinical groups. Follow-up contrasts were used to determine which specific clinical group(s) was driving the clusters from the overarching contrast. Initially, these follow-up comparisons were performed using FDR, but

none yielded significant clusters with this technique, similar to many studies on both RD and ADHD using whole-brain VBM (e.g., Depue et al. 2010; Markis et al., 2015; Tamboer et al., 2014). Thus, following these studies' methods, the subsequent contrasts were performed uncorrected, but they were masked with the map from the overarching contrast to limit clusters to regions found to be significant with FDR correction previously. While this may remove unique clusters for the individual groups, the raw spmT maps are available for review on NeuroVault (<https://neurovault.org/collections/3593/>) All of the follow-up contrasts found in the maps were significant at $p < .001$. Brain regions were identified and visualized using the toolbox, xjview (<http://www.alivelearn.net/xjview>).

3.0 Results

The overarching analysis subtracted gray matter volume maps of the combined disorder group from the control group, as described above. The results from this contrast are presented in Table 2 and Figure 1. The contrast identified bilateral clusters in superior frontal gyri, middle frontal gyri, orbitofrontal gyri, ventral medial frontal gyri, insulae, striatum, and thalami. There were right hemisphere clusters in the inferior frontal gyrus (pars orbitalis), middle occipital lobe, posterior cingulate/ anterior lingual gyrus, superior temporal gyrus, and calcarine/cuneus. There were left hemisphere clusters in the middle temporal gyrus/superior temporal sulcus, inferior occipital lobe, precentral gyrus (BA 6), occipital lobe, and supramarginal gyrus. A second contrast subtracted the control group from the combined disorder group, which displayed no significant results and was not analyzed further.

[INSERT TABLE 2 HERE]

[INSERT FIGURE 1 HERE]

The first follow-up contrast subtracted the RD group's gray matter maps from the control group's maps. The regions that contributed to the overarching analysis include clusters in bilateral calcarine/lingual gyri, bilateral insulae, left caudate/subcallosal gyrus, left precentral (BA 6), right superior frontal gyrus, and right anterior caudate, along with some additional occipital clusters that were small. Cluster size and location are found in Table 3 and Figure 2, respectively.

The second follow-up contrast subtracted the ADHD group's gray matter maps from the control group's gray matter maps (Table 3 and Figure 2). The regions that contributed to the overarching analysis included clusters in bilateral anterior caudate and putamen, left middle temporal gyrus and STS, right middle occipital, right middle frontal, right pars orbitalis, right superior and middle frontal (orbital part), and left thalamus. Small clusters also were found in the right superior temporal and bilateral inferior parietal gyri.

The final contrast subtracted the comorbid RD/ADHD group's gray matter maps from the control group's maps (Table 3 and Figure 2). The regions contributing to the overarching analysis include bilateral thalami, right superior frontal, and left medial frontal gyri. Small clusters were found in the left middle frontal gyrus (orbital part) and right anterior caudate as well.

[INSERT TABLE 3 HERE]

[INSERT FIGURE 2 HERE]

Most of the regions found were consistent with prior literature. However, the large calcarine/lingual cluster in the RD group and the bilateral thalamic clusters in the RD/ADHD were not expected. See Figure 3 for a plot of the contrast score distribution for the bilateral

lingual cluster and Figure 4 for a plot of the contrast score distribution for the bilateral thalamus cluster. Thus, follow-up analyses were performed to help understand our findings. As portions of the lingual area may be involved with letter-level processing (Fisher, Cortes, Griego, & Tagamets, 2012; Mechelli, Humphreys, Mayall, Olson, & Price, 2000), performance on the Colorado Perceptual Speed test (DeFries, Plomin, Vandenberg, & Kuse, 1981) was analyzed in relation to the Lingual/Calcarine cluster from the control minus RD contrast. The Colorado Perceptual Speed Test measures quick and accurate letter/number selection from similar foils. The contrast score from the bilateral Lingual/Calcarine cluster was correlated with performance on the Colorado Perceptual Speed test, $r = -0.212$ ($p = .034$). The RD/ADHD group was the only clinical group with a bilateral thalamic cluster. The thalamus has been implicated in processing speed. Hence, we examined this cluster in relation to simple reaction time to determine whether volume of this cluster was related to basic response rate. Reaction time was measured with a lab-based computer program that calculated response time for pressing the space bar to an auditory tone within a 500-2500ms time frame. There was a significant correlation between the thalamic contrast score from the control minus RD/ADHD contrast and simple reaction time, $r = -0.27$ ($p = .005$).

[INSERT FIGURE 3 HERE]

[INSERT FIGURE 4 HERE]

4.0 Discussion

This study is among the first to assess the neurobiological correlates of comorbid RD/ADHD using structural imaging. Findings related to the first objective are partially consistent with two theories on the etiology of comorbid RD/ADHD—one suggesting that

comorbid RD/ADHD is a unique subtype, the other suggesting that comorbidity arises from a shared etiology between the separate disorders. In terms of the second objective, findings support some prior volume-based work on the unique neurobiological contributors to RD and to ADHD, along with providing potential shared neurobiological correlates for RD and ADHD.

4.1 Reading Disability

According to the dual-route model of reading, RD may arise from abnormal orthographic processing, which has been linked to the ventral circuit for reading (including the lateral extrastriate and left fusiform), and/or poor phonological processing, which has been linked to the dorsal circuit (including left temporal-inferior parietal regions; Pugh et al., 2000; Shaywitz et al., 2006). While areas consistent with the traditional dorsal route were not found, small lateral extrastriate clusters were found. Occipital regions have been found in other VBM studies on RD in middle childhood that used a basic reading definition (Eckert et al. 2005; Jednoróg et al., 2013). Further, the RD group had a significant reduction in volume compared to controls in the lingual gyrus, supporting an early ventral stream deficit. Consistent with this supposition, this cluster's size was correlated with rapid letter processing. Areas within the bilateral occipital striate (e.g., posterior lingual) may be part of the network that is analyzing letters (Richlan et al., 2013) and feeding the ventral and dorsal routes of reading. However, since this relationship is correlational, more careful experimental design is needed to determine whether the lingual differences lead to orthographic processing weaknesses or whether environmental factors cause the orthographic weakness and the corresponding lingual reductions.

In addition to the occipital findings, there was reduced volume in bilateral caudate, bilateral insulae, and left Brodmann area 6 in the children with RD, which have been found in the VBM literature (Hoeft et al., 2007; Eckert et al., 2003; Eckert et al. 2005; Tambore et al.,

2015), as well as in other structural MRI studies (Pennington et al., 1999; Brown et al., 2001). These areas are implicated in reading: caudate and insula are involved in reading low-frequency words (Fiebach et al., 2002); the precentral cortex is involved in various aspects of reading and phonological processing (Jednoróg et al., 2013; Brown et al., 2001); and the insula is involved in motor planning of speech (Pennington et al., 1999). In addition, there is some suggestion that individuals with speech sound disorder (SSD) show abnormal caudate and premotor areas related to poor oral praxis (Watkins et al., 2002; Pennington et al., 2010); therefore, it is possible that some speech-related deficit may be impacting the results for our sample, consistent with the motor theory of speech perception (Liberman, 1985). Taken together, these clusters and their purported functions coincide with the phonological route to reading, even though we did not find traditional dorsal circuit areas. Since our results suggest many, distributed brain regions are affected in RD, a detailed analysis that focuses on functional brain networks is warranted to help determine how the caudate, insula, and precentral cortex support the main brain networks for reading. The involvement of the right superior frontal gyrus in the RD group is worthy of additional exploration, suggesting potential prefrontal involvement in some individuals with RD, corresponding with what was found in the ADHD and RD/ADHD groups, as discussed subsequently.

Another point of interest from the RD results was that the left temporoparietal cortices and cerebellum were commensurate to controls. There is evidence that the temporoparietal cortex and cerebellum contribute to reading disability and the dorsal circuit of reading (e.g., Eckert et al., 2016; Shaywitz et al., 2006), but the following research suggests these brain regions maybe implicated only in select RD populations. Leonard and colleagues' (2006) work in a sample of children with basic reading problems but no comprehension deficits did not show

reduced gray matter volume surrounding the left posterior Sylvian fissure (including temporal-inferior parietal regions), but children with both word reading and comprehension deficits did show the classic left temporoparietal reduction that is commonly found in people with reading disability. Furthermore, there is research to suggest that the cerebellum is reduced only in a subset of children with RD (Kibby et al., 2008; Leonard et al., 2006), which may not be well represented in our sample. Our study used a basic reading problem definition of RD that did not require a reading comprehension deficit, and our results did not include brain regions that may be specific to reading comprehension deficits (Leonard et al., 2006). Instead, our results included regions commonly implicated in orthographic processing (Pugh et al., 2000; Shaywitz et al., 2006), speech and phonological processing (Jednoróg et al., 2013; Pennington et al., 2010; Watkins et al., 2002). Taken together, inter-subject heterogeneity of RD symptoms (see Tamboer's 2015 meta-analysis), differences in operational definitions of RD (Leonard et al. 2006), languages spoken by the various samples (ours was primarily a monolingual English sample), and ages included in the various samples (many structural studies include individuals older than our sample) could contribute to the variability seen in RD brain morphometry research.

4.2 ADHD

Some of our findings are consistent with the various frontal circuits that are theorized to cause ADHD deficits (Castellanos & Proal, 2012; McAlonan, Cavanaugh, & Wurtz, 2008). We found that children with ADHD displayed reduced gray matter compared to controls in bilateral striate regions (caudate and putamen), as well as right prefrontal regions (some overlapping with the DLPFC). Thus, our findings are commensurate with the frontal-striatal circuit theory of ADHD and prior VBM studies on ADHD (Castellanos et al., 1994; Castellanos and Proal, 2012;

Dang et al., 2014). These regions are consistent with the “cool” executive function deficits commonly found in this population, such as planning, working memory, cognitive inhibition, and problem solving (Castellanos et al., 1996; Castellanos and Proal, 2012; Dang et al., 2014; Monchi et al., 2007). Furthermore, the prefrontal clusters extended into right orbitofrontal regions, which is commensurate with previous research that implicates the orbitofrontal-limbic circuit in ADHD (Carmona et al., 2005; Makris et al., 2007; Seidman et al., 2011). The orbitofrontal–limbic circuit is implicated in “hot” EF including behavioral and emotional regulation (Zelazo & Cunningham, 2007) and in delay aversion (Hooper, Luciana, Conklin, & Yarger, 2004; Schulz et al., 2004), problems commonly found in ADHD (Bush, Valera, & Seidman, 2005). Thus, the ADHD group appears to be driving much of the prefrontal clusters found in the overarching analysis.

Furthermore, we found decreased gray matter volume in the left middle temporal gyrus, right superior temporal gyrus, and bilateral inferior parietal lobule in the ADHD group relative to the control group. These findings help support prior research on temporal-parietal dysfunction in ADHD. Carmona et al. (2005) found reduced gray matter in a whole-brain VBM analysis in the parietal and temporal lobes of children with ADHD, as have other studies (Castellanos et al., 2002; Kobel et al., 2010; Krain & Castellanos, 2006). The parietal and temporal lobes may play a role in attention aspects of ADHD (see the meta-analysis by Krall et al., 2015). Reduced temporal, inferior parietal and occipital gray matter may be due to delayed cortical growth in children with ADHD (Shaw et al., 2007). Children with ADHD peak in gray matter development in these regions around 10.6 years, which is later than when controls peak in gray matter development here, around 6.8 years of age. Therefore, the decreased gray matter found in children with ADHD may be from a slowed growth of the posterior cortex.

4.3 Comorbid ADHD and RD

The hypothesis regarding the gray matter morphology of the comorbid group was partially supported, as we found additive effects from both RD and ADHD. The RD/ADHD, ADHD, and RD groups all presented with a right anterior caudate cluster and reductions in the right superior frontal gyrus. Furthermore, reduced left thalamus volume was found in the ADHD group, suggesting reduced left thalamic volume may be a shared characteristic between RD/ADHD and ADHD. Nonetheless, reduced right thalamus and left medial frontal volume were unique to the comorbid group. Evidence suggests that processing speed may be a shared deficit between RD, ADHD, and RD/ADHD (Boada et al., 2012; McGrath et al., 2012), and slower processing speed has been linked to thalamic atrophy and lesions due to aging (Hong et al., 2015; Van Der Werf et al., 2001), multiple sclerosis (Batista et al., 2012) and infarcts (Van Der Werf et al., 2003). Moreover, children with attention problems (Ivanov et al., 2010) and adults with decreased learning ability (Mitchell, 2015) exhibit reduced gray matter volume in the thalamus. Given these findings, it is not unexpected for children experiencing deficits in both attention and reading (a learning problem) to have smaller thalami. The thalamic contrast score from the comorbid group contrast had a significant negative correlation with simple reaction time, supporting processing speed's relationship to the thalamus in our sample. Processing speed deficits in children with RD/ADHD could arise from under-arousal – leading to slower and variable reaction times (Van der Meere, Stemerding, & Gunning, 1995) – and/or potentially from working memory deficits (Jacobson et al., 2011). Future research is needed to determine whether thalamic reductions lead to processing speed weaknesses or whether environmental factors cause the processing speed weakness and corresponding thalamic reductions.

Our comorbid group did not show significant differences from our RD group in phonological or orthographic processing. Since the RD/ADHD group did not share the occipital, precentral, or insula clusters found in the RD group under current contrast constraints, perhaps the small sample size and heterogeneity of the RD/ADHD group “washed out” these effects. Sources of heterogeneity included using both the poor reader and discrepancy definitions of RD and including both ADHD-PI and ADHD-C in the sample. Therefore, future research should focus on a larger sample of individuals with comorbid RD/ADHD to address these issues.

When analyzing the theories on comorbid RD/ADHD, our results are partially consistent with two theories: 1) RD/ADHD represents a combination of shared etiologies from RD and ADHD (McGrath et al., 2012; Willcutt et al., 2001), and 2) RD/ADHD is a unique subtype separate from RD and ADHD (Rucklidge & Tannock, 2002). Regarding the first theory, we found all three clinical groups had smaller volumes in right anterior caudate and in right superior frontal regions compared to controls, and the RD/ADHD and ADHD groups showed reduced left thalamus volumes compared to controls. In terms of the unique subtype theory, the RD/ADHD group was the only group to have right thalamus and left medial frontal gyrus clusters being smaller than controls. As the contrast scores in the thalamus were significantly correlated with simple reaction time, our findings are consistent with the work of McGrath and colleagues and Rucklidge and Tannock (2002) who suggested RD/ADHD may have additional processing speed deficits compared to RD or ADHD. Hence, our findings represent a middle ground indicating comorbid RD/ADHD may have some shared contributors with RD and ADHD, along with unique neurobiological contributors. In contrast, our results do not tend to support Gilger and Kaplan’s (2001) theory that comorbidity is due to RD and ADHD being a manifestation of the

same neurodevelopmental process. Although all three clinical groups had right caudate and superior frontal clusters, RD and ADHD differed in more areas than they shared.

4.4 Contributors to RD and ADHD

Another purpose of our study was to determine potential shared neurobiological substrates to RD and ADHD given their high rate of comorbidity. Based upon our sample these include the right anterior caudate and right superior frontal gyrus, which were found in all three clinical groups. Hence, prefrontal-striatal circuit is worthy of further study as a potential source of shared etiology between RD and ADHD. Cognitive executive dysfunction and the dorsolateral prefrontal circuit have been well documented in ADHD (Castellanos et al., 1994; Castellanos and Proal, 2012; Dang et al., 2014; Monchi et al., 2006). Various studies also have demonstrated cognitive executive dysfunction in RD, especially in working memory (Baddeley & Hitch, 1994; Booth, Boyle, & Kelly, 2010; Swanson, 1999). Reduced volume or function of the frontal-striatal circuit is not as well documented in RD, however, despite evidence of executive dysfunction in this group. Thus, this is an area worthy of further research.

4.5 Limitations and Future Directions

A strength of our study is that it is the only one to date that examines neurobiological correlates of RD, ADHD, and their comorbidity in a single study using VBM. This is a contribution to the field given the difficulty comparing samples generated from different studies that vary in age, language spoken, ethnocultural factors, and operational definitions used. Perhaps the greatest limitation of this study was the sample size of the RD and RD/ADHD groups. The small samples in the comorbid and reading disordered groups provided lesser power in the analyses when compared to the ADHD group, perhaps masking true group differences

from controls, including overlapping areas between RD and RD/ADHD. Small sample sizes in the three groups also may have contributed to why we had null findings when using FDR correction for the separate groups. Nonetheless, our sample sizes are equivalent to many in the published literature, and even large samples can yield insignificance after family-wise error corrections, perhaps due to heterogeneity within the disorders. An additional limitation is that ours is a correlational study. While it is often presumed that brain differences lead to behavioral ones and there is research to support this supposition (Nopoulos et al., 2000), it is also true that experience shapes brain formation, especially in childhood (B. A. Shaywitz et al., 2004). Hence, longitudinal research is needed to determine whether the brain differences are the causes of the disorders or the consequences of faulty environments and behavioral interactions with the environment. Another limitation of the study is that it did not test how most of the reductions in gray matter are related to behavior. Therefore, future studies could use the ROIs found in the present analysis to correlate gray matter volume with behaviors like processing speed, sustained and focused attention, hyperactivity/impulsivity, executive functioning, orthographic and phonological processing, and reading abilities in children. Specifically, a study measuring correlations between various aspects of processing speed and thalamic volume may provide further support for work conducted by McGrath and colleagues (2012) and Willcutt and colleagues (2010), as well as the present findings.

This study briefly discussed the heterogeneous nature of symptoms and etiologies associated with reading disability and ADHD. One contributor to the heterogeneity found in RD is differences in the operational definitions used to define the sample. The field would benefit from assessing gray matter volume using the different definitions of reading disability (RD) that are commonly found in the literature (e.g. discrepancy, poor reader). This is because RD due to a

discrepancy may have stronger genetic contributions than RD without such a discrepancy between IQ and achievement (poor reader); further, individuals meeting the poor reader definition may have greater language impairment in areas other than phonological processing than those who meet the discrepancy definition (see Bishop & Snowling, 2004 for a review). Therefore, the findings from such studies would contribute significantly to the field of research on RD definitions and subtypes. For our study, we used a combined definition of basic reading disability that collapsed across the poor reader and discrepancy definitions. Future work should tease apart the role definition plays in the brain areas found. In addition, future studies should address the shortcomings discussed by Xia et al (2017) and Ramus et al. (2017), such as increasing sample size and including comorbid neurodevelopmental disorders (both partially addressed in this paper) as well as the impact of language impairment or RD subtypes.

Future work also is needed on how ADHD subtypes affect morphometry results. Variability in subtypes used across studies may contribute to the disparity in findings on the neurobiological basis of the disorder. In addition, differences in proportion of subtypes between ADHD and RD/ADHD in our study may have contributed to the limited number of shared areas found, but this is unlikely as groups did not differ in proportions of ADHD-PI and ADHD-C. Another source of variability in all three conditions is that RD and ADHD are polygenetic/multi-factorial. That is, both disorders could result from differing sources of etiology as noted earlier. For example, RD may result from phonological and/or orthographic processing deficits. ADHD may result from executive functioning deficits, but some with ADHD do not have executive impairment and instead have problems in other areas such as temporal-parietal or processing speed dysfunction. Both of these issues would affect the RD/ADHD group. To address this issue, global meta-analyses should be performed in order to attain the large sample sizes needed.

In terms of the RD/ADHD group, while it is believed that this group was reliably diagnosed, it is possible that some children with RD appeared inattentive due to their academic problems and/or some with ADHD appeared to have reading problems due to their inattention, leading them to be placed in the RD/ADHD group, consistent with Pennington's (1993) phenocopy hypothesis of RD/ADHD. While this is unlikely (see methods) it is possible. Furthermore, more work is needed to understand if the thalamic reduction seen in the RD/ADHD group is a unique pattern or is primarily driven by ADHD symptoms, and if processing speed is the primary behavior deficit linked with thalamic reduction. Finally, it would be interesting to assess thalamic volume in a group of participants with multiple neurodevelopmental disorders, as individuals with varied comorbid neurodevelopmental disorders are commonly seen in psychological and educational settings, and they often have processing speed problems. Studying the thalamus and processing speed in a more diverse group of subjects will help us continue to address the question of whether processing speed deficits are related to thalamus volume, and whether smaller right thalamus size is specifically related to RD/ADHD or is found more broadly across other neurodevelopmental disorders.

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Table 1

Participant Demographic Data

	Groups	Total N	Number of Females	
Sample	Controls	32	14	
	RD	17	11	
	ADHD	41	23	
	RD/ADHD	16	6	
	Total	106	54	
	Groups	Mean	Standard Deviation	Confidence intervals [95%]
Age	Controls	9.66	1.38	9.16 - 10.15
	RD	9.24	1.35	8.54 - 9.93
	ADHD	9.61	1.39	9.17 - 10.05
	RD/ADHD	9.13	1.54	8.50-9.95
	Total	9.49	1.4	9.22 - 9.76
Handedness ^{b*}	Controls	92.19	7.06	89.64 - 94.73
	RD	80.59	26.09	67.17 - 94.00
	ADHD	77.32	29.84	67.90 - 86.73
	RD/ADHD	95.93	2.29	92.27 - 98.98
	Total	85.09	22.86	80.69 - 89.50
Maternal SES ^a	Controls	37.17	17.7	30.44 - 43.91
	RD	38.31	16.6	29.46 - 47.16
	ADHD	37.11	15.08	32.01 - 42.21
	RD/ADHD	35.64	19.48	24.39 - 46.89
	Total	37.12	16.58	33.74 - 40.49

Notes: ^aMaternal SES was measured using the Hollingshead Four-Factor Index of Socioeconomic Status (1975); ^bHandedness was measured using the Edinburgh Handedness Inventory (1971). * p-value less than 0.05.

Table 2

Significant Clusters at Peak-Level from Omnibus Contrast Using FDR (Control>Disorder)

Peak MNI Coordinate	Hemisphere	Peak Voxel Location	Number of Voxels	t-value
-45, -55.5, 9	Left	Posterior Middle Temporal Gyrus and STS	122	6.64
7.5, 19.5, 3	Right	Anterior Caudate and Putamen	746	5.72
-12, 19.5, -6	Left	Anterior Caudate and Putamen	752	5.45
28.5, 31.5, 54	Right	Superior Frontal Gyrus*	1811	5.26
42, -1.5, -6	Right	Insula	413	5.24
-7.5, -16.5, 6	Bilateral	Thalamus	804	4.84
13.5, 51, -1.5	Right	Medial Orbital Frontal	133	4.53
-36, 58.5, 13.5	Left	Middle Frontal Gyrus	468	4.4
-39, -90, -10.5	Left	Inferior Occipital Gyrus	52	4.4
-42, 1.5, 12	Left	Insula	188	4.24
-4.5, 64.5, -22.5	Left	Medial Superior Frontal Gyrus	40	4.22
-55.5, -3, 24	Left	Precentral Gyrus (Brodmann 6)	94	4.13
-24, -78, 25.5	Left	Occipital Lobe	43	4.1
42, -79.5, 3	Right	Middle Occipital Gyrus	37	3.91
-22.5, 25.5, 60	Left	Superior Frontal Gyrus	25	3.81
4.5, -58.5, 10.5	Right	Posterior Cingulate and Anterior Lingual	69	3.66
13.5, -63, 19.5	Right	Calcarine and Cuneus	41	3.65
66, -21, 3	Right	Superior Temporal Gyrus	24	3.61
-51, -43.5, 24	Left	Supramarginal Gyrus	27	3.56

*cluster contains the following brain regions: bilateral middle, orbitofrontal and ventral medial frontal gyri, right superior frontal gyrus, and right inferior frontal gyrus (pars orbitalis). AAL atlas and Damasio (1995) were used to help identify regions.

Table 3

Peak Brain Regions found in Follow-up Analyses

Contrasts	Peak MNI Coordinate	Hemisphere	Peak Voxel Location	Number of Voxels	T-value
Control- RD	-9, 16.5, -12	Left	Subcallosal Gyrus/Caudate	91	3.86
	6, -58.5, 9	Bilateral	Calcarine/Lingual	89	3.85
	42, 6, -4.5	Right	Insula	133	3.67
	-58.5, 1.5, 33	Left	Precentral (Brodmann 6)	25	3.61
	19.5, 54, 39	Right	Superior Frontal Gyrus	20	3.49
	39, -81, 1.5	Right	Middle Occipital Gyrus	14	3.56
	6, 18, 3	Right	Caudate	68	3.48
	13.5, 48, -4.5	Right	Medial Orbital	11	3.4
	-42, 3, 9	Left	Insula	18	3.37
	-24, -79.5, 27	Left	Superior Occipital Lobe	14	3.37
	16, 69, 12	Right	Superior Medial Frontal	5	3.37
	10.5, -61.5, 16.5	Right	Calcarine	7	3.26
Control- ADHD	-45, -55.5, 9	Left	Middle Temporal	121	5.89
	-12, 18, -10.5	Left	Caudate and Putamen	379	4.6
	7.5, 19.5, 3	Right	Caudate and Putamen	462	4.48
	40.5, -79.5, 1.5	Right	Middle Occipital Gyrus	31	4.19
	37.5, 31.5, 49.5	Right	Middle Frontal Gyrus	73	4.12
	-9, 24, 49.5	Left	SMA/Superior Frontal	11	4.12
	48, 49.5, -4.5	Right	Inferior Frontal, pars orbitalis	305	3.93
	-9, -18, 6	Left	Thalamus	47	3.86
	64.5, -21, 3	Right	Superior Temporal	18	3.75
	25.5, 64.5, -12	Right	Superior and Middle Frontal Gyrus (orbital part)	106	3.72
	13.5, 52.5, 3	Right	Superior Medial Frontal	26	3.72
	46.5, -54, 33	Right	Angular Gyurs	8	3.67
	-52.5, -43.5, 22.5	Left	Inferior Parietal (Supramarginal)	11	3.61
	12, 61.5, -22.5	Right	Superior Frontal Gyrus	6	3.46
	-42, 3, 12	Left	Insula	8	3.37
Control- ADHD/ RD	0, -6, 10.5	Right and Left	Thalamus	479	3.65
	16.5, 51, 43.5	Right	Superior Frontal Gyrus	42	3.57
	-6.66, -15	Left	Medial Frontal Gyrus	33	3.51
	-33, 61.5, -9	Left	Middle Frontal Gyrus (orbital part)	11	3.33
	15, 21, -4.5	Right	Caudate	12	3.3

Note. These contrasts have been masked with the omnibus contrast