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2009

# Sun 's Conjectures on Fourth Powers in the Class Group of Binary Quadratic Forms

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#### Recommended Citation

Fitzgerald, Robert W. "Sun's Conjectures on Fourth Powers in the Class Group of Binary Quadratic Forms." ( Jan 2009).

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## Sun's conjectures on fourth powers in the class group of binary quadratic forms

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#### **Abstract**

We prove five of Sun's conjectures on the index of the subgroup of fourth powers in the class group of binary quadratic forms.

Sun [5] proved that of p and q are distinct odd primes then  $(-1)^{(q-1)/2}q$  is a quartic residue modulo p iff p is represented by an element of  $G(-16q^2)^4$ , where  $G(\Delta)$  is the class group of primitive binary quadratic forms of discriminant  $\Delta$ . In [6] Sun posed a series of conjectures, labeled (8.2) through (8.6), on the order of  $G(\Delta)^4$ , denoted by  $h_4(\Delta)$ . Liu [4] has found counterexamples to conjecture (8.4). Here we prove Sun's conjectures (8.2), (8.3), (8.5) and (8.6) are correct and prove a modified version of (8.4) is also correct. The proofs are elementary.

## **1 Background**

We will write the binary quadratic form  $f(x, y) = ax^2 + bxy + cy^2$  more briefly as  $f = (a, b, c)$ . We denote the  $SL_2(\mathbb{Z})$  equivalence class by  $[f] = [a, b, c]$ .

For an odd prime p dividing  $\Delta$ , the associated generic character is  $\chi_p(f)$  =  $\left(\frac{r}{f}\right)$ , where r is any value represented by f that is prime to p. We also need: *f*

$$
\chi_{-1}(f) = \left(\frac{-1}{r}\right)
$$
  $\chi_2(f) = \left(\frac{2}{r}\right)$   $\chi_{-2}(f) = \left(\frac{-2}{r}\right)$ ,

where r is any odd number represented by  $f$ . [1] has a chart (page 52) that lists which generic characters go with each discriminant. We will use this frequently without further reference.

We present a classical result since it does not appear in precisely this form in most references.

**Proposition 1.1.** Let  $\Delta$  be a discriminant and let q be the number of generic *characters for*  $G(\Delta)$ *.* 

- *1. The principal genus has index* 2*g*−<sup>1</sup>*. The number of genera is* 2*g*−<sup>1</sup>*.*
- 2. The elements of exponent 2 in  $G(\Delta)$  form a subgroup of order  $2^{g-1}$ .
- *3. The number of cyclic factors in the Sylow 2-subgroup of*  $G(\Delta)$  *is*  $g-1$ *.*
- *4. Every element of the principal genus is a square.*

**Proof:** Let  $\chi_1, \chi_2, \ldots, \chi_q$  be the generic characters and consider  $\chi$  =  $(\chi_1,\ldots,\chi_g) : G(\Delta) \to {\pm 1}^g$ . Then [1] Theorem 7.6 gives that the image of  $\chi$  has order  $2^{g-1}$ . This proves (1) and (2) follows from [1] Theorem 4.17. Last,  $(2)$  implies  $(3)$  which implies  $(4)$ .  $\Box$ 

We need one other classical result. We denote  $|G(\Delta)|$  by  $h(\Delta)$ .

**Proposition 1.2.** *Suppose*  $\Delta$  *is negative, even and not*  $-4$ *. Then*  $h(16\Delta)$  =  $4h(\Delta)$ .

**Proof:** This follows from the formula [2] Theorem 2 (page 217)

$$
h(f^{2}\Delta) = fh(\Delta) \prod_{q|f} \left[1 - \left(\frac{d}{q}\right)q^{-1}\right],
$$

where the product is over prime divisors  $q$  of  $f$ . This is stated for ideal class groups, but for negative discriminants these coincide with the form class groups of the same discriminant.  $\Box$ 

Our computations depend on the following.

**Lemma 1.3.** Let g be the number of generic characters for  $G(\Delta)$ . Let K *denote the principal genus and* E *the subgroup of elements of exponent 2 in*  $G(\Delta)$ *. Write*  $|K \cap E| = 2^e$ *. Then:* 

$$
h_4(\Delta) = h(\Delta)/2^{g+e-1}.
$$

**Proof:** Write

$$
G(\Delta) = C(2^{k_1}) \times C(2^{k_2}) \times \cdots \times C(2^{k_{g-1}}) \times H,
$$

where  $C(2^k)$  denotes the cyclic group of order  $2^k$ , H has odd order and we have used Theorem 1.1 (3) for the number of factors. Let  $a$  be the number of  $k_i$  equal to 1 and let b be the number of  $k_i$  greater than 1. The element of order 2 in a  $C(2^k)$  is a square (equivalently, in K) iff  $k \geq 2$ . Hence  $2^e = 2^b$ . Thus:

$$
[G(\Delta): G(\Delta)^4] = 2^a \cdot 4^b = 2^{g-1-b} \cdot 4^b = 2^{g+b-1} = 2^{g+e-1}.
$$

 $\Box$ 

We will use the notations  $K, E$  and e throughout the paper.

## **2 Proof of the conjectures**

We begin by proving Conjectures (8.2), (8.3) and (8.5), in this order. The method of proof is the same in each. Find the elements of exponent 2 (that is, the subgroup  $E$ ). This can be done by finding the possible  $(a, ka, c)$  of the given discriminant and reducing each; use 1.1 (2) to check that all have been found. Evaluate the generic characters of these forms and so determine those in the principal genus,  $K \cap E$ , and e, where  $2^e = |K \cap E|$ .

**Theorem 2.1.** *Let* p *be a prime with*  $p \equiv 1 \pmod{8}$ *. Then* 

$$
h_4(-8p) = h(-8p)/4 = h_4(-128p).
$$

**Proof:** For  $\Delta = -8p$  there are two generic characters,  $\chi_p$  and  $\chi_{-2}$ . The two elements of exponent two are  $[1, 0, 2p]$  and  $[2, 0, p]$  which are both sent to 1 by both characters (as  $p \equiv 1 \pmod{8}$ ). Hence E is contained in K. Thus  $e = 1$  and Lemma 1.3 gives  $h_4(-8p) = h(-8p)/4$ .

For  $\Delta = -128p$  there are three generic characters,  $\chi_p, \chi_{-1}$  and  $\chi_2$ . The elements of exponent two are:

$$
[1, 0, 32p]
$$
  $[4, 4, 32p + 1]$   $[32, 0, p]$   $[32, 32, p + 8]$ .

Again, each character sends each of these forms to 1. Hence  $e = 2$  and we have:

$$
h_4(-128p) = h(-128p)/16 \text{ by } 1.3
$$
  
=  $h(-8p)/4$  by 1.2  
=  $h_4(-8p)$ ,

by the first paragraph.

**Theorem 2.2.** *Let* p *be a prime with*  $p \equiv 1 \pmod{24}$ *. Then* 

$$
h_4(-24p) = h(-24p)/8 = h_4(-384p).
$$

**Proof:** For  $\Delta = -24p$ , there are three generic characters:  $\chi_3, \chi_p$  and  $\chi_2$ . The elements of exponent 2 in  $G(-24p)$  are: [1, 0, 6p], [2, 0, 3p], [3, 0, 2p] and  $[6, 0, p]$ . The first and last are sent to 1 by each character while  $\chi_3$ maps the middle two to -1 (as  $\left(\frac{2}{3}\right) = -1$ ). Hence  $e = 1$  and 1.3 gives  $h_4(-24p) = h(-24p)/8.$ 

Next,  $G(-384p) = G(-16 \cdot 24p)$  has four generic characters:  $\chi_3, \chi_p, \chi_{-1}$ and  $\chi_2$ . The eight elements in  $G(-384p)$  of exponent 2 are:



A simple computation shows  $f_1, f_2, f_7$  and  $f_8$  are in the principal genus while  $\chi_3$  sends  $f_3, f_4, f_5$  and  $f_6$  to -1. Thus  $e = 2$  and

$$
h_4(-384p) = h(-384p)/32 = h(-24p)/8 = h_4(-24p).
$$

 $\Box$ 

**Theorem 2.3.** *Let* p and q *be primes with*  $p, q \equiv 1 \pmod{8}$ *. Then* 

$$
h_4(-8pq) = h(-128pq) = \begin{cases} h(-8pq)/16, & \text{if } \left(\frac{p}{q}\right) = 1 \\ h(-8pq)/8, & \text{if } \left(\frac{p}{q}\right) = -1. \end{cases}
$$

**Proof:**  $G(-8pq)$  has three generic characters:  $\chi_p, \chi_q$  and  $\chi_{-2}$ . Let  $\epsilon = \begin{pmatrix} p \\ q \end{pmatrix}$ . The elements of exponent 2 are:  $f_1 = [1, 0, 2pq], f_2 = [2, 0, pq], f_3 =$  $[p, 0, 2q]$  and  $f_4 = [2p, 0, q]$ . Then  $f_1$  and  $f_2$  are in the principal genus while  $f_3$  and  $f_4$  are mapped by  $(\chi_p, \chi_q, \chi_{-2})$  to  $(\epsilon, \epsilon, 1)$ . Thus if  $\epsilon = 1$  then  $e = 2$ and if  $\epsilon = -1$  then  $e = 1$ . Hence 1.3 gives the result for  $h_4(-8pq)$ .

 $G(-128pq)$  has four generic characters:  $\chi_p, \chi_q, \chi_{-1}$  and  $\chi_2$ . The elements of exponent 2 are:



Computation shows that  $f_1, f_2, f_3$  and  $f_4$  are in the principal genus while  $f_5, f_6, f_7$  and  $f_8$  are mapped by  $(\chi_p, \chi_q, \chi_{-1}, \chi_2)$  to  $(\epsilon, \epsilon, 1, 1)$ . Say  $\epsilon = 1$ . Then  $e = 3$  and

$$
h_4(-128pq) = h(-128pq)/64 = h(-8pq)/16 = h_4(-8pq).
$$

When  $\epsilon = -1$  then  $e = 2$  and

$$
h_4(-128pq) = h(-128pq)/32 = h(-8pq)/8 = h_4(-8pq),
$$

which proves the result.

Sun's conjecture (8.4) states that if p and q are primes with  $p, q \equiv 1$ (mod 4) and  $\left(\frac{p}{q}\right)$ *q*  $= 1$  then

 $\Box$ 

$$
h_4(-4pq) = h_4(-64pq) = h(-4pq)/8.
$$

Liu [4] has shown this may fail. We identify precisely when the conjecture is valid.

**Theorem 2.4.** *Let* p and q be primes with  $p, q \equiv 1 \pmod{4}$  and  $\left(\frac{p}{q}\right)$ *q*  $= 1.$ 

- *1. If one of*  $p$  *or*  $q$  *is*  $\equiv$  5 (mod 8) *then conjecture (8.4) holds.*
- *2. If*  $p, q \equiv 1 \pmod{8}$  *then*

$$
2h_4(-4pq) = h_4(-64pq) = h(-4pq)/8,
$$

*contrary to conjecture (8.4).*

**Proof:**  $G(-4pq)$  has three generic characters:  $\chi_p, \chi_q$  and  $\chi_{-1}$ . The elements of exponent 2 are  $f_1 = [1, 0, pq]$ ,  $f_2 = [2, 2, \frac{1}{2}(pq + 1)]$ ,  $f_3 = [p, 0, q]$ and  $f_4 = [2p, 2p, \frac{1}{2}(p+q)]$ . It is easy to check that  $f_1$  and  $f_3$  are in the principal genus and that  $f_2$  and  $f_4$  lie in the same genus. We compute the values of  $f_2$ .



If  $p, q \equiv 1 \pmod{8}$  then  $e = 2$  and  $h_4(-4pq) = h(-4pq)/16$ , proving one half of (2). If one of p or q is  $\equiv$  5 (mod 8) then  $e = 1$  and  $h_4(-4pq) =$  $h(-4pq)/8$ , proving one half of (1).

G(-64pq) has four generic characters:  $\chi_p, \chi_q, \chi_{-1}$  and  $\chi_2$ . The eight elements of exponent 2 are listed below. One can check that  $\chi_p, \chi_q$  and  $\chi_{-1}$ send each of them to 1. We give the values of  $\chi_2$  for each possible pair of  $(p, q) \pmod{8}$ .



In each case, there are four elements of exponent 2 in the principal genus. So  $e = 2$  and

$$
h_4(-64pq) = h(-64pq)/32 = h(-4pq)/8,
$$

which completes the proof of (1) and (2).

The proof of Conjecture (8.6) follows a different path. We use the composition on different orders described in Section 7.3 of [1]. Given a discriminant

 $\Box$ 

 $\Delta$ , let  $I(\Delta)$  denote the identity of  $G(\Delta)$ . The map:

$$
\psi : G(n^2 \Delta) \rightarrow G(\Delta)
$$
  

$$
\psi([g]) = [I(\Delta) \circ g],
$$

is a homomorphism by [1] Theorem 7.9. Buell's proof shows that  $\psi$  is in fact surjective. Namely, let  $[f] \in G(\Delta)$ . We can find  $(a, b, c) \in [f]$  with  $(a, n) = 1$ . Then  $g = (a, nb, n^2c)$  is primitive of discriminant  $n^2\Delta$  and  $I(\Delta) \circ g = (a, b, c)$ .

**Theorem 2.5.** *Let*  $d > 2$  *be square-free. If*  $h_4(-64d)$  *is odd then*  $h_4(-64d)$  =  $h_4(-4d)$ .

**Proof:** The hypothesis means that  $G(-64d)$  has no elements of order 2<sup>k</sup>,  $k$  ≥ 3. Then  $G(-4d)$  also has no elements of order  $2^k$ ,  $k$  ≥ 3. Namely, suppose  $[f] \in G(-4d)$  has order  $2^k$ ,  $k \geq 3$ . Consider  $\psi : G(16(-4d)) \rightarrow$  $G(-4d)$  and say  $\psi([g]) = [f]$ . Now the order of [g] is  $2^{s}r$  for some odd r and  $0 \leq s \leq 2$ . Then  $[f]^4 = \psi([g]^4)$  has order dividing r and  $2^k$  and so  $[f]^4 = 1$ , a contradiction.

Let  $|G(-4d)| = 2<sup>t</sup>m$  with m odd. Then  $|G(-64d)| = 2<sup>t+2</sup>m$ . We can write

$$
G(-64d) = C(2)a \times C(4)b \times H
$$
  

$$
G(-4d) = C(2)a' \times C(4)b' \times H',
$$

where  $|H| = m = |H'|$ . Then  $G(-64d)^4 = H$  and  $G(-4d)^4 = H'$  so that  $h_4(-64d) = m = h_4(-4d).$  $\Box$ 

We note that  $G(\Delta)/G(\Delta)^4 \cong S$ , the spinor genera group (see [3]), and so the results here can be viewed as results on the order of certain spinor genera groups.

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