# Southern Illinois University Carbondale OpenSIUC

**Articles and Preprints** 

Department of Mathematics

2009

## Sun's Conjectures on Fourth Powers in the Class Group of Binary Quadratic Forms

Robert W. Fitzgerald Southern Illinois University Carbondale, rfitzg@math.siu.edu

Follow this and additional works at: http://opensiuc.lib.siu.edu/math articles

#### **Recommended** Citation

Fitzgerald, Robert W. "Sun's Conjectures on Fourth Powers in the Class Group of Binary Quadratic Forms." (Jan 2009).

This Article is brought to you for free and open access by the Department of Mathematics at OpenSIUC. It has been accepted for inclusion in Articles and Preprints by an authorized administrator of OpenSIUC. For more information, please contact opensiuc@lib.siu.edu.

## Sun's conjectures on fourth powers in the class group of binary quadratic forms

Robert W. Fitzgerald Southern Illinois University Carbondale, IL 62901-4408 rfitzg@math.siu.edu

#### Abstract

We prove five of Sun's conjectures on the index of the subgroup of fourth powers in the class group of binary quadratic forms.

Sun [5] proved that of p and q are distinct odd primes then  $(-1)^{(q-1)/2}q$  is a quartic residue modulo p iff p is represented by an element of  $G(-16q^2)^4$ , where  $G(\Delta)$  is the class group of primitive binary quadratic forms of discriminant  $\Delta$ . In [6] Sun posed a series of conjectures, labeled (8.2) through (8.6), on the order of  $G(\Delta)^4$ , denoted by  $h_4(\Delta)$ . Liu [4] has found counterexamples to conjecture (8.4). Here we prove Sun's conjectures (8.2), (8.3), (8.5) and (8.6) are correct and prove a modified version of (8.4) is also correct. The proofs are elementary.

## 1 Background

We will write the binary quadratic form  $f(x, y) = ax^2 + bxy + cy^2$  more briefly as f = (a, b, c). We denote the  $SL_2(\mathbb{Z})$  equivalence class by [f] = [a, b, c].

For an odd prime p dividing  $\Delta$ , the associated generic character is  $\chi_p(f) = \left(\frac{r}{f}\right)$ , where r is any value represented by f that is prime to p. We also need:

$$\chi_{-1}(f) = \left(\frac{-1}{r}\right) \qquad \chi_2(f) = \left(\frac{2}{r}\right) \qquad \chi_{-2}(f) = \left(\frac{-2}{r}\right),$$

where r is any odd number represented by f. [1] has a chart (page 52) that lists which generic characters go with each discriminant. We will use this frequently without further reference.

We present a classical result since it does not appear in precisely this form in most references.

**Proposition 1.1.** Let  $\Delta$  be a discriminant and let g be the number of generic characters for  $G(\Delta)$ .

- 1. The principal genus has index  $2^{g-1}$ . The number of genera is  $2^{g-1}$ .
- 2. The elements of exponent 2 in  $G(\Delta)$  form a subgroup of order  $2^{g-1}$ .
- 3. The number of cyclic factors in the Sylow 2-subgroup of  $G(\Delta)$  is g-1.
- 4. Every element of the principal genus is a square.

**Proof:** Let  $\chi_1, \chi_2, \ldots, \chi_g$  be the generic characters and consider  $\chi = (\chi_1, \ldots, \chi_g) : G(\Delta) \to {\pm 1}^g$ . Then [1] Theorem 7.6 gives that the image of  $\chi$  has order  $2^{g-1}$ . This proves (1) and (2) follows from [1] Theorem 4.17. Last, (2) implies (3) which implies (4).

We need one other classical result. We denote  $|G(\Delta)|$  by  $h(\Delta)$ .

**Proposition 1.2.** Suppose  $\Delta$  is negative, even and not -4. Then  $h(16\Delta) = 4h(\Delta)$ .

**Proof:** This follows from the formula [2] Theorem 2 (page 217)

$$h(f^{2}\Delta) = fh(\Delta) \prod_{q|f} \left[ 1 - \left(\frac{d}{q}\right) q^{-1} \right],$$

where the product is over prime divisors q of f. This is stated for ideal class groups, but for negative discriminants these coincide with the form class groups of the same discriminant.

Our computations depend on the following.

**Lemma 1.3.** Let g be the number of generic characters for  $G(\Delta)$ . Let K denote the principal genus and E the subgroup of elements of exponent 2 in  $G(\Delta)$ . Write  $|K \cap E| = 2^e$ . Then:

$$h_4(\Delta) = h(\Delta)/2^{g+e-1}.$$

**Proof:** Write

$$G(\Delta) = C(2^{k_1}) \times C(2^{k_2}) \times \dots \times C(2^{k_{g-1}}) \times H,$$

where  $C(2^k)$  denotes the cyclic group of order  $2^k$ , H has odd order and we have used Theorem 1.1 (3) for the number of factors. Let a be the number of  $k_i$  equal to 1 and let b be the number of  $k_i$  greater than 1. The element of order 2 in a  $C(2^k)$  is a square (equivalently, in K) iff  $k \ge 2$ . Hence  $2^e = 2^b$ . Thus:

$$[G(\Delta): G(\Delta)^4] = 2^a \cdot 4^b = 2^{g-1-b} \cdot 4^b = 2^{g+b-1} = 2^{g+e-1}.$$

We will use the notations K, E and e throughout the paper.

## 2 Proof of the conjectures

We begin by proving Conjectures (8.2), (8.3) and (8.5), in this order. The method of proof is the same in each. Find the elements of exponent 2 (that is, the subgroup E). This can be done by finding the possible (a, ka, c) of the given discriminant and reducing each; use 1.1 (2) to check that all have been found. Evaluate the generic characters of these forms and so determine those in the principal genus,  $K \cap E$ , and e, where  $2^e = |K \cap E|$ .

**Theorem 2.1.** Let p be a prime with  $p \equiv 1 \pmod{8}$ . Then

$$h_4(-8p) = h(-8p)/4 = h_4(-128p).$$

**Proof:** For  $\Delta = -8p$  there are two generic characters,  $\chi_p$  and  $\chi_{-2}$ . The two elements of exponent two are [1, 0, 2p] and [2, 0, p] which are both sent to 1 by both characters (as  $p \equiv 1 \pmod{8}$ ). Hence *E* is contained in *K*. Thus e = 1 and Lemma 1.3 gives  $h_4(-8p) = h(-8p)/4$ .

For  $\Delta = -128p$  there are three generic characters,  $\chi_p, \chi_{-1}$  and  $\chi_2$ . The elements of exponent two are:

$$[1, 0, 32p]$$
  $[4, 4, 32p + 1]$   $[32, 0, p]$   $[32, 32, p + 8].$ 

Again, each character sends each of these forms to 1. Hence e = 2 and we have:

$$h_4(-128p) = h(-128p)/16$$
 by 1.3  
=  $h(-8p)/4$  by 1.2  
=  $h_4(-8p)$ ,

by the first paragraph.

**Theorem 2.2.** Let p be a prime with  $p \equiv 1 \pmod{24}$ . Then

$$h_4(-24p) = h(-24p)/8 = h_4(-384p).$$

**Proof:** For  $\Delta = -24p$ , there are three generic characters:  $\chi_3, \chi_p$  and  $\chi_2$ . The elements of exponent 2 in G(-24p) are: [1, 0, 6p], [2, 0, 3p], [3, 0, 2p] and [6, 0, p]. The first and last are sent to 1 by each character while  $\chi_3$  maps the middle two to -1 (as  $(\frac{2}{3}) = -1$ ). Hence e = 1 and 1.3 gives  $h_4(-24p) = h(-24p)/8$ .

Next,  $G(-384p) = G(-16 \cdot 24p)$  has four generic characters:  $\chi_3, \chi_p, \chi_{-1}$  and  $\chi_2$ . The eight elements in G(-384p) of exponent 2 are:

$f_1 = [1, 0, 96p]$	$f_2 = [4, 4, 24p + 1]$
$f_3 = [32, 0, 3p]$	$f_4 = [32, 32, 3p + 8]$
$f_5 = [3, 0, 32p]$	$f_6 = [12, 12, 8p + 3]$
$f_7 = [96, 0, p]$	$f_8 = [96, 96, p + 24]$

A simple computation shows  $f_1, f_2, f_7$  and  $f_8$  are in the principal genus while  $\chi_3$  sends  $f_3, f_4, f_5$  and  $f_6$  to -1. Thus e = 2 and

$$h_4(-384p) = h(-384p)/32 = h(-24p)/8 = h_4(-24p).$$

**Theorem 2.3.** Let p and q be primes with  $p, q \equiv 1 \pmod{8}$ . Then

$$h_4(-8pq) = h(-128pq) = \begin{cases} h(-8pq)/16, & \text{if } \left(\frac{p}{q}\right) = 1\\ h(-8pq)/8, & \text{if } \left(\frac{p}{q}\right) = -1 \end{cases}$$

**Proof:** G(-8pq) has three generic characters:  $\chi_p, \chi_q$  and  $\chi_{-2}$ . Let  $\epsilon = \left(\frac{p}{q}\right)$ . The elements of exponent 2 are:  $f_1 = [1, 0, 2pq], f_2 = [2, 0, pq], f_3 = [p, 0, 2q]$  and  $f_4 = [2p, 0, q]$ . Then  $f_1$  and  $f_2$  are in the principal genus while  $f_3$  and  $f_4$  are mapped by  $(\chi_p, \chi_q, \chi_{-2})$  to  $(\epsilon, \epsilon, 1)$ . Thus if  $\epsilon = 1$  then e = 2 and if  $\epsilon = -1$  then e = 1. Hence 1.3 gives the result for  $h_4(-8pq)$ .

G(-128pq) has four generic characters:  $\chi_p, \chi_q, \chi_{-1}$  and  $\chi_2$ . The elements of exponent 2 are:

$f_1 = [1, 0, 32pq]$	$f_2 = [4, 4, 8pq + 1]$
$f_3 = [32, 0, pq]$	$f_4 = [32, 32, pq + 8]$
$f_5 = [p, 0, 32q]$	$f_6 = [4p, 4p, p + 8q]$
$f_7 = [32p, 0, q]$	$f_8 = [32p, 32p, 8p+q]$

Computation shows that  $f_1, f_2, f_3$  and  $f_4$  are in the principal genus while  $f_5, f_6, f_7$  and  $f_8$  are mapped by  $(\chi_p, \chi_q, \chi_{-1}, \chi_2)$  to  $(\epsilon, \epsilon, 1, 1)$ . Say  $\epsilon = 1$ . Then e = 3 and

$$h_4(-128pq) = h(-128pq)/64 = h(-8pq)/16 = h_4(-8pq).$$

When  $\epsilon = -1$  then e = 2 and

$$h_4(-128pq) = h(-128pq)/32 = h(-8pq)/8 = h_4(-8pq),$$

which proves the result.

Sun's conjecture (8.4) states that if p and q are primes with  $p, q \equiv 1 \pmod{4}$  and  $\left(\frac{p}{q}\right) = 1$  then

$$h_4(-4pq) = h_4(-64pq) = h(-4pq)/8.$$

Liu [4] has shown this may fail. We identify precisely when the conjecture is valid.

**Theorem 2.4.** Let p and q be primes with  $p, q \equiv 1 \pmod{4}$  and  $\left(\frac{p}{q}\right) = 1$ .

- 1. If one of p or q is  $\equiv 5 \pmod{8}$  then conjecture (8.4) holds.
- 2. If  $p, q \equiv 1 \pmod{8}$  then

$$2h_4(-4pq) = h_4(-64pq) = h(-4pq)/8,$$

contrary to conjecture (8.4).

**Proof:** G(-4pq) has three generic characters:  $\chi_p, \chi_q$  and  $\chi_{-1}$ . The elements of exponent 2 are  $f_1 = [1, 0, pq], f_2 = [2, 2, \frac{1}{2}(pq+1)], f_3 = [p, 0, q]$  and  $f_4 = [2p, 2p, \frac{1}{2}(p+q)]$ . It is easy to check that  $f_1$  and  $f_3$  are in the principal genus and that  $f_2$  and  $f_4$  lie in the same genus. We compute the values of  $f_2$ .

$(p,q) \pmod{8}$	$\chi_p(f_2)$	$\chi_q(f_2)$	$\chi_{-1}(f_2)$
(1,1)	1	1	1
(5,1)	-1	1	-1
(1,5)	1	-1	-1
(5,5)	-1	-1	1

If  $p, q \equiv 1 \pmod{8}$  then e = 2 and  $h_4(-4pq) = h(-4pq)/16$ , proving one half of (2). If one of p or q is  $\equiv 5 \pmod{8}$  then e = 1 and  $h_4(-4pq) = h(-4pq)/8$ , proving one half of (1).

G(-64pq) has four generic characters:  $\chi_p, \chi_q, \chi_{-1}$  and  $\chi_2$ . The eight elements of exponent 2 are listed below. One can check that  $\chi_p, \chi_q$  and  $\chi_{-1}$  send each of them to 1. We give the values of  $\chi_2$  for each possible pair of  $(p,q) \pmod{8}$ .

	(1,1)	(5,1)	(1,5)	(5,5)
[1, 0, 16pq]	1	1	1	1
$[4, 4, 4pq_1]$	-1	-1	-1	-1
[16, 0, pq]	1	-1	-1	1
[16, 16, pq + 4]	-1	1	1	-1
[p, 0, 16q]	1	-1	1	-1
[4p, 4p, p+4q]	-1	1	-1	1
[16p, 0, q]	1	1	-1	-1
[16p, 16p, 4p+q]	-1	-1	1	1

In each case, there are four elements of exponent 2 in the principal genus. So e = 2 and

$$h_4(-64pq) = h(-64pq)/32 = h(-4pq)/8,$$

which completes the proof of (1) and (2).

The proof of Conjecture (8.6) follows a different path. We use the composition on different orders described in Section 7.3 of [1]. Given a discriminant

 $\Delta$ , let  $I(\Delta)$  denote the identity of  $G(\Delta)$ . The map:

$$\begin{aligned} \psi : G(n^2 \Delta) &\to \quad G(\Delta) \\ \psi([g]) &= \quad [I(\Delta) \circ g] \end{aligned}$$

is a homomorphism by [1] Theorem 7.9. Buell's proof shows that  $\psi$  is in fact surjective. Namely, let  $[f] \in G(\Delta)$ . We can find  $(a, b, c) \in [f]$  with (a, n) = 1. Then  $g = (a, nb, n^2c)$  is primitive of discriminant  $n^2\Delta$  and  $I(\Delta) \circ g = (a, b, c)$ .

**Theorem 2.5.** Let d > 2 be square-free. If  $h_4(-64d)$  is odd then  $h_4(-64d) = h_4(-4d)$ .

**Proof:** The hypothesis means that G(-64d) has no elements of order  $2^k$ ,  $k \ge 3$ . Then G(-4d) also has no elements of order  $2^k$ ,  $k \ge 3$ . Namely, suppose  $[f] \in G(-4d)$  has order  $2^k$ ,  $k \ge 3$ . Consider  $\psi : G(16(-4d)) \to G(-4d)$  and say  $\psi([g]) = [f]$ . Now the order of [g] is  $2^s r$  for some odd r and  $0 \le s \le 2$ . Then  $[f]^4 = \psi([g]^4)$  has order dividing r and  $2^k$  and so  $[f]^4 = 1$ , a contradiction.

Let  $|G(-4d)| = 2^t m$  with m odd. Then  $|G(-64d)| = 2^{t+2}m$ . We can write

$$G(-64d) = C(2)^a \times C(4)^b \times H$$
  

$$G(-4d) = C(2)^{a'} \times C(4)^{b'} \times H',$$

where |H| = m = |H'|. Then  $G(-64d)^4 = H$  and  $G(-4d)^4 = H'$  so that  $h_4(-64d) = m = h_4(-4d)$ .

We note that  $G(\Delta)/G(\Delta)^4 \cong S$ , the spinor genera group (see [3]), and so the results here can be viewed as results on the order of certain spinor genera groups.

### References

- [1] D. A. Buell, Binary Quadratic Forms. Springer, New York, 1989.
- [2] H. Cohn, Advanced Number Theory. Dover, New York, 1962.
- [3] D. Estes and G. Pall, Spinor genera of binary quadratic forms. J. Number Theory 5 (1973) 421–432.

- [4] L. Liu, Counterexamples to a conjecture concerning class number of binary quadratic forms, Sci. Magna 2 (2006) 108–110.
- [5] Z. H. Sun, Supplements to the theory of quartic residues, Acta Arith. 97 (2001) 361–377.
- [6] Z. H. Sun, Quartic residues and binary quadratic forms, J. Number Theory 113 (2005) 10–52.