

3-14-2013

The effects of geographical distribution on the reliability of wind energy

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Recommended Citation

Fisher, Samuel M., Schoof, Justin T., Lant, Christopher and Therrell, Matthew. "The effects of geographical distribution on the reliability of wind energy." *Applied Geography* 40 (Mar 2013): 83-89. doi:doi:10.1016/j.apgeog.2013.01.010.

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1 This is the peer reviewed version of the following article:

2

3 Fisher SM, Schoof JT, Lant CL, Therrell MD (2013) The effects of geographical distribution on
4 the reliability of wind energy. *Applied Geography* 40: 83-89.

5

6 which has been published in final form at:

7 <http://www.sciencedirect.com/science/article/pii/S0143622813000374>

8

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12 Final version accepted for publication in *Applied Geography* on January 29, 2013

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27 **Abstract**

28 We examine the effects of geographic distribution of wind power plants (WPPs) on the
29 reliability of electrical output within the Midwestern United States. North American Regional
30 Reanalysis (NARR) data are extrapolated to 80 m using the power law and used to characterize
31 the wind resource at 108 NARR grid points corresponding to existing WPPs. These sites are
32 then organized, on the basis of nearest neighbors, into networks ranging from single WPPs to the
33 full network of 108 WPPs. For each network, a suite of statistics is computed and used to
34 characterize energy reliability as it relates to the number of WPPs within, and the area enclosed
35 by, the network. The results demonstrate that WPP dispersion reduces variability and thereby
36 improves the reliability of electrical output from WPPs. As scale increases, marginal
37 improvements in reliability diminish, but there is no saturation of benefits on the scales
38 considered here. The results are combined with wind resource information to identify sites that
39 can further improve reliability for aggregated wind power in the study region.

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41 Keywords: wind, power, energy, reliability, geographic, distribution

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50 **1. Introduction**

51 Global wind energy resources far surpass current energy demand (Kempton et al. 2010).
52 Wind power is the fastest growing energy source in the world with an annual growth rate of
53 approximately 35% (Sathyajith and Philip 2011). However, the variability of wind, and the
54 resulting intermittency of the wind power resource, is frequently cited as an obstacle to provision
55 of baseload power by wind and its further penetration into the electricity market (DeCarolis and
56 Keith 2005; Sovacool 2008). As an alternative to siting wind power plants (WPPs) only in
57 regions with low wind variability, interconnection of WPPs through the transmission grid shows
58 great promise for improving the reliability of electricity generated from wind (Khan 1979; Carlin
59 and Haslett 1982; Simonsen and Stevens 2004; Archer and Jacobson 2007; Kempton et al. 2010).
60 At a single site, or over the area occupied by a typical commercial WPP, wind speeds are highly
61 variable. However, autocorrelation of wind speed decreases with distance (Robeson and Shein
62 1997), so that as area increases, average wind speed is less variable. Over a sufficiently large
63 area, meteorological and topographic conditions vary enough to produce a balance between areas
64 with high and low wind speeds, and more importantly, a reduction in the frequency of calm
65 conditions throughout the network.

66 Kahn (1979) was the first to suggest that geographically dispersed WPPs could improve the
67 reliability of wind power. He analyzed networks of two to 13 WPPs and found that instances of
68 zero power decreased as sites were added to the network. Archer and Jacobson (2003) analyzed
69 surface measurements at 1327 weather stations and sounding measurements from 87 stations
70 from the National Climatic Data Center and found that the standard deviation of wind speed was
71 consistently greater at individual locations than when averaged over multiple locations. They
72 also found that, in an eight-site, 385,000 km² area stretching across parts of New Mexico,

73 Oklahoma, and Texas, average wind speed at 80 m never fell below 3 m s^{-1} , which is significant
74 because 3 m s^{-1} is a common cut-in speed for wind turbines (GE Energy 2010). Simonsen and
75 Stevens (2004) analyzed one year of wind speed data at 28 sites across Iowa, North Dakota,
76 Kansas, and Minnesota, and found that connecting the sites reduced the variability of power
77 output by a factor of 1.75 to 3.4. Archer and Jacobson (2007) analyzed wind speed data at 19
78 sites spanning across parts of Kansas, New Mexico, Oklahoma, and Texas to determine if wind
79 could be used as baseload power. They found that, on average, 33% of yearly averaged wind
80 power could be used as baseload and that the standard deviation of wind power produced
81 decreased by 35% from one site to 19 aggregated sites. Kempton et al. (2010) examined the
82 power output of a hypothetical network of 11 offshore WPPs along the Eastern Seaboard. They
83 found that compared to individual sites, hourly fluctuations of capacity factor of the entire
84 network were dramatically reduced.

85 While the studies cited above have analyzed aggregated wind power over large geographic
86 areas, the effects of aggregated wind power within an area corresponding to an Independent
87 System Operator (ISO; the organization that manages the operation of the electrical power
88 system within a region) have not been considered. Furthermore, existing studies have focused on
89 either the number of aggregated WPPs or the area enclosed by a network of WPPs, but not both,
90 resulting in confusion regarding the source of improvement in reliability. This study addresses
91 these issues by examining the effects of aggregating the energy production of existing WPPs
92 within the area corresponding roughly to the United States component of the Midwest ISO and
93 evaluating the role of the number of WPPs relative to the geographic area covered by the WPPs.
94 We also use our findings in conjunction with wind resource data to identify new areas for wind
95 power development aimed at improving reliability.

96 **2. Study area, data, and methods**

97 The study area includes Illinois, Indiana, Iowa, Michigan, Minnesota, Nebraska, North
98 Dakota, Ohio, South Dakota, and Wisconsin (Figure 1). The outline of the Midwest ISO is
99 irregular and includes spatial discontinuities. Therefore, although sections of Illinois, Indiana,
100 Iowa, Michigan, Nebraska and Ohio are not part of the Midwest ISO, they were included to
101 simplify the organizational aspect of the study. Existing WPPs within in the study area with a
102 nameplate capacity of at least 10 MW (n=116) were catalogued and are also shown in Figure 1.

103 Wind speed data from the North American Regional Reanalysis (NARR) (Mesinger et al.
104 2006) for the months of January and July 1979 - 2010 were used to assess the wind resource.
105 January and July were chosen because they effectively represent the winter and summer wind
106 regimes in the Midwest, and because they are at the extremes of electricity consumption due to
107 heating (January) and cooling (July) (Energy Information Administration 2011). NARR consists
108 of three-hourly meteorological data on a 32 × 32 km grid at the surface (10 m for winds) and 29
109 pressure levels from 1000 to 100 mb, covering the North American sector. It is the highest
110 resolution reanalysis data set with complete coverage of the study region. Because the proximity
111 of several WPP pairs was beyond the spatial resolution of the NARR, the 116 catalogued WPPs
112 correspond to 108 unique NARR grid points. In the context of this research, the term ‘WPP’ will
113 be used to refer to any NARR grid point that corresponds to an actual wind power plant.

114 NARR wind speeds were extrapolated to 80 m using the power law:

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$$116 \quad v_2 = v_1 \left(\frac{z_2}{z_1} \right)^\alpha \quad (1)$$

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118 where v_1 and v_2 are wind speeds (m s^{-1}) at heights z_1 and z_2 (m), and α is the roughness
 119 exponent (Arya 1988). Rather than extrapolate from 10 m, extrapolation distance was reduced
 120 by locating the pressure level nearest to, but below 80 m, and extrapolating from that height.
 121 Only rarely was the distance greater than 70 m. The roughness exponent (α) was calculated at
 122 each point for every time step:

123

$$124 \quad \alpha = \frac{\ln(v_{b80}/v_{a80})}{\ln(z_{b80}/z_{a80})} \quad (2)$$

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126 where v_{b80} is the wind speed at the pressure level nearest to, but below 80 m, v_{a80} is the wind
 127 speed at the pressure level nearest to, but above 80 m, and z_{b80} and z_{a80} are the heights of those
 128 respective pressure levels (Oke 1987) (Figure 2).

129 The 80 m wind speeds derived from the NARR data were used to calculate the wind power at
 130 each three-hourly time step, assuming a single turbine at each WPP-associated NARR grid point.
 131 We also assume use of the GE 1.5 MW turbine, which was used in the study by Archer and
 132 Jacobson (2007). The GE 1.5 MW turbine has a cut-in speed of 3 m s^{-1} and a cutout speed of 25
 133 m s^{-1} . It achieves its rated power output at 12 m s^{-1} . Between 3 m s^{-1} and 12 m s^{-1} the power
 134 output is described by two third-order polynomials:

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$$136 \quad P = \begin{cases} v^3 + 8v^2 - 53v + 60 & \text{if } v \geq 3 \text{ and } v < 8 \\ -11.25v^3 + 307.5v^2 - 2520v + 6900 & \text{if } v \geq 8 \text{ and } v < 12 \end{cases} \quad (3)$$

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138 where P is power output in (kW) and v is wind speed in m s^{-1} (Figure 2).

139 To evaluate the effect of wind variability on power generated at various scales of
140 aggregation, the WPPs were organized into networks by combining nearest neighbors. For
141 example, if we consider the smallest networks formed by combining two WPPs, there are 85
142 unique networks. However, there are only 29 unique networks of 100 WPPs. Including the
143 individual sites, there were 7704 unique networks. The area of each network was computed as
144 the area of the convex hull defined by the points, accounting for the spherical shape of the
145 underlying surface.

146 For each network, wind resource and the wind resource reliability statistics were computed.
147 These included the mean and standard deviation of the wind speeds averaged over the network,
148 the mean, standard deviation, and the capacity factor (the actual power output divided by the
149 rated power output), the distribution of capacity factor fluctuations, and the firm capacity (the
150 amount of power guaranteed to be available, also termed capacity credit) 70%, 80%, and 90% of
151 the time. For example, if a 5000 MW WPP network has a firm capacity of 0.1 at 80%
152 probability, then it can be relied upon for up to 500 MW 80% of the time. These three
153 probabilities were chosen to compare varying degrees of WPP network dependability; they fall
154 within the range of reliability of coal, gas, and nuclear power plants taking into consideration
155 downtime for maintenance (North American Electric Reliability Corporation 2012).

156 **3. Results**

157 The variability of network-averaged wind speed is inversely related to both the number of
158 WPPs in the network and the network area in both January and July (Figure 3). Greater
159 variability during the winter is associated with generally higher winter wind speeds and
160 enhanced synoptic activity as described by Klink (1999) and Coleman and Klink (2009). For the
161 108 locations considered here, the January mean 80 m wind speed is 6.4 m s^{-1} compared to 4.8 m

162 s^{-1} during July. We therefore use the coefficient of variation ($CV = \text{standard deviation} / \text{mean}$) to
163 describe wind variability. To quantify the strength of the relationships between wind speed and
164 network size and area, we used the nonparametric Spearman rank correlation, which is defined
165 as the Pearson correlation between the ranked variables (Wilks 2011). Using the ranks rather
166 than the raw data provides an extension of correlation analysis to cases where the relationship is
167 nonlinear. The Spearman rank correlation coefficients (all significant with $p < 0.001$ and shown
168 in Figure 3) suggest that the relationship between network size and variability of network-
169 averaged wind speed are stronger in January than July and stronger as a function of network area
170 relative to the number of WPPs in the network. While most of the reduction of wind speed
171 variance is due to connection of WPPs over relatively small distances (e.g., between individual
172 WPPs and networks with areas of $200,000 \text{ km}^2$ as shown in Figure 3b and Figure 3d) there is no
173 saturation of benefits present at the scales considered in this paper. In other words, increasing
174 the area beyond the bounds presented here would likely result in some additional reduction in
175 variability, albeit small. The variability of wind speeds is lower in the complete 108-WPP
176 network than in any sub-network (Figure 3).

177 For assessment of wind power reliability via aggregation, it is necessary to consider industry-
178 relevant statistics, such as those described in Section 2. Generation duration curves provide a
179 graphical summary of the effects of aggregation on wind power (Figure 4). The frequency on
180 the x-axis represents the percentage of time that the capacity factor is greater than or equal to the
181 corresponding capacity factor on the y-axis. Note that in both January and July, larger networks
182 have very high capacity factors less frequently, but also are able to provide power more reliably
183 as evidenced by fewer instances with low or zero capacity factors. The generation duration
184 curves have a gentler slope during January as a result of the higher average wind speeds during

185 winter as previously described. Firm capacity improves as the network size increases (Figure
186 4). For small networks, the 70%, 80%, and 90% firm capacities are near zero; the networks
187 cannot be relied upon for power at these time percentages. The average 70%, 80%, and 90%
188 firm capacities increase with network size reaching maximum values for the 108-WPP network
189 of 15%, 11%, and 7% for January and 6%, 4%, and 3% for July.

190 The capacity factor exhibits similar network behavior as the underlying wind speeds (Figure
191 5), with slightly stronger relationships between network size and variability, especially during
192 January. In January, the CV for individual WPPs ranges from 0.94 to 1.39 while the value for
193 the 108-WPP network is 0.70. In July, the numbers are slightly higher, ranging from 1.2 to 2.0
194 for individual WPPs and decreasing to 0.88 for the 108-WPP network (Figure 5). Like the wind
195 speeds (Figure 3), the rate at which capacity factor variations decrease diminishes with scale.

196 The advantage of aggregation is also manifest as fewer instances of zero power output. At
197 the site of a single WPP, there is an average of 11.9% and 24.4% of three-hour periods during
198 January and July, respectively, when no power is produced. For networks with ten WPPs, these
199 averages are reduced to 2.6% and 7.1%. For the larger networks, periods when no power is
200 produced account for less than 1% of the observations. For the 108-WPP network, periods with
201 no power disappear altogether.

202 Lastly, short-term reliability of wind power was improved by aggregation (Figure 6). As the
203 scale of aggregation increases, the magnitude of short-term fluctuations in capacity factor
204 decreases, and the frequency of periods of steady power output increases. For a single WPP
205 (Figure 5a), three-hourly fluctuations in power output greater than 40% of capacity factor are
206 rare, but do occur, while the network containing all 108 WPPs never experienced a fluctuation
207 larger than 40%.

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4. Siting new WPPs to maximize the benefits of aggregation

For the benefits of aggregation to be realized, wind power developers must consider the locations of existing WPPs in their development plans. Within a region (e.g. the Midwest ISO), an ideal location for a WPP might be identified as a site with a good wind resource that is distant enough from other WPPs to improve network reliability. The former can be assessed by simply computing the annual average wind speed. The National Renewable Energy Laboratory (NREL 2012) considers 6.9 m s^{-1} (Class 3) to be the minimum annual mean wind speed for a site to be economically feasible for wind energy development. However, recent studies (e.g., Pryor et al. 2012) have reported a potential underestimation of near-surface winds in the NARR data set. We therefore considered the resource to be “poor” if the annual mean wind speed was less than 4.9 m s^{-1} , “fair” if the annual mean wind speed was between 4.9 m s^{-1} and 5.9 m s^{-1} and “good” if the mean annual wind speed exceeded 5.9 m s^{-1} . To categorize the saturation of WPPs in the study area, it was necessary to determine a threshold network area beyond which marginal benefits of network expansion are less pronounced, and then determine a standard distance to measure WPP saturation. Figure 5 suggests that, beyond an area of approximately $200,000 \text{ km}^2$, reduction of the standard deviation of capacity factor is marginal. The mean distance separating WPPs within networks of this size is around 200 km, which was subsequently used as the standard distance for improving WPP reliability within the study area. To reduce saturation to a categorical variable, we classified areas as having high saturation if they were within the standard distance (200 km) of at least six WPPs, low saturation if they were within the standard distance of one to five WPPs, and no saturation if they were within the standard distance of zero WPPs.

231 The wind resource and saturation information were combined to produce a map of ideal
232 locations for wind power development to improve reliability assuming aggregation within the
233 study area (Figure 6). The map shows that there are vast areas of unexploited wind power
234 potential in the study region, particularly in the Great Plains and over the Great Lakes. We must
235 note, however, that areas with low saturation would likely require greater investments in
236 transmission line expansion than areas with high saturation. These two factors thus present a
237 trade-off in locating new WPPs, with saturation becoming more important as the proportion wind
238 energy on the grid increases.

239 At present, the likelihood of the implementation of large-scale WPP aggregation within the
240 study region, particularly for large WPP networks, is limited due to the cost of new
241 infrastructure. However, projects designed to improve the power infrastructure and power
242 transfer capabilities in other regions are already underway. For example, the Tres Amigas
243 Electricity Superstation will connect the United States' three isolated power grids: the Eastern,
244 Texas, and Western Interconnections. It will particularly aid in the distribution of renewable
245 energy that is typically generated in rural areas remote from urban load centers (Tres Amigas
246 LLC 2010). As part of the American Recovery and Reinvestment Act of 2009, the federal
247 government allocated \$4.5 billion for electric grid modernization, which was matched with \$5.5
248 billion from the private sector (White House Press Secretary 2011). Much of that money is
249 being used by ISOs to lay thousands of kms of new transmission lines, and to add sophisticated
250 devices to existing lines that give grid operators more control over the system (Weeks 2010). As
251 the existing power grid is updated and electricity can be more readily shared and transmitted
252 over larger regions, the prospect of large aggregated WPP networks improves. As the U.S. grid
253 is improved, it is foreseeable that in coming decades WPP networks will span beyond the

254 boundaries of any single ISO. If balancing authorities were enlarged and/or merged, this would,
255 in essence, interconnect WPPs so that within the system they will behave as if directly linked.
256 Larger balancing authorities would also provide a greater mix of other energy sources to improve
257 overall system reliability (Dragoon 2010). The results of this study imply that improvements to
258 wind power reliability would continue to accrue if the analysis was extended beyond its current
259 domain (e.g. the Eastern Interconnection) because there was no saturation in benefits identified
260 (see Figures 3 and 5). Further research is required to determine how low the standard deviation
261 of capacity factor must become to achieve various levels of wind penetration (20%, 35%, 50%,
262 etc.). This also depends on the mix of other sources, with peaking power sources such as natural
263 gas and hydropower having greater ability to counterbalance variations in wind power output
264 than nuclear or coal, which are more often used as baseload.

265

266 **5. Summary**

267 The main objective of this study was to model the effect of aggregating WPPs on the
268 reliability of generated power within a large region of the Midwestern United States
269 corresponding roughly to the United States portion of the Midwest ISO. The data used for the
270 study were wind speed data from the North American Regional Reanalysis (NARR) for 1979-
271 2010 extrapolated to 80 m using the power law to match the hub height of the GE 1.5 MW
272 turbine. Existing WPP locations within the region (n=116) were associated with their nearest
273 NARR grid point (n=108) (see Figure 1) and then the NARR-derived 80 m wind speed data were
274 aggregated into nearest neighbor networks ranging from pairs to a single network containing all
275 108 WPPs. January and July wind power statistics were calculated from NARR wind speeds and
276 the power curve for the GE 1.5 MW turbine. It was found that, as scale increases, the variability

277 in wind power output diminishes rapidly and continues to diminish at all scales up to and
278 including the largest networks considered here. Wind variability, and therefore the variability of
279 aggregated wind power, is more strongly related to the geographic area of the network than the
280 number of WPPs in the network. The analysis provides support for the findings of previous
281 studies (e.g., Robeson and Shein 1997; Simonsen and Stevens 2004; Archer and Jacobson 2007;
282 Cassola et al. 2008; Milligan et al. 2009; Kempton et al. 2010) and contributes to a growing body
283 of literature on the benefits of wind power aggregation. We additionally identified locations for
284 new WPP development, with the goal of reducing the variability of extracted wind power. These
285 locations, which have an adequate wind resource but are sufficiently distant from existing WPPs
286 to reduce wind power variability across the network of aggregated WPPs, were located primarily
287 across the Northern Great Lakes region and along the western edge of the study area (parts of
288 Nebraska, South Dakota, and North Dakota).

289 It should be noted that a number of factors influence WPP siting, ranging from site access
290 and the availability of transmission lines with spare capacity to local, state, and federal
291 regulations and policies (Bohn and Lant 2008; Mann et al.). This study has demonstrated that
292 large improvements to wind power reliability are possible through aggregation and has identified
293 locations within the Midwestern USA that could provide further reliability improvements. The
294 potential benefits of aggregation should be considered along with other factors that govern WPP
295 siting decisions. Further research is needed to determine how much reliability improves at larger
296 scales of electrical interconnectivity, such as the Eastern Interconnection or the entire North
297 American system through Tres Amigas.

298 **6. Acknowledgements**

299 Financial support for this work was partially supplied by the National Science Foundation (grant

300 # 1019620). Any opinions, findings, and conclusions or recommendations expressed in this
301 material are those of the author(s) and do not necessarily reflect the views of the National
302 Science Foundation.

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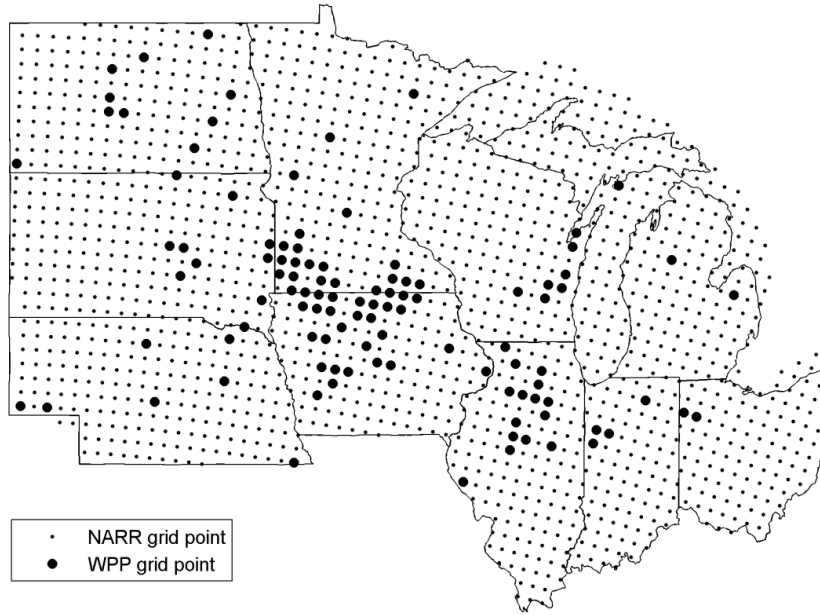
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386 **Figure 1.** Map of the study area showing the locations of NARR grid points (small dots) and
387 NARR grid points co-located with existing WPPs as larger black dots.

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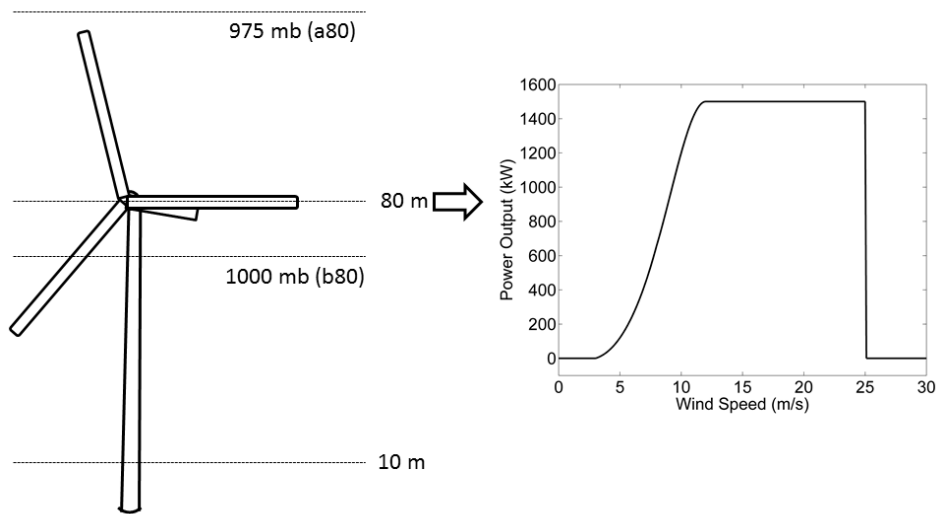
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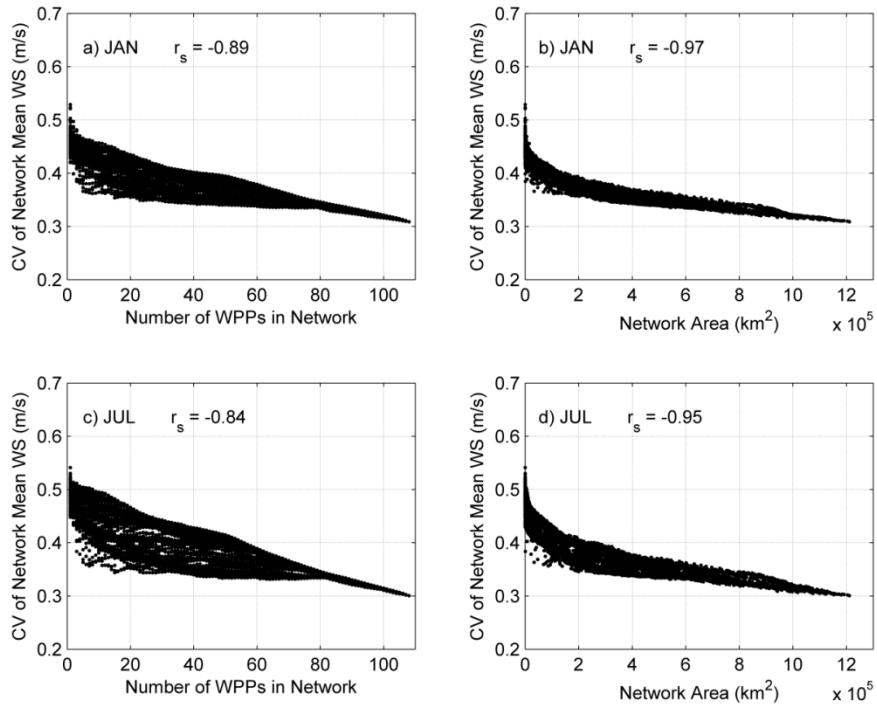
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 402 **Figure 2.** Schematic diagram showing the derivation of 80 m wind power from the nearest
 403 vertical layers in the NARR data. In this case, the NARR layer below and closest to 80 m (b80)
 404 is 1000 mb and the NARR layer above and closest to 80 m (a80) is 975 mb. At other times, 80
 405 m lies between the 10 m level and the 1000 mb level. These levels are used in Equations 1 and 2
 406 to derive the 80 m wind speed. Wind speed at 80 m is then used with the power curve (Equation
 407 3) for the GE 1.5 MW turbine (right) to derive 80 meter wind power.
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410 **Figure 3.** The coefficient of variation (CV) of network-averaged wind speed for January (a and
 411 b; top) and July (c and d; bottom). The CV is presented as a function of the number of WPPs in
 412 the network (a and c; left) and the network area (b and d; right). Also shown are the Spearman
 413 rank correlation coefficients (r_s), which are significant with $\alpha=0.01$.

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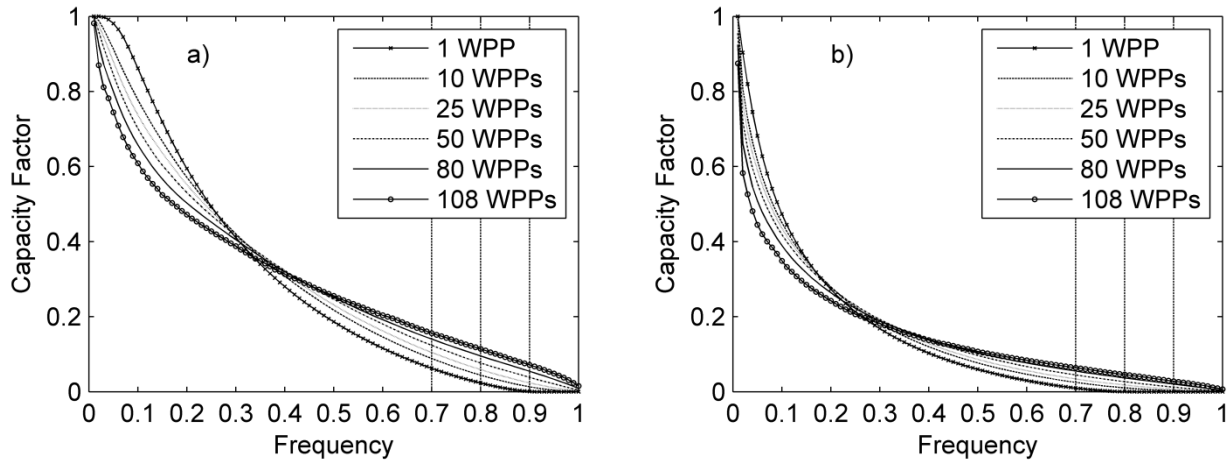
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423 **Figure 4.** Generation duration curves for WPP networks during a) January and b) July. Points
 424 on the x-axis represent the percentage of hours in a year that capacity factor is greater than or
 425 equal to the value at the corresponding point on the y-axis. Areas between curves represent the
 426 difference in power production characteristics among different-sized networks. The firm
 427 capacities at 70%, 80%, and 90% can be determined by following the vertical lines at 0.7, 0.8,
 428 and 0.9, respectively, to the y-axis.

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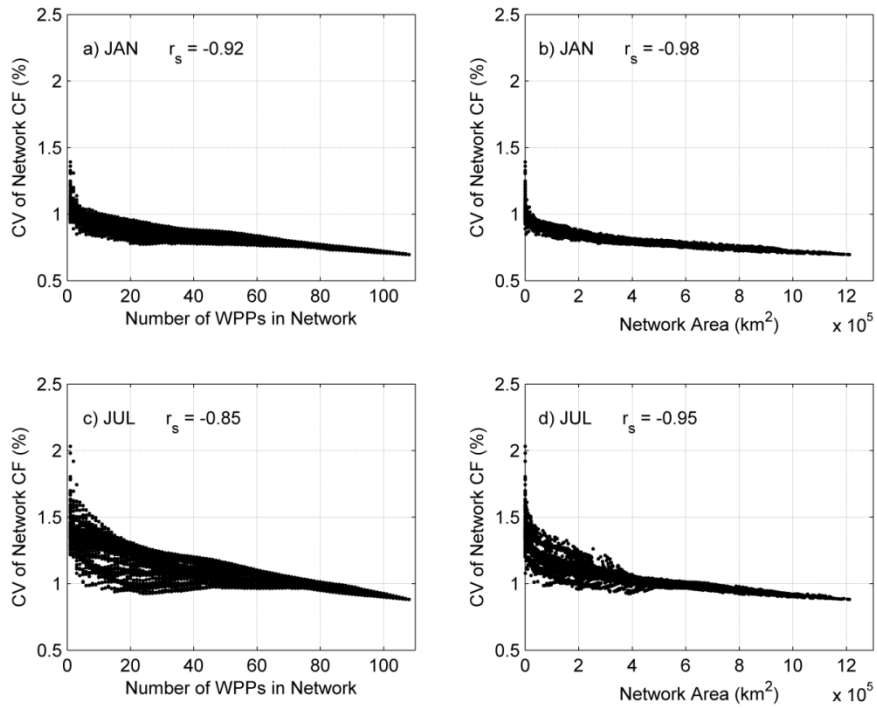
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440 **Figure 5.** The coefficient of variation (CV) of network capacity factor (CF) for January (a and
 441 b; top) and July (c and d; bottom). The CV is presented as a function of the number of WPPs in
 442 the network (a and c; left) and the network area (b and d; right). Also shown are the Spearman
 443 rank correlation coefficients (r_s), which are significant with $\alpha=0.01$.

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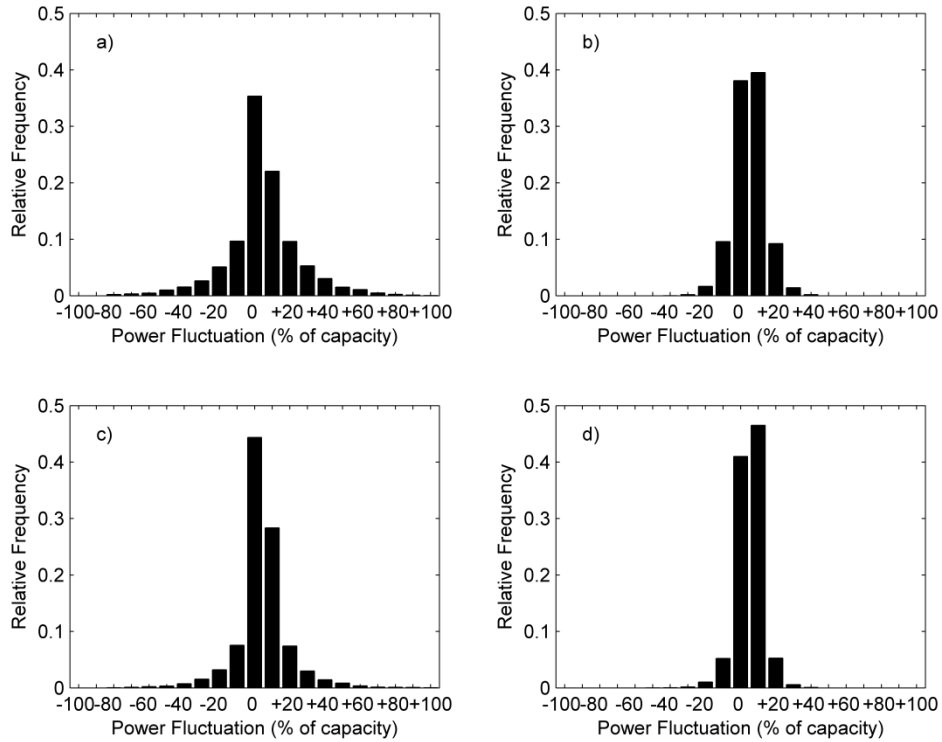
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453 **Figure 6.** Short term (three-hourly) power fluctuations from individual WPPs in January (a) and
 454 July (c) compared to those from the 108-WPP network (b, d). Variability of power output for the
 455 108-WPP is markedly reduced.

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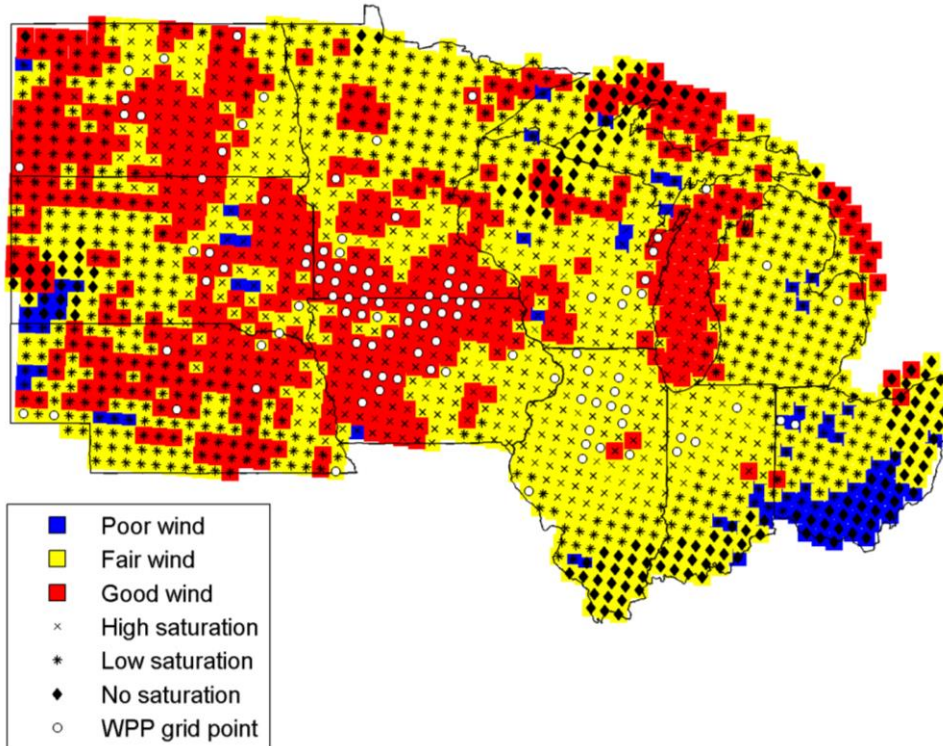
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466 **Figure 7.** Map of the study area categorizing NARR grid points based on mean annual wind
 467 speed and proximity to existing WPPs. Mean annual wind speed must be less than 4.9 m s^{-1} to
 468 be classified as “poor,” between 4.9 and 5.9 m s^{-1} to be “fair,” and greater than 5.9 m s^{-1} to be
 469 “good.” Grid points must be within 200 km of six or more WPPs or contain a WPP to be
 470 classified as having “high saturation,” one to five WPPs to have “low saturation,” and zero
 471 WPPs to have “no saturation.” Grid points with good wind and no saturation are the optimal
 472 locations for future wind power development if reduction of wind power variability is the goal.