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# Spiritually Sensitive Psychological Counseling: A History of the Relationship between Psychology and Spirituality and Suggestions for Integrating Them in Individual, Group, and Family Counseling

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SPIRITUALLY SENSITIVE PSYCHOLOGICAL COUNSELING:  
A HISTORY OF THE RELATIONSHIP BETWEEN PSYCHOLOGY AND  
SPIRITUALITY AND SUGGESTIONS FOR INTEGRATING THEM IN  
INDIVIDUAL, GROUP, AND FAMILY COUNSELING

by

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TITLE: Spiritually Sensitive Psychological Counseling: A History of the Relationship between Psychology and Spirituality and Suggestions for Integrating Them in Individual, Group, and Family Counseling

MAJOR PROFESSOR: Dr. Lyle White

The purpose of this study was to research the history of the relationship between the scientific view of psychology and spiritual one in the West from Plato to the present; to determine how and why the two views separated; and to explore ways to combine both in counseling.

## ACKNOWLEDGEMENTS

I wish to express my deep gratitude and admiration to Dr Lyle White, my advisor on this research paper. I was concerned that he would not approve this undertaking, that he might think it was too much or insufficiently evidence based. He approved it and with his knowledgeable questions, he pushed me to go deeper and learn more than I had imagined possible.

I also wish to thank Dr Gail Mieling, who was both my first and my last teacher at Southern Illinois University-Carbondale, and who encouraged me from the start. She has been my mentor as both a teacher and as my practicum and internship supervisor.

I wish to thank my father, James George Benziger (1915 – 1996) for introducing me to God in nature, and my mother Patricia Rey Benziger (1917 – 2006) for introducing me to God in people.

## DEDICATION

This paper is dedicated to my ex-wife Georgann Sketoe Benziger, who read some of the chapters and made helpful suggestions, to my sons Ross and George Benziger, and to my best friend Rebecca Dougan. I treasure you all.

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## CHAPTER I

## INTRODUCTION

When I first attended college in the 1960s, I was interested in psychology and would have pursued it as a career but for the fact that psychology then looked at the world principally through the lens of radical behaviorism or the lens of Freudian psychiatry. Those lenses seemed too limiting to me. I believed in God and in the reality of the soul. Freud and behaviorism made no allowance for either.

I thought then that I would not be in a position to integrate spiritual and scientific concerns in counseling unless I became a minister or priest. I considered taking that path, but decided against it; because, like counseling psychologist Carl Rogers (Thorne, 1992), I did not want to tell others how to act, and because, like the 14<sup>th</sup> Dalai Lama, I did not think it is necessary to believe in God or in any religion to be a good or spiritual person (Iyer, 2008). In the 1960s, three of the most influential voices in psychology were atheists: Freud, Skinner, and Ellis. In *A Philosophy of Life* (1933), Freud had argued that God is a projection of a childish wish for protection from a cruel and uncertain world. Religion, he wrote, is a “serious enemy” (p. 219) of the scientific *Weltanschauung* and a “neurosis which the civilized individual must pass through on his way from childhood to maturity” (p. 230). Behaviorist B. F. Skinner was an outspoken atheist who resented the fact that he had had to attend daily chapel at Hamilton College in upstate New York as an undergraduate (Boeree, 2008; B. F. Skinner, AllPsych On Line, 2008). In the article *Psychotherapy and Atheistic Values: A Response to A. E. Bergin’s “Psychotherapy and Religious Values”* (1980), psychologist Albert Ellis reiterated the view that, according to Koenig, Larson, and Larson (2001), was then common in the mental health field that,

“the less religious they [people] are, the more emotionally healthy they will tend to be” (Ellis, 1980, p. 637). In that intellectual climate, most psychologists did not consider whether or not it is possible to integrate the spiritual and scientific perspectives in the practice of psychotherapy, or how to do it.

I became a lawyer instead. Lawyers are ethically bound to do their best to obtain whatever end their clients seek, even if that end is wrong by every independent standard. Thus, acts and statements that would be immoral in any other profession are considered right in the practice of law. I was never comfortable with that; so, in 2004, when my children were grown, I returned to college and entered the Master’s program in Marital, Couple, and Family Counseling at Southern Illinois University. When I obtain my degree, I will have trained as an individual counselor, a group counselor, and as a family and couples counselor. Almost all my training will have been in theories of counseling that do not mention spirituality. No such classes are available at this university, and such classes are not available at most public universities (Tisdell, 2003). Nonetheless, when I graduate and begin work as a counselor, I hope to offer counseling that is both informed by scientific research and sensitive to my clients’ spirituality. Thanks to the open-mindedness, encouragement, and knowledgeableness of my advisor, Dr. Lyle White, I have been able to undertake this paper, which is intended to serve as a foundation for that counseling and as a source for others who have similar questions.

In this paper I will attempt to answer seven questions about the relationship between the scientific view of psychology and the spiritual view:

(1) What is the historical relationship between the spiritual and the scientific views of psychology?

(2) Were the scientific and the spiritual views of human beings ever the same? If they diverged, when did they diverge? What was the nature of that separation: how did each view the other? Does antagonism toward religion still dominate psychology, or is the science of psychology becoming more open to the value of spirituality?

(3) What, if anything, was missing from the spiritual view that the scientific view contributed? What, if anything, was missing from the scientific view that the spiritual view included?

(4) Are there recognized approaches to the study of psychology and the practice of psychotherapy that include spiritual perspectives and values? If so, how do those approaches differ from scientific psychology?

(5) What are spiritual values? How do they differ, if at all, from materialistic, scientific values? What are the possible goals for the client in spiritually based counseling? How do those differ, if at all, from the goals of approaches to therapy that are considered scientific?

(6) In psychotherapy, are the spiritual and the scientific views of human beings (a) cooperative; or (b) complementary and supportive; or (c) distinct and non-overlapping; or (d) at odds; or does that answer depend on the client, the context, and the problem?

(7) Is there a way to integrate the scientific view of human beings with the spiritual view in the practice of psychotherapy? Is it possible to design an approach that clients will feel good about regardless of their spiritual beliefs?

I could have added an eighth question: What is spirituality? To avoid debate, William James began *The Varieties of Religious Experience* by defining “religion” to

mean: “the feeling, acts, and experiences of individual men in their solitude, so far as they apprehend themselves to stand in relation to whatever they may consider the divine” (1902/2004, p. 39). I will follow his example but not his wording. For the purpose of this study, I define, “spirituality” to mean “the recognition of the possibility and importance of a realm of existence that is not material but is real.”

I found only three chapters in three books that addressed the historical relationship between the spiritual and the scientific views of psychology: two chapters of 42 pages in Richards and Bergin’s *A Spiritual Strategy for Counseling and Psychotherapy* (2005); one chapter of 28 pages in Miller’s *Integrating Spirituality into Treatment* (1999); and one chapter of 24 pages in Miller and Delaney’s *Judeo-Christian Perspectives on Psychology* (2005), for a total of less than 100 pages. In preparation for writing this paper, I read Hunt’s *The Story of Psychology* (1993). It is 735 pages long. It contains no chapter on the relationship between spirituality and psychology. Hunt largely ignored the spiritual side of important psychologists, like James and Jung. If a psychological theorist considered spiritual questions, Hunt portrayed that as a threat to the progress of the science of psychology. This paper could serve, among other things, as a spiritual companion to Hunt’s book. Because there is so little existing literature addressing question (1), I have reported what I learned about the history of the relationship between spirituality and psychology in depth, so that each reader of this paper can answer each of these seven questions for herself or himself, and so that I can return to this paper from time to time and reflect in tranquility on what my research found.

In order to answer these seven questions, I will compare the spiritual with the non-spiritual world-view throughout history. I will begin in Chapters 2 and 3 by examining the relationship between spirituality and psychology from Plato to the birth of psychotherapy. In Chapters 4, 5, 6, and 7, I will examine their relationship in the 20<sup>th</sup> Century and today: Chapter 4 will discuss psychiatry, behaviorism and cognitive and social psychology; Chapters 5 and 6 will consider humanistic and existentialist psychology; and Chapter 7 will address group and family counseling.

In Chapter 8, I will examine four contemporary approaches to counseling that combine spirituality and psychology: Alcoholics Anonymous, pastoral counseling, Jungian psychology, and developmental-wellness counseling, and I will compare each of them to traditional, non-spiritual psychology. In Chapter 9, I will discuss the meaning of the true-self, a concept that may be the closest traditional psychology comes to the idea of a soul.

In Chapters 10 and 11, I will consider values that are missing from contemporary, scientific psychology since it has attempted to separate itself from spiritual concerns. In Chapter 12, I will compare spiritual health and development to scientific conceptualizations of health and development.

Although Freud and Ellis thought of themselves as scientists, their assertions in 1933 and 1980 that God and religion are unhealthy were presented with no supporting data. In Chapter 13, I will discuss recent research, which has shown a correlation between spirituality, on the one hand, and mental health and longevity, on the other. It would have been nice to compare research regarding the effects of spiritually based approaches to the

effects of traditional methods of psychotherapy from Freud to the present. But no such research existed until recently. I found such research only from 1995 to the present.

In Chapters 14 and 15, I will summarize the answers I found to the research questions. In Chapter 16, I will describe how, in light of my research, I was able to reconcile the scientific worldview with the spiritual worldview in counseling. In Chapter 17, I will describe one possible integrated approach to spiritual-psychological counseling that includes care for the spirit, soul, mind, and body, within the individual and in relationship with others, in a way that respects the client's worldview and is, therefore, most likely to help the client. In Chapter 18, Concluding Thoughts, I look back to see how well the seven research questions have served their purpose in arriving at an approach to counseling that is sensitive to both worldviews.

In this paper I will focus on the Western philosophies and religions because I am better acquainted with them. Inevitably I will omit many theorists, and I may interpret some in ways that others will not agree with. Not everyone will agree with the answers I arrive at to these seven questions. Not everyone will agree with the approach I suggest to spiritual-psychological counseling. I look forward to learning from those who disagree as well as from those who agree. I will continue to adapt my counseling approach to feedback from clients and colleagues when this paper is done.

CHAPTER II  
THE HISTORY OF THE RELATIONSHIP BETWEEN SPIRITUALITY  
AND PSYCHOLOGY FROM PLATO TO THE ENLIGHTENMENT

*From the Ancient Greeks to Jesus*

According to Hunt (1993), at the time of Homer's *Iliad*, in the ninth century B.C., there was no word for human consciousness. "Psyche" meant merely "breath." Ancient Greeks believed that the gods put thoughts and emotions into human minds. Homer envisioned an afterlife, but it was dreary and pointless. When Odysseus traveled to the underworld, Achilles told him:

Rather I'd choose laboriously to bear  
A weight of woes, and breathe the vital air  
A slave to some poor hind that toils for bread  
Than reign the sceptered monarch of the dead.

(Homer, p. 412)

In the *Iliad* and the *Odyssey* and in the first books of the *Old Testament*, few boundaries existed between the human world and the spiritual world. The gods of ancient Greece symbolized all the strengths and weakness of humankind, but were often indifferent to the fate of individual humans. The one God of ancient Israel was authoritarian and supported his chosen people in battle, although they were often ungrateful and disobedient (Armstrong, 1994).

*Socrates and Aristotle*

By the time of Socrates (469 -- 399 B.C.), Plato (427 -- 347), and Aristotle (384 -- 322), humans were considered to be conscious beings who could think for themselves.

“Psyche” now meant “soul” or “spirit,” although in Ancient Greece, there was no concept of psychology and no word for it. Until the 1800's, psychology would remain a part of philosophy. Socrates, Plato, and Aristotle no longer deemed thoughts to come from the gods. They developed contrasting theories regarding the origin of thoughts. Socrates and Plato believed that some knowledge is inborn, and that we learn by remembering and by recognizing eternal truths, which exist independently of physical existence in ideal form. They believed that we increase our knowledge by applying deductive logic to what we already know. They thought that the five senses are unreliable and cannot be trusted to accurately convey outer reality to the inner mind.

In contrast, Aristotle thought that humans only learn through the use of the five senses plus a “common” sense, which recognizes that incoming information originates from the same common source. He believed that humans are born as blank slates, with no innate knowledge. Aristotle thought that the soul and body were inseparable and that the continued existence of a personal soul after the death of the body was unlikely (Brennan, 2002; Durant, 1933, pp. 83 - 84). He thought that people gain reliable knowledge only by gathering evidence and making inductive generalizations. In *The Story of Philosophy*, Will Durant wrote that he was bothered with Aristotle’s insistence on logic:

He thinks the syllogism a description of man’s way of reasoning, whereas it merely describes man’s way of dressing up his reasoning for the persuasion of another mind; he supposes that thought begins with premises and seeks their conclusions, when actually thought begins with hypothetical conclusions and seeks their justifying premises, -- and seeks them best by the observation

of particular events under the controlled and isolated conditions of an experiment.

(Durant, 1926, pp. 101 – 102)

This “observation and experimentation” theory of knowledge would not be explicitly expressed until Francis Bacon (1561 – 1626).

Socrates and Plato saw their view as antagonistic to Aristotle’s. After Plato’s death, Aristotle was twice denied appointment as head of Plato’s Academy. So Aristotle opened his own Lyceum. Plato thought that Aristotle’s view did not take account of the true nature of human beings, and Aristotle thought Plato was a misguided mystic. Neither man reached across the rift. Plato and Aristotle started out as friends and collaborators. They became competitors. They could have continued as collaborators. Each one’s worldview could have enriched and enlarged the other’s, instead of denying the validity of the other’s. But the all-or-nothing antagonism that arose between them has continued to characterize conflicting views of psychology to the present day. Both points of view reflect important aspects of human experience. It is possible to bridge the rift. Aquinas explicitly attempted to do so, but few other authors have. There were many commentaries on Socrates, Plato, and Aristotle, but, except for the teachings of Jesus, there was almost no original psychological thinking in Europe for the next 2000 years.

The careers of Socrates and Plato coincided with the development of democracy in Athens and with a dawning recognition of the value of the individual’s voice and life, as dramatized by Euripides (480 – 406) in *Women of Troy* (415 B.C./2004). That play is set at the conclusion of the Trojan War, as the women and children of Troy are about to be taken away as slaves and concubines by the conquering Greeks. It is told sympathetically from the point of view of the women, who object, to no avail. It was

produced during the Peloponnesian War, and is considered a likely commentary on the capture of the Aegean island of Melos and the slaughter and subjugation of its populace by the Athenians (The Trojan Women, Wikipedia, 2008).

Hippocrates (460 – 377), who represents a separate stream of thought from either Socrates or Aristotle, is considered the father of modern medicine and thus of modern psychiatry. His views endure in the naturalistic assumptions of present, scientific psychology that all ills can be accounted for by natural causes. He lived on the Greek island of Cos at about the same time as Plato and Aristotle. He is mentioned by name by Socrates in *Phaedrus*; and he is thought to have visited Athens at least once. There is a possibility that he was many people bearing the same name from generation to generation. The father of medicine does not appear to have involved himself in the debate between Plato and Aristotle. Had he been asked to, he probably would have sided with Aristotle, because Hippocrates turned away from the idea that illnesses were caused and could be cured by divine action. Instead he used observation of the body as a basis of medical knowledge and treatment. Prayers and sacrifices did not hold the central place in his theories. He taught that all diseases, including mental illnesses, had natural causes, and he prescribed changes in diet, drugs, and keeping the body in balance, that is, keeping the four humors in balance. The four humors or elements were blood, phlegm, black bile, and yellow bile. The humors were not, in fact, the cause of anything; but the idea that there were physical causes to all diseases was progress.

Aristotle taught that the ideal was to achieve happiness in this life by living according to the golden mean. Socrates believed that there were more important things in life than happiness. He valued truth over happiness, especially moral truth. He believed

that the most important things were to grow in wisdom and character, to figure out who one was, and to be true to one's self, even if that meant, as it did in his case, committing suicide. Socrates and Plato believed in an immortal human soul that pre-exists our present life and survives it. They thought that one's place in the afterlife has something to do with one's development in this. They hoped that they would be with others whose philosophical and moral development was similar to their own, and they believed that their souls would be happy to escape the prison of this mortal, material existence. Socrates and Plato were dualists: they believed that the soul and body are distinct and that the soul is more important than the body.

After Socrates and Plato, happiness in this lifetime was the prime good of the Pagan philosophers. The Epicureans sought happiness in moderation. The stoics sought happiness by letting go of attachment to earthly things, which is comparable to Buddhism without a soul. Galen (130 – 201 A.D.) sought to control the emotions through reason, an idea comparable to today's cognitive therapy. Although western languages developed separate words for "spirit" and "soul," e.g. the Latin words *spiritus* and *animus*, most writers continued to make no distinction between them. One exception was the Egyptian Neoplatonist Plotinus (205 – 270 A.D.) who experienced mystical trances in which he saw reality existing at four levels: (1) the supreme level of the divine One; (2) the level of Spirit, which includes the intellect and is a reflection of the One; (3) the level of the Soul, which can look upward toward Spirit or downward toward nature and the world of the senses; and (4) the world of physical reality (Hunt, p. 43).

*From Jesus to the Enlightenment*

*Jesus*

Jesus re-introduced and greatly expanded the idea that happiness is not the point of life. Jesus asked people to love God, to love God's children, and to grow in soul and spirit while they live on this earth. Each one of these goals may entail failure and pain, as it did for Jesus and his disciples. Jesus embodied the revolutionary psychological ideas of loving your enemies, forgiveness no matter what, mercy, and equality. Jesus further expanded the value of the individual voice and life. He indicated that he would leave the whole flock to search for one lost sheep (Luke 15:4-7). He ate and drank with "sinners and tax collectors" (Mark, 2:16) and, which was unusual for his time, with women as equals. His disciple Paul wrote, "There is neither Jew nor Greek, neither free nor slave, neither male nor female; for you are all one in Christ Jesus" (Galatians 3: 28). Paul is credited with recommending that wives be obedient to husbands, rather than their equal. These passages have been uncritically accepted by as well educated a Christian as C. S. Lewis (1952). Paul did not write all of the "Epistles of Paul," and some of Paul's strictures for women are "best explained as a gloss introduced into the text by the second- or third-generation Pauline interpreters who compiled the pastoral epistles" by which time there was a conscious effort to restrict the roles that women had played in the first-generation Pauline churches (Hayes, 1997, p. 247). In *Reading the Bible Again for the First Time: Taking the Bible Seriously but Not Literally*, theologian Marcus Borg wrote that the "passages speaking of the subordination of women and wives are all found in letters most likely not written by Paul" with a couple of possible exceptions (2001, p. 262). Paul mentioned the names of 40 persons who were actively involved in his missionary

enterprise; of these, 16 were women (Koester, 1997). Paul had a great deal of patriarchic culturalization to overcome. Borg pointed out, “Paul grew up in Tarsus, where women wore the complete chadar in public, completely covering them from head to foot (including their faces)” (2001, p. 262). For the first three centuries after Jesus’s death, women actively sought an equal role in the Christian church. Eventually the Catholic Church excluded women from the priesthood as it does today (Pagels, 1979).

Christianity added a new meaning to the word “spirit.” The Holy Spirit, *Spiritus Santus*, descended on the disciples at Pentecost and is an aspect of the tripartite unity of God. Many Christians believe that they receive the Holy Spirit at baptism and that they can call upon the Holy Spirit when they have need. Many believe that they physically feel when the Holy Spirit enters them.

After defeating the opposing army when his troops wore the sign of the cross on their shields, Constantine (285 – 337 AD) converted to Christianity and signed the Edict of Milan, which ended official persecution of Christians, and, sadly, marked the beginning of a far greater persecution of Christians by each other (Kirsch, 2004). Kirsch attributed the increased persecution to monotheism’s comparative closed-mindedness toward differing beliefs. Constantine also began the “transformation of Christianity from the religion of the oppressed to the religion of the rulers and of the masses manipulated by them. . . . Christianity, which had been the religion of a community of equal brothers, without hierarchy or bureaucracy, became ‘the Church,’ the reflected image of the absolute monarchy of the Roman Empire” (Fromm, 1963, p. 60). Fromm attributed these changes to the corrupting influence of power and to the human tendency to retreat from the revolutionary consciousness of people like Jesus into the safety of obedience. He felt

that mentally healthy people are those who preserve their revolutionary awareness and continue to question authority. Constantine convened the Council of Nicaea in 325 to formally decide what Christians believe and what would go in the *Bible*. Thereafter, until around 1600, European thought was expressed mostly by writers who saw themselves as Christian and Catholic.

From early on, many Church writers have condemned sexual promiscuity and some have condemned all sexual expression even in marriage. They drove the sexual side of humans into the shadows where it has exerted enormous irrational power through the present day. Sexuality is a side of spirituality that must be recognized and included in a complete theory of spiritual-psychological counseling.

Many Christian writers were comfortable with the abstract ideals of Plato. They did not, however, accept Plato's belief in the pre-existence of souls. That belief was declared heretical. Church writers admired Aristotle's intellect but they rejected his call for objective evidence. The Church was the final authority on what was true or not, including the reality of miracles. Many believed, as did Augustine (354 – 430), that whatever humankind has learned that is useful is already contained in the Scriptures (Hunt). A reconciliation of Aristotle and the Catholic Church was accomplished by Thomas Aquinas (1225 -- 1274). Aquinas attempted to prove the truths of doctrine, including the existence of God, through reason. He established a two-part epistemology: human-beings learn as much as they can through experience and reason, but when revelation contradicts their experience and reason, they must accept revelation. He also believed in the dualism between the body, on the one hand, and the soul or mind on the other.

### *The Printing Press and the Reformation*

Another giant leap forward in the value of the individual's voice and life followed the invention of moveable type and the printing press by Johann Gutenberg in the 15<sup>th</sup> Century. The first book he published, from 1454 to 1456, was a Latin Vulgate version of the Bible; that is, it was entirely in Latin, with none of the original Greek. In 1522, Martin Luther published his German translation of the New Testament. The Catholic Church condemned the book and ordered it burned, on the grounds that laymen were not qualified to read the Bible and interpret it. The Church would tell the laity what to think. Luther is still referred to by the New Advent Catholic Encyclopedia as "the leader of the great religious revolt," and Luther's call for "a universal priesthood of all Christians" is still termed a call for "anarchy" (2007), which, we are told, he regretted toward the end of his life. To avoid anarchy, the Church was, at the time, burning people at the stake. Luther founded Protestantism and his Bible formed the standard for the German language. In 1604, King James appointed a committee of 50 clerics and scholars to write an English translation of the Bible. In 1611, the King James Version of the Bible was published. It, along with King James's contemporaries, Shakespeare and Bacon, set the standard for the English language (Nicolson, 2003).

The Reformation would have another unforeseen long-term effect. In Medieval Europe, a Doctorate in Theology took 10 years to earn, far longer than a degree in law or medicine (Principe, 2006). Theologians had schooling, knowledge, and authority. After the Reformation, anyone could interpret scripture. Although there are still theologians with schooling and knowledge in the 21<sup>st</sup> Century, they no longer have authority and their work is unknown to most people.

If Gutenberg had wanted to publish a book on psychology, instead of the *Bible*, he could not have done so, because the word did not yet exist. According to Hunt, the term “psychologia” was first used by a Serbo-Croatian writer named Marulic in 1520. The same term was used by the German writer Rudolf Goeckel in 1590. The word “psychology” was first used in English in 1653. According to the *Oxford English Dictionary* (2006) it originally meant “the study or consideration of the soul or spirit.” In English, the “soul” was thought to be the immortal part of the mind. In Latin the words *animus* and *anima* were used more or less interchangeably to mean either soul or mind, except that “the rational principal in man” was usually connoted by the masculine form *animus*, and only rarely by *anima*, the feminine form (*Oxford Latin Dictionary*, 1982; Simpson, 1960). Latin contained the word *spiritus*, which also meant spirit or soul. Latin does not appear to have distinguished between the meaning of spirit (*spiritus*) and soul (*animus/anima*).

### *The Enlightenment*

Will Durant commented that, after the death of Aristotle, “for a thousand years darkness brooded over the face of Europe. All the world waited the resurrection of philosophy” (1933, p.106). Durant dismisses stoicism and Epicureanism, the philosophies of Imperial Rome, as the philosophies of masters or slaves, neither of whom could afford to be overly sensitive. When the Roman Empire passed into the Papacy, “dogma, definite and defined, was cast like a shell over the adolescent mind of medieval Europe.” Then in the thirteenth century, all Christendom was startled and stimulated by Arabic and Jewish translations of Aristotle; but the power of the Church was still

adequate to secure, through Tomas Aquinas and others, the transmogrification of Aristotle into a medieval theologian. The result was subtlety, but not wisdom.

(Durant, 1933, p. 116)

Durant reviewed the progress made in astronomy, magnetism, and electricity in the 1400s and the 1500s, and described what happened next:

As knowledge grew, fear decreased; men thought less of worshipping the unknown, and more of overcoming it. Every vital spirit was lifted up with a new confidence; barriers were broken down; there was no bound now to what man might do. . . . It was an age of achievement, hope and vigor; of new beginnings and enterprises in every field; an age that waited for a voice, some synthetic soul to sum up its spirit and resolve. (Durant, 1933, p. 117)

### *Francis Bacon*

That voice, according to Durant, was Francis Bacon (1561 – 1626). Bacon did not create a new philosophy. He created a new approach to knowledge. Bacon believed that he was building on Aristotle. Aquinas had compromised Aristotle. For Aquinas, if divine revelation contradicted evidence and logic, divine revelation won. For Bacon, the priorities were reversed: science and objectively measurable knowledge came first.

Bacon believed that the thinking of Europe was stuck and that a new method was needed to move civilization forward. That method consisted of observation and experimental testing of inductive conclusions. Patience and hard work were necessary. Falsification advanced knowledge as much as confirmation. Bacon believed that the most important knowledge was knowledge that had a practical application.

In order to observe and induce well, it was necessary to clear the mind of all old assumptions. In *The Advancement of Learning* (originally published 1603 – 1605), Bacon warned of three obstacles or “distempers of learning.” These obstacles included fantastical learning or vain imaginations: ideas that lacked any substantial foundation and were professed mainly by charlatans, ideas such as astrology, magic, and alchemy. The second obstacle was contentious learning or vain altercations. This refers to intellectual endeavor in which the principal aim is not new knowledge but endless debate. The third obstacle was delicate learning or vain affectations; this was the valuing of style over substance. The three distempers had two faults in common: they demanded “prodigal ingenuity” and they yielded “sterile results.” They wasted talent. What was needed was a program to re-channel creative energy into socially useful new discoveries (Francis Bacon, *The Internet Encyclopedia of Philosophy*, 2007).

In Book II of *De Dignitate* (his expanded version of the *Advancement*) Bacon outlined his scheme for a new division of human knowledge into three primary categories: History, Poesy, and Philosophy. Concerning this classification *The Internet Encyclopedia of Philosophy* said:

Although the exact motive behind this reclassification remains unclear, one of its main consequences seems unmistakable: it effectively promotes philosophy – and especially Baconian science – above the other two branches of knowledge, in essence defining history as the mere accumulation of brute facts, while reducing art and imaginative literature to the even more marginal status of “feigned history.” Evidently Bacon believed that in order for a genuine advancement of learning to occur, the prestige of philosophy (and particularly natural philosophy)

had to be elevated, while that of history and literature (in a word, humanism) needed to be reduced. (2007, unpaginated)

In the *Novum Organon* (originally published in several parts from 1608 to 1620), Bacon wrote that people must clear their minds of four errors, or “idols,” to which all human thinking was prone. Those errors were: Idols of the Tribe, that is, fallacies that were natural to all humans; Idols of the Cave, errors that were peculiar to particular individuals due to the distortion of the light as refracted within their private caves; Idols of the Marketplace, which arose from commerce and association among human beings; and Idols of the Theatre, which came from past dogmas and philosophers. “These I call *Idols of the Theatre*, because in my judgment all the received systems of philosophy are but so many stage-plays, representing worlds of their own creation after an unreal and scenic fashion” (quoted in Durant, 1933, p. 145). Idols of the Theatre were most likely to be encountered in three types of philosophy: “sophistical philosophy” in which a philosophical system is based on a few casually observed instances; “empirical philosophy,” in which an entire system is based on a single key insight; and “superstitious philosophy.” Concerning “superstitious philosophy,” *The Internet Encyclopedia of Philosophy* said:

This is Bacon’s phrase for any system of thought that mixes theology and philosophy. He cites Pythagoras and Plato as guilty of this practice, but also points his finger at pious contemporary efforts, similar to those of Creationists today, to found systems of natural philosophy on Genesis or the book of Job. (2007, unpaginated)

By condemning “superstitious philosophy,” Bacon expressly discouraged integration of the scientific and spiritual world-views. He criticized Plato and explicitly continued the antagonism between the Platonic world-view and the Aristotelian; he put science at odds with religion. From now on, literate Western thinkers would have to ask themselves which side they are on. Some will see all attempts to mix religion and science as attempts to infect learning with the disease of superstition and as threats to the progress of the human race. In this paper, I will continue to look for thinkers who accepted both world-views, and see if they can provide a model for integrating spirituality and science in contemporary psychology, and especially in contemporary psychotherapy.

Bacon coined the phrase “knowledge is power” and he helped invent the idea of progress: the idea that human beings are engaged in a struggle with nature which they can “win,” and that each victory marks a step forward. Bacon lived at a time when writers were expected to make regular obeisance to queen (Elizabeth I) and king (James I) and God. He bowed to all three, but most of his essays were practical, not metaphysical. He discussed the existence of God briefly in *Of Atheism*, where he said:

I had rather believe all the fables in the Legend, and the Talmud and the Alcoran, than that this universal frame is without a mind. . . . A little philosophy inclineth a man’s mind to atheism; but depth in philosophy bringeth men’s minds about to religion. For while the mind of man looketh upon second causes scattered, it may sometimes rest in them and go no further; but when it beholdeth the chain of them, confederate and linked together, it must needs fly to Providence and Deity. . . . Atheism is in

all respects hateful, so in this, that it depriveth human nature of the means to exalt itself, above human frailty. (Bacon, *Of Atheism*, 1597/2007)

Bacon did not address psychological questions. He did make aphoristic observations about human nature and recommended observation and study of individuals and society. He advocated studying everything, including magic, dreams, telepathic communications, and psychical phenomena. Durant (1933) concluded:

His philosophical works, though little read now, “moved the intellects which moved the world” [quoting Macaulay]. He made himself the eloquent voice of the optimism and resolution of the Renaissance. Never was any man so great a stimulus to other thinkers. (p. 156)

Bacon defined a quality of the scientific view that the spiritual view was missing: hard-nosed realism and an objective search for knowledge, which lead to material progress.

The next major thinker who explicitly addressed psychological questions was Descartes (1596 – 1650). Descartes was a rationalist who started by doubting everything. He was also a nativist; that is, he believed that the mind produces ideas that are not derived from external sources. He believed that the idea of God is innate. And he believed in the dualism of the body and the mind/soul. He believed that the body and soul are separate but that they interact. Descartes feared excommunication by the Catholic Church, and therefore moved to Protestant Holland and then to Sweden. He avoided excommunication, but caught pneumonia in the cold of a Swedish winter and died.

Next in the development of Western psychological thought came the English empiricists. They lived in seventeenth and eighteenth century England where they were able to write and publish despite the fact that Thomas Hobbes was an avowed materialist

and suspected atheist, John Locke was an advocate of religious toleration, and David Hume was an agnostic even on his deathbed. They were called empiricists not because they conducted empirical experiments, but because they believed that human ideas arise from each person's empirical interactions with their environment. Hobbes (1588 – 1679) had served as secretary to Francis Bacon (Durant, 1933, p. 157). He believed that reality is material and that "soul" is only a metaphor. He was accused of being an atheist, but denied it. He thought all ideas are the motion of atoms in the nervous system reacting to the motion of atoms in the external world. Simple thoughts arise from experience, and complex thoughts derive from simple ones by means of a train of ideas. In *Leviathan* (1651) he advocated autocratic government, such as monarchy, because without a ruling power to enforce civilized behavior, life is inevitably "solitary, poor, nasty, brutish, and short." He thought democracies were unworkable because humans are inherently fearful and therefore dangerous. In a state of nature, Hobbes believed people would not recognize any natural moral law. Things that we want we call "good;" things that we dislike we call "bad." Hobbes believed that "there is no naturally given hierarchy amongst human beings and therefore everybody sees himself as having a natural right to anything which he desires even when others want it too" (Thomas Hobbes, *Thoemmes Continuum, The History of Ideas*, 2006). Without strong government, humans would attack each other in pursuit of individual power. Humans seek power to fulfill their selfish desires and to protect themselves from the anticipated aggression of others. Hobbes believed that all humans are born equal and will try to gain unequal advantage.

John Locke (1632 – 1704) believed that all humans are born equal and have an obligation as children of God to care for one another. Locke argued against the divine

right of Kings. His position is that legitimate rulers govern with the consent of the governed. In *An Essay Concerning the True Original Extent and End of Civil Government* (1690), Locke wrote:

The state of Nature has a law of Nature to govern it, which obliges every one, and reason, which is that law, teaches all mankind who will but consult it, that being all equal and independent, no one ought to harm another in his life, health, liberty or possessions; for men being all the workmanship of one omnipotent and infinitely wise Maker; all the servants of one sovereign Master, sent into the world by His order and about His business; they are His property, whose workmanship they are made to last during His, not one another's pleasure. And, being furnished with like faculties, sharing all in one community of Nature, there cannot be supposed any such subordination among us that may authorize us to destroy one another, as if we were made for one another's uses, as the inferior ranks of creatures are for ours. Every one as he is bound to preserve himself, and not to quit his station willfully, so by the like reason, when his own preservation comes not in competition, ought he as much as he can to preserve the rest of mankind, and not unless it be to do justice on an offender, take away or impair the life, or what tends to the preservation of the life, the liberty, health, limb, or goods of another. (Book II Chapter 2, Paragraph 6)

Locke thought that people have no innate ideas, that humans are blank slates, or *tabula rasa*, at birth. People get their ideas from sensation and reflection. They combine simple ideas to form complex ones. He thought that it is not possible to determine if mind is entirely physical or if there is some “thinking immaterial substance” (Locke, *Essay*

*Concerning Human Understanding*, Bk IV, chap 3, para. 6, 1689). Locke thought that the idea of God is not innate because some people do not have that idea. We derive our idea of God from “the visible marks of extraordinary wisdom and power . . . in all the works of creation” (*Essay*, Bk 1, chap 4, secs 8 -- 9). He believed that our ideas of right and wrong are not innate because history shows such a range of moral judgment; and, therefore, morality must be socially acquired. Although Locke’s style was prolix, his impact on world thinkers, such as Thomas Jefferson, was immense. After Locke, it was difficult for writers and speakers to assert that some humans were not of equal value to some others, unless they defended that position.

Bacon’s optimism that humans could figure out the universe was vindicated by the career of Isaac Newton (1642 – 1742). Simultaneously with Leibniz, Newton invented calculus. Newton discovered the laws of gravitation, color, and the three laws of motion, which until the 20<sup>th</sup> Century were thought, along with the laws of thermodynamics and Maxwell’s equations relating to electricity and magnetism, to explain the entire physical universe (Hirsch, Kett, & Trefil, 1993). Newton believed in God and thought that there was no conflict between religion and science (Seeger, 1983). Newton took for granted that both the physical and the spiritual realms were real; that humans sought knowledge in both; that each realm shared its knowledge and cooperated with the other. According to historian Lawrence Principe (2006), most scientists and theologians shared this cooperative attitude until science asserted its professionalism and separate identity in the 19<sup>th</sup> Century.

Rousseau (1712 – 1778) thought even better of human nature than Locke. He believed that humans are basically good, but that society corrupts them. Rousseau’s ideas

inspired the French Revolution; Locke's the American Revolution. The conflict between those who think humans are innately selfish and untrustworthy, as Hobbes did, and those who think that humans are prone to reason and prosocial conduct, as Locke and Rousseau did, continues today in differing approaches to psychotherapy. Freud believed that monsters from the id are barely held in check by the defense mechanisms of the ego and by the autocratic demands of the superego. Carl Rogers, on the other hand, saw humans as wanting to do the best thing, if given the opportunity. Rogers, whose ideas are discussed extensively in this paper, was once called "the successor to Rousseau" (by D. E. Walker in a letter to the *Journal of Counseling Psychology*, referenced with refreshing candidness by Rogers himself in *A Note on "The Nature of Man,"* 1957).

David Hume (1711 – 1776) thought that the idea of soul was an "unintelligible question" not worth discussing and the idea of an after-life was "a most unreasonable fancy" (Hunt, 1993, pp. 84 - 85). He was an associationist. He examined ways in which humans develop complex ideas through a chain of association of simpler ideas. Our associations are based on resemblance, contiguity in time and place, and cause and effect. He wrote that we assume causality because one thing customarily follows another, but we can never prove actual cause and effect. The most we can prove is correlation. This limitation remains a difficulty for psychology today. One of the first things I was taught on returning to school to study psychology in 2000 was: Correlation does not equal causation.

### *Immanuel Kant*

Immanuel Kant (1724 -- 1804) suggested that we cannot know reality through either pure reason as the rationalists and Platonists contended or from a purely empirical

approach since we can never be certain that our senses are providing us with a complete and accurate picture of other people and things, or even of ourselves. Instead, we know reality by synthesizing our perceptions. We do this by applying categories to our experience. We see those categories in the world that our minds are built to recognize. If our minds did not recognize these aspects of experience, we would stumble blindly. Those categories are: (1) quantity: unity, plurality, and totality; (2) quality: reality, negation, and limitation; (3) modality: possibility – impossibility, existence – nonexistence, necessity – contingency, and (4) relation: inherence and subsistence, causality and dependence, and community. These categories are not innate ideas. Kant “argues that the mind provides a formal structuring that allows for the conjoining of concepts into judgments, but that structuring itself has no content. The mind is devoid of content until interaction with the world actuates these formal constraints. The mind possesses a priori templates for judgments, not a priori judgments (Immanuel Kant, *The Internet Encyclopedia of Philosophy*, 2006). Kant said that these categories allow us to learn, but they also limit what we can know and how we can know it. Kant’s cognitive categories are similar to Jung’s archetypes, which are also forms devoid of content. Jung’s ideas are discussed further in Chapters 3 and 8.

Kant arrived at a rule for right action based on pure reason, without resorting to authority. His categorical imperative requires that we act as if the maxim of our action will become, by our will, a universal law of nature. It requires us to relate to all humanity, whether in our own person or that of any other, always as an end and never as a means. Kant sensed that the human mind and spirit leap upward in an ascending trajectory of development that seems aimed for a higher spot than our natural lifespan allows us to

attain. Based on this and on the existence of the moral categorical imperative, which he thought most people feel within themselves, Kant argued for the existence of God and the immortal soul as follows:

1. The *summum bonum* is where moral virtue and happiness coincide.
2. We are rationally obligated to attain the *summum bonum*.
3. What we are obligated to attain, it must be possible to attain.
4. If there is no God or afterlife, it is not possible to attain the *summum bonum*.
5. God and the afterlife must exist.

(Moral Arguments for the Existence of God, *Stanford Encyclopedia of Philosophy*, 2008)

Kant did not consider this a proof that God does exist. He considered it a proof that there is good reason to think that God may exist. “Kant was a highly religious person, but he felt that morality should not be reliant upon God, but upon logic” (Mellilot, p. 1, 2008). Kant did not, therefore, attempt to integrate the spiritual world-view with the materialistic-scientific world-view as had Aquinas. Instead he attempted to construct an independent system based on pure reason, which would serve humans whether God exists or not.

Contemporaneously with Kant, the Englishman Jeremy Bentham (1748 – 1832) developed the ethics of utilitarianism. Bentham advocated a quantitative utilitarianism, including a hedonistic calculus. He urged lawmakers to use the greatest happiness of the greatest number as their standard for enacting legislation. At that time, it was revolutionary to suggest that legislators should consider any interest other than their own,

or their family's, or the interests of their class, in making laws. John Stuart Mill was a disciple of Bentham. In *Utilitarianism* (1887), Mill tried to make utilitarianism more palatable by suggesting a qualitative hedonism whereby an unhappy philosopher was deemed more valuable than a happy pig. This approach was criticized as logically inconsistent. If one happiness is better than another, then that betterness would have to be judged by some standard external to happiness.

Kant was critical of all utilitarians. He felt utilitarianism devalued individuals because it would justify sacrificing one person for the benefit of others if the utilitarian calculations predicted more benefit. Such a sacrifice would treat that person as a means, not as an end. Happiness is contingent, unstable, and highly variable from individual to individual. One could predict that certain acts would lead to happiness and that prediction could turn out to be completely wrong. Therefore, Kant felt that reason was a better guide to moral action and that the immediate result of moral action might well be *unhappiness*. The true measure of a moral person is if that person acts morally when it does not come naturally and does not lead to immediate good feelings. Kant felt that there are times when our actions, or the actions of others, lead to immediate gratification but make us uneasy nonetheless. That uneasiness is an indication that "our existence has a different and far nobler end, for which, and not for happiness, reason is properly intended" (Kant, *Fundamental Principles of the Metaphysic of Morals*, 1785). Kant wrote, "morality is not properly the doctrine of how we should make ourselves happy, but of how we should become worthy of happiness" (Immanuel Kant, Wikiquote, 2008). Using reason as a guide, in order to make moral choices, may not produce personal happiness, but it will produce character and, perhaps, ultimately, some measure of contentment.

Despite these intellectual feats, Kant did not think that humans can know much about the nature of reality based on the application of reason alone. He thought of himself as a realist and a freethinker. He believed that “our knowledge is constrained to mathematics and the science of the natural, empirical world [and that] it is impossible to extend knowledge to the supersensible realm of speculative metaphysics” (Immanuel Kant, *The Internet Encyclopedia of Philosophy*, 2008). He urged science to free itself from the dictates of external authority and to rely on evidence.

### *Auguste Comte*

Auguste Comte (1798 -- 1857) is the founder of positivism, also known as logical positivism, which contends that sense perceptions and logical inferences based thereon are the only admissible basis of human knowledge. He was also the father of sociology, a term that he coined. He influenced the thinking of 19<sup>th</sup> and 20<sup>th</sup> Century scientists. As recently as 1959, Carl Rogers wrote that positivism “is settled dogma” in psychology (Rogers, 1989a, p. 232). Comte wrote that the history of science shows that each science passes through three successive stages: the theological, when humans use supernatural explanations of events; the metaphysical, when human use abstract ideas and obscure forces to explain events, such as occurred during the French Revolution, which he lived through and saw as a disaster; and the positive stage, when the true causes of natural events are explained scientifically.

Comte saw progress through these stages as inevitable and irreversible. If the scientific attitude could be applied to all aspects of life, this would lead to a complete and beneficial restructuring of the social order. Sociology would discover the laws of social dynamics that would lead to these advances.

Thus, at the outset of the 19<sup>th</sup> Century, the century in which psychology was to emerge as a science in its own right, leading thinkers in France, Germany, and England were advocating a separation of science and religion similar to the constitutional separation of church and state that exists in the United States. Once again, little interest was expressed in seeking ways to combine the scientific and spiritual views of reality into one comprehensive theory. In the next chapter, I will look at the birth of psychology as a science and how that affected the relationship between psychology and spirituality in the 19<sup>th</sup> Century and the beginning of the 20<sup>th</sup> Century.

## CHAPTER III

THE RELATIONSHIP BETWEEN PSYCHOLOGY AND SPIRITUALITY FROM  
THE BIRTH OF THE SCIENCE OF PSYCHOLOGY THROUGH FREUD AND JUNG

In the first half of the 19<sup>th</sup> Century there was an explosion of research in the physiology of the sense organs and perception, especially in Germany. Johannes Müller (1801 – 1858) investigated the properties of optical and auditory nerves and their connections to the brain. In the early 1830s at the University of Leipzig, Ernst Heinrich Weber (1795 – 1878) measured just noticeable differences. He asked subjects to lift one weight, and then to lift a second weight, and to say which was heavier. Weber showed by experiment that the heavier the first weight, the greater the difference required in order for a subject to perceive the difference. However the ratio between the greater and the smaller weight remained a constant; that is, the magnitude of the weight of the first stimulus divided by the magnitude of the second remained the same. Weber showed that this held true for all stimuli, e.g. differences in the brightness of two lights and differences in the pitch of two tones. This is known as Weber's Law, and according to Hunt, it was "the first statement of its kind – a quantitatively precise relationship between the physical and psychological worlds" (Hunt, 1993, p. 114).

Herman von Helmholtz (1821 – 1894) studied under Müller and went on to investigate perception in terms of the physics of the sense organs and nervous system. He was the first to measure the speed at which an impulse travels along a nerve. He studied how humans perceive colors. He theorized that humans learn primarily through trial and error, rather than by applying inbuilt categories to our sensations. He demonstrated that this was possible by having people wear glasses that made objects appear to be positioned

to their left. The subjects learned to reach to the left. When the glasses were removed, they continued to reach to the left for a short period of time until their eyes and minds re-adjusted.

Gustav Theodor Fechner (1801 – 1887) demonstrated that geometrical increases in the strength of a stimulus were required to produce arithmetical increases in the strength of the corresponding sensation. For example, “in terms of energy delivered to the ear, an average clap of thunder is many times as powerful as ordinary conversation; in terms of decibels – a decibel is the smallest difference in loudness the human ear can recognize – it is only twice as loud” (Hunt, 1993, pp. 123 –124). Fechner showed that, for all sensations, the relationship between the increase in the strength of the stimulus and the increase in the sensation perceived follows a formula now called Fechner’s Law. Objective measures of stimulus strength already existed. But human perceptions are subjective. Therefore some had thought, including Kant, that they could not be objectively quantified and measured. In order to measure the strength of human perceptions, Fechner developed three methods (borrowing and perfecting two and inventing a third) of measurement that are still used by experimental psychologists today.

### *Monism*

In 1845, a group of young physiologists, including some students of Weber, formed the Berlin Physical Society “to promote their view that all phenomena, including neural and mental processes, could be accounted for in terms of physical principles” (Hunt, 1993, p. 114). Until now, most thinkers who had considered the mind and the body were dualists: they thought that the mind was qualitatively different from the body, and that mind and body could not be studied in the same way. Many believed that the

mind is where the physical body and the eternal, non-physical soul meet. Thomas Aquinas, for example, thought that some of our mental functions, such as the perceptions of our senses, are handled by a physical, perishable part of our minds, but that the higher functions of abstract and moral thought are handled by a part of the mind that is spiritual and will survive our deaths. The German physiologists were monists; they thought that all human life is physical and can be studied as such. The position of the monists was strengthened by the publication of Darwin's *The Origin of Species* (1859) and *The Descent of Man* (1871/1998). Darwin claimed that *The Origin of Species* was based on "Baconian principles" (Francis Bacon, *The Internet Encyclopedia of Philosophy*, 2008). For many 19<sup>th</sup> Century scientists, Darwin's work confirmed that humans are physical and animal and nothing more.

#### *Charles Darwin*

Charles Darwin (1809 – 1882) had studied divinity at Cambridge before embarking on the *Beagle*. At that time he had believed in God and in the divinity of Jesus. Over the course of his life, his beliefs changed. Darwin started *The Origin of Species* (1859) with this quotation from Bacon:

"To conclude, therefore, let no man out of weak conceit of sobriety, or an ill-applied moderation, think or maintain, that a man can search too far or be too well studied in the book of God's word, or in the book of God's works; divinity or philosophy; but rather let men endeavor an endless progress or proficience in both." Bacon: Advancement of Learning. (quoted in Darwin, *The Origin of Species*, 1859/1915, vol. 1, p. xii)

When Darwin began *The Origin of Species*, he believed he was researching God's works and describing God's laws. By its publication he was a self-declared theist; so the last sentence of *The Origin of the Species* was: "There is grandeur in this view of life, with its several powers, having been originally breathed by the Creator into a few forms or into one; and that, whilst this planet has gone cycling on according to the fixed law of gravity, from so simple a beginning endless forms most beautiful and most wonderful have been, and are being evolved" (Darwin, *The Origin of Species*, vol. 2, 1859/1898, pp. 305 – 306). After the death of his daughter Annie, he lost faith in a beneficent God and by age 40 he was no longer a Christian. He continued to give support to the local church and to help with parish work, but on Sundays he would go for a walk while his family attended church (Charles Darwin's views on religion, Wikipedia, 2007).

By 1873 he was an agnostic and remained an agnostic, but, at his own insistence, not an atheist, until his death. *The Autobiography of Charles Darwin* was published posthumously. Quotations about Christianity were deleted by Darwin's wife Emma and his son Francis for the stated reason that the statements were deemed dangerous for Charles Darwin's reputation. Only in 1958 did Darwin's granddaughter Nora Barlow publish a revised version which reinstated the omissions. The revised *Autobiography* (C. Darwin & N. Barlow, 1958) included the following statements:

I can indeed hardly see how anyone ought to wish Christianity to be true; for if so the plain language of the text seems to show that the men who do not believe, and this would include my Father, Brother and almost all my best friends, will be everlastingly punished. And this is a damnable doctrine. . . .

The old argument of design in nature, as given by Paley, which formerly seemed to me so conclusive, fails, now that the law of natural selection had been discovered. We can no longer argue that, for instance, the beautiful hinge of a bivalve shell must have been made by an intelligent being, like the hinge of a door by man. There seems to be no more design in the variability of organic beings and in the action of natural selection, than in the course which the wind blows.

Everything in nature is the result of fixed laws. . . . (p. 87)

That there is much suffering in the world no one disputes. Some have attempted to explain this in reference to man by imagining that it serves for his moral improvement. But the number of men in the world is as nothing compared with that of all sentient beings, and these often suffer greatly without any moral improvement. A being so powerful and so full of knowledge as a God who could create the universe, is to our finite minds omnipotent and omniscient, and it revolts our understanding to suppose that his benevolence is not unbounded, for what advantage can there be in the sufferings of millions of the lower animals throughout almost endless time? This very old argument from the existence of suffering against the existence of an intelligent first cause seems to me a strong one; whereas, as just remarked, the presence of much suffering agrees well with the view that all organic beings have been developed through variation and natural selection.

At the present day the most usual argument for the existence of an intelligent God is drawn from the deep inward conviction and feelings which are experienced by most persons. But it cannot be doubted that Hindoos,

Mahomadans and others might argue in the same manner and with equal force in favor of the existence of one God, or of many Gods, or as with the Buddhists of no God. . . .

Formerly I was led by feelings such as those just referred to, (although I do not think that the religious sentiment was ever strongly developed in me), to the firm conviction of the existence of God, and of the immortality of the soul. In my Journal I wrote that whilst standing in the midst of the grandeur of a Brazilian forest, 'it is not possible to give an adequate idea of the higher feelings of wonder, admiration, and devotion which fill and elevate the mind.' I well remember my conviction that there is more in man than the mere breath of his body. But now the grandest scenes would not cause any such convictions and feelings to rise in my mind. It may be truly said that I am like a man who has become colour-blind, and the universal belief by men of the existence of redness makes my present loss of perception of not the least value as evidence. This argument would be a valid one if all men of all races had the same inward conviction of the existence of one God; but we know that this is very far from being the case. Therefore I cannot see that such inward convictions and feelings are of any weight as evidence of what really exists. The state of mind which grand scenes formerly excited in me, and which was intimately connected with a belief in God, did not essentially differ from that which is often called the sense of sublimity; and however difficult it may be to explain the genesis of this sense, it can hardly be advanced as an argument for the existence of God, any more than the powerful though vague and similar feelings excited by music. (Darwin & Barlow, 1958, pp. 90 - 92)

Darwin came to think that the religious instinct and morality were not innate, but had developed with society. Darwin declined a request by the Archbishop of Canterbury to join a “Private Conference” of devout scientists to harmonise science and religion, for he saw "no prospect of any benefit arising" from it (Charles Darwin’s views on religion, Wikipedia, 2007).

Darwinian evolution highlights one aspect in which science is inherently inferior to the spiritual world-view as a guide to life: science and evolution are amoral. For example, in the middle 19<sup>th</sup> Century, many biologists, including Louis Agassiz, thought that the white race was superior to the other races because of “scientific evidence” found in comparative skull size (“craniometry”). After Darwin, many so-called scientists contended that the white race was more evolved than the other races. Both theories have been completely discredited today; there is only one human race. Evolutionary biologist Stephan Gould argued that the above misunderstandings demonstrated bad science combined with the political motivation of those in power (Gould 1974a, b, & c). Gould did not consider the following moral aspects of these “scientific” questions: What if the science had been good; what if one race were defineable as an objectively measurable distinct “subspecies,” and what if that subspecies were superior by some objective standard? Would that have justified mistreating members of other “subspecies”? Would their souls have been worth less? What motivated Gould to figure out a version of reality that is both accurate and fair? It seems to me that spiritual values motivated him. Both Jesus and Buddha taught that all souls are of equal value, whether they be Jew or Samaritan, Brahmin or untouchable. They taught inclusion of people whom their religions had excluded. Historically, however, most religions, and most gods, have been

“as severe towards the out-group as [they were] moral to the in-group” (Kirkpatrick, 1999, p. 939).

Bacon had added to the value of the individual voice and life; because any human being, following Bacon’s methods, could improve himself, herself, and the world. Bacon had continued the split in world-views that began with Plato and Aristotle. For rationalists, Darwin completed the job of setting them at odds with “vain imaginers and superstitious philosophers.” Darwin wrote well and is a powerful voice in support of a non-theistic, non-spiritual view of the world. Since Darwin, the monist point of view has ruled the study of evolution and biology. In a discussion with biologist Edward O. Wilson and journalist Charlie Rose on the Public Broadcast Service on December 14, 2005, James Watson, co-discoverer of D.N.A., said that Darwin is the most important person who has ever lived and that the notion that God has played a creator’s hand in evolution and the development of D.N.A. is simply “foolishness.” Watson said he does not know a single serious scientist who believes in God. Wilson agreed that Darwin is the most important person who has ever lived but thought he might know at least one serious scientist who believes in God. On July 25, 2006, Rose interviewed Francis Collins, Director of the National Human Genome Research Institute and author of *The Language of God: A Scientist Presents Evidence for Belief* (2006), and asked him about Watson and Wilson’s claim to know only one God-believing scientist between them. Collins replied, “They need to get out more.” Collins’s belief that there is more than one scientist who believes in God is supported by Barbour’s 1997 survey of scientists, which found that 39% of American scientists believed in “a God to whom one may pray in expectation of receiving an answer” (Barbour, 2000, p. 1). Nonetheless, to the present day there is little

literature discussing dispassionately the possibility that both random evolution and God's intentional hand may play a part in human development. Historian of science, Lawrence Principe (2006) thought that most Christians believe in both creation and evolution, but that the extreme viewpoints are the ones that demand and get the most attention. One recent book that does discuss that possibility is Kenneth Miller's, *Finding Darwin's God: A Scientist's Search for Common Ground Between God and Evolution* (1999). There is almost no literature discussing the suggestion of biologist Rupert Sheldrake (1997) and psychiatrist Elio Frattaroli that, if there is a supreme intelligence in the universe, that intelligence could be a transcendent, non-random organizing principle that draws evolution upward toward consciousness and intelligence. In that case, human consciousness and intelligence would be a product of both upward evolution from less intelligent life forms and the influence of a "morphogenetic" field that operates as a guiding principle in the universe (Frattaroli, 2001, p. 344 – 345). Frattaroli's suggestions for integrating spiritual and non-spiritual concerns in counseling are discussed in Chapter 10.

Writing near the time of Darwin, the romantic poets objected to what they saw as the shortsightedness of the Baconian approach to knowledge (Durant, 1933). In *Auguries of Innocence* (composition date ca 1800 - 1803, publication date 1863), William Blake wrote of "seeing eternity in an hour and heaven in a wild flower." William Wordsworth, poet laureate of England from 1843 to 1850, objected that the "world is too much with us" (1807a) and that human beings were losing the childlike ability to experience "intimations of immortality" in nature (Wordsworth, 1807b). When Darwin entered Cambridge, many naturalists were also ministers of the church. Nature was seen as demonstrating God's plan (Charles Darwin's views on religion, Wikipedia, 2007). After

Darwin, many scientists stopped taking that view. If God is real, Darwin had by his own admission become colour-blind to His/Her/Their work, and, if Wordsworth was correct, many scientists were becoming deaf to God's voice in nature and within themselves.

Before Darwin, as early as the 1700s, it was becoming evident from the fossil record that the Bible, especially the Old Testament, might not be literally true. Those Christian denominations that did not take the Bible to be a literal history had little trouble accepting Darwin's theory of evolution. The Unitarians and Quakers accepted his theories almost from the start (Reaction to Darwin's theory, Wikipedia, 2007), and, after prolonged debate, the Catholic Church, speaking through Pope Pius XII in the encyclical *Humani Generis* (1950), proclaimed that Catholics could believe whatever science determined regarding human evolution so long as they believed that God infused an eternal soul into that mortal body. Those denominations that took the Bible literally had trouble with Darwin then and now (Bouma, 1996). In a sense, Darwin also came to see the world literally. He saw deeper into the workings of biological nature than perhaps any other scientist before or since. So he may have come to believe that what he saw was all there was and that the questions he asked were the only ones that needed to be asked. For him the mystery had been solved. All that remained was to gather evidence.

For some theologians the greater problem with Darwin's theory of natural selection was that it challenged God's role as creator of the universe and of everything in it. Some Anglicans, and members of other denominations with a comparatively open-minded approach to theological questions, reconciled God and Darwin by supposing that God may have set natural selection in motion and helped it along occasionally (Bouma, 1996).

*Wilhelm Wundt*

At this point in the development of Western thought, the science of Psychology was born. The first European to consider himself a psychologist was Wilhelm Wundt (1832 - 1920). Wundt was perhaps the first person “who can properly be called a psychologist rather than a physiologist, physicist, or philosopher with an interest in psychology” (Hunt, p. 128). He did not believe that the mind existed independently from the body. He consciously tried to develop psychology into a science that used experimentation and objective measurement. In December 1879, at the University of Leipzig, in a private room, which would later be designated the university’s psychology laboratory, Wundt conducted his first experiment. That is the date, according to Hunt, that most authorities recognize as the day psychology was born. The experiments were simple. Most involved a stimulus followed by a mechanical measurement of the subject’s response time combined with a recording of the subject’s conscious sensations and feelings. For example, the experimenter might drop a ball onto a platform that was rigged to start a chronoscope at the exact moment the ball hit. The moment that the subject was aware of the sound, they would strike a telegraph key that would stop the chronoscope. In addition, the subjects were trained to write down their perceptions and feelings throughout the experiment. By varying the task of the subject, the experimenter could determine the different times taken by different tasks. For instance, if the stimulus came in four colors calling for four different responses, the experimenter could measure the times required for both discrimination and choice. Wundt trained hundreds of students in this new science and sent them out into the universities of Europe and America. He continued teaching until age 85 and continued writing until his death at 88.

Wundt rejected the traditional psychological method of introspection, which was one approach used by William James, whose ideas are discussed next. Wundt thought that introspection of this sort was subjective and dealt with unmeasurable phenomena. Wundt's reaction to James's *The Principles of Psychology*, which was generally well received throughout the world, was: "It is literature, it is beautiful, but it is not psychology" (Hunt, 1993, p. 139).

According to the *Oxford English Dictionary* (on-line edition, 2006), by 1879 the word "psychology" no longer connoted the study of the soul. It had come to mean "the scientific study of the nature, functioning, and development of the human mind, including the faculties of reason, emotion, perception, communication, etc.; the branch of science that deals with the human or animal mind as an entity and in its relationship to the body and to the environment or social context, based on observation of the behavior of individuals or groups of individuals in particular, ordinary, or experimentally controlled circumstances."

Until the 19<sup>th</sup> Century, most humans had assumed that a person could be a good priest and a good scientist, that there was no conflict. With the professionalism of science and the rise of the science of psychology, many scientists adopted a philosophic stance of naturalism. As a philosophy, naturalism means a "system of thought holding that all phenomena can be explained in terms of natural causes and laws without attributing moral, spiritual, or supernatural significance to them" (*The American Heritage College Dictionary*, 3<sup>rd</sup> ed., 1993). Principe (2006) pointed out that many scientists who believed in God embraced and embrace today a naturalistic methodology, but not philosophy. A naturalistic methodology recognizes that there may be miracles, but that miracles are by

definition unexplainable. God may be behind much of what occurs on earth; however, as a rule, God must manifest Himself/Herself/ Themselves through secondary causes, which may include the laws of nature and other human beings. God's presence behind secondary causes cannot be proven; therefore, both deistic and atheistic scientists must study secondary causes. Whether or not one believed in God, after the professionalization of science, it was considered “unprofessional” to say so in published scientific work.

*William James*

The first person in the United States to consider himself a psychologist was William James (1842 – 1910). Like Wundt, James had graduated from medical school; also like Wundt his first job was as a professor of physiology, at Harvard in 1872. In 1875, James offered the first class in psychology taught at an American university. James's title was changed from professor of physiology to professor of philosophy in 1880 and to professor of psychology in 1889.

James outlook on life changed from literal as a young man to spiritual as an older man. At the outset of his career, James was a self-avowed pragmatist; that is, he accepted as true no more than is necessary to explain the data. If he believed in a soul, he deemed it irrelevant to the science of psychology, because human states of consciousness and the human sense of self can be explained without it. Metaphysically or theologically the soul may turn out to exist, but for psychology it is “superfluous” (James, *Psychology*, 1892/1948, p. 203). In *Principles of Psychology* (1890), James posited an empirical-self composed of the material-self, the social-self, and the spiritual-self; by “spiritual-self” he meant a person's inner or subjective sense of being. He thought that this sense of being arose from human experience and the continuity of each human being's stream of

consciousness. It was not necessary to postulate a soul apart from the body that observes and maintains a sense of identity.

James was not a monist. He was a “radical empiricist,” a term he appears to have invented. Like empiricists, he believed humans form hypotheses that they test against experience. But he asserted that monism is also a hypothesis. He distinguished monism *not* from dualism but from pluralism, and he was a pluralist. He believed that there is no system of rules that works in every situation or at all times. He believed that this incompleteability characterizes all reality: material and subjective. “Something – ‘call it fate, chance, freedom, spontaneity, the devil, what you will’ – is still wrong and other and outside and unincluded from *your* point of view, even though you be the greatest of philosophers” (James, 1897, p. 135).

James’s father, Henry James, was a religious man who debated philosophic issues with his children at the dinner table. Perhaps in reaction against his father, James was a pragmatic materialist at the start of his career. But he developed into an open-minded pluralist who wrote *The Will to Believe* in 1897 describing how a person could rationally decide to “bet on” God’s existence, and *The Varieties of Religious Experience* in 1902. In the latter part of his career, James considered religion and spirituality in more depth than most psychologists have done before or since. Much of what he had to say could prove helpful in attempting to think through a contemporary, workable theory of spiritual psychology. For these reasons, I have quoted from James at length below.

In a letter, James wrote that in preparing *The Varieties* he had set out “to make the reader believe, what I myself invincibly do believe, that, although all the special manifestations of religion may have been absurd (I mean its creeds and theories), yet the

life of it as a whole is mankind's most important function" (Letter to Miss Frances R. Morse, in *The Letters of William James*, vol II, 1920, p. 127).

In *The Varieties of Religious Experience*, James defined "religion" twice. He defined the subject matter he would be examining. Then, at the conclusion of his considerations, he defined what he had found. At the outset, he decided to eliminate "institutional religion" from consideration. He then wrote, "Religion, therefore, as I now ask you arbitrarily to take it, shall mean for us *the feelings, acts, and experiences of individual men in their solitude, so far as they apprehend themselves to stand in relation to whatever they may consider the divine*" (James, 1902, p. 39, italics in original).

In the conclusion of the book, he wrote:

Summing up in the broadest possible way the characteristics of the religious life, as we have found them, it includes the following beliefs:

1. That the visible world is part of a more spiritual universe from which it draws its chief significance;
2. That union or harmonious relation with that higher universe is our true end;
3. That prayer or inner communication with the spirit thereof – be that spirit 'God' or 'law' – is a process wherein work is really done, and spiritual energy flows in and produces effects, psychological or material, within the phenomenal world.

Religion includes also the following psychological characteristics:

4. A new zest which adds itself like a gift to life, and takes the form either of lyrical enchantment or of appeal to earnestness and heroism.

5. An assurance of safety and a temper of peace, and, in relation to others, a preponderance of loving affections. (p. 418)

In *The Varieties* James defined two spiritual types: the healthy-minded and the sick soul. “Healthy minded” persons are born feeling good about God and themselves and about their fellow human beings. Healthy minded individuals forget sickness and death when it is not in the same room, and they look upon “evil” as an odd mistake that anyone would certainly correct if given the chance. A “sick soul” would say that such blithe spirits have made a religion of naïveté. The “sick souls” can never completely forget the darkness and pain in their lives and in the lives of others. They are conscious of their own weaknesses and failures. Because they keep their eyes open, or because their eyes have been forced open, they have seen natural evil. But, after they become religious, they do not find natural evil a “stumbling block or terror because it now seems swallowed up in supernatural good. The process is one of redemption, not of mere reversion to natural health, and the sufferer, when saved, is saved by what seems to him a second birth, a deeper kind of conscious being than he could enjoy before” (James, 1902, p. 143). “Healthy minded” people may never have doubted the existence of God and may never have questioned their place in God’s kingdom, and they are, therefore, called “once-born.” There are some people who are so healthy minded that it never occurs to them that they need religion. And there are sick souls who never seek religion.

James went on to evaluate these two attitudes:

[H]ealthy-mindedness is inadequate as a philosophical doctrine, because the evil facts which it refuses positively to account for are a genuine portion of reality; and they may after all be the best key to life’s significance, and possibly the only

openers of our eyes to the deepest levels of truth. . . . [S]ince the evil facts are as genuine parts of nature as the good ones, the philosophic presumption should be that they have some rational significance, and that systematic healthy-mindedness, failing as it does to accord to sorrow, pain, and death any positive and active attention whatever, is formally less complete than systems that try at least to include these elements in their scope. (James, 1902, pp. 148, 150).

The chapter that follows “The Sick Soul” in *The Varieties* is titled “The Divided Self and the Process of Its Unification.” If James were a present-day popular psychologist, this provocatively titled chapter might include a self-questionnaire, whereby one could measure how and to what extent one’s self was divided, and a self-taught course of ten, or so, “easy steps” one could follow to unify one’s divided self.

James did not provide this. He wrote:

Now in all of us, however constituted, but to a degree the greater in proportion as we are intense and sensitive and subject to diversified temperaments, and to the greatest possible degree if we are decidedly psychopathic, does the normal evolution of character chiefly consist in the straightening out and unifying of the inner self. The higher and the lower feelings, the useful and the erring impulses, begin by being a comparative chaos within us – they must end by forming a stable system of functions in right subordination. Unhappiness is apt to characterize the period of order-making and struggle. (James, 1902, p. 154.)

James addressed the question of whether the existence of so many sects and creeds is regrettable. To this he answered “No’ emphatically,” and continued:

No two of us have identical difficulties, nor should we be expected to work out identical solutions. Each, from his peculiar angle of observation, takes in a certain sphere of fact and trouble, which each must deal with in a unique manner. One of us must soften himself, another must harden himself; one must yield a point, another must stand firm, – in order the better to defend the position assigned him. If an Emerson were forced to be a Wesley, or a Moody forced to be a Whitman, the total human consciousness of the divine would suffer. The divine can mean no single quality, it must mean a group of qualities, by being champions of which in alteration, different men may all find worthy missions. Each attitude being a syllable in human nature's total message, it takes the whole of us to spell the meaning out completely. So a 'god of battles' must be allowed to be the god of one kind of person, a god of peace and heaven and home, the god of another. We must frankly recognize the fact that we live in partial systems, and that parts are not interchangeable in the spiritual life. If we are peevish and jealous, destruction of the self must be an element of our religion; why need it be one if we are good and sympathetic from the outset? If we are sick souls, we require a religion of deliverance; but why think so much of deliverance, if we are healthy-minded? Unquestionably, some men have the completer experience and the higher vocation, here just as in the social world; but for each man to stay in his own experience, whate'er it be, and for others to tolerate him there, is surely best.

(James, 1902, pp. 419 – 420)

Concerning his own beliefs, James wrote:

I think, therefore, that however particular questions connected with our individual destinies may be answered, it is only by acknowledging them as genuine questions, and living in the sphere of thought which they open up, that we become profound. But to live thus is to be religious. . . . We and God have business with each other; and in opening ourselves to his influence our deepest destiny is fulfilled. . . . The whole drift of my education goes to persuade me that the world of our present consciousness is only one out of many worlds of consciousness that exist, and that those other worlds must contain experiences which have a meaning for our life also; and that although in the main their experiences and those of this world keep discrete, yet the two become continuous at certain points, and higher energies filter in. By being faithful in my poor measure to this over-belief, I seem to myself to keep more sane and true. I *can*, of course, put myself into the sectarian scientist's attitude, and imagine vividly that the world of sensations and of scientific laws and objects may be all. But whenever I do this, I hear the inner monitor of which W. K. Clifford once wrote, whispering the word 'bosh!' Humbug is humbug, even though it bear the scientific name, and the total expression of human experience, as I view it, objectively, invincibly urges me beyond the narrow 'scientific' bounds. Assuredly the real world is of a different temperament, – more intricately built than physical science allows. So my objective and my subjective conscience both hold me to the over-belief which I express. Who knows whether the faithfulness of individuals

here below in their own poor over-beliefs may not actually help God in turn to be more effectively faithful to his own greater tasks?

(James, 1902, pp. 430, 442, 444)

James is best known today for *The Varieties of Religious Experience*. It has never been out of print since it was published in 1902, despite the fact that it is not exactly a book of religion or psychology or philosophy and it contains no answers. James acknowledged that people want black and white answers and are very impatient with gray (James, 1909, p. 510).

James might have developed his pluralistic appreciation of religion and his classification of religious types into a theory of spiritual psychological counseling that gave “positive attention” to “sorrow, pain, and death,” and valued shades of gray, but he did not. He might have envisioned psychologists supporting spiritual development, but he did not consider that possibility. James was not a therapist. He was, sadly, the first and the last great philosopher-psychologist.

As a philosopher, James considered moral questions. In *The Moral Philosopher and the Moral Life*, he wrote that all moral codes are based on what is pleasing or displeasing to someone. There is no rational basis for choosing the preferences of one person or group above another's. The fairest atheistic approach is utilitarianism, which uses what is most pleasing to the most people as a guide, but that is a vague standard on which to base one's life. James believed that if there is a God, what is pleasing to God would have a right to preferential consideration, although “exactly what the thought of the infinite thinker may be is hidden from us even were we sure of his existence”

(1891, p. 628). James was one of very few psychologists to consider morality. Since psychology has ceased to be a part of philosophy, moral questions have been considered inappropriate for the science of psychology.

### *Freud and Jung*

Sigmund Freud (1856 – 1939) developed the first complete, integrated theory of psychotherapy. Freud was an outspoken atheist who declared loudly and often that a belief in God was a delusion and a defense mechanism, a way of denying the reality of our aloneness and impending death. Freud referred to the teachings of Jesus as “psychologically impossible and useless for our lives” (quoted in Nicholi, 2002, p. 38).

Freud was also a medical doctor. Thus, the first experimental psychologist, Wundt, the first general psychological theorist, James, and the first psychotherapist were all heirs to the non-spiritual, body-centered, practical tradition of Hippocrates. Wundt is not widely remembered because his accomplishments were narrow. James, although a folk hero in America in his lifetime, is not well remembered today because his accomplishments were too broad: he wrote widely concerning psychology, philosophy, and religion. Neither founded a school of psychology or proposed a grand encompassing theory. Freud did both. Freud was constantly updating and perfecting his theory, trying to make it complete. However, he would not allow any of his disciples to make any changes or additions. If they thought for themselves, they were no longer welcome in Freud’s circle. In this he differed from Jung, who expected and welcomed new and different incites. To the present day, Freudianism remains a small tent, while Jungians are a diverse and growing group.

For many years, Freud's closest acolyte and the man he saw as his eventual successor was Carl Jung (1875 – 1961). But their differences led to a break. Some of Jung's important differences with Freud concerned the following ideas:

1. The Unconscious Mind.

- A. Freud thought that the unconscious mind is a personal garbage dump where human animals suppress their ugly and libidinous thoughts, and he thought that it was nothing more than that.

- B. From his experience with clients and work with his own dreams, Jung concluded that the unconscious is the repository of much positive material and energy. It is a garden in which our unlived selves develop and seek expression: Jung calls them “flowers that open in the night” (Jung, 1931, p. 478). Jung also believed that there is a Collective Unconscious that is inborn in each individual and holds symbols that are significant for all humankind. Jung thought that the Collective Unconscious might be continuing to evolve. Both the personal unconscious and the Collective Unconscious contain and are influenced by archetypes. Archetypes organize human experience into psychological categories, e.g. anima and animus. “Anima” and “animus” can be translated as the Latin words for soul (anima) and spirit or mind (animus). In Jungian psychology they are the male's feminine side, his anima, and the woman's masculine side, her animus, and they are usually unconscious.

2. Motivation.

A. Freud thought that the main motivation of humans is to fulfill their drives, primarily their sexual drive.

B. Jung thought that the main motivation of humans is to find and fulfill their meaning.

3. Development.

A. Freud's is a psychology of adjustment. His developmental stages end with the genital stage at around age twelve. Adults deal with the consequences of mishandled infant stages, and with denial and sublimation of sexual and aggressive drives. Much of Freudian therapy is likely to dwell on defense mechanisms and on childhood memories and relationships.

B. Jung's is a psychology of individuation. He thought that adult development continued until the moment of death, and that the most important development often came in midlife or later. In adulthood, humans deal with unrealized aspects of themselves that seek conscious expression. Through this struggle, often painful, human beings become whole. Jungian therapy is more oriented to present projections and the future.

4. Dreams.

A. Freud believed that human dreams represent the wish-fulfillment of repressed aggressive and sexual urges.

B. Jung believed that each partial aspect of the self that a human being develops has its shadow: the undeveloped alternative to what he or she has become. The shadow expresses itself in symbols, projections, and dreams.

Jung did believe in God. He was president of the Guild of Pastoral Psychology, which was “committed to building bridges between psychology and theology” (Benner, p. 38). Jung thought it was important to preserve evil as a category of human thought and not to dismiss it as merely the absence of good. He thought that evil resulted from consciousness, and the ability to make conscious judgments. When people project rejected parts of themselves onto others, they see those other persons as evil. Continued psychological development in adulthood requires moral development. Integration of one’s shadow means “seeing one’s own moral faults” and is the “equivalent of taking responsibility for one’s own life” (Stein, 1995, p. 22 – 23).

Jung considered the question of why Psychology suddenly developed in the last decades of the 19<sup>th</sup> Century and beginning of the 20<sup>th</sup>. Did the psyche not exist before then, or were people internally blind? In *The Spiritual Problem of Modern Man*, Jung wrote that in times past the psyche was external.

For a priest, the psyche can only be something that needs fitting into a recognized form or system of belief in order to ensure its undisturbed functioning. So long as this system gives true expression to life, psychology can be nothing but a technical adjuvant to healthy living. . . . [T]hen we may say that the psyche is outside and there is no psychic problem, just as there is no unconscious in our sense of the word. (1931/1971, p. 462)

In light of scientific discoveries, the old religious stories now sound unreal to many. They have lost their power to reassure. So people look inside, in the hope of finding something they can no longer find in the religious rituals of the outside world. Jung describes the problem as follows:

So also a spiritual need has produced in our time the “discovery” of psychology. The psychic facts still existed earlier, of course, but they did not attract attention – no one noticed them. People got along without them. But today we can no longer get along unless we pay attention to the psyche. . . . [A]s soon as religion can no longer embrace his life in all its fullness – then the psyche becomes a factor in its own right which cannot be dealt with by the customary measures. It is for this reason that today we have a psychology founded on experience, and not upon articles of faith or the postulates of any philosophical system.  
(Jung, 1931/1971, p. 462)

Priests once cared for the psyche. For many people, priests still provide whatever care they feel in need of. For those who feel they cannot get reassurance from priests, there are now psychotherapists, who are viewed as expert repositories of empirical experience, and not as philosophers or priests.

In the 19<sup>th</sup> Century, when psychology became professionalized, science was seen as conferring many blessings on humankind. If something was “scientific” it was thought to be reliable and good. Many people came to equate religion with superstition. Many others dropped out of church but kept their faith inside and private. Other factors that contributed to an increased awareness of and concern for the health of individual psyches

were the industrial revolution, the French and American Revolutions, the rise of individualism and materialism, and the emergence of the middle class in the West. As physical health improved and lifespan lengthened, people had more time to worry about their mental health. In many people's minds, the blessings of longer life and better physical and mental health were equated with science, not with religion.

Morton Hunt typifies that scientific attitude. In his thorough and well-written book, *The Story of Psychology* (1993), which has provided much of the background for this chapter, Hunt described the history and development of psychology from the ancient Greeks to the present. Whenever considerations of spirit and soul were included in the thinking of any theorist, Hunt characterized those considerations as unfortunate steps backward in the development of the science of psychology. Hunt did not include any discussion of the spiritual aspects of the writings of Kant and William James. He had no in depth discussion of Jung. His chief criticism of Freud was that he may not have been scientific enough.

### *Conclusions*

Chapters 2 and 3 have reviewed the history of the relationship between the scientific world-view and the spiritual world-view of psychology from Socrates, in 400 BC, when the concept of psychology did not yet exist, to the beginning of the 20<sup>th</sup> Century, when the science of psychology was new-born. These two world-views appear to have diverged from each other soon after humans became self-conscious. Socrates and his student Plato believed in the soul and in life-after-death. They thought that the purpose of this life, and the next life, was to become one's true self, even if the process was painful and the result unpopular. Their student Aristotle disagreed; he did not believe

in an immortal soul or in life-after-death. He believed in the pursuit of knowledge by gathering physical evidence and he recommended the pursuit of happiness in this lifetime by following the golden mean.

Contemporaneously with Aristotle, Hypocrites, the father of modern medicine, treated illness based on evidence, not magic. Many of the early psychologists were also medical doctors; so they were likely to have seen the brain as a physical organ on the autopsy table in medical school, which might have interfered with their ability to see it as a repository for the soul, as Aquinas did. Socrates and Plato saw themselves in competition with Aristotle and with his philosophy. Aristotle reciprocated. Almost no one has attempted to integrate the two world-views in the 2, 400 years since. Aquinas, William James, Carl Jung, and a few others have tried.

As enunciated by Francis Bacon (1561 -- 1626), the scientific world-view contributed a hard-nosed practicality, which made material progress possible, but which lacked morality to guide the application of that progress.

At the outset of the 20<sup>th</sup> Century, monism dominated experimental psychology and atheism dominated psychiatry. James believed in the possibility and the importance of the spiritual realm, but he was nearing the end of his career and he had developed no theory of psychotherapy that could survive him. Jung believed in God and in the importance of spirituality in therapy, but he was considered an esoteric maverick, whose approach was understood only by the cognoscenti. At the outset of the 20<sup>th</sup> Century, in the competition between the materialistic-scientific world-view of psychology and the spiritual world-view, the spiritual world-view appeared out-numbered, out-gunned, and destined for extinction.

In the next chapter, I will begin to examine the relationship between the spiritual and materialistic view of psychology in the 20<sup>th</sup> Century. I will discuss how the two views remained antagonistic throughout most of the 20<sup>th</sup> Century, until recent signs of reconciliation beginning in approximately 1990. I will describe in detail the thinking of the few psychological or religious thinkers who honored both aspects of being human, and I will describe how they expressed that reconciliation in counseling.

CHAPTER IV  
THE RELATIONSHIP BETWEEN SPIRITUALITY AND PSYCHOLOGY  
IN THE 20 CENTURY:  
PSYCHIATRY, BEHAVIORISM, COGNITIVE AND SOCIAL PSYCHOLOGY

In the 20<sup>th</sup> Century, psychology developed on many separate tracks. This chapter will discuss psychiatry, behaviorism, and cognitive psychology, and their approaches to counseling. These tracks ignored spirituality or were antagonistic to it. Humanistic and existential psychology, which are considered in the next two chapters, and group and family counseling, which are considered in Chapter 7, included some practitioners who considered spiritual questions. Approaches that embraced spirituality, such as pastoral counseling, are considered in Chapter 8. This chapter also considers social psychology and the Milgram and Zimbardo experiments. Social psychology was not antagonistic to spirituality: longitudinal studies discussed in chapter 13 showed a correlation between church attendance and long life, in part because of the social support found there.

*Psychiatry*

From 1900 to the 1960s most psychological therapy was psychiatric and Freudian. Freud made one important contribution on the subject of death: he theorized that many people have an unconscious death wish. From 1970 to the present, psychiatrists have increasingly specialized in prescribing medication. As Freudians and as psychopharmacologists, psychiatrists, with a few exceptions, have been uninterested in spirituality. Five notable exceptions are Scott Peck, whose ideas are considered below, Carl Jung, whose ideas are discussed in Chapters 3 and 8, Roberto Assagioli, whose ideas are discussed in Chapter 9, Elio Frattaroli, whose ideas are discussed in Chapter 10, and

Armand Nicholi, author of *The Question of God: C.S. Lewis and Sigmund Freud Debate God, Love, Sex, and The Meaning of Life* (2002), whose ideas are not discussed, because this paper does not address the question of whether or not God exists. In the 1970s, clinical psychologists began to replace psychiatrists as the principal practitioners of talk-therapy. Today, in 2009, counselors with a Master's degree most often fill the role of talk-therapist. Psychiatry resisted these expansions. When Carl Rogers, who had a doctorate in Educational Psychology, began counseling in the 1940s and 1950s, some psychiatrists accused him of mal-practice. Rogers taped his sessions, measured the results, and challenged psychiatrists to do the same. They did not (Rogers, 1951, 1961).

The term "psychoanalysis" usually means an approach to counseling based on Freud, who was the most influential psychiatrist of the 20th Century. As I understand it, psychoanalysis focuses on revisiting relationships of one's childhood, not primarily for the purpose of repairing those relationships, but for the purpose of repairing damage done to one's self by those relationships. It does this by working with the client's stream-of-consciousness, defenses, and projections. In the confidentiality of the therapist's office, clients can talk freely about what is troubling them. Through free-association, painful memories emerge from the client's unconscious and are discussed and resolved.

Projection is the process by which clients tend to identify the therapist with other important persons in their lives, especially parents, and to act with the therapist as they did with their parents. Psychoanalysis is not developmental nor strengths based, as are the approaches of Rogers, discussed in the next chapter, and of Ivey, et al, discussed in Chapter 8. It focuses on the past, instead of the present and future. Since Freud was an atheist, it does not include a spiritual component, unless the particular psychiatrist takes

care to develop one on his own, as did Frattaroli, whose approach is discussed in Chapter 10.

### *Behaviorism*

In universities, academic psychology was mainly concerned with research and experimentation. In the first half of the 20<sup>th</sup> Century that experimentation was principally behavioral; in the second half it was behavioral and cognitive. Academic psychologists were eager to prove that they were true scientists, so they maintained a wall between science and religion.

Behaviorism arose in the early 20th Century as an alternative to what was called mentalism: "the belief in mind as a separate essence" (Hunt, 1993, p. 244). Behaviorists believe that "mind is an illusion; there is no incorporeal self within us; our mental experiences, including consciousness, awareness of self, and thinking, are only physiological events taking place in the nervous system in response to stimuli" (Hunt, p. 244). Encouraged by Darwin's theory of evolution to think of humans as animals, most behaviorist experiments were with rats, pigeons, cats, and dogs. Behaviorist experiments were mainly concerned with how humans and other animals learn and how they can be controlled. By the 1960s, it had become apparent to an increasing numbers of psychologists that there was something going on inside the mind; for example, Edward Chace Tolman noted that after a rat had run a maze a few times, it would pause at a point of decision, look this way and that, and take time to consider its next move as if performing "vicarious trial and error" in its head (in Hunt, p. 276). Hunt concluded:

By the 1960s, [different] influences began to coalesce into a view of the mind and behavior known as "cognitive science" -- a mentalism devoid of supernatural

entities and based on experimental methods through which reasonable inferences could be made about mental processes. (Hunt, 1993, p. 278)

Hunt wrote that many behaviorist experiments seem trivial, for example, placing two different colors of corn kernels in front of chickens, one of which was sweet and one bitter, and then recording, as evidence of reinforcement, that the chickens learned to eat only the sweet.

### *Cognitive Psychology*

Cognitive psychology began to gain popularity in the 1970s. For reasons not clear to me, cognitive psychology in the laboratories of academia meant something very different from cognitive psychology in therapy. An example of a cognitive experiment was Treisman's test of attention in which she played two different recorded messages in a subject's ears, one message in the right ear and a different message in the left. Subjects were able to report accurately the message they were following and ignore the other message, even when the message they were following was unexpectedly switched from one ear to the other (Treisman, 1960). When subjects heard their own names spoken into the unattended ear they usually heard it and remembered it; thus, what humans hear, process, and remember depends on two things: attention and pertinence (Ashcraft, 1998, Norman, 1968). Cognitive psychology in the laboratory is concerned with measuring the brain as a machine, with an ego. To me, cognitive experiments seem almost as trivial as the behavioral experiments. But they have important applications. Industrial-organizational psychologists use the results of attention experiments to design safe airplane control panels, which pilots can monitor without falling asleep and on which they can find the most important switches quickly.

*Kübler-Ross*

The most frequently used form of psychotherapy today is cognitive-behavior therapy. There is no corresponding academic field of cognitive-behaviorism. That may help explain why Kübler-Ross, author of an important study of what humans do and think when faced with their own death, did not receive tenure from the university where she completed that study. In *On Death and Dying* (1969), Elisabeth Kübler-Ross reported that people who are told they will soon die tend to pass through the following five stages: denial and isolation ("This is not happening to me"); anger ("How dare God do this to me!"); bargaining ("Just let me live this time and I will be a good person in the future"); depression ("I can't bare to go through this"); and acceptance ("I am ready -- I do not want to struggle anymore") (Elisabeth Kübler-Ross, Wikipedia, 2006). Kübler-Ross based her book on 5,000 pages of interviews with dying patients that she conducted while she was a teacher and psychiatrist at the University of Chicago. Although the book was instantly successful throughout the world, the University of Chicago did not offer her tenure because they did not consider her work "real medical research. It was not science" (Kübler-Ross, quoted in American RadioWorks, 2006). So Kübler-Ross went on the road offering seminars and advising new hospice programs. Knowing what to expect can help people get through sad and frightening times. But knowing how others have acted does not provide guidance concerning the meaning of one's own losses, pain, illness, and death. Kübler-Ross later edited and contributed to *Death: The Final Stage of Growth*, in which she wrote, "I have been able to function as a catalyst, trying to bring to our awareness that we can only truly live and enjoy and appreciate life if we realize at all times that we are finite" (1975, p. xxii). *Death: The Final Stage of Growth* also contains writings explaining different beliefs about death in different cultures and religions. One

contributor was Mwalimu Imara, who is now an Episcopal Priest and a professor emeritus of Ethics and Human Values at Moorehouse School of Medicine. Imara met Kübler-Ross in 1966 when he was a divinity student and was assigned to attend her meetings with dying patients. Imara noticed that some patients never reached the final stage of acceptance. He conducted a study in which he demonstrated that people are more likely to move through the five stages if they (1) are willing to converse in depth with significant others about their present experience, (2) meet others on equal terms, and (3) accept the good and the bad because they have a framework of understanding that gives meaning to both happy and tragic events (Imara, 1975, p. 160). Imara noted that the capacity for radical transformation is a characteristic feature of humans. Even people who are dying can transform. Imara wrote: "Religion has to do with our commitment to whatever enables us [to] transform our lives in creative ways regardless of the situation" (pp. 155, 159). "To be transformed, dying patients must be committed to (1) achieving a sense of their own identity through experiencing their own ongoing awareness or 'original experience' and (2) committing themselves to a mutual dialogue about the experience with significant other people" (p. 158). Finally, (3) the patient needs some coherent map of the world or philosophy of life. Patients who demonstrate these traits are more likely to accept their death.

### *Behavioral and Cognitive Therapy*

In this section, I will discuss behavioral therapy, then cognitive therapy. The most frequent approach to therapy today is to combine the two. Cognitive-behavioral therapy has developed more evidence-based support for its practice than has any other approach to psychotherapy. There are therapists who assert that to use any but an evidence-based

approach is malpractice. That might limit one's goals as client and therapist to things that can be measured easily, such as "number of drinks consumed in the last week" as opposed to vaguer existential goals, such as "a sense of personal meaning and value." In *Existential Counseling and Psychotherapy in Practice*, van Deurzen (2002) solves this problem by stating her underlying assumptions explicitly, discussing those assumptions with clients, and asking clients to choose whether they wish to pursue an approach that includes uncertainties and pain. Van Deurzen's assumptions, which appear below, are identical to mine, except that I add the assumptions that there may be a spiritual dimension to existence that is real and from which help may be available. Van Deurzen recognizes a spiritual dimension to existence but considers it largely metaphorical. Van Deurzen's assumptions are:

[Clients] are frequently struggling to accommodate two or more conflicting views of life. . . . The despair and the sense of futility that clients start out with is construed as a necessary first step in a quest for meaning. This quest can only be undertaken if the client is ready to examine the crucial issues and question her own assumptions. (p. 3)

People try to make it seem as if life can be safe, solid and secure. They have to learn to tolerate anxiety and uncertainty if they are to rise to the challenge of their own choices and responsibility. (p. 61)

The client needs to find in herself an inner source of life that she can always rely on as a safe place where truth can be found, no matter what lies and deceit go on in the outside world. As long as the therapist tries to accommodate the client and ease her pain and anxiety, she stands

in the way of the client's discovery of this safety in herself.

(van Deurzen, 2002, p. 170)

As a counselor in internship, I usually begin with assumptions that are strengths-based and person-centered. Rogers did many studies of person-centered counseling to demonstrate that when it is used, clients do change in positive ways. I ask clients what their goals are, agree on those goals, and state my spiritual assumptions, if and when that appears appropriate. If my spiritual assumptions are not stated explicitly, they remain implicit, which Ivey, et al. (2005) thought was true for many counselors. I must be alert that these unstated assumptions do not interfere with my ability to go to where the client is and provide the help the client needs and chooses.

In behavioral therapy, the counselor tries to help clients change their behavior, for example the counselor can help clients stop drinking by using the behavioral approach of helping clients recognize and implement their ABCs. "A" represents the antecedent; "B" stands for the behavior; and "C" means the consequence. In practice this could mean that every time clients drive home from work they drive past a bar, which is the antecedent; they stop in and have a drink, which is the behavior; then they arrive home drunk and hit their spouse, which is the consequence. The client could begin by planning a different way home that does not pass the bar. Two other types of behavioral therapy are gradual exposure and extinction: if a client is gradually exposed to his or her fears, e.g. riding in an elevator, without suffering actual harm, the fear response will eventually be extinguished. Behaviorists believe that if a client's behavior changes, their thinking and feelings will eventually fall in line.

Cognitive therapists believe that if a client's thinking changes, their behavior and feelings will fall in line. Two prominent cognitive therapists were Albert Ellis and Aaron Beck. Ellis called his approach Rational Emotive Behavior Therapy. It involves an ABCD & an E. In this case "A" means the actuating event (such as driving by the bar); "B" means the irrational belief ("I can go in and have just one drink"); "C" is the bad consequences; "D" means that the therapist and client should dispute the irrational belief ("You have never been able to have just one drink"); and "E" stands for the client's replacing irrationality with an effective philosophy by which to live (Sharf, 2004).

Aaron Beck theorized that depression results from the cognitive triad of a negative view of oneself, a negative view of the environment, and a negative view of the future. These negative views often result from cognitive distortions, such as all-or-nothing thinking, over-generalization, selective abstraction of negative details, disqualifying the positive, jumping to conclusions, catastrophizing, 'should' statements, which he called "musterbation," and over-personalization (Nevid, Rathus, & Greene, 2000). The therapist's job is to help the clients stop their automatic negative thoughts, replace them with alternative, positive self-talk, and become more objective about their own worth (Sharf, p.370 - 379).

Cognitive-behavioral therapy is the one kind of psychotherapy that courts of law and probation officers trust. It is designed to get people to act right and think right and stop making trouble. It is what the Pharisees might have sentenced Jesus to, if they'd had the chance. One approach to cognitive-behavioral therapy that accepts unhappiness and anxiety as normal parts of life is Acceptance and Commitment Therapy (ACT). ACT is discussed further in Chapter 10 of this paper.

Behavioral therapy is useful, but in my judgment it is shallow: it does little to address the pain, fear, and isolation that lead to drinking. Cognitive therapy teaches us to think happy thoughts in place of depressed ones, even though studies have shown that depressed patients are more realistic than "normal" ones. "Normal" people are unrealistically optimistic and "exaggerate the control they have over what goes on around them" (Nevid, Rathus, & Greene, p. 253; Taylor, 1989, p. 214). Cognitive therapy for depression devalues the client's own valuation. It does not consider that clients may be sad for good reason and may be able to learn from their sadness. Today, cognitive therapy is often combined with antidepressant medication, and psychiatrists often prescribe antidepressants expressly because the patient has good reason for feeling sad, such as divorce or death of a loved-one. Both behavioral and cognitive therapies devalue the client's feelings, which are expected to get in line if the client thinks and behaves reasonably. Both are amoral because whatever makes the client happy is considered good. Person-centered counseling values the client's feelings and thoughts as they are and recognizes that growth is painful. It is discussed in Chapters 5 and 17.

### *Social Psychology*

Social psychology is "the scientific study of how people think about, influence, and to relate to one another" (Myers, 2002, p.5). Social psychologists Stanley Milgram and Philip Zimbardo performed two experiments that raised important spiritual and moral questions and provided disturbing answers. Neither experiment had the results its author anticipated. I will discuss these two experiments in detail; because what they revealed may help spiritually sensitive therapists guard against naiveté.

### *The Milgram Obedience Experiment*

In the Nuremberg trials after World War II, some Nazis had offered the defense that they had been following orders. Stanley Milgram was a Jewish professor of psychology at Yale University. In the 1960s and 1970s he conducted obedience experiments which he hoped would demonstrate that normal, healthy human beings would not have followed such orders, and that, therefore, something must have been wrong with the Nazis' character or culture or upbringing. He set up the following experiment:

- In response to a newspaper ad, a volunteer came to a laboratory at Yale University;
- At the lab, the volunteer met another volunteer (who was in fact an accomplice of the experimenters) and an official-looking older man in a lab jacket, who told both volunteers that the researchers were conducting an experiment on learning and memory, and that the experiment required one to teach the other a list of word-pairs and to punish errors by delivering electric shocks of increasing intensity. To assign roles they drew slips out of a hat. The volunteer drew a slip, which read "Teacher." The confederate pretended that his slip read "Learner" and was lead into an adjacent room. There, the volunteer teacher was administered a mild sample shock from an electrode and looked on as the learner was strapped into a chair and had the electrode attached at the wrist.
- Teacher and experimenter then returned to the main room, which was out-of-sight of the learner.

- In the main room was a "shock generator" with switches ranging from 15 to 450 volts in 15-volt increments and labeled "Slight Shock," "Very Strong Shock," "Danger: Severe Shock," and so on, with the 435 and 450 volt switches labeled simply "XXX."
- The experimenter told the teacher to administer a shock and move the lever one level higher each time the learner gave a wrong answer. With each flick of the switch, lights flashed, relay switches clicked, and an electric buzz sounded.
- If the teacher administered the shocks as directed, he (all participants and experimenters were male) heard the following reactions from the learner: at 75, 90, and 105 volts, the learner grunted; at 120 volts, the learner shouted that the shocks were painful; at 150 volts the learner cried out, "Experimenter, get me out of here! I won't be in the experiment anymore! I refuse to go on;" at 270 volts, the learner screamed in agony and continued to insist that he be let out; at 300 and 315 volts, he screamed his refusal to answer; and at 330 volts he fell silent.
- If the teacher hesitated or asked to end the experiment, he was told that the experiment required that he continue and, finally, he was told, "You have no other choice; you must go on."

Milgram described the experiment to 110 psychiatrists, college students, and middle-class adults. All agreed that they would disobey and refuse to continue at no higher than 135; none expected to go beyond 300 volts. Asked how they thought others

would do, no one expected any participant to proceed to the XXX level; the psychiatrists guessed that only one in a thousand would.

Milgram conducted the experiment using 40 men representing a cross-section of vocations and ages 20 to 50. Sixty-five percent went all the way to 450 volts, the XXX level. Milgram videotaped some participants, and those tapes are still available for viewing in university libraries today, where I have viewed one.

After conducting this experiment in the United States, Milgram had planned to conduct it in Germany to assess the cultural differences. Milgram was so disturbed by the results that he never went to Germany. Instead he repeated the experiment at Yale making the learner pretend to be someone who claimed a "slight heart condition," and making the protests include statements that "My heart is bothering me!" Sixty-three percent of the 40 new participants went all the way to 450 volts.

When the "learner" was moved into the same room with the teacher, 40% obeyed. When the teacher was required to force the learner's hand into contact with a shock plate, 30% obeyed. After the results were published, there was a great deal of concern about the psychological effects this experiment had on the participants. This experiment was one factor that led to the requirement of informed consent from participants and to the appointment of human subjects review boards at all universities, which must pre-approve all experiments with human participants (Myers, 2002, pp. 211 - 215).

### *The Zimbardo Prison Experiment*

In a 1971, Philip Zimbardo conducted a simulated prison experiment with students at Stanford University. Volunteers were to spend two weeks playing the role of guard or prisoner. By a flip of the coin, half the students were assigned to each role.

Zimbardo gave the guards uniforms, billy clubs, and whistles, and instructed them to enforce the rules. The prisoners were locked in cells and given humiliating uniforms. After a few days, the guards began to disparage the prisoners and some devised cruel and degrading routines. The prisoners broke down, rebelled, or became apathetic. Realizing the emerging social pathology, Zimbardo was forced to call off the simulation after only six days (Zimbardo, 1971; Myers, 2002, p. 138).

Zimbardo revisited the prison experiment and examined the question of evil in *The Lucifer Effect: Understanding How Good People Turn Evil* (2007). In this book he described the Stanford Prison Experiment in detail. He then considered the Milgram Experiment and the abuses at the American military prison at Abu Ghraib, Iraq. In the final chapter of this book, he considered unusual acts of goodness and moral heroism, including the act of a new graduate of the Stanford psychology department who brought a halt to his prison experiment when she said, “What you are doing to those boys is a terrible thing!” (p. 457). The theme of *The Lucifer Effect* was that humans are not innately good or bad: situational influences are more powerful than character and have the power to make any person do good or bad things (p. 445). Zimbardo concluded that the best answer to evil is for each person to support the social facilitation of good and refuse to support any group that does not support his or her independence and values. Zimbardo recommended a Ten Step Program to Resist Unwanted Influences. Those steps are: (1) Admit one’s errors. (2) Be mindful. Use critical thinking. Imagine the future consequences of any current action. (3) Take responsibility. “Always imagine a future time when today’s deed will be on trial and no one will accept your pleas of ‘only following orders,’ or ‘everyone else was doing it’” (p. 453). (4) Do not allow others to

deindividuate you. Assert your individuality. Make eye contact. (5) Respect just authority but rebel against unjust authority. (6) Do not value group acceptance over independence. Do not stay in groups that do not support your independence. (7) Be “frame-vigilant:” be aware that bad things are often framed in attractive ways. (8) Balance your time perspective. In addition to considering the immediate effects of your action, consider future consequences and be “conscious of a past time frame that contains your personal values and standards” (p. 455). (9) Do not sacrifice freedom for security. (10) Oppose unjust systems. Zimbardo also recommended that society celebrate moral heroism. He warned his readers of the tendency to say, “That is not me. Others may do evil under evil influence, but not me.” This can result in letting down one’s guard and, thereby, becoming more susceptible to evil influence. Instead, Zimbardo recommended that each person say, “It could be me.”

From a spiritual and moral point of view it would have been helpful if both the Milgram and Zimbardo experiments had asked what aspects of character distinguished those who did pull the switch and did abuse prisoners from those who did not. Zimbardo considered this after the fact, in *The Lucifer Effect*, 2007. He thought then that he could see no obvious differences between those who were abusive and those who were not; so he concluded that under the necessary circumstances anyone could become abusive. As a result of reaching these conclusions, Zimbardo has testified in defense of American Army Military Police who abused prisoners at Abu Ghraib. The Milgram experiment was so disturbing that it has not been repeated. The Zimbardo experiment was so disturbing that it was called off before it was done. An act of evil that was not contained in a laboratory,

however, took place at My Lai, Viet Nam in 1968, and was considered in depth by psychiatrist Scott Peck

### *My Lai*

In My Lai, approximately 50 U.S. Army soldiers belonging to Task Force Barker massacred between 500 and 600 unarmed civilians, including women and children.

Approximately 200 other soldiers witnessed the killings but did not directly participate.

Only three soldiers, stationed in one helicopter, tried to stop the killing. For more than a

year the massacre was covered up. In 1972, Scott Peck and two other psychiatrists were

appointed to a committee by the Army Surgeon General to report on the massacre. The

General Staff of the Army rejected their recommendation for historical research

comparing atrocities committed by American soldiers in Viet Nam and other wars.

Regarding that rejection, Peck wrote:

If we are to study the nature of human evil, it is doubtful how clearly we will be able to distinguish *them* from *us*; it will most likely be our own natures we are examining. Undoubtedly, this potential for embarrassment is one of the reasons we have thus far failed to develop a psychology of evil. (Peck, 1983, p. 215)

Peck went on to consider the question of group evil. It seemed to him that, as a rule, groups behave more primitively and more immaturely than individuals. This led Peck to make some cautionary suggestions regarding group therapy that are noted in the section on Group Counseling, Chapter 7, in this paper. Task Force Barker was a specialized group and specialization made matters worse, because it made it easier “to pass the moral buck.”

The plain fact of the matter is that any group will remain inevitably potentially conscienceless and evil until such time as each and every individual holds himself or herself directly responsible for the behavior of the whole group. (Peck, 1983, p. 218)

Task Force Barker was especially prone to group evil because its members had been self-selected and selected by society to kill, and because it was acting under chronic stress and a feeling of frustration and failure, which all of U.S. society was beginning to experience in 1968. So, Peck thought, the evil done by Task Force Baker was an extension of the inadvertent evil American was perpetrating in Viet Nam, which was done as a result of “ignorance and arrogance.” It was Peck’s opinion that Americans allowed themselves to be “unwitting villains” because of narcissism and laziness. Peck saw an on-going threat of evil from the growing role of conscienceless corporations, government departments, and other large organizations in contemporary society.

In *The Road Less Traveled*, Peck wrote that “evil is antilove” (1978, p. 279). The subject of evil, and psychology’s failure to acknowledge or deal with it, troubled Peck so much that he wrote an entire book on the subject: *People of the Lie* (1983). He wrote that as a psychiatrist he has met people who hate the light, or the life force, in other people, and will do anything to destroy it, just to avoid awareness of their own darkness. These people completely lack empathy for others, including their own children. When confronted, these people will always lie. Peck called these people malignant narcissists:

Malignant narcissism is characterized by an unsubmitted will. All adults who are mentally healthy submit themselves one way or another to something higher than themselves, be it God or truth or love or some other ideal. They do

what God wants them to do rather than what they would desire. . . . They believe in what is true rather than what they would like to be true. (Peck, 1983, p. 78).

Peck concluded *People of the Lie* with these hopes:

Children will, in my dream, be taught that laziness and narcissism are at the very root of human evil, and why this is so. They will learn that each individual is of sacred importance. They will come to know that the natural tendency of the individual in a group is to forfeit his or her ethical judgment to the leader, and that this tendency should be resisted. And they will finally see it as each individual's responsibility to continually examine himself or herself for laziness and narcissism and then to purify themselves accordingly. They will do this in the knowledge that such personal purification is required not only for the salvation of their individual souls but also for the salvation of their world. . . . [This] is, of course, a process of education. . . . This book [*People of the Lie: The Hope for Healing Human Evil*, 1983] is written in the hope that someday in our secular as well as religious schools all children will be carefully taught the nature of evil and the principles of its prevention.

(Peck, 1983, pp. 252 – 253)

The Milgram and Zimbardo experiments and the massacre at My Lai demonstrate that people who perform evil acts may well be ordinary people. A person does not have to be evil, or have a history of doing evil, to do evil. There is a capacity for evil in everyone, as there is a capacity for good. Milgram and Zimbardo and My Lai provide evidence that a theory of spiritual-psychological counseling is not complete if it does not define and in some way deal with evil. Psychology should celebrate and study those who

commit heroic acts of goodness and resistance, such as Sir Thomas More, the three soldiers who rescued civilians at Mai Lai at the risk of their own lives, the student Sophie Scholl and Colonel Claus von Stauffenberg, who resisted Hitler at the cost of their lives. The three soldiers are Hugh Thompson, Lawrence Colburn, and Glenn Andreotta, and they were awarded the Soldier's Medal by the US Army in 1998, thirty years after the massacre.

A complete education and a complete course of psychotherapy should include moral discussions, practice in conscientious disobedience, and practice in empathy and congruence as defined by Virginia Satir and Carl Rogers. Satir's ideas are discussed below under Family Therapy, Chapter 7, and Rogers's ideas are discussed in Chapters 5 and 17. These experiments and events support the use of psychology and education to inform and transform values through exposure to and discussion of the recorded testimonies available from the Survivors of the Shoah Visual History Foundation, documentaries like *Forgiving Dr. Mengele* (2005), and films such as *A Man for All Seasons* (1966), *Hotel Rwanda* (2004), *In My Country* (2004), which is a dramatization of the Truth and Reconciliation Commission hearings in South Africa, *Sophie Scholl: Die Letzen Tage* (The Final Days) (2005), and *Valkyrie* (2008). Moral learning can be helped by role-playing and by the psychoeducational approaches discussed below under Group Counseling. Scott Peck relates this approach:

One fine means of teaching us our potential individual responsibility for group evil occurs in certain churches on Good Friday when, in reenacting the Passion according to Saint Mark, the congregation is required to play the role of the mob and cry out, "Crucify him." (Peck, 1983, p. 253)

Neither Zimbardo nor Peck provided a bullet list of what specific circumstances are likely to promote evil beyond the generic dangers of group psychology. Neither explained why some people give in to those evil influences and others do not. There was no group influence in the Milgram experiment, although the authority of the doctor in his white lab coat could be thought of as an authority bestowed by an unseen group. Robert Jay Lifton, a Jewish psychiatrist and author of *The Nazi Doctors* (1986) and *Genocidal Mentality* (1990), thought that evil was especially likely to arise in a group when members of that group thought of themselves as superior to members of other groups, as the Germans and Japanese did in World War II, and as Americans did when they dropped the Atomic Bomb on Hiroshima. But Lifton does not explain why some Germans, Japanese, and Americans did not consent. Therapist Brian Thorne (2002) wrote that the critical thing in spiritually sensitive psychotherapy is not to define evil, but to believe in the power of therapy to help all clients develop toward an inner and outer light, or goodness. I will return to consideration of evil in Chapter 15, where I discuss what I have learned from my research regarding values and morals.

### *Conclusions*

Social psychology has provided important data about why it is difficult for individuals to live up to spiritual and moral ideals without social support, such as might be offered in churches. On the other hand, behaviorism, cognitive psychology, and psychiatry have kept spirituality and psychotherapy strictly apart. Their scientific approaches to counseling are based on hedonistic values. William Miller assessed the relationship between spirituality, on the one hand, and experimental psychology, cognitive-behavioral psychology, and psychiatry, on the other hand, as follows:

It has been said that during the 20th Century, psychology lost first its soul and then its mind. Not long after William James (1902) published *Varieties of Religious Experience: A Study in Human Nature*, the discipline of psychology began to distance itself from the spiritual side of humanity. Human behavior came to be viewed merely as the product of lawful principles of learning and conditioning. Human consciousness, thought, intention, and values were dismissed as mentalistic epiphenomena. . . . Neuroscience added a new layer of biological reductionism in psychology, describing consciousness as a by-product of neural activity and behavior as the sequela of genetics, evolution, and brain chemistry. (William R. Miller in *Judeo-Christian Perspectives on Psychology*, p. 11, 2005)

Miller's criticism did not mention humanistic psychology or existentialist psychology, which were two approaches to psychotherapy developed in the 20th Century that offered an alternative to the mainstream. In the next two chapters, I will examine humanistic and existential psychology to see how they might be helpful in constructing an integrated spiritual-psychological approach to counseling.

CHAPTER V  
THE RELATIONSHIP BETWEEN SPIRITUALITY AND PSYCHOLOGY  
IN THE 20 CENTURY: HUMANISTIC PSYCHOLOGY

Humanistic and existentialist psychologists are open to the need to create meaning out of pain. Humanistic and existentialist psychotherapy are often considered together, as variations on the same approach. Some therapists, such as Rollo May, claim to be both. If there is a distinction, it may be that humanists are more likely to believe in the essential goodness of human beings and to let the client take the lead, while existentialists are more likely to emphasize the need for the client to find meaning and to face the dark realities of human existence, including evil. This chapter will examine humanistic psychology; the next chapter will look at existential psychology.

*Carl Rogers*

Carl Rogers (1902 – 1987) "humanized psychology and psychotherapy, made the field more reasonable, more accepting and benign, but never lost the moral fervor that was ingrained in him as a youth. As was said of Aristotle, he was an extremist in defense of moderation" (Mindess, 1988, p. 117). Rogers spent his childhood on a farm in Illinois. He was raised in a religious and ethical atmosphere that was dogmatic and moralistic (Thorne, 1992, pp. 1 - 4). He entered the University of Wisconsin planning to major in scientific agriculture and become a farmer. His sophomore year he became convinced that he had been called to be a Christian minister and changed his major to history. "From a reading of Roger's diaries and letters of this time," Thorne concluded that "the judgmental and awesome God of the Old Testament was gradually being replaced in Rogers's experience by a vibrantly human Jesus who offered a new intimacy and

extended the possibility of a personal freedom which would have been inconceivable in the context of the evangelical fundamentalism with which Rogers had grown up" (p. 4).

Rogers was chosen as one of twelve students from the United States to attend a World Student Christian Federation Conference in China. The trip lasted over six months. During this time Rogers experienced the depth of group life and learned that "sincere and honest people could hold very different religious beliefs" (Thorne, 1992, pp. 4 - 5). During the trip Rogers became ill with a duodenal ulcer. He returned to his parents' home to recuperate and while at home he enrolled in a correspondence course in introductory psychology in which William James wrote the principal text.

Rogers graduated in history from the University of Wisconsin and entered Union Theological Seminary in New York, which was then the most liberal Christian seminary in the United States. At the seminary Rogers discovered that he was uncomfortable giving the long sermons that were expected of ministers and he decided that "he could not stay in a field where he would be required to believe in a specific religious doctrine" (Thorne, 1992, p. 6). In his second year at Union, Rogers enrolled in several courses at Columbia University Teachers' College, which was across the street. He left the seminary after two years and enrolled in Columbia to study for a doctorate in clinical and educational psychology, which he eventually received.

Rogers decided to be a psychologist instead of a minister because of his own religious doubts and because he did not want to tell people what to believe or what to do (Sharf, 2004; Burger, 2000). He wanted people to figure those things out for themselves. Nonetheless, one might have expected Rogers to make a place for God in his theoretical

approach to counseling. But he did not. In a conversation with the existential theologian Paul Tillich, Rogers explained:

I realize very well that I and many other therapists are interested in the kind of issues that involve the religious worker and the theologian, and yet, for myself, I prefer to put my thinking on those issues in humanistic terms, or to attack those issues through the channels of scientific investigation. I guess I have some real sympathy for the modern view that is sort of symbolized in the phrase "God is dead;" that is, that religion no longer does speak to people in the modern world, and I would be interested in knowing why you tend to put your thinking – which certainly is very congenial to that of a number of psychologists these days – why you tend to put your thinking in religious terminology and theological language. (Rogers, 1989b, p. 72)

Rogers was asking why humanistic psychology and humanistic psychotherapy are not enough. Tillich answered that Rogers was concerned with human relationships on the horizontal plane; Tillich was also concerned with our relationships on the vertical plane, or the plane of the divine and eternal. Rogers wanted humans to be open to their own inner voice and to the voices of others; Tillich also wanted humans to be open to God. They agreed on the importance of "unconditional positive regard," which Tillich called "agape," or "listening love."

Rogers said, "I like that phrase because I think it could be listening within, a listening to oneself, as well as a listening love for the other individual."

Tillich responded, "Yes, when I say listening to the situation, I mean the situation is constituted out of everything around me and myself; so, listening love is always listening to both sides" (Rogers, 1989b, p. 78).

Rogers made powerful contributions to the practice and theory of therapy. From the 1940s through the 1960s, he conducted and published intensive case studies of clients in counseling using his client-centered approach. During these years, Rogers's quiet, gentle, reasonable voice offered one of the few alternatives to Freudian psychoanalysis. In the 1960s and 1970s, Rogers began to conduct encounter groups. He modified these into peace groups, and met with conflicting sides in such places as Soviet Russia, Belfast, Ireland, and South Africa during the time of apartheid.

Many of Rogers's ideas can be included in a spiritual approach to counseling. He had enormous respect for each individual client; he believed in the uniqueness and worth of each individual; and he believed that humans would naturally work toward developing wholeness. Rogers believed that (a) if there is psychological contact between client and therapist; (b) if the client feels incongruence between their perception of themselves and their actual experience; (c) if the counselor establishes a relationship of congruence and genuineness and shows unconditional positive regard, acceptance, and empathy for the client; (d) and if the client senses this empathy and acceptance, then (e) the client will change in positive ways. Rogers thought that these five conditions were necessary and sufficient: if any one of these conditions was not present, change was very unlikely to occur; if all five conditions were present, change was very likely to occur. When Rogers first described his theory of change-through-therapy, his thinking was seen as naive by many psychologists, who, from 1950 to the 1970s, were likely to be behaviorist or

cognitive-behaviorist, and by psychiatrists, who were then likely to be Freudian. Since then, many therapists have come to accept the importance of empathy and listening to the client. The “client,” a term first used by Rogers, has been given a bigger voice in deciding upon treatment. Rogers’s ideas and methods are now taught in many counseling programs as one possible approach to therapy. There is disagreement today between Rogerian purists and the so-called "supplementation school." Therapists in the supplementation school believe that Rogers’s conditions are necessary but are not always sufficient. They feel free to add "desirable supplementations, such as relaxation techniques, non-systematic behavioral counseling, problem analysis, medical treatment, and recommending books on philosophical, religious, and spiritual issues" (Thorne, 1992, p. 93). From my experience as a student counselor, I would suggest a sixth condition for change: privacy and confidentiality. Many people come to counseling without ever having experienced a safe and validating environment in which to grow. They are fragile. Privacy “both permits self-definition, which makes relationships with others possible, and demands isolation, which makes relationships with others impossible. The individual’s realization of this ambiguity takes him beyond self-definition to self-realization and self-integration” (Winslade & Ross, 1985, p. 593). Privacy is the client’s right; confidentiality constitutes the precautions taken by the therapist to respect that right. Contemporary counseling ethics would require confidentiality and would probably require a therapist to explain each additional approach or combination of approaches, especially any approach that included spirituality, and to obtain client consent.

When change did occur, Rogers believed that the person would naturally develop toward being a "fully functioning person." Rogers defined a fully-functioning person as

one who demonstrates openness to experience, living in the here-and-now, trust, freedom, and creativity. Concerning his view of individual human beings as he had experienced them in therapy, Rogers wrote:

My experience is that he is a basically trustworthy member of the human species, whose deepest characteristics tend toward development, differentiation, cooperative relationships; whose life tends fundamentally to move from dependence to independence; whose impulses tend naturally to harmonize into a complex and changing pattern of self-regulation; whose total character is such as to tend to preserve and enhance himself and his species, and perhaps to move it toward its further evolution. . . . [M]an appears to be an awesomely complex creature who can go terribly awry, but whose deepest tendencies make for his own enhancement and that of other members of his species. I find that he can be trusted to move in this constructive direction when he lives, even briefly, in a nonthreatening climate where he is free to choose any direction"

(Rogers, 1989c, pp. 404 – 405, 408).

Rogers contrasted his position with that of Freud and psychoanalytic theorists who see humans as "fundamentally deficient and evil" (Buber & Rogers, 1997, p. 78). In a dialogue with the philosopher Martin Buber, Rogers asked Buber if he agreed that people could be "trusted to be constructive and tend toward socialization or toward better interpersonal relationships."

Buber answered that he "would put it in a somewhat different manner." He said that "we counselors" can be friends with good people, but they do not really need us. So he

was interested in the problematic, sick, and so-called "bad" person. And he experienced the reality of this person as a "polar reality."

Rogers asked him what he meant. Buber explained:

You cannot say, "Oh, I detect in him just what can be trusted." I would say now when I grasp him more broadly and more deeply than before, I see his whole polarity, and then I see how the worst in him and best in him are dependent on one another, attached to one another. And I can help – may be able to help – him just by helping him change the relation between the poles. Not just by choice but by a certain strength that he gives to the one pole in relation to the other, they being qualitatively very alike to one another. I would say there is not, as we generally think, in the soul of a man good and evil opposed. There is again and again in different manners a polarity, and the poles are not good and evil, but rather yes and no, rather acceptance and refusal. And we can strengthen, we can help him strengthen the one positive pole. And even perhaps we can strengthen the force of direction in him because his polarity is very often directionless. It is a chaotic state. We could bring a cosmic note into it. We can help put order, put a shape into this. Because I think the good, or what we may call the good, is always only direction. Not a substance. (Buber & Rogers, pp. 80 – 85)

In an open letter to Carl Rogers, Rollo May interpreted this difference between Rogers and Buber as follows: "[W]hen you had the discussion with Martin Buber in Michigan, you said, 'Man is basically good,' and Buber answered, 'Man is basically good – and evil'" (May, 1989b, p. 248). May went on to say that the failure to confront evil "is

the most important error in the humanistic movement" (p. 249). In an earlier letter to Rogers, May had written that he had listened to tapes that Rogers had sent him, and:

While I felt the therapy was good on the whole, there was one glaring omission.

This was that the client-centered therapists did not (or could not) deal with the angry, hostile, negative – that is evil – feelings of the client.

(May, 1982, p. 21)

Rogers's approach was non-confrontational. He believed that when clients came to therapy, they carried inside them the harsh voices of parents and school and church, and their own sense of inadequacy, and they expected to be judged again by the therapist. Rogers thought that given enough positive regard, understanding, empathy, and enough time, clients would change from within in ways that were prosocial and self-sustaining, because Rogers had discovered that the "core of personality is positive" (Rogers, 1961, p. 90). Rogers called this discovery revolutionary, and defended it against Freudians and some religious leaders who taught that humans were basically sinful, violent, sexually aggressive, and untrustworthy. In the 1950s and 60s, one of the few voices who also stressed the potential goodness in humans was Abraham Maslow, whose ideas are discussed below. Rogers thought that "to the extent that the individual is denying to awareness (or repressing, if you prefer the term) large areas of his experience, then his creative formings may be pathological, or socially evil, or both" (Rogers, 1961, p. 352). Thus Rogers sought to provide not a content of existing wisdom to his clients but a climate in which they could gradually allow repressed areas of experience to enter awareness and, eventually, replace the judgments of others with their own experiences in

therapy and out. Rather than teaching wisdom, Rogers allowed his clients to become wise on their own terms.

The issue of reciprocity or equality of therapist and client raised by Buber continued to trouble Rogers. According to Thorne, Rogers came to acknowledge that "self-revelation without imposition, can help to bring about the reciprocity of relationship which engenders mutual respect and avoids the dangers of confused dependence. . . . Perhaps acceptance of a life-transforming kind can only be experienced at the hands of a person whose own reality and vulnerability are readily accessible; an acceptant, empathic mirror or alter ego is not enough" (Thorne, 1992, p. 84). Van Belle has suggested that the person-centered therapist's traditional role of facilitation should be rejected in favor of co-operation (referenced in Thorne, 1992, p. 85).

In a review of the book *The Self and the Dramas of History* by the theologian Reinhold Niebuhr, Rogers said he disagreed with Niebuhr's "notion that self-love is the fundamental and pervasive 'sin'" of humankind. Rogers said, "If I were to search for the central core of difficulty in people as I have come to know them, it is that in the great majority of cases they despise themselves, regard themselves as worthless and unlovable" (Rogers, 1989c, p. 211). In Rogers's conversation with Tillich, Tillich said that people hunger for self-affirmation and self-acceptance. Rogers said, "Yes, I do feel that the person does have to gain a real appreciation of or liking of himself, if he is going to affirm himself in a healthy and useful fashion" (Rogers, 1989b, p. 77). Thus, another spiritual dimension, or polarity, is Narcissism vs. listening love for others and for oneself.

In his biography of Rogers, person-centered therapist Brian Thorne, who is a self-identified Christian, wrote, "I see in his work the reemergence of a spiritual tradition

. . . that is acutely conscious of the divine indwelling within the created universe and in each human being. It bears witness to the unconditionality of the love which is poured out by God on his creation and on the capacity of human beings to internalize that love and then to give it expression in the relating" (Thorne, 1992, p. viii). Rogers died an agnostic (Thorne, p. viii) but in his last published account of a therapeutic encounter, Rogers wrote, "I realize that this account partakes of the mystical. Our experiences, it is clear, involve the spiritual. I am impelled to believe that I, like many others, have underestimated the importance of this mystical, spiritual dimension" (Rogers, 1986, p. 200). From 1945 to 1957, Rogers was head of the Counseling Center at the University of Chicago, which was the first university counseling center in the U.S. and which some psychiatrists objected to on the grounds that a psychologist was not qualified to conduct therapy. While working at the Counseling Center, Rogers tape-recorded thousands of hours of therapy sessions and analyzed them to measure change and the necessary conditions of change. That made him the first therapist of any school to record and evaluate extensively. When psychiatrists objected to his approach, he asked, "Have they done the same?" One of the original members of the Center was Elizabeth Sheerer. In 1990 she was interviewed by Phillip Barrineau, who asked:

You've noted that the [person-centered] approach has gone into so many areas; are there areas or issues that have not been addressed in your estimation?

Sheerer replied:

Yes, I would like more attention to the spiritual part of the person. . . . Of course, it's not missing in client-centered therapy, but it's not addressed formally.

It's not recognized formally. You don't get into therapy without getting in touch with the spiritual aspect of the person.

Barrineau:

Do you have a theory about why it's not addressed formally?

Sheerer:

Yes, I do. That's Carl. This was an area of difficulty for Carl. We learned early in the game not to talk about religion with Carl. That was a taboo subject because it was uncomfortable for him . . . I always had a notion that something happened while he was in China, that never was spoken of publicly or in print . . . in the years that he was developing the theory, he just didn't want any part of formal religion or, as far as I could tell, any religion. But of course, his work is so profoundly influenced by his background in Christianity. I don't think he could have developed without that background. (Barrineau, 1990, p. 423 - 424)

Thorne felt that secular individuals were most likely to experience transcendence in person-centered encounter groups than in individual therapy (Thorne, 1992, p. 105). Group therapy will be considered below in Chapter 7, Group and Family Counseling, and in my concluding Chapter 17 as an important component of spiritual-psychological counseling.

#### *Abraham Maslow*

Abraham Maslow (1908 – 1970) studied the development of healthy personalities. He did not concern himself with treating mental illness. He was not a therapist and did not develop a theoretical approach to therapy. He asked, How can people maximize their potential? He theorized that humans function under an instinctive hierarchy of needs,

which are (1) the physiological needs; (2) the need for safety; (3) the need for belonging and love; (4) the need for esteem, including self-esteem; (5) the need for self-actualization; and (6) the need for self-transcendence, which includes the loss of self in peak experiences.

"The gratification of each need in the hierarchy is a prerequisite for attention to the next" (Frick, 1971, p. 147). The lower needs are stronger and can overpower the weaker higher motives. Lower needs are deficiency needs: absence of gratification breeds illness, presence leads to health, and illness can be cured by gratification. Most people are partially satisfied and partially unsatisfied in all their basic needs.

Underlying all of these needs is the human need to grow, and that need is stronger in some than in others. People grow naturally. They only require that their development not be impeded. But some people fill all their lower-level needs for food, safety, love, and esteem and get stuck. They do not move on to self-actualization or transcendence. Self-actualized people are primarily growth oriented, with deficiency motivation playing a very small role. Many self-actualized people do not move on to transcendence and never have peak experiences. Maslow calls them the "merely healthy" (Maslow, quoted in Frick, 1971, p. 42).

Maslow thought that some people can advance gradually all the way to the highest levels of development without serious crises. But "they're probably less in number than the ones who have to go into a big turmoil to come through to [higher levels of integration.]" (Maslow, quoted in Frick, 1971, p. 43). Maslow wrote:

The process of healthy growth is made up of a never ending series of free choice situations. At every point throughout life . . . [we] must choose between safety and growth. (Maslow, 1962, p. 45)

When one risks safety in order to grow, then it follows that sooner or later one will suffer failure and grief. Maslow stressed that many people make mistakes and that growth is often a painful process.

States of being exist simultaneously with change and growth. A state of self-realization is not static or permanent. It will be challenged by changing circumstances and by a changing sense of self within. The opportunity for growth is constant. In the self-actualized and the self-transcendent states of being, humans naturally develop higher values than were possible when their deficiency needs were unmet. Maslow called these B-Values. The "B" stands for being.

These values are:

1. Wholeness;
2. Perfection;
3. Completion;
4. Justice;
5. Aliveness;
6. Richness;
7. Simplicity;
8. Beauty;
9. Goodness;
10. Uniqueness;

11. Effortlessness;
12. Playfulness;
13. Truth, honesty, reality;
14. Self-sufficiency. (Maslow, 1968, p. 83)

Maslow said that these values were not invented to fit a pre-existing theory. He discovered these values in the people he studied whom he believed to be self-actualized. Note that each value forms an implied dichotomized polarity, such as "wholeness – shatteredness."

The more developed one becomes, the more totally one may experience oneself and the environment, including others. The tendency to dichotomize is reduced. Dichotomies such as us vs. them and good vs. evil are seen to coexist within each of us: I am – and everyone is -- partly us, partly them, partly good, partly evil. Apparent contradictions are reconciled by perceiving things just as they are. This perception is egoless or Taoistic (Maslow, 1987, p. xxix).

As one becomes more developed, one simultaneously develops higher values and transcends dichotomies. Transcendence of dichotomies is, itself, a spiritual dimension: a polarity of either-or vs. both-and.

Maslow thought that some people are temperamentally and characterologically positivistic, in sense meant by Auguste Comte, and some are more humanistic. "I think a million years from today there are going to be people who like rigor more, and those who like rigor less, and people who will like a third decimal point and others who are more global, and some who love warm human relations and some who love cool human relations" (Maslow, 1962, p. 49).

Maslow was born in Brooklyn, New York, to poor, uneducated Russian Jewish immigrants, who pushed their children hard to succeed in school. He received his B.A., M.A., and Ph.D. in psychology from the University of Wisconsin, and then returned to New York to teach at Brooklyn College. There he met many European psychologists who were immigrating to the United States, including Alfred Adler, Erich Fromm, and Karen Horney. He served as chair of the psychology department at Brandeis from 1951 to 1969.

In 1968, when Maslow was 60 years of age, he was interviewed by fellow humanist-psychologist Willard Frick. Maslow had already had one heart attack. He said, "I hope to do a systematic book if I live long enough, presenting a thorough system of human nature." He kept a form that he called his "death-defying form," on which he had written "about 200 propositions and . . . all of the experiments I'd love to do if we had a 200-year life span . . . . If I live to the age of 80, then it is going to be an awfully big book" (Frick, 1971, pp. 27 -- 28). In these words, Maslow seemed to be expressing the same sense of reality that Kant had experienced: that human consciousness seems designed for more than this lifetime can accommodate. He did not write that book. He had a second heart attack and died at age 62.

### *Transpersonal Psychotherapy*

Maslow's thinking led to the creation of transpersonal psychotherapy: a contemporary approach to therapy where scientific healing and mysticism meet. Transpersonal psychotherapy recognizes the reality and value of alerted states of consciousness. Traditional therapy seeks to strengthen the ego; transpersonal therapy seeks to assist the client in disidentifying with and transcending the ego (Walsh & Vaughan, 1980, p. 18). Transpersonal therapy seeks "emancipation of awareness from the

tyranny of conditioning" (p. 19) by the daily experience of enlightenment and oneness with the universe. It values meditation and satori.

In *Man's Search for Meaning* (1984), Frankl wrote that the only reliable happiness available to humans is the happiness of self-transcendence. Frankl's ideas are discussed below under existential psychology. By "self-transcendence," Frankl meant losing one's self-preoccupation through work with and for others. Transpersonal psychotherapy values self-transcendent experiences for their own sake, regardless of whether they bring one closer to others or carry one to the top of the mountaintop alone. All self-transcendent experiences are considered opportunities for growth. Disidentification with ego is considered a dimension of spiritual growth by some religions. Hinduism recognizes that there are different spiritual paths toward God. Some people are suited for one path; some are suited for a different path. Direct transcendence is one possible spiritual path; transcendence through work with and for others is another (Sharma, 2000, pp. 341 -- 343). A complete theory of spiritual psychology needs to accommodate different spiritual temperaments and different paths to the divine.

#### *The Process of Humanistic Psychotherapy*

A humanistic therapist is not the expert. The therapist follows the client's lead, in the belief that the client will naturally develop in healthy ways. The therapeutic process is non-directive. The therapist provides empathetic reflection of feeling and meaning, allowing the client to feel understood and valued. The humanist-therapist believes that given the opportunity and incentive to grow, humans will grow, and that all human growth is positive. The reason for the client's coming to therapy provides the incentive;

the therapeutic relationship provides the opportunity. Because it is non-directive, humanist psychotherapy can take longer than more focused forms of therapy.

George Boeree (2006a), professor of psychology at Shippensburg University in Pennsylvania, wrote, "Humanism is the American version of Existentialism. Like many things American, it is more optimistic and up-beat and tends to emphasize what is good about people rather than what is bad."

#### *The Goals and Values of Humanistic Psychotherapy*

Both humanistic and existential psychologies value human growth, which can include spiritual growth. Both humanistic and existential psychology allow for the possible existence of a spiritual realm, at least metaphorically. The goals and values of both approaches are discussed at the conclusion of the next chapter, which explores existential psychology and psychotherapy in depth.

CHAPTER VI  
THE RELATIONSHIP BETWEEN SPIRITUALITY AND PSYCHOLOGY  
IN THE 20 CENTURY: EXISTENTIAL PSYCHOLOGY

When I was a young man, I found a book about philosophy on my father's dresser and read it. I cannot recall the title or author, but I remember these words from it: "Ages of philosophy are defined not by the new answers they give but by the new questions they ask." The new questions that existentialists asked were, What does it mean to be a human being from two perspectives that were new when existentialists began asking these questions 150 years ago. Those who did not believe in God (Nietzsche, Heidegger, and Sartre) asked what it means to be a human being when God no longer exists to provide the answer. Existentialists who did believe in God (Kierkegaard, Tillich, and Buber) asked what it means to be human when one is uncertain of God's existence and no longer believes in the inerrant authority of the church and Holy Scripture. From both perspectives, it takes imagination, courage, and often an entire lifetime to work out the meaning of being a human. An existential therapist helps the client with that work.

Existentialism was a philosophy first. Then it was adapted as an approach to psychotherapy. At a time when psychology had parted ways with philosophy, existentialism could provide a philosophy for psychologists. Existentialists asked, "What is our essential nature as human beings?" The essence of tables and chairs, and woodchucks, and even of angels, who do God's will without question, is identical to their existence: they are what they are at the moment they come into being (Boeree, 2006b). This is not true for human beings. Sartre wrote, "Our existences precede our essences" (quoted in Boeree, 2006b, unpaginated). This means, "I don't know what I'm here for

until I've lived my life. My life, who I am, is not determined by God, by the laws of Nature, by my genetics, by my society, not even by my family. They each may provide the raw material for who I am, but it is how I chose to live that makes me what I am. I create myself" (Boeree, 2006b, unpaginated).

Existential psychologist George Boeree observed that reading some existentialist theorists "can be quite painful. But keep in mind that they were swimming against a stream of centuries of highly systematic, rational, logical philosophy, and a psychology reduced to physiology and behavior. What they have to say often seems strange, even strained, exactly because we have leaned so well to trust traditional logic and science" (2006b, unpaginated). In this chapter, I will first examine the ideas of Edmund Husserl, Martin Heidegger, and Martin Buber, the existential philosophers who most influenced psychotherapy. Then I will discuss the contributions of the following major existential psychotherapists from the earliest to the most recent: Ludwig Binswanger, Erich Fromm, Viktor Frankl, Rollo May, and Emmy van Deurzen.

### *Husserl and Phenomenological Psychotherapy*

Phenomenology was developed by Edmund Husserl (1859 - 1938) in reaction to the failure of science to distinguish between subject and object. The scientific method assumes an independent, objective observer and an object that is observed. This works well when the object that is being observed is *not* us. When humans observe themselves, the scientific method omits half of the picture that is now available: it omits the experience of the subject. Husserl began by entering one aspect of human beings that science had not then or since been able to explain: human consciousness. A phenomenologist attempts to see the complete picture by experiencing the phenomena of

the subject's consciousness with as few preconceptions as possible. A phenomenological therapist attempts to see with open, unprejudiced eyes, to enter the world of the client subjectively, and to experience it personally. Carl Rogers's person-centered approach with its conditions of acceptance, empathy, and understanding is phenomenological. Husserl believed in God and hoped phenomenology would form a bridge between philosophy and religion.

### *Heidegger and Ontology*

Martin Heidegger (1889 - 1976) entered university on a Jesuit scholarship, but lost faith in God while living in the harsh reality of Germany following World War I. He wrote *Being and Time* (1927), which he dedicated "to Edmund Husserl in friendship and admiration." After the Nazis came to power, when the fourth edition was published, Heidegger removed the dedication to Husserl, who was Jewish (Strathern, 2002). *Being and Time* may have been the most influential philosophical work of the 20th Century, but it was called untranslatable and not published in English until 1962. It was "one of the most difficult books ever written. Both its overall structure and the language in which it is composed present great problems for the reader" (Inwood, 1997, p.9).

Heidegger was an ontologist, that is, he was a philosopher who considered the meaning of being. He was a student of Husserl's, and he used Husserl's phenomenological approach to explore the meaning of being: that is, he asked, "What can I understand about being from my consciousness and my experience, without any preconceived ideas?" The first conclusion he came to was that his knowledge of being must be limited to the nature of what it means to be a human being. His next conclusion was that he could not conceive of human-being without conceiving that being in the world; therefore, he called human-

being *Dasein*. *Dasein* is one German word for existence; *Existenz* is another; thus existentialism. *Dasein* also translates literally as "there-being." Heidegger chose the word *Dasein* to represent human beings and hyphenated it as *Da-sein* in order to emphasize the fact that the first thing a human being is aware is this there-ness. Unlike other forms of existence, human existence begins as uncertainty (thus anxiety) and possibility (thus choice and responsibility). Humans must decide how to be and what to be. Uniquely of all creatures, humans can also decide not to be, to end their existence.

The next characteristic Heidegger observed was mineness: the being I am observing is mine. This makes authenticity and inauthenticity possible. The next aspect of *Dasein* is temporal: the time of human beings is limited. The next aspect is concern; because their time is limited, humans become concerned with something: a task, a physical object, a goal, or another being. If it were not for the limits of time, humans would not need to be concerned. Humans are characterized by what concerns they choose to address.

Heidegger wrote:

We shall call this character of being of *Da-sein* which is veiled in its whence and whither, but in itself all the more openly disclosed, this "that it is," the *thrownness* of this being into its there; it is thrown in such a way that it is the there as being-in-the-world. The expression thrownness is meant to suggest the *facticity of its being delivered over*. The "that it is and has to be" disclosed in the attunement of *Da-sein* is not the "that" which expresses ontologically and categorially the factuality belonging to objective presence; the latter is accessible only when we ascertain it by looking at it. Rather, the that disclosed in attunement

must be understood as an existential attribute of the *that* being which is in the mode of being-in-the-world. *Facticity is not the factuality of the factum brutum of something objectively present, but is a characteristic of the being of Da-sein taken on its existence, although initially thrust aside.* The that of facticity is never to be found by looking.

(Heidegger, 1996, p. 127, italics and quotation marks in original.)

"Facticity" means "the sheer fact that one exists" (Inwood, 1997, p. 138). This quotation introduces *Dasein's* next observed characteristic, thrownness, and it demonstrates that Heidegger is difficult to read. Humans are thrown into a world that is not of their own making or choosing. They do not know where they came from or toward what end they are headed; nonetheless, they must become concerned and define themselves, or let the world do it for them. Humans are thrown into a "there-ness" that is at least a little alien, and they undergo enormous pressure to go along with the crowd. Another aspect of *Dasein* is being-with: the world into which humans are thrown has others in it. It is impossible to conceive of a human being existing alone. However, being-with presents the possibility of defining one's being solely in reference to others. People who surrender to this "theyness" are likely to say, "They made me do such and such," or, "They were responsible." One is authentic and performs an *Existenzial* deed when one acts in accordance with one's own inner light regardless of social pressures to act otherwise.

The various ways in which a human being can be in the world are expressed by the terms *Umwelt* (the German word for environment), *Mitwelt* (with-world, being with others), and *Eigenwelt* (own-world, one's inner experience and the self-awareness from

which one sees the world). Van Deurzen (1997) later added *Überworld* (over-world), to cover one's spiritual being and ideals. The *Umwelt* includes one's instincts. Binswanger and May were critical of psychoanalysis and behavioral and cognitive therapies, because they deal primarily with the *Umwelt* and fail to take adequate account of the other worlds (Sharf, 2004, p. 164).

*Angst* is existential anxiety, which comes from the realization that human beings are free to choose their essence. Heidegger got the idea from Kierkegaard, who wrote, "Whoever has learned to be anxious in the right way has learned the ultimate" (Kierkegaard, 1844, p. 155). When we accept anxiety we become less afraid of death and live more authentically. Park wrote, "Becoming aware of our angst is a sign of our deepening spirits" (1999, unpaginated). Existential psychologists contrast existential anxiety with neurotic anxiety, which is disproportionate and may arise from repressed fears. Hypochondriacs, for example, may display neurotic anxiety when they will not touch the door of a public toilet for fear of germs. There is also existential guilt, which is a feeling that one has failed to do all that one might have done with the time one has been given in life, and there is neurotic guilt, which is introjecting blame imposed upon us for trying to be who we are.

One of Heidegger's students at the University of Marburg was Hannah Arndt. When the Nazis came to power, Arndt left Germany because she was Jewish. She remained loyal to Heidegger and taught his ideas in America, where she became the first female professor at Princeton. Heidegger never apologized to Arndt or Husserl for becoming a Nazi, which he justified as being true to his "German Being." He merely wrote to them that he, himself, was not anti-Semitic. Arndt later reported on the trial of

Adolf Eichmann in Israel. In her report she coined the phrase "the banality of evil." That phrase suggests that evil can masquerade as ordinariness. That is another reason that a theory of spiritual psychology needs to acknowledge evil. If it does not, then when evil arises, one may fail to recognize it, even in oneself.

Not all psychologists were favorably impressed by Heidegger. Jung called him "the master of complicated banalities" (quoted in Strathern, p. 75).

### *Martin Buber*

Heidegger's world-view was somewhat narcissistic. Martin Buber's (1878 - 1965) world-view was not. Buber's dialogue with Carl Rogers is discussed in Chapter 5. Buber was an Austrian philosopher, theologian, educator, re-teller of Hasidic stories, and Zionist. When the Nazis came to power, he moved to Jerusalem and accepted a professorship at Hebrew University. There, he advocated a binational solution; that is, he envisioned Palestine becoming one state in which authority and responsibility were shared equally by Arabs and Jews.

In *I and Thou* (1937), Buber wrote that the key existential act is to enter into an I-thou relationship. Until one has done that, one is alone; and so long as one is alone, one's existence is without meaning. Buber argued that every human being is at all times engaged in either an "I-it" or an "I-thou" relationship with the world, with other people, and with things. In an I-thou encounter two people meet and enter into a dialogue with each other without any qualification or objectification. One example of such a relationship is between a human being and God. But on the human level I-thou relationships are rare and difficult.

Authentic dialogue is only possible in an I-thou relationship. In an I-it relationship, the two beings do not actually meet. Each treats the other as an object. Others are seen in terms of whether or not they can serve one's interests. The I-it relationship is a relationship with oneself alone: there is no communication, only monologue. Buber felt that I-thou relationships were few. He thought modern materialism exacerbated the problem. Rogers thought that his relationships with his clients were I-thou relationships. Buber disagreed because the situation of therapy prevents complete reciprocity (Buber and Rogers, 1997). Rogers later wrote that as a participant-facilitator in groups he risked himself by self-disclosure, and that he thereby came closer to an I-thou relationship with clients (Rogers, 1970).

*Ludwig Binswanger*

Ludwig Binswanger (1881 -- 1966) was the first to develop what he called existentialist--phenomenological psychotherapy. Binswanger studied under Jung, who introduced him to Freud. Binswanger and Freud remained good friends and correspondents until Freud's death, despite the fact that Binswanger disagreed with Freud on many things. Binswanger was the only person who was able to maintain a friendship with Freud after disagreeing with him. Binswanger developed what he called Daseinanalyse in reaction to what he saw as a lack of spirituality in Freud's approach. Binswanger built upon the philosophical ideas of Edmund Husserl, Martin Heidegger, and Martin Buber, who were his contemporaries and lived less than a day's train trip away. For most of his life, Heidegger taught at the University of Freiburg, which is in southern Germany close to the Swiss border. Binswanger was at the Bellevue Sanatorium

in Kreuzlingen, Switzerland, which is on the German border and close to Zurich, where Jung lived. Until they fled Hitler, Buber and Freud lived not far away in Vienna, Austria.

Binswanger's existentialist theory begins by taking the phenomenological point of view; it views the client's world from the client's point of view and accepts it, which lead to the remarkable results of Ellen West's psychotherapy, discussed below. Binswanger combined the care of Heidegger with the love of Buber. For Heidegger, being with others was important, but, because humans are born and die alone, they can only choose to be authentic as individuals. If humans attempt to define themselves through others, Heidegger said they will be inauthentic. Binswanger thought that humans can also be authentic in and through their relationships with others. Binswanger replaced the categories of Umwelt, Mitwelt, and Eigenwelt with four categories of relating to others:

(1) The anonymous mode is the realm of I and it. We relate to others as objects and they relate to us as objects.

(2) The plural mode is the realm of I vs. you. We are engaged with others competitively. We think of others in terms of winning or losing, seizing or yielding.

(3) The singular mode is the realm of I alone. In this realm we relate to ourselves. We can become Narcissistic or we can achieve a state of inner harmony and integrity. We can also relate to ourselves in the plural mode if we have warring factions within us.

(4) The dual mode is that of intimacy with others. This is the mode in which it is possible to enter and sustain an I-thou relationship, and it includes the possibility of relationship with the infinite or God. In the dual mode, "we can transcend nearness and

farness by the creation of a new and privileged spatial relationship between ourselves and the elected other" (van Deurzen, 1997, p. 147).

In the anonymous mode, our only source of knowledge is objective. In the I vs. you mode, there is no knowledge, only tactics. It was this aspect of the practice of law that dissatisfied me. In the singular mode we have the objective and subjective knowledge of one person. In the I-and-thou mode, we have two sources of knowledge and two sources of courage, and if God is real and we invite God to participate in our lives, we will have three sources of both. Being alone is difficult. But so, it turns out, is being together; thus the importance of group and family therapy, which are discussed in the next chapter.

Binswanger was well-known for his long, detailed case studies, of which the best known is the Case of Ellen West, published in *Existence* (1958). Since the age of 17, Ellen West had written poetry expressing her love of death, including this poem entitled "Spring Moods:"

I'd like to die just as the birdling does  
 That splits his throat in highest jubilation;  
 And not to live as the worm on earth lives on;  
 Becoming old and ugly; dull and dumb!  
 No, feel for once how forces in me kindle,  
 And wildly be consumed in my own fire.

Ellen West divided her existence into two worlds: the tomb world, in which she included her physical existence, and the ethereal world, into which she longed to escape. She ate very little, used massive quantities of laxatives, and at age 33 she weighed 92

pounds. She made two unsuccessful attempts to kill herself. She was seen by different psychiatrists and was diagnosed at different times as manic-depressive, obsessive--compulsive, melancholic, and finally as schizophrenic. In addition to or instead of those diagnoses, she would today be diagnosed as anorexic. She was sent to Kreuzlingen Sanatorium where she lived comfortably with her husband and was treated by Binswanger. She continued to refuse to eat at the sanatorium. Binswanger met with Ellen West and her husband together and gave her a choice: she could remain at the sanatorium and be committed to a closed ward, where she would be expected to deteriorate, or she could go home. She chose to go home. She was relieved that she would be allowed to leave the sanatorium and declared that she would take her life.

At home she ate well for the first time in 13 years, took walks with her husband, read poems, wrote letters to friends, and on the third day following her return, she took a lethal dose of poison. In death, "she looked as she had never looked in life -- calm and happy and peaceful" (Binswanger, 1958, p. 267). Binswanger did not judge Ellen West. He accepted her lovingly. He listened to her and respected her as she interpreted herself, not as he might have. Binswanger wrote that Ellen West's death was "the necessary fulfillment of the life-meaning of this existence" (p. 295).

Carl Rogers also tried to accept clients without interpretation; however, he felt differently about Ellen West. He wrote (1989h) that, in his opinion, Ellen West was suffering from two forms of loneliness that are particularly common in the modern age: she was estranged from herself and she had no relationship in which she could communicate her real experiencing, and hence her real self, to another. A therapist could have provided her with that relationship, but none did. They had not yet learned the value

of doing so. Psychiatrists, even Binswanger, had objectified Ellen West through various diagnoses. Her parents denied her personhood in other ways. At her father's insistence she broke off her first engagement with a foreigner. She next had a love affair with a fellow student at age 24 and became engaged to him. At her parents' insistence, she eventually ended this engagement and married a man her parents approved of, but for whom she felt no passion. Ellen had learned that she should not trust her feelings or her judgment.

Rogers wrote: "To make an object of a person has been helpful in treating physical ills; it has not been successful in treating psychological ills" (1989h, p. 168). A person-centered therapist could sense what Ellen West is and what her potentialities are, and would have been willing for her to be both or either. With the support of such a therapist, Ellen West could have experienced all the contradictions that made up her inner life, and slowly she could have become her true self. The process would never be complete because the complexity of existence is infinite and never ending. The experience would be frightening and painful, but "to be oneself is worth a high price" (p. 167). This experience of the true self with all its contradictions is similar to the acceptance of the shadow and the shadow's integration into the Self in Jungian theory, discussed in Chapter 8.

In his preface to the Torchbook edition of *Being in the World: Selected Papers of Ludwig Binswanger* (1967), Jacob Needleman wrote:

The thesis of this study is that no science of the mind is possible unless joined to a method of description that is free from the metaphysical and epistemological presuppositions of contemporary natural science. It is argued that these

presuppositions are historically such as to rule out the reality of the very phenomena to be explained. Thus, a psychology which attempts to stay totally within the field of Western science is an unproductively circular enterprise that can never be sure it is addressing its proper subject matter.

(Needleman, 1963, p. viii)

In other words, the science of psychology has defined the spirit and the soul out of existence.

### *Erich Fromm*

Erich Fromm (1900 – 1980) was "so close to being an existentialist that it almost doesn't matter" (Boeree, 2006c, unpaginated). He began his studies at the University of Heidelberg in jurisprudence, but switched to sociology. He earned his PhD in sociology at Heidelberg in 1922. He completed psychoanalytical training at the Psychoanalytical Institute in Berlin in 1930 and then began his career as a psychotherapist. He moved to United States in 1934 to teach at Columbia University.

His family was Jewish and central to his world-view was his interpretation of the Talmud, which he began studying as a young man. Fromm eventually became what he called an "atheistic mystic," and his approach to psychotherapy demonstrates that it is possible to have a spiritual-psychological theory of counseling and exclude God from the theory. Fromm distinguished between "that psychoanalysis which aims primarily at *social adjustment* and psychoanalysis which aims at the '*cure of the soul.*'" He thought of himself as primarily a physician of the soul (Fromm, 1950, p. 65). Fromm defined "cure" in the larger sense of "caring for;" he did not define "soul." He believed that one can have a religious experience without believing in God, and that such experiences were

important. He characterized a person who values and seeks religious experiences as follows:

(1) They feel wonder. Such a person experiences “life as a problem, as a question that requires an answer” (Fromm, 1994, p. 139). This attitude begins in awe. The person experiences the “the pain of separateness from man and nature” and has a “passionate wish to overcome this separateness and to find at-one-ment” (1994, p. 140).

(2) They feel concern. Such a person has a hierarchy of values of which the highest is optimal development of one’s own powers of reason, love, compassion, and courage. This hierarchy makes the worldly life part of the spiritual life, so that the worldly life is permeated by the spiritual aims. This attitude is felt as “an ultimate concern with the meaning of life, with the self-realization of man, with the fulfillment of the task which life sets us. This ultimate concern gives all desires and aims, inasmuch as they do not contribute to the welfare of the soul and the realization of the self, a secondary importance” (Fromm, 1950, p. 95).

(3) Such a person views every human being as an end, and never as a means. Whatever happens, good or bad, is a stimulus to becoming stronger, more sensitive, more human. Such a person is not seeking to transform the world, but is seeking constant self-transformation.

(4) They long to feel oneness. Such a person values letting go of ego and greed. Such a person values being empty in order to be open.

(5) Such a person seeks self-transcendence, “leaving the prison of one’s selfishness and separateness” (Fromm, 1994, p. 141). Such a person seeks to reduce Narcissism. “The central problem of man is not that of his libido; it is that of dichotomies

inherent in his existence, his separateness, alienation, suffering, his fear of freedom, his wish for union, his capacity for hate and destruction, his capacity for love and union” (1994, p. 141).

As a “physician of the soul,” Fromm saw therapy as an opportunity to help the patient “achieve an attitude which can be called religious in the humanistic though not the authoritarian sense of the word” (1950, p. 93). In therapy, the patient would awaken and strive:

Man must strive to recognize the truth and can be fully human only to the extent to which he succeeds in this task. He must be independent and free, an end in himself and not the means for any other person’s purposes. He must relate himself to his fellow men lovingly. If he has no love, he is an empty shell even if his were all power, wealth, and intelligence. Man must know the difference between good and evil, he must learn to listen to the voice of his conscience and to be able to follow it. (Fromm, 1950, p. 76)

Fromm wrote that love is a capacity rather than an emotion. Love is “a capacity for the experience of concern, responsibility, respect, and understanding of another person and the intense desire for that other person’s growth. *Analytic therapy is essentially an attempt to help the patient gain or regain his capacity for love.* If this aim is not fulfilled nothing but surface changes can be accomplished” (Fromm, 1950, p. 87, italics in original).

Fromm thought that as one awaked and became more aware, one would become a revolutionary. He thought that this was one of the teachings of Jesus, Lao Tsu, Buddha, and all great religious leaders. These aspects of their teachings are inevitably lost when

new religions became part of the establishment. Fromm wrote that “revolutionary character,” in the healthy sense, was not the character of a resentful, destructive rebel, and not the character of a fanatic. A fanatic is Narcissistic and symbolized by “burning ice. He is a person who is passionate and extremely cold at the same time” (Fromm, 1963, p. 152). In contrast, the revolutionary character, as Fromm envisioned it, is characterized by passionate independence and loving involvement. Revolutionaries think, feel and decide for themselves. They identify with humanity and therefore transcend narrow limits of their own society. They have a reverence for life. Revolutionaries think and feel in a critical mood. For the revolutionary, power is never sanctified. A revolutionary can disobey. Disobedience is a dialectic concept: “I may be disobedient to the laws of the state because I am obedient to the laws of humanity. . . . The question is not really one of disobedience or obedience, but one of disobedience or obedience to what and to whom” (Fromm, 1963, p. 162). In the 19<sup>th</sup> Century, humans had been controlled by force. But in the 20<sup>th</sup> Century, Fromm saw human beings as increasingly controlled by manipulation. This has resulted in a situation in which “obedience today is not recognized as obedience, because it is rationalized as ‘common sense,’ as a matter of accepting objective necessities. . . . It is difficult to be disobedient if one is not even aware of being obedient” (1963, p. 164). Fromm equated his definition of revolutionary character with “mental health and well-being.” This person would be “the sane person in an insane world, the fully developed human being in a crippled world, the fully awake person in a half-asleep world” (p. 165).

Fromm wrote: “In the nineteenth century the problem was that *God is dead*; in the twentieth century the problem is that *man is dead*. . . . The danger in the past was that men became slaves. The danger in the future is that men become robots” (1963, p. 101).

Fromm was one of the founders of socialist humanism and was active in the international peace movement. He believed that “even if peace meant only the absence of war, of hate, of slaughter, of madness, its accomplishment would be among the highest aims man can set for himself” (1963, p. 203). And he believed that peace in the world must begin with peace within each of us, in “the experience of ‘at-onement’ with the world and with oneself” (p. 212).

#### *Viktor Frankl*

Viktor Frankl (1905 – 1997) survived the Nazi death camps of Dachau and Auschwitz. He wrote *Man’s Search for Meaning* (1959), in which he spells out his humanistic approach to therapy, which he calls logotherapy, from logos, the Greek word for meaning. According to logotherapy, the striving for meaning is the principal motivational force for human beings: more important than striving for pleasure. Pleasure cannot be pursued directly; it comes as a secondary effect of something else, such as meaningful self-transcendence. Frankl thought that the main adversary to humanistic psychology was determinism. For him, freedom and responsibility were the essential human qualities. People do not discover meaning within; they discover it by self-transcendence: by losing themselves in the world. Meaning is found in three ways: “(1) by creating a work or doing a deed; (2) by experiencing something or encountering someone; and (3) by the attitude we take toward unavoidable suffering” (Frankl, 1984,

p. 115). To be meaningful, self-transcendence must be moral: one must treat oneself and others well in the face of adversity, not only when all is going well. Frankl did not call these goals spiritual; but each of these paths to self-transcendence could be included as a spiritual dimension in a theory of spiritual counseling.

Logotherapy also contained a vertical dimension, which Frankl called "super-meaning." Frankl asked:

Are you sure that the human world is the terminal point in the evolution of the cosmos? Is it not conceivable that there is still another dimension, a world beyond man's world; a world in which the question of an ultimate meaning of human suffering would find an answer?

This ultimate meaning necessarily exceeds and surpasses the finite intellectual capacities of man; in logotherapy, we speak in this context of a super-meaning. What is demanded of man is not, as some existential philosophers teach, to endure the meaninglessness of life, but rather to bear his incapacity to grasp its unconditional meaningfulness in rational terms. Logos is deeper than logic.

(Frankl, 1984, pp. 121 – 122)

Concerning good and evil, Frankl said that after Auschwitz we know what human beings are capable of. For Frankl, the important thing is not to deny evil but to change it. Humans are free to change at any point and many do change. He gave the example of Dr. J., a Nazi who was known as "the mass murderer of Steinhof," a mental hospital in Vienna from which he sent many inmates to the gas chambers. After the Second World War, Dr J. was taken prisoner by the Russians and sent to Lubianka prison in Moscow, where he eventually died. A fellow prisoner at Lubianka related to Frankl that before his

death, Dr. J. "gave consolation to everybody. He lived up to the highest conceivable moral standard. He was the best friend I ever met during my long years in prison" (Frankl, p. 133 — 134).

Frankl wrote that logotherapy takes an attitude of "tragic optimism" toward life. One can face the triad of pain, guilt, and death with the triad of hope, love, and faith. One can (1) "turn suffering into a human achievement," even if it is only the achievement of enduring the suffering well;(2) derive "from guilt the opportunity to change oneself for the better;" and (3) derive "from life's transitoriness an incentive to take responsible action" (pp. 139 – 140). He quoted his colleague Edith Weisskopf-Joelson regarding the tendency of psychologists to pathologize sadness: "[O]ur current mental-hygiene philosophy stresses the idea that people ought to be happy, that unhappiness is a symptom of maladjustment. Such a value system might be responsible for the fact that the burden of unavoidable unhappiness is increased by unhappiness about being unhappy" (p. 118).

Frankl took the position that it was not necessary to suffer in order to find meaning. He said that only unavoidable suffering provided the opportunity for meaning. If the suffering is avoidable, and we do not avoid it, Frankl believed we were being masochistic. Frankl's experience of suffering was that of a Holocaust survivor. The idea that anyone would voluntarily submit to such suffering is obscene. But Frankl did not consider, as did Maslow, that people living at peace have a need to grow and must take risks in order to grow. Not all risks pay off. Taking risks is a matter of choice and the pain that results could have been avoided by making a safer choice. Thus, there is avoidable pain that can lead to growth.

*Rollo May*

Rollo May (1909 – 1994) attended Union Theological Seminary where he became friends with the existentialist theologian Paul Tillich. He practiced as a Congregationalist minister and in 1940 he wrote *The Springs of Creative Living: A Study of Human Nature and God*, dedicated to Tillich. In that book, May attempted to do what this paper is attempting: "to bring together the two great streams of the understanding of human nature, psychotherapy and religion" (May, 1940, p. 8). He wrote that before 1940, psychology and religion had been hostile to each other, but "increasing maturity on both sides has brought the realization that psychotherapy and religion are collaborators not competitors" (May, 1940, p. 25). There has been little progress in that collaboration since.

May believed that religion and psychology are complementary. He wrote that "psychotherapy concerns itself with helping straighten out the structure of the meaning of an individual's life, and religion is the meaning" (May, 1940, p. 24). Religion teaches us to love our neighbor, and psychotherapy helps us understand why that is so difficult to do.

*Existential dialectics.* May thought that he could do more good as a therapist than as a minister; so he returned to school and earned a PhD in clinical psychology in 1949. In 1958, with Ernest Angel and Henri Ellenberger, he co-edited and contributed to the book *Existence*, which introduced existential psychology to the United States. May became the leading voice of existentialist psychology in the United States. Although May was known for "showing a sharper awareness of the tragic dimensions of human existence" than his humanistic colleagues (Rollo May, Wikipedia, 2006), he was cheerful

and optimistic compared to some European existentialists. In 1961, May wrote that although existential psychology had been prominent in Europe for two decades, it had been greeted in the United States with "hostility and outright anger" (May, 1961, p. 12). May noted "the term 'existentialist' is dubious and confused these days, associated as it is with the beatnik movement at one extreme and with esoteric, untranslatable, Germanic philosophical concepts at the other" (May, 1961, p. 18).

May thought that human potentials are naturally dialectic. The University of Chicago Humanities Department defines *dialectic* as follows: "Generally speaking, dialectic is a mode of thought, or a philosophic medium, through which contradiction becomes a starting point (rather than a dead end) for contemplation. As such, 'dialectic' is the medium that helps us comprehend a world that is racked by paradox" (2006, unpaginated). May thought that humans grow not by achieving one end or the other of opposed qualities, but by balancing and compromising the two. In this process we are often pulled too far in one direction and compensate by going too far in the other.

One dialectic polarity is freedom vs. determination or control. The ideal is not to achieve either total freedom or total control. Another polarity is good vs. evil. May thought it best if we are consciously aware of the potential good and evil that exists within ourselves and all humans, and do not try to deny their existence. From this awareness, humans learn humility and penitence (May, 1940, p. 114). The more conscious humans are, the more freedom they have to choose where on the poles of good vs. evil and freedom vs. control they come down. Humans are continually in flux and the tension between polarities is never resolved (1940, pp. 111 – 112). In phrases reminiscent of Buber, May wrote, "We cannot define the human being by saying, 'He is this,' or, 'He is that,' but only in terms of balance and movement between two different poles" (p. 124).

There are sins of the flesh and sins of pride. "Sin is proof of the upper pole to the human dialectic" and proof of our freedom. "The worth of human personality lies in the fact that it has a perfection outside itself by which it is attracted and impregnated" (May, 1940, p. 131). If that standard is not outside us in God, we are forced to make ourselves the standard of perfection and that leads to a "mire of egocentricity." Jesus, he thought, made it possible to see the upper pole in a real human. Concerning morality, May wrote:

Every personality problem is, in one sense, a moral problem, as it refers to that question which is basic to all ethics, "How shall I live?" We can expect that the creative personality will be distinguished by the ability to negotiate the moral relations of life adequately, and we can set it down as a basic principle that a constructive moral adjustment to life is the aim of successful counseling.

(May, 1989a, p. 144)

May believed that people should choose whether to do good or evil, as an act of free will. If one excludes evil as a choice, one excludes good as a choice. One would thereby exclude from psychological theory and from the therapy room consideration of Kant's choice to do good even though it hurts, and one would exclude from the theoretical basis of psychotherapy the formation of character that develops from moral deliberations.

May thought that the most important dialectic was the tension between a subjective and objective view of oneself. In *Psychology and the Human Dilemma*, May wrote:

I have described the human dilemma as the capacity of man to view himself as object and as subject. My point is that both are necessary -- necessary

for psychological science, for effective therapy, and for meaningful living. I am also proposing that *in the dialectical process between these two poles lies the development, and the deepening and widening, of human consciousness*. The error on both sides -- for which I have used Skinner and the pre-paradox Rogers as examples -- is the assumption that one can avoid the dilemma by taking one of its poles. It is not simply that man must learn to live with the paradox -- the human being has *always* lived in this paradox or dilemma, from the time that he first became aware of the fact that *he* was the one who would die and coined a word for his own death. Illness, limitations of all sorts, and every aspect of our biological state we have indicated are aspects of the deterministic side of the dilemma -- man is like the grass of the field, it withereth. The awareness of this, and the acting on this awareness, is the genius of man the subject. But we must also take the implications of this dilemma into our psychological theory. Between the two horns of this dilemma, man has developed symbols, art, language, and the kind of science which is always expanding in its own presuppositions. The courageous living within this dilemma, I believe, is the source of human creativity. (May, 1967, p. 20)

Skinner was a radical behaviorist. By “the pre-paradox Rogers” May was referring to the Carl Rogers who believed that people are naturally good. Toward the end of his career, when he worked with groups, Rogers no longer spoke of the inherent goodness of each member. He wrote, instead, that each member had an inherent potential for goodness.

Some definitions of "dialectic" imply the desirability of reconciling the opposing poles. May's definition does not. May's point is that while we are tempted to reconcile

the contradictions, we should not. We should exist in awareness of the tension between them, within ourselves and within society. Out of sustained awareness and openness to both sides, we continue to grow. When we resolve the tension, we may cease to grow.

Concerning this tension, May wrote:

A final unity in the human personality is neither possible nor desirable.

Existence in the Garden of Eden or in the heavens of the blissful and placid type would mean death to the personality as we know it. For personality is dynamic, not static; creative, not vegetative. What we desire is a new and constructive adjustment of tensions rather than a final unity. We do not wish to wipe away conflict altogether -- that would be stagnation -- but rather *to transform destructive conflicts into constructive ones.*

(*The Art of Counseling*, 1989, p. 35, italics in original.)

*Spiritual health and happiness.* May equated happiness with psychological and spiritual health. If people are happy, they will make others happy. If they are unhappy, they will make others miserable. But how one gets to happiness matters. Taking a pill will not do. Happiness and love both come from transcending oneself and directing one's energy outward. Nonetheless, there will come times of disillusionment and disappointment. Lasting happiness comes from affirming oneself and one's fellow human beings and affirming the goodness of life in spite of suffering. So happiness for May is a spiritual choice and an act of will. He was speaking from experience. When May was a child, his parents divorced and his sister suffered a mental breakdown. As a young adult, studying for his doctorate, he contracted tuberculosis and was hospitalized for three years in a sanatorium. He later wrote that this encounter with the reality of his own death was

the turning point of his life. While he faced the possibility of death, he also filled his empty hours with reading. Among the literature he read were the writings of Soren Kierkegaard (Boeree, 2007).

May quoted Alfred Adler, "Healthy living depends upon the person's courage to do things in spite of imperfection" (May, 1940, p. 103). "Health for the personality – which includes mental, physical, and spiritual health – lies in courageously bearing the tension between what one is and what one ought to be, and directing this tension into creative use" (May, 1940, p. 127). May added that health also consists of courageously and creatively bearing the tension between one's being and non-being. Being and non-being constitute another dialectic polarity.

May believed that healthy living requires a person to affirm himself or herself, to affirm society, and to affirm the ultimate meaningfulness of the universe. "If the universe is crazy, the parts of it must be crazy too" (1989a, p. 166). May believed that learning to love well is an important part of psychological and spiritual development. How to do that is discussed next.

*Intentionality: learning to choose love.* In *Love and Will*, May wrote, "Man's task is to unite love and will" (1969, p. 283). By this he meant something similar to Kant's *summum bonum*, that our human goal is to find happiness in the good: if one loves the good, then one's will will seek the good. How does one get oneself to that point? May conceptualized a course of development that led from care about another person, which implies the capacity to love and be loved; to wish; to will; to the decision to act when one's wishes and will coincide; to the decision to love someone consciously; to the decision to care consciously for others generally. Heidegger wrote that care was an

essential part of what it means to be a human being. Until humans care for something or someone, they are alone and without meaning. For Binswanger, Buber, and May, it was the caring for another human that made people human, not caring for things. There is no such thing as meaning in a universe of only one person and many things. When we care for another, time and death raise the stakes: those we care about are dying. Care leads to wishes and to will. But, May said, contemporary European-Americans have lost the courage and self-awareness to wish or will well.

The ideal for a Victorian was to be "the master of his fate and the captain of his soul." Victorians made a virtue of will power and free will. Freud showed that they were deluded. Their actions were in fact determined by unconscious, ignoble wishes. May thought that Freud and other deterministic psychologists had turned troubled, yearning humans into passive patients who were afraid to assert their wants. Many people deny and repress their wishes. Many hide the wishes they are conscious of. And, May felt, many have become afraid to want; some have even developed the goal of "not wanting" (1969, p. 264). Our will is conscious and expressed in our intentions. Our wishes may be unconscious and may conflict with our conscious intentions.

Meaningful therapy can begin for many by making their wishes conscious and deepening their capacity to wish. The therapist can ask clients what they care about and who matters to them. The therapist can affirm clients' immediate wishes in order to get to their deeper wishes. The next step is to experience oneself as the person who has these wishes and who can do something about them. When this happens, clients experience the meaning and implications of their intentions as part of who they are, and not as

something imposed by outside authority. Their wishes become an expression of their true selves and are transformed into intentions.

Clients can act on these intentions by making a conscious decision and taking responsibility for the results. Such decisions create "a pattern of acting and living which is empowered and enriched by wishes, asserted by will, and is responsive to and responsible for the significant other-persons who are important to one's self in the realizing of the long-term goals" (1969, p. 267). Each decision is an act of one's whole being and always involves the risk of failure.

May uses the term "intentionality" to express the state in which both one's conscious and unconscious wishes agree with one's intention. Intentionality is an approach to oneself and others by which one consciously seeks to become more aware of one's wishes and to integrate and act on those wishes through acts of will. People can learn to unite love and will by conscious development, by choosing to integrate their loving wishes into loving actions, and by choosing to learn from their mistakes. What should people do with their un-loving and destructive wishes? If they are aware of their destructive wishes, they can consciously choose not to act on them. If they acknowledge their destructive wishes, those wishes are less likely to lurk in the unconscious and sabotage their conscious intentions. May appeared to make the same assumption that he criticized Rogers for making: that, given the opportunity, humans will choose constructively. In the case study presented in *Love and Will*, May helped a client make his wishes conscious. They included the wish to get even with his father by failing at his job, the wish to be rescued by his mother or by May, and the wish to work at what he valued regardless of the risk of failure and his father's negative opinion. May asked the

client which of these wishes he would choose to act on. With greater self-awareness, the client chose to continue his struggle for independence from his parents. What if the client had chosen, instead, to get even with his father and depend on his mother? There is no assurance that clients will choose well, or that the therapist's definition of choosing well will agree with the client's. But by following the path outlined by May, therapists can help clients choose more consciously.

May believed that learning how to love consciously is a critical developmental task for each person. May felt that contemporary society needed to develop a "mythos of care," which "says that whatever happens in the external world, human love and grief, pity and compassion are what matter" (1969, p. 302). Humans cannot will love, but they can will to be open to love, and they can consciously practice caring.

May was an American existentialist. The American existentialists, including May, were viewed by European van Deurzen as practicing a form of existentialism which was too cheery: "The stark philosophical dimension gets so diluted that the original project of the approach is lost (van Deurzen, 1997, pp. 156- 158).

*Emmy van Deurzen*

Emmy van Deurzen is a contemporary existentialist psychotherapist. She was born in the Netherlands in 1951. She obtained both a Master's degree in Phenomenological and Existential Philosophy and a Master's in Clinical Psychology in France. She moved to London in 1977, where she practiced and taught at the Society for Existential Analysis, which is coupled with the School of Psychotherapy and Counseling at Regent's College. She co-founded and currently practices at Dilemma Consultancy, an organization of psychotherapists, counselors, psychologists, and medical practitioners

working in the existential tradition (Dilemma Consultancy website, 2008; Emmy van Deurzen, Wikipedia, 2008).

Van Deurzen takes the position that people are at their best when they are faced with difficulties and paradoxes. The key paradoxes of human existence are being alive or dead; being related to others or being isolated; being resolute or desolate in relation to one's freedom; and being engaged in a search for truth or confronting the absurdity of existence (van Deurzen, 1997, p. 158).

Van Deurzen believed that the day-to-day challenge that humans face is to learn to live intensely and openly, not to flee or close down in the face of danger. Diagnoses and cures are likely to constitute oversimplifications and evasions of the complexity of life. Living fully takes courage and a willingness to live with imperfection, failure, contradiction, uncertainty, tension, anxiety, sickness, and pain.

As people struggle to be open to the many challenges that come their way, it helps to have a map. That is one purpose of Heidegger's ontological dimensions. Van Deurzen supplements Heidegger's three dimensions with a spiritual dimension. Each dimension has a positive pole, a negative pole, and an intermediate value; for example, on the spiritual dimension, meaning is one positive pole, meaninglessness is a negative pole, and wisdom is the intermediate value (van Deurzen, 1997, p. 101). Van Deurzen does not conceive of the existential dimensions as dimensions of growth. The point is not to value exclusively either pole or the intermediate value. The point is to be open to the value of each pole at different times. If one closes off the negative poles, one is closing off half of the experience of life. Humans will experience these aspects of life throughout their lifetimes. People do not outgrow them. As people move from the positive to the negative

poles of experience, it is natural for them to pass through an emotional cycle. Cycles of dark and light are part of the nature of the universe. Evidence-based psychotherapy and psychopharmacology try to control them. Existential theories value being open to the cycles of nature and experiencing all sides: elation and grief, and occasional numbness.

If a client came to see van Deurzen complaining of sadness, van Deurzen might look upon the sadness as part of the natural cycle of emotions. Our emotions have a direction. So van Deurzen would expect the client to pass in time from resignation toward a renewal of desire. In fact, most clinical depressions pass of their own accord, with or without treatment, within four to six months, a fact that is almost never acknowledged or discussed by evidence-based theorists or psychopharmacologists (*DSM-IV-TR*, p. 354; Nevid, Rathus, and Green, 2000, p. 237).

The American existential therapist Irving Yalom will be discussed in the next chapter under Group Counseling.

### *The Process of Existentialist Psychotherapy*

Existential psychotherapy is a conversation about making sense of the client's life. The "duty of the existential psychotherapist is to see to it that interpretations are made within the framework of meaning of the client, rather than within the framework of meaning of the therapist" (van Deurzen-Smith, 1997, p. 229). In helping clients make sense of their lives, it helps if the therapist is also open to influence and change. The process can be rewarding and exciting for both client and counselor.

The purpose of existential psychotherapy is not to diagnose or explain. It is to experience life in all its contradictions. The key to existential therapy is openness. If a client were depressed, Freud or a cognitive-behaviorist might try to cure the depression.

Freud might look into the past for an over-controlling mother against whom the client felt repressed anger, which Freud would help the client bring to consciousness and express. A cognitive-behaviorist might look in the present for triggers and so-called "unreasonable cognitions."

An existentialist, on the other hand, might call upon the client's creative powers and focus on the future. An existentialist therapist might ask, "What do you value that makes you sad?" instead of asking, "How can we make you happy now?" An existential therapist might ask, "Who do you long to be? Can you imagine being that person? Can you begin to be that person with others outside of this office? Out of your sadness, can you connect with others with empathy and love? What is standing in your way inside of you and outside of you? What can you do to help others that will also bring meaning to your life?"

*The Goals and Values of Humanistic and Existential Psychotherapy*

In *Spirituality for Humanists: Six Capacities of Our Human Spirits*, James Park (1999) listed these six capacities of humanists: (1) self-transcendence, self-criticism, and altruism; (2) freedom; (3) creativity; (4) love; (5) anxiety; and (6) joy. Anxiety is usually listed as an existential quality, not a humanistic one, and self-actualization is often added as a humanistic value. "Joy" is more often mentioned in association with religious experiences. The philosophies of humanism and existentialism overlap, and the values of both will be considered here. All of the values of humanism and existentialism can be included in a theory of spiritual psychology.

The most important existential values are authenticity, freedom, choice, openness, awareness, courage, responsibility, individual meaning, meaningful intimacy, and love.

Some existential theorists and some humanists add the values of depth, breadth, individuality, non-conformity, and revolution. Chapter 1 of Park's *Spirituality for Humanists* is entitled "Living Deeply in a Superficial Culture." Behaviorist and cognitive therapies are not likely to deepen a person and they will not challenge a person's values, unless those values are in conflict with the authorities who ordered the treatment. Behaviorist and cognitive therapies are not likely to challenge the status quo. They may depend on the established authorities for funding. Humanistic and existentialist psychologists believe that conformity is likely to be unhealthy, but that conformity is taught and rewarded from a young age. May wrote:

William Whyte, in his *Organization Man*, cautions that modern man's enemies may turn out to be a "mild-looking group of therapists, who . . . would be doing what they did to help you." He refers here to the tendency to use the social sciences in support of the social ethic of our historical period; and thus the process of helping people may actually make them conform and tend toward the destruction of individuality. We cannot brush aside the cautions of such men as unintelligent or antiscientific; to try to do so would make *us* the obstructionists. There is a real possibility that we may be helping the individual adjust and be happy at the price of loss of his being. (May, 1961, p. 21)

The pressure to conform is most strongly exerted in the schools, which teach reverence for the cultural myths and punish rebels. Concerning this phenomenon, Willard Frick wrote in the introduction to *Humanistic Psychology: Interviews with Maslow, Murphy, and Rogers* that we all tend to be intimidated by our experience and that:

the most institutionalized, rigidly entrenched and destructive form of the

intimidation of experience is to be found in education, where from kindergarten through the graduate schools, the control, manipulation, and intimidation of experience is built into the very essence and structure of the system. In fact, the smooth and proper functioning of the educational establishment depends upon it and, therefore, encourages it. (Frick, 1971, p. 6)

In "The Politics of Education," Rogers wrote:

I believe that the following are the major characteristics of conventional education, as we have known it for a long time in this country and as it is experienced by students and faculty.

The teacher is the possessor of knowledge, the student the expected recipient. . . .

The lecture, the textbook, or some other means of verbal intellectual instruction are the major methods of getting knowledge into the recipient. The examination measures the extent to which the student has received it. . . .

The teacher is the possessor of power, the student the one who obeys. . . .

Rule by authority is the accepted policy of the classroom. . . .

Trust is at a minimum. Most notable is the teacher's distrust of the student. . . .

The subjects (students) are best governed by being kept in an intermittent or constant state of fear. . . .

Democracy and its values are ignored and scorned in practice. . . .

There is no place for the whole person in the educational system, only for her intellect. (Rogers, 1977, pp. 323 -- 325)

Rogers envisioned an alternative person-centered, humanistic mode of teaching in which the teacher shares responsibility for learning with the student, and the only discipline is self-discipline. This approach is being implemented in the best psychoeducational groups today, which are discussed below under Group Counseling. Rogers felt that most people have introjected the values and judgments of others. Most have conformed to the values of their parents, church, school, and society in order to gain and then to hold "social approval, affection, and esteem" (Rogers, 1989g, p. 184). Because these values are not developed within the individual, because they are external, they are rigid and absolute and not subject to testing. If in therapy clients feel valued just as they are, as unique and worthy individuals, then they will feel safe enough to begin questioning and testing these introjected values. Slowly, through the course of therapy, clients can develop their own set of values that come from within and are growth-promoting and self-actualizing for them. Deep and helpful relationships with others are self-actualizing. Rogers saw his clients develop "value directions" as they themselves moved in "the direction of personal growth and maturity." Those value directions include:

They tend to move away from façades. Pretense, defensiveness, putting up a front, tend to be negatively valued.

They tend to move away from "oughts." The compelling feeling of "I ought to do or be thus and so" is negatively valued. . . .

They tend to move away from meeting the expectations of others. Pleasing others, as a goal in itself, is negatively valued.

Being real is positively valued. The client tends to move toward being himself, being his real feelings, being what he is. . . .

Self-direction is positively valued. The client discovers an increasing pride and confidence in making his own choices, guiding his own life.

One's self, one's own feelings, come to be positively valued. From a point of view where he looks upon himself with contempt and despair, the client comes to value himself and his reactions as being of worth.

Being a process is positively valued. From desiring some fixed goal, clients come to prefer the excitement of being a process of potentialities being born.

Perhaps more than all else, the client comes to value an openness to all of his inner and outer experience. To be open to and sensitive to his own *inner* reactions and feelings, the reactions and feelings of others, and the realities of the objective world -- this is a direction which he clearly prefers. This openness becomes the client's most valued resource.

Sensitivity to others and acceptance of others is positively valued. The client comes to appreciate others for what they are, just as he has come to appreciate himself for what he is. Finally, deep relationships are positively valued. To achieve a close, intimate, real, fully communicative relationship with another person seems to meet a deep need in every individual, and is highly valued.

(Rogers, 1964, p. 182)

Rogers believed that he had seen an "organismic valuing base and process" which naturally emerged within each human (1989f, p. 184) and which might be counted on to

guide modern people now that they no longer trusted religion to do so; however, Rogers did not assert, as had Kant, discussed above, and C. S. Lewis, whose views are discussed below in Chapter 10, that this organismic valuing base might come from God and be part of our divine nature. Rogers himself developed in these ways throughout his life. He felt that he had seen these values emerge in all cultures, including "the United States, Holland, France, and Japan" (p. 181). He hypothesized that these value directions "would be constant across cultures and across time" (p. 183).

Robert Lindner was a revolutionary psychologist, who wrote *Rebel Without A Cause* (1944), a case study of an antisocial personality, from which the James Dean movie got its title but not its plot. He also wrote *Prescription for Rebellion* (1952) and *Must You Conform?* (1956). In those books, Lindner asserted that psychology and psychiatry equated mental health with being well-adjusted. But, he wrote, society itself is sick and to adjust to it is sick. Lindner argued that counselors should help their clients become healthy revolutionaries, who would raise children who would become healthy revolutionaries: rebels with a cause. Healthy rebels can learn on their own and discipline themselves. Spiritual-psychological counseling as I envision it, would value disobedience in service of authenticity and revolution in service of justice.

### *Conclusions*

Boeree wrote that the scientist may be the model for cognitive and behavioral psychologists, but "the artist is the model for existentialists" (2006b, unpaginated). Numbers are the language of scientists. Metaphors are the language of existentialists. If God is a poet, the behaviorist and cognitive psychologists have no ear for metaphor. If God is an artist, they are blind.

Existentialist psychologists do acknowledge the possibility of spirit and the reality of good and evil. But, as a theory of spiritual psychology, existentialist psychology is incomplete. Except for the deistic existentialists, such as Tillich and May, God is optional. The soul and spirit are not defined, and, therefore, cannot be addressed as real entities. Van Deurzen preferred it this way. She thought religion offers an escape from reality. If we "let go of the thought that we are the favorite child and have first born rights, we have to rise to the challenge of being merely part of what is and learn to play our role. If we are willing to take this more humble position we find a much better place to be." Van Deurzen thought it is better to view life as a present struggle with unforeseeable results than as "an apprenticeship for the afterlife" (1997, p. 126). Hers is a heroic, admirable stance; but, if God and spirit are real, it is an unnecessarily lonely position.

Both humanistic and existentialist therapies were spiritual in the sense of placing more importance on meaning and values than on happiness and material success. They were both non-scientific because they de-objectified the client. Nonetheless, most humanistic and existential therapists appear to have practiced without taking a position on whether or not the spiritual and materialistic world-views could or should be combined in the counseling office. Rollo May was the exception. He can be added to the short list of thinkers who tried to integrate both world-views. He thought therapy was a process of making moral choices. He thought the therapist could approach therapy in such a way that the client would experience the two worldviews as complementary and supportive. He did not consider that spiritual values and goals might sometimes conflict with

materialistic values and goals, e.g. personal success vs. personal sacrifice, as pastoral counselor Benner did. Benner's ideas will be discussed in Chapter 8.

I believe that counselors should not have to choose between the more scientific approaches of behaviorist-cognitive therapy and the less scientific approaches of humanism and existentialism. That would be reductionistic. Benner used a neon sign to explain reductionism. A scientist may think that the sign is completely explained when its electrical and mechanical properties are known. An artist may be interested only in the beauty of the sign. A philosopher may be interested only in the meaning of the words. "Reductionistic explanations are those that assert that only one level of an explanation represents truth and that all others are, at best, unnecessary or, at worst, illusory" (Benner, 2006, p. 66). It is possible to address different needs of a client through different therapeutic approaches. Ideally, the client should be informed and consent to all and each of the approaches being used.

At the beginning of the 20th Century, group and family counseling did not yet exist. Today, in 2009, they are common. In the next chapter I will explore what each has contributed to human knowledge and relational skill, and I will consider how each might be employed by the counselor to benefit the client's spiritual health and growth.

## CHAPTER VII

## GROUP AND FAMILY COUNSELING

In this chapter I will consider the present relationship between spirituality and the fields of group and family counseling. I will discuss aspects of group and family counseling that can assist in a client's spiritual development and health. I will suggest an approach to family therapy that combines current theories with a spiritual component. I will postpone suggesting a spiritual-psychological approach to group counseling until Chapter 17.

*Group Counseling**Group Work and Spirituality*

Group work and family counseling are relative newcomers to the field of psychology. By way of comparison, the American Psychiatric Association was founded in 1844, and the American Psychological Association in 1892; but the American Association of Marriage and Family Therapists was not founded until 1942 and the Association for Specialists in Group Work not until 1973. Rollo May (1989) argued that one aspect of spirituality is one's ability to transcend one's selfish perspective and relate to others in a loving, life-affirming way. Both group and family therapy can help clients learn and practice this aspect of spirituality.

Irvin Yalom has demonstrated that by the process of group dynamics, 11 therapeutic factors emerge in group counseling, almost independently of the intention of the leaders or members. Those factors are:

1. Instillation of hope
2. Universality

3. Imparting information
4. Altruism
5. The corrective recapitulation of the primary family group
6. Development of socializing techniques
7. Imitative behavior
8. Interpersonal learning
9. Group cohesiveness
10. Catharsis
11. Existential factors. (Yalom, 1995, p. 1)

Based on their research, Fowler (1981, 2000) and Tisdell (2003) concluded that hope, universality, and altruism, can contribute to spiritual development. Yalom's existential factors include sharing with others while facing one's ultimate aloneness, death, and responsibility for one's own actions (Yalom, 1995). Van Deurzen (2002) felt that clients grow only when they integrate awareness of these existential factors into their lives. In group, the members provoke each other and help each other, in ways that are not possible in one-on-one therapy. The ideal for many clients is individual counseling combined with group counseling, but few clients can afford both. Today, as a result of the intersection of three systems: managed care, community mental health, and criminal probation, some clients can only find or afford group work. It is more cost effective for the provider.

In my research regarding group counseling, I did not find any discussion of whether or not the spiritual and scientific world-views should be or could be integrated

into group therapy, except for Page and Berkow's article *Group Work as Facilitation of Spiritual Development for Drug and Alcohol Abusers*, in which they wrote:

Most theories of group work do not directly address how group dynamics can help members to become more spiritual or connected with a higher power . . . . There are few direct references to spirituality or spiritual issues in the group therapy literature. (1998, p. 286)

A Boolean search of the terms "group counseling and spirituality" and the terms "group therapy and spirituality" on the EBSCO search engine of Southern Illinois University's Morris Library on July 13, 2008, yielded a total of six results: two of those related to counseling with Native Americans and one related to working with drug and alcohol abusers. At the outset, I indicated that my research would look principally at Western-European writers and traditions. I made that choice because my knowledge is limited; however, my spiritual attitude is ecumenical. I expect to learn from other traditions. In the light of the limited resources dealing with groups and spirituality, I examine both of the articles about Native American groups at the conclusion of this section. Page and Berkow (1998) authored the article relating to drug and alcohol. The other articles did not pertain to the research questions of this paper. Although Page and Berkow were writing about their experience working with addicts in groups, they thought that all types of therapy groups have a spiritual component:

Group work does not have to focus directly on spiritual issues or discussions for the group to foster the spirituality of its members. Spirituality does not have to be defined in a group, and exercises related to spirituality do not need to be conducted, for a group to foster the spiritual development of members. . . . [A]ny

group that focuses either directly or indirectly on any of the following themes is fostering the spiritual development of members: caring more for other people, having just relationships with others, helping others to self-actualize, developing more positive self-concepts, developing increased awareness of self or others, becoming free to be oneself, and developing more responsible interpersonal relationships or relationships with the ground of being (which can be defined in a variety of ways, e.g., God, Nature, etc.). Any time these themes are addressed in group work, the spiritual development of members is being affected for better or worse. (Page & Berkow, 1998, p.290)

Alcoholics Anonymous (AA), founded in 1934, and Narcotics Anonymous, founded in 1947, have two essential components: individual and group. Each alcoholic or addict helps another alcoholic or addict, and each attends AA or NA meetings. Therapists have, therefore, long recognized group work as an important component of drug and alcohol treatment. In the 1934 book, *Alcoholics Anonymous*, AA's founder William Wilson explicitly brought the spiritual and non-spiritual world-views together. Alcoholics Anonymous and AA groups will be discussed in detail in Chapter 8. Because group therapy offers individual clients unique opportunities for growth, and because of its wide availability and comparative affordability, I will consider it now, even though there is little literature addressing its relationship to spiritual development. In Chapter 17, I will suggest a spiritual-psychological approach to group counseling.

### *Group Types*

There are four kinds of groups: task groups, psychoeducational groups, counseling groups, and therapy groups. Task groups are formed for a specific purpose;

they often have a chairperson; and they go out of existence when they have completed their assigned task. Task groups have existed at least as long as the word *committee* has been a part of the language, which, according to the *Oxford English Dictionary*, is since 1621.

Educational groups have existed since the late 1800s. They were used to dispense information and provide educational and vocational guidance. Because of the power of group dynamics, psychoeducational groups can be conducted as person-centered process groups in which the members learn from each other. However, many psychoeducational groups limit themselves to dispensing knowledge.

To the present day, many so-called psychoeducational groups serve principally to dispense information, and nothing else. In such groups, the leaders act like traditional educators: they lecture and hand out materials, which may consist of a few exercises. It was recognized, however, that the power of groups to facilitate development and learning extended to psychoeducational groups.

(Gladding, 2003)

### *History of Groups*

When working with tuberculosis outpatients at Massachusetts General Hospital, Joseph Henry Pratt recognized the therapeutic power of groups as far back as 1905, but psychotherapeutic groups did not come into widespread use until the 1960s. Before then, the focus of psychology and therapy had remained on the individual. (Gladding, 2003.)

Group therapy became very popular in the 1960s and 1970s. Both practitioners and clients saw it as a way in which normal people could experience psychotherapeutic benefits. Counseling groups are distinguished from therapy groups by the seriousness of

the problems faced by their members; that is, therapy groups are more likely to work with members who share a specific *DSM-IV* diagnosis. Since the 1970s, group work has been extensively researched and various theories have been articulated. One important aspect of groups is their power. A group has inherent power to bring about growth and change, which, it is hoped, will be healthy. The group craze died down in the 1980s. Marathon groups left some people burned out. Some group gurus had been self-promoting and immodest in their claims. That is a shame, because a well-run group is a wonderful and positive experience in personal growth and social learning.

### *Carl Rogers and Groups*

Carl Rogers, whose PhD was in Educational Psychology, came to believe that he may never have taught anyone anything. “I have come to conclude that the only learning which significantly influences behavior is self-discovered, self-appropriated learning. Such self-discovered learning, truth that has been personally appropriated and assimilated in experience, cannot be directly communicated to another. . . . As a consequence of the above, I realize that I have lost interest in being a teacher. . . . I realize that I am only interested in being a learner, preferably learning things that matter, that have some significant influence on my own behavior” (Rogers, 1989e, 301 – 302). Rogers came to believe that as a co-learner in a group he could help others and facilitate change and learning in others at the same time that he, himself, learned and changed. The first quality demanded of a good facilitator was “realness” (Rogers, 1989f, p. 306). Thus, in a well-facilitated therapy group or psychoeducational group, or classroom, members of the group are students and co-learners, who simultaneously teach themselves and each other.

This requires that the facilitator have the skills and knowledge necessary to engage group members in the learning process.

Rogers was an early leader in group practice and research. He called his first groups “encounter groups,” a term which was coined at a conference on small group process put together by Rogers and May in Santa Rosa in 1962. Rogers understood the term to mean that individuals would “come into much closer and direct contact with one another than is customary in ordinary life” (Rogers, 1967, p. 270). Rogers endeavored to be both a facilitator and participant in the groups he lead. This role and his belief in the importance of realness and trust, lead him to change two aspects of his approach. When he conducted groups, he confronted more and disclosed more than he had done in one-on-one therapy (Rogers, 1971; Gladding, 2003).

Person-centered counseling and therapy groups, based on Rogers’s theory and example, emphasize the values of congruence, being present in the here-and-now, awareness of oneself and of others, valuing oneself and others, and finding value and meaning in life. Person-centered therapist Brian Thorne felt that secular individuals were most likely to experience a spiritual sense of transcendent connection with others within person-centered encounter groups (Thorne, 1992, p. 105). Person-centered groups are based on the belief that “individuals have within themselves vast resources for self-understanding and for altering their self-concepts, basic attitudes, and self-directed behavior; these resources can be tapped if the definable climate of facilitative psychological attitudes can be provided (Rogers, 1980, p. 115). If the members trust the facilitator and the group, then the group will promote the positive growth tendency that resides within each of the individuals (Gladding, p. 373). This should be done without the

facilitator directing the group toward any specific goal (Rogers, 1970, p. 45). Facilitators should give and receive feedback and should encourage members to give feedback to each other.

The person-centered group is not based on a belief in the underlying goodness of each individual member. Rather it is based on a belief in the underlying capacity of each member to grow in good ways, and the belief that, within the social context of a group, each member will tend to develop in moral, pro-social ways. Implicit is the recognition that each member has the capacity to develop in good or in bad, anti-social ways. Members of a successful person-centered group will experience movement toward self-actualization, greater awareness of themselves and others, greater intimacy and comfort with intimacy, greater openness to experience, and less alienation.

#### *Process-focused Groups*

Chen and Rybak (2004) wrote that there are six assumptions underlying what they call a process-focused group: (1) most problems are interpersonal in nature; (2) family experiences are the primary source of interpersonal process; (3) a group will reactivate people's interpersonal processes; (4) here-and-now relationships within a group can bring about change and healing of past and present psychological damage; (5) in order to endure, interpersonal learning must be experiential; and (6) sustained change can happen within a short time. "Process" or "processing" means the here-and-now interactions between individuals in the group. This interpersonal process perspective could also be applied to appropriate psychoeducational groups, e.g. an anger management group. Emotions can run very high in a process-focused group. Therefore, one characteristic of an effective group leader is "self-differentiation," which Chen and Rybak define as "the

degree to which one can differentiate feeling from thinking and, at the same time, balance both. . . . Self-differentiation is the index of a person's emotional, mental, and spiritual development. . . . The best way to increase one's self-differentiation is by learning to face one's own anxiety, and learning to face it without trying to escape." If we are well differentiated, then we can distinguish between our own "stuff" and what occurs in the group (Chen & Rybak, 2004, pp. 42 - 43). Self-differentiation is discussed further below under family therapy theorist Murray Bowen.

Another giant of group theory is Irving Yalom, the American existentialist psychiatrist and author of *The Theory and Practice of Group Psychotherapy* (1995). His groups were processed-focused. Yalom defined the eleven therapeutic factors that occur within the lifetime of a group, which are listed above. Bernak and Epp (1996) added the therapeutic factor of love. Given time, all of these factors will arise naturally as part of a group's development. A group facilitator can work with these factors to help the members heal, if they are sick or wounded, and to help them grow and learn, whether they are sick and wounded or well. Yalom originally called these factors "curative factors," which may have resulted from his training as a doctor and psychiatrist. Van Deurzen wrote regarding Yalom that "the medical model dominates. . . . Yalom views human life as something that needs to be cured and made well rather than as a paradoxical challenge to which we need to rise" (van Deurzen, 1997, p. 158).

A group leader-facilitator has four roles to play: energizing or emotional stimulation; executive function or organizing; meaning attribution; and caring (Gladding, p.81). Caring means that the facilitator desires the growth and welfare of each group

member, but does not desire any member sexually. If such desire arises, it must be sublimated.

*Facilitator-participant: Equality and Dialogue in Groups*

Groups provide an opportunity to practice equality and dialogue as defined by Benner, whose views are discussed under Pastoral Counseling in the next chapter. In *Group Leadership Skills* (2004), Chen and Rybak take the position that the ideal role for a group leader is the role of facilitator-participant, giving as much power to the members as possible. This, they believe, will maximize member learning and change. This recommendation is supported by the experience of Page and Berkow who thought that the spiritual development of group members was maximized when “the leaders primarily responded in a helpful way to what the members wanted to discuss in the group. . . . This type of leader behavior can be contrasted to behavior in which the leader brings up most of the topics to be discussed and . . . most of the important issues in the group” (1998, p. 295). The role of facilitator-participant in a group is the closest Carl Rogers got and may be the closest a professional counselor can get to working with a client on an I-thou basis and thus overcoming Buber’s objections. Additional spiritual qualities of a group are that members can experience the uniqueness of other members, as well as their commonality. In appropriate groups, with the consent of all, one can pray with others and for others.

In *People of the Lie: The Hope for Healing Human Evil*, psychiatrist Scott Peck wrote of the dangers of groups, and supported the idea of the group leader being an equal facilitator-participant:

Individuals not only routinely regress in times of stress, they also regress in group settings. . . . From the standpoint of a therapist who leads a therapy group, this regression is not welcomed. It is, after all, the therapist's task to encourage, foster, and develop the maturity of his or her patients. Hence, much of the work of a group therapist will be to confront and challenge the patient's dependency within the group, then to step aside so that the patient may risk assuming a leadership position and thereby learn how to exercise mature power in a group setting. A therapy group that has been successfully led will be one in which all the members have come to share equally in the leadership of the group according to their unique individual capacities. The ideal mature therapy group is a group composed entirely of leaders. (Peck, 1983, p. 223)

Compare this caution to Rogers's almost naive sounding enthusiasm for groups:

*If* the individual or group is faced by a problem;

*If* a catalyst-leader provides a permissive atmosphere;

*If* responsibility is genuinely placed with the individual or group;

*If* there is basic respect for the capacity of the individual or group;

*Then*, responsible and adequate analysis of the problem is made;

responsible self-direction occurs; the creativity, productivity, quality

of product exhibited are superior to results of other comparable methods;

individual and group morale and confidence develop.

(Rogers, 1951, pp. 63 – 64)

A person-centered group avoids the evils of which Peck warned through the facilitator's demonstration of acceptance, empathy, and positive regard for all members. As a result, members come to feel acceptance, empathy, and positive regard for themselves and for each other. Person-centered groups will be discussed in more detail in Chapter 17.

### *Groups and Native Americans*

Dufrene and Coleman wrote that many Native American cultures value cooperation over individualism. "The role of group leader or facilitator can be compared to an elder, clan leader, or medicine person leading a Native American group. In the Naïve American culture, group discussions are held in a circle with each person having an opportunity to participate" (1992, p. 232). They recommended that, when working with Native Americans, the group facilitator "respect the spiritual dimensions of Native American culture."

Group sessions should begin and end with a prayer that would be acceptable to most Native American tribes represented in the group.

A prayer indicates acknowledgement of higher powers that play a role in our physical and mental well-being. Native Americans believe that healers can only be successful if they seek the aid of spiritual forces.

It is preferable that counseling be conducted by a Native American mental health professional. (Dufrene & Coleman, 1992, pp. 232 – 233)

Dufrene and Coleman recommended that the group facilitators determine which spiritual techniques and approaches to use "based on consultation with group members" (p. 233).

Heilborn and Guttman (2000) facilitated groups for sexually abused women. The groups contained members who were First Nations women and members who were not. The writers suggested that group counseling might be a better fit for First Nations clients than one-on-one counseling, because of the culture's emphasis on community and cooperation. They started each group with a "healing circle." Members stood in a circle while one of the First Nations women performed a "purification ceremony," burning sage, sweet grass cedar, and tobacco in a shell. Then the members linked hands while one of them recited a prayer to the Creator, giving thanks and asking support and guidance for the members. There was also a closing prayer. The writers thought that these spiritual practices provided the members with a shared sense of belonging that supported inner healing and outer behavioral changes.

Freudian psychoanalysis focused on repairing damage done to one's ego by one's family. One purpose of group therapy is to repair damage done to one's social-self and inner-self by family. I will now examine contributions that psychology has made to repairing the family itself.

### *Family Counseling*

#### *Family and Spirituality*

Family is the source of religion and values for many people, and family has spiritual value in most religions. In *Spiritual Literacy: Reading the Sacred in Everyday Life* (1996) Frederic and Mary Ann Brussat wrote:

Relationships with other people form the spiritual web of our lives,  
with crucial strands being marriages, partnerships, family, and friends.

According to many religious traditions, our deepest values are expressed through these essential bonds. (p. 419)

Being a meaningful family member requires love, sacrifice, and transcending one's self. It requires ties to the past and investment in the future. The most significant moral decisions that most people make involve their families: how to raise their children, whether or not to get divorced, how to stay married and at what cost, and what relationship to have with one's ex-spouse and one's children if one does get divorced. Such questions often underlie clients' decisions to come to therapy, although these problems may not yet be consciously realized or fully articulated.

#### *Family Therapists' Attitudes and Beliefs*

In a meta-study of 5,759 therapists, Walker, Gorsuch, and Tan (2004) found that marriage and family therapists consider spirituality more relevant and participate in organized religion to a greater extent than do therapists of any other type. Carlson, Kirkpatrick, Hecker, and Killmer (2002) surveyed 1,200 randomly selected clinical members of the American Association of Marriage and Family Therapists. Forty-five percent chose narrative therapy, in which the family constructs its own story, as the most helpful theory when dealing with issues of religion and spirituality. Participants responded to five scales measuring their views on various aspects of spirituality. Ninety-six percent agreed or strongly agreed that there is a relationship between spiritual health and mental health. Eighty-eight percent agreed or strongly agreed that there is a relationship between spiritual and physical health. The following percentages agreed or strongly agreed that it is appropriate for a family therapist to engage in the following activities: 66 % thought it appropriate to ask clients about their spirituality; 42 % to help

clients develop spiritually (20 % were neutral and 13 % disagreed); 50% to pray for clients (18 % were neutral and 21 % disagreed); 17% to pray with a client (33 % were neutral and 51 % disagreed); 66 % to ask clients about religion; 18% to discuss the therapist's religion (37 % neutral, 46 % disagreed); 47 % to talk with a client about God, (31 % neutral, 22 % disagreed).

One aspect of couples and family therapy that might make it open to spirituality is its historical roots:

"[In the 1930s] many members of the marriage counseling movement were clergy, who focused on couples and marital distress decades before the development of the discipline of systemic family therapy. . . . This early influence waned, however, as professional and academic influences increased, and the American Association for Marriage and Family Therapy grew in stature and the field stressed being taken seriously as a bona fide mental health discipline. By the 1970s and 80s, little mention was made of the role of spirituality and religion in clients' lives and in clinical practice. (Helmeke & Bischof, 2007, p. 168)

Still today, when pastors and lay-pastors counsel couples in church or temple before and after marriage, both spiritual and non-spiritual concerns may be addressed openly.

In their article *Integrating Religion and Spirituality in Marriage and Family Counseling*, Wolf and Stevens "found relatively little literature regarding the integration of religion and spirituality in marriage and family counseling" (2001, p. 66). They noted that:

This dearth is perplexing, given that marriage and family counseling may, in fact, be one of the most responsive mental health disciplines to this integration.

One central reason for marriage and family counseling's openness to clinical integration is that the field has historically dismissed traditional theories of psychology and psychiatry. Instead, the discipline emerged from many diverse influences, including anthropology, computer science, biology, and sociology, all of which are concerned with the nature of systems. Thus, antireligious bias promoted by the medical model and early psychological thought has much less of a foundation in marriage and family counseling. (Wolf & Stevens, 2001, p. 69)

#### *Satir and Authentic Communication*

Spiritual growth requires communication in order to share with others and to learn from them. Family therapist Virginia Satir valued communication that is congruent and contains connecting energy. Incongruent, separating communication is placating, blaming, super-reasonable, or irrelevant. Congruent, connecting, constructive communication is harder to define, understand, and practice. Brothers (1991) said “‘Congruence’ is no more, and no less, than being all of who we are at a given point in time with another human being. Congruent communication is a committed, active pursuit of clarity of meaning with another person.” Satir (1975) said that congruence begins with acknowledgment of feelings and that “all feelings are honorable.” Satir (1972) pointed out that communication requires both talking and listening. Effective listening requires valuing others in their uniqueness and with all their differences.

#### *Bowen and the Well-differentiated Self*

Murray Bowen, M.D. was educated as a psychiatrist. He found that the individual focus of psychoanalysis was of no use in dealing with families. He attempted to develop a theory of the whole person that he could also apply to the family. He called his approach

“family systems theory.” He believed that each member of a family faces natural anxiety, which comes from the need to balance the demands of intimacy vs. independence and feeling vs. thinking. This anxiety is resolved by differentiation of self. People are differentiated to the extent that they can distinguish between the intellectual process and the feeling process they are experiencing. “Differentiation of self is demonstrated to the degree to which a person can think, plan, and follow his or her own values without having his or her behavior automatically driven by the emotional cues from others” (Goldenberg & Goldenberg, 2004, p. 187). Ideally, well-differentiated people are free to commit themselves to intimacy without feeling that their selfhood is threatened and without being swept away by emotional upheavals within the family. On the other hand, an adult with a strong sense of self may feel that he or she cannot compromise her or his self, even if compromise is the cost of continuing the marriage or family. Edwin Friedman (1991), a rabbi trained by Bowen, was able to apply family systems theory to pastoral counseling.

Chen and Rybak (2004) thought that self-differentiation is one characteristic of an effective group leader. Hoogestraat and Trammel argued that self-differentiation is necessary for a therapist to effectively integrate spiritual and religious discussions into therapy. They speculated that: “Family therapists with lower levels of differentiation experience more anxiety and have difficulty addressing value-laden issues . . . and accepting differences in others,” including spiritual and religious differences (2003, pp. 413 – 414).

Although Bowen maintained otherwise, his theory of self-differentiation appears to distrust feelings and to judge them of lesser value than thoughts. Jung defined four

psychological types: feeling, thinking, intuition, and direct perception. He called both feeling and thinking the “rational functions,” because people use both to evaluate their experience. Feeling types who think with their hearts might feel slighted by Bowen’s conceptualization. I think it is important that spiritual development balance thoughts and feelings. One goal of Jungian therapy is to become a more complete and balanced person by use of the transcendent function (Jung, 1957/1971). As people become more balanced, they inform their feelings with thought and their thoughts with feeling, before judging or acting. In *The Thought of the Heart and the Soul of the World* (1992), Jungian psychologist James Hillman argued that contemporary society values Aristotelian displays of intellect but devalues the thought of the heart, which is Aphroditic. The thought of the heart, he wrote, is evidenced in compassion, imagination, and authenticity. Its characteristic action is sight and its response is aesthetic. The spiritually developed heart, therefore, finds evil ugly (Hillman, 1992, p. 62).

#### *Challenges to Integrating Spirituality and Family Counseling*

Many of today’s families are non-traditional. In the program in which I study at Southern Illinois University in 2009, the words "marriage and family" have been changed to "Marital, Couple and Family Counseling" in recognition of the fact that today many couples are gay and lesbian, unmarried, or re-married. A spiritually sensitive approach to families should be inclusive. Another problematic aspect of discussing spirituality within a family is that family members may have very different spiritual beliefs. Another problem is that family therapists have only recently begun to ask how to include spirituality in family counseling. Helmeke and Bischof wrote that the integration of couple's therapy with spirituality and religion has been characterized by three waves: (1)

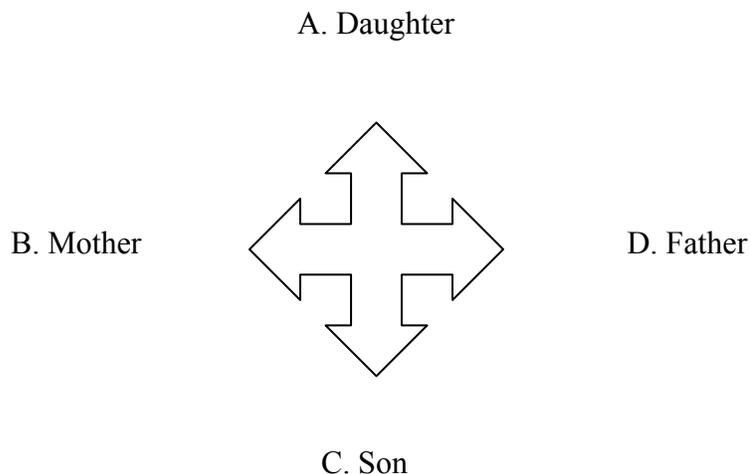
from 1990 to 1994, articles set forth arguments for why spirituality should be integrated into therapy; (2) during the second wave, 1995 - 1999, publications focused on the ways that including spirituality could enhance or harm the therapeutic process; and now (3) in the third wave, from 2000 to the present, "there is agreement *that* spirituality needs to be included, [but] there is still no general consensus on *how* it is to be addressed" (2007, p. 172, italics in original). Helmeke and Bischof recommended that future writers consider how to integrate existing theories of family counseling with a spiritual component. A final difficulty with integrating spirituality and family counseling is that one well-recognized approach to family therapy is "general systems theory:" "Seeking a scientific model, family counselors were attracted to general systems theory, proposed earlier by biologist Ludwig von Bertalanffy and others, with its emphasis on the unity of living systems, especially its attention to the interaction of component parts" (Goldenberg & Goldenberg, 2002, p. 24). As far as I know, there is no recognized spiritual equivalent of general systems theory; however, there is no reason that the family could not be conceived of as one spiritual whole, with the spiritual health of the whole depending on the spiritual health of each part. This perspective has the spiritual advantages of being non-judgmental and holistic. On the other hand, it seems to me that systems theory has the built in drawback that it values the means over the end: the goal is to get the family to function, whether or not the family forms a sound base for spiritual growth.

Systems theory emphasizes circular causality, as opposed to linear causality.

Circular causality is illustrated in figure 1, page 154. In this figure, the Daughter

affects the Father, who affects the Son, who affects the Mother, who affects the Daughter, and vice-versa. Effects are also experienced across the circle and within coalitions, which tend to form in all families.

Figure 1. Circular Causality in Families



*One Possible Spiritual-Psychological Approach to Family Therapy*

A complete theory of spiritual-psychological counseling should include a family-counseling component. I will begin to construct one here and I will continue that work in Chapter 17. I call my approach spiritually sensitive person-centered family counseling. In *Towards a Family-centered Therapy: Postmodern Developments in Family Therapy and the Person-centered Contribution*, family therapist David Bott observed that while most family therapists he knew were warm and optimistic, their theories appeared to give “primacy to the system over the thoughts and feelings of individual family members” (Bott, 2001, p.112). Bott continued:

Family systems theory . . . was not only philosophically cold and obscure but also had a potential to dehumanize those it set out to help (p. 113). . . . It can be argued that the core conditions: unconditional positive regard, empathy and congruence (Rogers, 1961), provide us not only with an antidote to modernist arrogance but also with the means to model the manner in which family members might more constructively respond to one another (Bott, 2001, pp. 116 – 117).

Bott argued that there is a need for what he called a “family-centered therapy” based on Rogers; however he did not, in this article, describe in detail what that approach would look like. What follows is my attempt to describe such an approach and to include a spiritual aspect. Bott did point out one possible limitation of the person-centered approach:

What Rogers describes as the necessary and sufficient conditions for change in work with individuals, while necessary with a family group, are far from sufficient. Empathizing with one family member may be at the expense of alienating another. Equally, the therapist needs to guard against the naive tendency to ‘rescue the victim from the family’ in identifying with a particular family member. (Bott, 2001, p. 117)

The core conditions that Rogers believed would lead to development in the client are:

1. Psychological contact.
2. Incongruence on the part of the client.
3. Genuineness on the part of the therapist.

4. Unconditional positive regard and acceptance, non-possessory love, agape, “prizing the client as a person of worth” (Rogers 1961, p. 375).
5. Empathy.
6. Perception of the counselor’s empathy and acceptance by the client.
7. Understanding.
8. Tenderness.
9. Privacy and confidentiality.
10. Optimism.

The first seven conditions come from Rogers, although understanding is often elided with empathy. I list understanding separately because it is such an important part of the process. Person-centered therapists have found (Hobbs, 1951; Rogers, 1951; Gendlin, 2002) that if they re-stated the client’s meaning as accurately as possible, without adding any content or feeling, without adding evaluation or direction, and then checked with the client regarding the accuracy or inaccuracy of the therapist’s statement, the client would then re-state his or her own meaning, changing it slightly and going a little deeper cognitively and emotionally. The client would continue to go deeper so long as this process is not interrupted by interpretation or direction from the counselor.

Therefore, three intervention tools I use as a person-centered family therapist are (A) to re-state each clients meaning, (B) to check with the client regarding the accuracy of my understanding, and (3) to ask each family member to re-state the meanings of the others.

In his book *Person-centered Counseling: Therapeutic and Spiritual Dimensions* (1991), spiritually sensitive person-centered counselor Brian Thorne added tenderness. Based on my experience with clients in practica and internships, I added the need for

privacy in Chapter 4 and I added optimism, for reasons explained below. Rogers thought that the application of these conditions would lead to change in clients that was both prosocial and self-syntonic (that is, the growth was perceived by clients as consistent with their “true selves”). When Rogers wrote that these conditions were necessary and sufficient for positive change, he meant that if the client continued to attend counseling, at some point the client would begin to change. But counseling is often painful and frightening and many clients do not stick with it. I argue below that if these ten core conditions are met and if the family maintains contact and continues to attend counseling, those conditions will sooner or later prove necessary and sufficient.

A spiritually informed family therapy might integrate the approaches discussed above in this chapter with Rogers’s person-centered therapy and look like this: The family comes to counseling because it is experiencing “incongruence” between the family’s idealized picture of itself and its actual experience, or because some family members are not allowed to express themselves congruently within the family. Rogers defined “congruence” to mean an “accurate matching of experiencing and awareness” and it may be “further extended to cover a matching of experience, awareness, and communication” (Rogers, 1961, p. 339). Congruent people are honest with themselves; if they communicate congruently, they are honest with others. Rogers transformed this into a general law of interpersonal relationships, which also applies to families. That law states:

1. “Any communication of Smith to Jones is marked by some degree of congruence in Smith” (Rogers, 1961, p. 342). Smith’s communication is congruent if it matches his experience and awareness. Rogers continued to use Smith and Jones, but for

the rest of this discussion, I will change Smith and Jones to husband and wife. I could have used father and son, or any other human relationship.

2. The greater the congruence of experience, awareness, and communication in husband, the more it is likely that wife will experience it as a clear communication.

3. Consequently, the more clear the communication from husband, the more wife responds with clarity.

4. The more husband is congruent in the topic about which they are communicating, the less he has to defend himself in this area, and the more he is able to listen accurately to wife's response. Husband has expressed what he genuinely feels and is therefore more free to listen. The less he is presenting a façade to be defended, the more he can listen accurately to what wife is saying.

5. To the degree that husband listens accurately to what wife is saying, wife feels empathically understood.

6. For wife to feel understood is for her to feel positive regard for husband. To feel understood is to feel that one has made some kind of positive difference in the experience of another.

7. To the degree that wife (a) experiences husband as congruent or integrated in the relationship; (b) experiences husband as having positive regard for her; (c) experiences husband as being empathically understanding; to that degree the conditions of a therapeutic relationship are established.

8. To the extent that wife is experiencing these characteristics of a therapeutic relationship, she finds herself experiencing fewer barriers to communication.

Hence she tends to communicate herself more as she is, more congruently. Little by little her defensiveness decreases.

9. Having communicated herself more freely, with less defensiveness, wife is now more able to listen accurately, without a need for defensive distortion, to husband's further communication. This is a repetition of step 4, but now in terms of wife.

10. To the degree that wife is able to listen, husband now feels empathically understood; experiences wife's positive regard; and finds himself experiencing the relationship as therapeutic. Thus husband and wife have to some degree become reciprocally therapeutic for each other.

11. This means that to some degree the process of therapy occurs in each and that the outcomes of therapy will to that same degree occur in each; change in personality in the direction of greater unity and integration; less conflict and more energy utilizable for effective living; change in behavior in the direction of greater maturity.

12. The limiting element in this chain appears to be the introduction of new threatening material. (Adapted from Rogers, 1961, pp. 342 - 343)

Rogers summarized this law of interpersonal relationships as follows:

Assuming (a) a minimal willingness on the part of two people to be in contact; (b) an ability and minimal willingness on the part of each to receive communication from the other; and (c) assuming the contact to continue over a period of time; then the following relationship is hypothesized to hold true:

The greater the congruence of experience, awareness, and communication on the part of one individual, the more the ensuing relationship will involve a tendency toward reciprocal communication with a quality of increasing

congruence; a tendency toward more mutually accurate understanding of the communications; improved psychological adjustment and functioning in both parties; mutual satisfaction in the relationship. (Rogers, 1961, p. 344)

This law might be called Rogers's necessary and sufficient conditions for positive and self-syntonic change in interpersonal relationships, including marriages and families. Rogers saw this law as giving each person in a relationship an existential choice: "Do I dare match my experience, and my awareness of that experience, with my communication? Do I dare to communicate myself as I am or must my communication be somewhat less or different from this?" (Rogers, 1961, p. 345.) Rogers concluded:

To communicate one's full awareness of the relevant experience is a risk in interpersonal relationships. It seems to me that it is the taking or not taking of this risk which determines whether a given relationship becomes more and more mutually therapeutic or whether it leads in a degenerative direction. . . .

I cannot choose whether my awareness will be congruent with my experience. This is answered by my need for defense, and of this I am not aware. But there is a continuing existential choice as to whether my communication will be congruent with the awareness I *do* have of what I am experiencing. In this moment-by-moment choice in a relationship may lie the answer as to whether the movement is in one direction or the other. (Rogers, 1961, pp. 345 – 346, italics in original)

In other words, Rogers was saying, I may have unconscious hang-ups that obscure my awareness, but I can choose to express my awareness as clearly and as honestly as I

can. Then I can ask for feedback: I can ask my spouse or son or friend: “Do you understand me? Do you see it the same way? How do you see it?” Then I can repeat my understanding of the other person’s point of view, trying to empathize with them.

Feedback would help people become conscious of their unconscious hang-ups. If done non-judgmentally and lovingly, persons receiving feedback might be able to integrate it into their conscious self-structure. Rogers suggested an exercise to test and strengthen one’s ability to listen and understand:

The next time you get into an argument with your wife, or your friend, or with a small group of friends, just stop the discussion for a moment and for an experiment, institute this rule. “Each person can speak up for himself only *after* he has first restated the ideas and feelings of the previous speaker accurately, and to that speaker’s satisfaction.” You see what this would mean. It would simply mean that before presenting your own point of view, it would be necessary for you to really achieve the other speaker’s frame of reference – to understand his thoughts and feelings so well that you could summarize them for him. . . .

This procedure has important characteristics. It can be initiated by one party, without waiting for the other to be ready.

(Rogers, 1961, pp. 332, 336, italics in original)

This is a second existential choice: in the first choice one takes risks to understand one’s self and attempts to communicate that understanding. In the second, one risks that self in order to understand and validate the ways in which the other person’s self is different. Making this choice “takes courage,” because “if you really understand another

person in this way, if you are willing to enter his private world and see the way life appears to him, without any attempt to make evaluative judgments, you run the risk of being changed yourself” (Rogers, 1961, p. 333). I hypothesize that if all family members make both choices and keep coming back, they will change in ways that are prosocial and self-syntonic for all members. On some occasions, that may mean ending the family through divorce. But that would be a choice based on mutual respect and understanding. The family cannot remain a psychologically and spiritually healthy place for the development of all members unless all members are willing to make both existential choices and take both risks.

Rogers saw intrapersonal and interpersonal problems in terms of failures of understanding and communication:

The emotionally maladjusted person, the ‘neurotic,’ is in difficulty because communication within himself has broken down, and second because, as a result of this, his communication with others has been damaged. . . . The major barrier to mutual interpersonal communication is our very natural tendency to judge, to evaluate, to approve or disapprove, the statement of the other person or group. (Rogers, 1961, p. 330).

Real communication occurs when one accurately listens to and communicates one’s true self and asks if one has been understood. Real understanding occurs when one listens to and appreciates others without evaluating them.

From a person-centered perspective, each member of the family (or couple) is seen as having the potential and yearning to become a fully functioning individual and a fully functioning family. In individual therapy, fully functioning persons are those who

can meet their need for positive regard from others and have positive regard for themselves (Sharf, 2004, p. 208). In family counseling each member needs to learn to feel and show positive regard for the other members, and each member needs to be seen to show that regard. To help the family achieve this, the counselor must listen attentively and show empathy by making loving restatements of the clients' concerns. The counselor must demonstrate unconditional positive regard, and acceptance for each member and for the family as a whole. The family therapist must draw out member's strengths and validate those strengths; and the therapist must be felt by the family members to be genuine. In Chapter 5, I suggested that "privacy and confidentiality" be added to Rogers's six necessary and sufficient conditions for change. I would now suggest another: optimism. In today's climate in which many families fail, and in which family counseling is sometimes the penultimate step before divorce, it may give the clients cause for hope if they see that the counselor believes in them as a family and believes in the efficacy and meaningfulness of family counseling. The family might be further helped to demonstrate positive regard, patience and forgiveness for each other if they understand the circularity of causation; that one person, often called the "identified patient," tends to get the blame for problems that can be addressed systemically (Goldenberg & Goldenberg, 2002, p. 24.)

Growth as a couple and as a family requires communication that is non-judgmental and congruent (Rogers, 1961), and that is authentic and contains connecting energy (Satir, 1975). Satir was asking that family members learn to communicate with the same genuineness that Rogers asked of person-centered therapists. Genuine, congruent, empathic communication that demonstrates unconditional positive regard

begins with the counselor. The experience of therapists who facilitate person-centered groups is that, so long as members maintain contact with the group, they will begin to demonstrate toward other group members the same congruence, empathy, and unconditional positive regard that has been shown to them by the facilitator (Rogers, 1970; Thorne, 1991). It seems reasonable to hope that such leaning would extend to a family group. The counselor can model these attitudes and skills and can help the family members practice them inside counseling and as homework. One approach is the exercise in understanding suggested by Rogers, which appears above. Another approach is the use of "I" statements. For example, instead of saying, "You are a lazy bum; you never wash the laundry!" one could say, "I feel sad when I get home and the laundry isn't done." Communication requires both talking and listening. Effective listening requires valuing others in their uniqueness and with all their differences, and it requires recognizing that we are all incomplete and imperfect. We all have strengths and we all have weaknesses.

In attempting to practice person-centered family counseling as an intern I have experienced the problems Bott mentioned: I have tended to take sides, to empathize mainly with one family member. I am learning to empathize with both and to emphasize in my mind all the assets of the client with whom I empathize least. This is still a learning process for me. I will probably never be perfectly empathetic with all members of a family or with all individual clients; but I do not see that as a reason not to try. Each time I try, I grow; and I hope the clients do. I have encountered one problem in addition to those mentioned by Bott: Person-centered counseling was first called non-directive therapy. It was based on the idea that the client took the lead: the therapist provided the process, but the client provided the content. As an intern learning to practice as a non-

directive family therapist, I have sometimes found the process getting stuck: the clients are at odds and I am doing nothing to encourage them to move in one direction or another. I am attempting to reflect accurately the meaning of each without siding with either. At such times, I have attempted to re-center the session on the clients by asking them to try the communication exercises discussed above, and adding, “I understand that some families have found these helpful.” However, I recognize that I need to keep all the skills and approaches I have been taught in the Master’s program available to be called upon in a collaborative approach as may be needed. As a person-centered counselor I do not want to be as doctrinaire.

By taking the approach described above, I hope I have realized Bott’s goal, which was:

Person-centered therapy becomes family-centered therapy at the point where the core conditions are put where they belong – in the family. Put in ‘non-glamorous’ everyday language: empathy becomes understanding and the basis of forgiveness; congruence translates as respect and honesty; and what is unconditional positive regard other than love? Thus, family-centered therapy describes a process where the therapist approaches the family with respect, understanding and affection and encourages family members to respond to one another in a similar manner. This is not for one moment to suggest that this is not characteristic of much of family therapy practice but rather that therapeutic activity is seldom described in these terms. (Bott, 2001, p. 117)

Spiritual and psychological growth, within a family, within one's self, and within society, entails balancing the demands of intimacy with independence, balancing feeling with thinking, and honoring both intuition and facts. Murray Bowen's ideal of the well-differentiated individual can be adapted to include Jung's life-long developmental goal of the complete Self, which meant valuing and developing one's feelings, thinking, intuition, and direct-perception. Families and individual clients can learn to use their differing abilities constructively and synergistically. They can use them alone (in an introverted manner) or in relationship (by extroverted collaboration). They can learn to value their inner truth and the true-selves of others. They can learn to value their own feelings, thinking, intuition, and direct perception, and they can learn to value these capacities in others. They can forgive themselves and others when these capacities are lacking, and, to the extent of their abilities, they can help each other when help is needed. Although at times each family member may feel complete, neither she nor he will ever feel completed. Life is a process. There is always more to learn. Ideally every family member will be open to continuing to learn within the family and outside it.

### *Conclusions*

Neither group nor family therapy has articulated a complete approach to counseling that acknowledges and values both the scientific and spiritual world-views, except for Alcoholics Anonymous, which I discuss in more detail in the next chapter. Nonetheless, both therapies contain much that can be salutary to the spiritual health and development of clients, alone and in relationship with others. In this chapter, I have begun to make suggestions for combining spirituality with family counseling. In Chapter 17, I will make suggestions for a spiritual-psychological approach to group and individual

counseling. At the outset of this study, one of my research questions was: Has anyone already done this work -- the work of interweaving these two world-views into one coherent approach to counseling? The answer is that some have. In the next chapter I will discuss what I have found and what I have learned from those who went ahead.

CHAPTER VIII  
CONTEMPORARY THEORIES THAT  
COMBINE SPIRITUALITY AND PSYCHOLOGY

In this chapter I will examine work that others have done to arrive at the same destination I set out to reach: an approach to counseling that interweaves the spiritual and scientific world-views. In trying to bring these perspectives together, each of these theorists has gone against the prevalent attitude of the last 2,500 years, which has been that the two world-views should be kept apart. I will examine four contemporary approaches to counseling that combine spirituality and psychology: Alcoholics Anonymous, pastoral counseling, Jungian psychology, and developmental-wellness counseling. I will describe the differences between each of these approaches and traditional psychology.

I am looking for an approach to integrating spirituality and psychotherapy that meets the following criteria, which are consistent with my beliefs:

- (1) The approach would recognize the possibility of a realm of existence that is non-material but real.
- (2) The approach would be spiritual but non-religious; that is, it would be open to all religious and spiritual beliefs but not bound to any one.
- (3) It would be adaptable to both spiritual and non-spiritual milieus and to spiritually inclined and non-spiritually inclined clients.
- (4) The approach would fulfill the potential of William James's ideas, as if he had become a therapist. It would be pluralistic. It would value the healthy minded

and the sick souled. It would pay positive attention to sorrow, pain, and death and value shades of gray.

- (5) It would include a process by which the spiritual aspect of humans can be accessed and nurtured.

I will compare each theory discussed in this chapter to these criteria. In the next chapter, Chapter 9, I will examine the meaning of the true-self, which can add a spiritual dimension even to traditional counseling.

Since 1939, one approach to treating psychological pain that sees humans as “more than intellect, emotion, and two dollars worth of chemicals” is Alcoholics Anonymous (Letter from AA founder William Wilson to Dr. Carl Gustav Jung, January 23, 1961).

#### *Alcoholics Anonymous*

AA is based on the book *Alcoholics Anonymous* (1939), which was written by William Wilson, or Bill W. (1895 – 1971) in collaboration with Dr. Robert Smith (Dr. Bob). The book contains the philosophy of AA and the stories of several alcoholics. Wilson got some of his ideas from William James’s *The Varieties of Religious Experience* (1902) and from the works of Carl Jung, which Wilson had read while hospitalized for the fourth time for alcoholism. The organization Wilson and Smith founded has provided a pathway out of addiction by means of spiritual-psychological guidance and supportive fellowship.

In 1961, Wilson wrote a “very long overdue” letter of appreciation to Carl Jung. Wilson explained that an early member of AA had been able to stop drinking when he was a client of Jung’s. Jung had told him that his case was hopeless so far as medical or

psychiatric treatment was concerned, and that his only hope might come from a religious experience. Wilson's letter included the following statements:

If each sufferer were to carry the news of the scientific hopelessness of alcoholism to each new prospect, he might be able to lay every newcomer wide open to a transforming spiritual experience. . . . Because of your conviction that man is something more than intellect, emotion, and two dollars worth of chemicals, you have especially endeared yourself to us. (Letter from Bill W. to Dr. Carl Gustav Jung, January 23, 1961)

Jung sent a letter of acknowledgment, in which he wrote that he remembered the client Wilson referred to. Concerning this client, Jung had thought that his "craving for alcohol was the equivalent on a low level of the spiritual thirst of our being for wholeness, expressed in mediaeval language: the union with God."

*Alcoholics Anonymous* contains the twelve steps, which help individuals gain and maintain psychological and spiritual health. The twelve steps are as follows:

1. We admitted we were powerless over alcohol – that our lives had become unmanageable.
2. Came to believe that a Power greater than ourselves could restore us to sanity.
3. Made a decision to turn our will and our live over to the care of God, *as we understood Him*.
4. Made a searching and fearless moral inventory of ourselves.
5. Admitted to God and to ourselves, and to another human being the exact nature of our wrongs.
6. Were entirely ready to have God remove all these defects of character.

7. Humbly asked Him to remove our shortcomings.
8. Made a list of all persons we had harmed, and became willing to make amends to them all.
9. Made direct amends to such people whenever possible, except when to do so would injure them or others.
10. Continued to take personal inventory and when we were wrong promptly admitted it.
11. Sought through prayer and meditation to improve our conscious contact with God *as we understand Him*, praying only for knowledge of His will for us and the power to carry it out.
12. Having had a spiritual awakening as the result of these steps, we tried to carry this message to alcoholics, and to practice these principles in all our affairs. (*Alcoholics Anonymous*, pp. 59 – 60, italics in original)

The twelve steps can be used as an approach to any addiction or to any problem that has both a psychological and a spiritual component, e.g. anger.

#### *Alcoholics Anonymous and Spirituality*

Tonigan, Toscova, and Connors analyzed the spiritual aspects of *Alcoholics Anonymous*. They found that five spiritual beliefs, four spiritual practices, and three spiritual experiences lie at the heart of *Alcoholics Anonymous*. The five spiritual beliefs are: a “higher power,” God, exists; it is possible to establish a personal relationship with that higher power; miracles occur; conscious spiritual renewal should occur each day; and discord sets one on the path of spiritual growth. Tonigan, et al. said:

The final spiritual truth in 12-step programs is that every time a person is disturbed, no matter what the cause, there is something wrong with that person.

. . . Distress, then, is identified as a signal of one's incongruency with the plan of a higher power. Discord and conflict may arise in the course of social interactions because of self-serving motives, but it also may arise because of lack of acceptance of current circumstances.

(Tonigan, Toscova, & Connors, 1999, p. 119)

This is comparable to Carl Rogers's person-centered therapy, which requires, as a condition of therapy's effectiveness, that clients experience distress because of incongruence between their self-perception and their experience. Rogers did not think that this personal distress was due to incongruence with "the will of God." Rogers left the seminary to study educational psychology because of his own religious doubts and because he did not want to impose any set of beliefs on clients (Sharf, 2004, p. 203; Burger, 2000, p. 313). He believed that individuals are essentially good and, if encouraged, will grow in socially productive ways. AA believes that humans sometimes need the encouragement, support, and guidance of God and others in order to grow in socially productive ways. AA believes that discord within one's self or discord between one's self and society or the universe is often a prerequisite to psychological and spiritual growth. In *The Varieties of Religious Experience*, William James had made the similar observation that "sick souls" often grow more than those who start out as "healthy minded," and Jung had written that it is necessary to bring our inner shadow into the light in order to grow as adults. Openness to and appreciation for the dark side of experience is not part of what James called the "healthy minded" approaches to spirituality, nor is it

valued by traditional psychology. Many approaches to spirituality and to counseling concentrate exclusively on the light.

AA asks members to open themselves to both the horizontal plane, that Rogers alluded to, and the vertical plane, that Tillich alluded to in Chapter 4. Tonigan, et al. listed the following four spiritual practices of AA: Praying and meditation; making amends; working on personal relationships; and giving service. They list the following subjective spiritual experiences that members may have: humility; gratitude; and serenity, which is the feeling of no longer being in conflict with the will of God. Rogers would call this the feeling one attains when one becomes a fully functioning person. The five spiritual beliefs, four spiritual practices, and three spiritual experiences that lie at the heart of *Alcoholics Anonymous* could be included in any practice that combined psychotherapy and spirituality.

AA's effectiveness was demonstrated by Keith Humphreys (1999) in a study of 3,018 male veterans who were involved in three different inpatient treatment conditions: cognitive-behavioral (CB), 12-Step, or mixed CB and 12-Step. The participants were in inpatient treatment for 21 to 28 days. On release, all participants were referred to 12-Step outpatient group meetings. They were contacted one year later and were asked about continued involvement in 12-Step treatment, including attendance and incorporation of the steps into their lives. There was no difference in the extent of involvement between those who had been treated in the 12-Step inpatient program and those in the eclectic program. Those who had received only CB inpatient treatment reported significantly less 12-Step involvement following release.

The researchers also measured success of treatment at one year, using four outcome variables including abstinence from drugs or alcohol and no substance abuse related problems. Those who had been involved in 12-Step inpatient treatment were 1.47 times more likely to be abstinent at 1-year follow-up than patients treated in CB programs ( $p < 0.00001$ ). When self-help 12-Step group involvement was equalized, that is, when CB inpatients who involved themselves equally in 12-Step outpatient work were compared to AA inpatients, the difference reduced to 1.12 and was no longer significant ( $p = 0.26$ ). Those in the AA inpatient condition were 1.19 ( $p = 0.09$ ) less likely to have experienced drug or alcohol related problems at one year. When outpatient 12-Step group involvement was equalized, this difference was reduced to 1.03 ( $p = 0.78$ ). Humphreys concluded that if the inpatient program was not oriented toward the 12-Step philosophy and practice, clients were significantly less likely to participate in 12-Step groups after release and were significantly less likely to remain substance free.

#### *Conclusions regarding AA*

Substance abuse counselors have lead the way in recognizing and incorporating spiritual issues in treatment. In part, that is due to AA. Today, the BPSS approach to treatment is commonly taught in college courses relating to drug and alcohol counseling. BPSS stands for the ideal of combining biological, psychological, social, and spiritual aspects in a holistic view of the client and the client's treatment. Nonetheless, some substance abuse professionals disapprove of mixing spirituality and treatment. Keith Humphreys and Elizabeth Gifford co-authored a chapter entitled "Religion, Spirituality, and the Troublesome Use of Substances" in *Rethinking Substance Abuse: What the Science Shows, and What We Should Do About It* (2006), a book about substance abuse

treatment. There were 18 Chapters in the book; only Williams and Gifford's chapter dealt with "S" for spirituality. The other chapters dealt with B (body), P (psychology), or S (social). When the authors told a "prominent addiction researcher" about their chapter, that researcher said that the idea of including a chapter on spirituality "made his skin crawl" (p. 261). Integrating spirituality into mental health treatment is not commonly taught in the psychology or counseling departments of universities, unless the school is affiliated with a religion. Because of the current emphasis on certification and evidence-based treatments in the substance abuse field, there is a danger that the human spirit and God will be excluded or side-lined in addiction treatment planning, at the same time that the fields of psychology and counseling are beginning to take spirituality seriously.

William Miller, one of the editors of *Rethinking Substance Abuse: What the Science Shows, and What We Should Do About It* (2006), believed in the importance of including spirituality in counseling, but the use of the word "Science" in the title seems defensive. One of the pervasive themes of *Integrating Spirituality into Treatment* (1999) and *Judeo-Christian Perspectives on Psychology* (2005), edited by the same William Miller, is an attempt by each of the contributors to conceptualize ways in which spirituality can be effectively instrumentalized and operationalized so that it can be studied and evaluated in traditional scientific, academic ways.

Koch and Benschhoff (2002) surveyed 86 rehabilitation professionals, including 55 counselors, and found that more than 70% referred clients to AA and 59.5 % believed that clients who participate in AA tend to do better in their overall rehabilitation program. 45.3 % claimed to know the 12 Steps; however, only 29.1 % had a copy of the 12 Steps in their office and only 18.6 % had read *Alcoholics Anonymous*.

Davis, Benshoff, and Koch (2006) surveyed 151 students enrolled in substance abuse counselor training courses regarding their attitudes toward spirituality in substance abuse counseling and the principles of AA: 88.1 % of students surveyed believed in a higher power, 79.5 % thought of themselves as spiritual, and 53.0 % believed that spirituality should be included in treatment. But only 35 % of those same students agreed with the first step of Alcoholics Anonymous, which is that alcoholics are powerless over alcohol, and only 26 % agreed with the third step, which is that alcoholics must turn their lives over to a Higher Power in order to be in recovery. Only 44.7 % of the students felt that alcoholics benefit more if they attend AA meetings. The authors of the above studies asked: How well will counselors coordinate with AA if they have not read *Alcoholics Anonymous* and do not agree with some of steps?

Page and Berkow (1998) pointed out that the assumption that addicts must view themselves as powerless over their addiction “tends to create a conflict between the values of the AA approach and the generic values of counseling in Western cultures. Counseling tends to help people to conceptualize themselves as adequate and to empower themselves” (p. 286). Page and Berkow contended that:

A limitation of the AA Twelve Steps is that it does not present a means to conceptualize a developmental movement toward complete healing of the individual after the individual acknowledges that his or her current sense of control is based on denial. More complete healing would involve establishing a sense of control that is noncompulsive, nondependent, and not based on denial. Such a concept of healing could help a recovering addict to move from a dualistic conceptualization of the healing power as outside

the self and higher than the self, to a more integrated conceptualization that healing power is not separate from the self. The higher power would not then be defined as existing apart from the individuality or self of the person's locus of consciousness and responsibility. Because therapy groups encourage personal responsibility, they can be viewed as helpful in assisting addicted people to develop an integrated sense of healing power. Spiritual development can be conceptualized as growth that occurs as individuals are able to integrate constructive and healing energies of the self. That is, healing power that was unconscious or disassociated becomes conscious and integrated with the sense of self. (pp. 287 – 288)

Thus, group therapy might be considered as a useful means for addicts to recognize that the healing power they had conceptualized as higher than themselves is also *within* themselves and enhanced *between* themselves and others. (Page and Berkow, 1998, p. 295)

I disagree that clients necessarily need to outgrow Step 3. I have known people who appeared to be able to turn themselves over to God and continue to develop individually and socially. It is also likely that different clients will have different needs: for some, regaining personal control over their lives will be the priority; for others different needs will take priority.

#### *Differences between AA and Traditional Psychology*

AA includes God as a source of help. It defines God as the client defines Him or Her or Them. *Alcoholics Anonymous* has a chapter titled "We Agnostics," in which the author wrote that about half the original fellowship were agnostics or atheists, who finally

had to accept that they could not stop drinking without help from a power greater than themselves. “When we admitted the possible existence of a Creative Intelligence, a Spirit of the Universe underlying the totality of things . . . we found that God does not make too hard terms with those who seek Him. To us, the Realm of Spirit is broad, roomy, all inclusive; never exclusive or forbidding to those who earnestly seek” (p. 46).

AA is a moral approach in which humans are seen as doing right and wrong, and personal growth is seen as moral growth. The AA approach requires that each person catalogue all the wrongs he or she has done and make amends. Other people do wrong things, too, but AA asks its members to let go of trying to control others. AA values the moral failings of human beings and takes the position that it is from these failings that people learn and grow. Traditional psychology, even after the Holocaust and Mai Lai, remains amoral and uncomfortable with the idea of evil. (There are some notable exceptions, e. g. Scott Peck, 1971, and Zimbardo, 2007.) A spiritual approach to psychology and psychotherapy cannot be amoral. To be complete, it must help clients define and face evil within themselves and within society.

AA believes in miracles. Morality and God and miracles are connected. One swallows one’s pride and begs God for a miracle because one has hurt others.

William Wilson can be added to the list of thinkers who have tried to integrate the spiritual and material world-views into one cohesive approach. AA takes the position that if the two world-views conflict, one must choose the spiritual, by following steps 2 and 3, or one is likely to repeat past mistakes. Many counselors feel they are able to integrate AA comfortably into their personal approach to counseling. In part, that has been true because many counselors were also members of AA.

### *Criteria*

AA meets criterion 1, above, because it recognizes the possibility of a realm of existence that is non-material but real; and it meets criterion 2, because it is open to all religious and spiritual beliefs but not bound to any one, except that it does require a belief in God. AA is pluralistic. It values the sick souled. It pays positive attention to sorrow, pain, and death and values shades of gray. It is less adaptable to the needs of the healthy minded. It does have a process for accessing spirituality: the 12 Steps and meetings. It is *not* adaptable to both spiritual and non-spiritual milieus and to spiritually inclined and non-spiritually inclined clients. Criticisms of AA include its one-size fits all approach and its lack of flexibility: there is no room for occasional drinking.

### *Pastoral Counseling*

Pastoral counseling was slow to develop, in part because the first instinct of both ministers and psychotherapists was to stay out of each other's way. Throughout the 20<sup>th</sup> Century, religion has been on the defensive and has felt the need to demonstrate its relevance. Therefore, many counselors who were Christian have felt the need to use the latest techniques of modern psychotherapy and to concentrate on symptom relief. Many have also embraced the goals of secular humanism. The result is that the old myths have been replaced by new myths, and "psychotherapists have become the priests of a new religion of selfism" (Benner, 1998, p. 43). Unless otherwise noted, references in this section are to David G. Benner, *Care of Souls: Revisioning Christian Nurture and Counseling* (1998).

Benner thought that, among traditional theorists of psychotherapy, Jung had the most to offer soul care providers, because he recognized the importance of symbolism,

the unconscious as a source of positive creativity, the value of the dark aspects of our personalities, and the reality of evil. “In contrast to Freud, Jung believed it is not the presence of spirituality that is pathological but its absence” (p. 72). Jung believed in God and he allowed for the healthy or unhealthy development of interior symbols of God. Nonetheless, Jung’s theory did not explicitly include God as an external reality to be encountered and integrated into human experience.

Benner wrote that if the rejected parts of people that Jung called their shadow can be brought into the light, these excluded parts can “experience Christ’s transforming friendship” (p. 236). Jesus shared fellowship with the rejected and unclean, the poor, the sick and disabled. He can share that same fellowship and transforming love with the rejected parts of each human being.

The use of secular psychological techniques by those who believe in God and the soul “has resulted in both great gains as well as great losses for soul care.” The most important problematic areas are “professionalism, individualism, psychological reductionism, and the elimination of a moral framework” (p. 46). Professionalism is a problem because it has led to practitioners who are well-trained in techniques of interviewing and counseling, but who lack experience in the real world and hide behind a role in order to avoid a genuine I-Thou encounter with their clients. “It has also led to an inferiority complex on the part of those not certified by the clinical therapeutic professionals.” The culture of individualism is a problem because it has led to “the dominance of therapeutic metaphors of self-actualization, freedom, and growth over historic soul care metaphors of self-denial, discipline, and service” (p. 47). Benner

associated the nondirectiveness of Rogers with “naïve attempts to avoid dealing with the moral dimension” (p. 48).

Benner was a monist in a new sense of that word. He believed in the reality of the soul and spirit, but he thought that the soul and spirit should be treated as one with the mind and body. Any attempt to treat one aspect separately “results in a loss of the fundamental unity of the soul” (p. 57). “Efforts to separate the spiritual, psychological, and physical aspects of persons inevitably result in the trivialization of each” (p. 62). He thought people should be treated holistically as somatopsychospiritual beings. Traditional lay therapies have treated only the body and mind. Spiritual therapists should not care for only the soul and spirit. Benner believed therapy should care for the entire person. Thus soul-care can provide the things that normal lay therapy would provide and it can provide some things that are different. Table 5 is based on Benner’s ideas; it lists the values of traditional lay counseling on one side and the corresponding but different values of soul care on the other. It appears in Chapter 15, which discusses the place of values and morals in counseling.

In addition to working directly with the client, a soul care provider is an advocate for the individual in the community and an advocate for social action. These are also goals of community counseling, multicultural counseling, and feminist counseling.

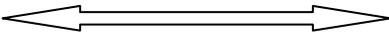
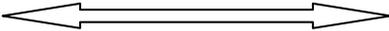
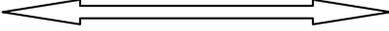
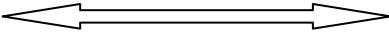
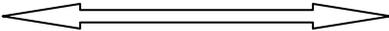
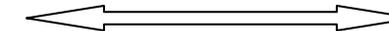
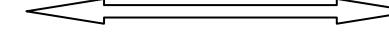
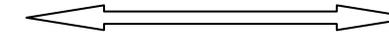
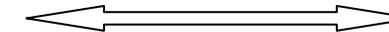
Benner believed that soul care is best provided through dialogue. “Dialogue involves two or more people talking with each other for no other purpose than to deeply meet each other. In dialogue each says to the other, ‘This is how I experience the world. Tell me how you experience it.’ Good dialogue involves sharing of the self, deep engagement with another self, and the resulting expansion of both selves” (p. 136).

Benner provided a chart of forms of verbal interaction, based on material developed by David Gouthro, which illustrates distinctions among dialogue, conversation, discussion, and debate. This chart appears in table 1 on page 183.

Benner held out Buber's I-Thou dialogue as the ideal. Benner was aware of Rogers's claim to engage in an I-Thou relationship with his clients and of Buber's objection that an I-Thou relationship was not possible because the therapist held the balance of power. Nonetheless, Benner thought that genuine dialogue should be aspired to in soul care. He believed dialogue could occur if the therapist could answer in the affirmative to the following three questions: "Am I willing to bring myself, not just my care [of the client], to the encounter? Can I accept the other as a whole and separate person, as he or she is? And am I willing to be open enough to his or her experience and ideas that my own may change as a result of our interaction?"(p. 149). Benner believed that it is necessary to prepare oneself to engage in dialogue and he suggested eight steps. Those steps are: (1) Prepare a place of quiet within through prayerful reflection; (2) Set aside all desires except love; (3) Focus on the inner experience of the one receiving care; (4) Listen for the embedded spiritual significance in whatever is being discussed; (5) Listen with respect; (6) Attend to one's own experience in the dialogue; (7) Invite moral reflection on the matters under discussion; (8) Don't be afraid to give judicious advice, suggestions, or offerings of direction (pp. 152 – 155). One cannot force dialogue, but one can provide opportunities for it.

In my judgment, Benner has not fully answered Buber's objections. The exchange Benner envisioned is not equal because it is still primarily about the client and not about the therapist. Neither Benner nor Rogers envisioned sessions in (continued on p. 184)

Table 1 Forms of Verbal Interaction

	<u>Debate</u>	<u>Discussion</u>	<u>Conversation</u>	<u>Dialogue</u>
<i>Content</i>	Regulated			Unregulated
<i>Outcome</i>	Win/Lose			Win/Win
<i>Trust</i>	Low trust			High trust
<i>Respect</i>	Intolerant of differences			Embraces differences
<i>Interchange</i>	Facts and arguments			Feelings, values and construals
<i>Format</i>	Statements			Questions and statements
<i>Focus</i>	What do I know?			What can I learn?
<i>Questions</i>	Used to disarm and disguise opinions			Used to deepen understanding
<i>Knowledge</i>	Used as a weapon			Used as a gift
<i>Risks</i>	Avoids risks			Takes risks
<i>Goal</i>	Prove/ Proof			Explore/ Discovery
<i>Listening</i>	Rehearsal and preparation to pounce			Active empathy -- attempts to understand and appreciate
<i>Volition</i>	Willful control, unwilling to change			Willing surrender, willing to change

Adapted from material developed by David Gouthro, a Vancouver based consultant with the firm The Cutting Edge. Used with permission.

(continued from p.182) which half, or any part, of the session was spent discussing the client's life and problems and another part discussing the therapist's life and problems. But both Rogers and Benner yearned for the sort of equality of power and control that Buber defined as an I-Thou relationship. Perhaps the most that can be done in the context of a therapeutic relationship is to bring one's complete self to the relationship with an openness to being changed. One can be open to self-disclosure to the extent the client invites it or appears to need it. Perhaps Step 8, above, should be amended to read, "Don't be afraid to give *or receive* judicious advise, suggestions, or offerings of direction." Ultimately, Rogers found that group-work, in which the counselor facilitated and participated, was the closest he could come to equality. If a counselor is a real participant in a group, she or he will, sooner or later, receive advice, suggestions, and offerings of direction.

Benner thought that dreamwork could provide an enriching part of soul care. He suggested that we fall asleep inviting God to speak to us in whatever way S/He/They choose, and expressing a desire to hear what S/He/They have to say. "Samuel's words, 'Speak for thy servant heareth' (1 Sam. 3:10 KJV) can be ours as we prayerfully prepare for sleep and express our desire in the presence of the God who has no more ceased being Revelation than he has ceased being Love" (p. 173). Benner suggested writing a report immediately upon rising before the content of the dream is forgotten. He suggested a TTAQ format: write a Title for the dream, state its Theme simply, describe the Affect or feeling tone of the dream, and write down the Questions the dream asks. If there is time or the dream seems particularly important, one can write down the complete details of the dream and one's personal associations with each detail, and one can identify the dream

ego and conduct a conversation with the dream ego. Jung wrote that everyone and everything in a dream is us; for example, women appearing in a dream may represent feminine parts of a male dreamer seeking expression and integration into the conscious person. It is usually possible to identify a character in the dream who is most like the dreamer or with whom the dreamer most identifies. This is the dream ego. Jung also wrote that it is the dreamer's personal associations that make a dream come alive, not symbols in a book of dream interpretation. Benner provided detailed examples of such dreamwork. Those examples could be very helpful to anyone undertaking such work alone or as a spiritual-psychological counselor.

*Differences between Pastoral Counseling and Traditional Psychology*

To AA's inclusion of God as helper, Christian Pastoral Counseling adds God and Jesus as parents and friends. Parents can offer guidance and unconditional love. Friends can keep one company and offer encouragement. Pastoral counselors believe that every day is a miracle.

To AA's moral accountability, Pastoral Counseling adds awareness of sin and hope for forgiveness. It makes moral reflection a goal of counseling. Pastoral Counseling distinguishes between material and spiritual values and between self-centered and other-centered values. It makes pursuit of these higher values a goal of counseling.

Benner agreed with May that the questions addressed in therapy are moral questions:

Psychotherapy patients do not separate moral and psychological phenomena in the way that psychotherapists often do. A questions such as, "Is it okay to feel angry?" is not merely a psychological question; it is also very much a moral one.

The reason it is a moral question is that it is a question of how one should live one's life. Questions of whether or not to have an abortion or have an affair or leave one's spouse are moral questions, not because abortion, sex, and divorce are moral topics but because they concern matters of how life should be lived. In the same way, therefore, questions of how to handle one's emotions, how to understand an interpersonal conflict, or how to deal with a terminal illness are all moral questions, not merely psychological ones, because they deal with how one ought to live one's life. (p. 43)

Although Benner thought that moral discussion was an essential part of soul care, he also thought that soul care and traditional therapy, rather than being in conflict, could be combined in such a way that they could, potentially, have an additive benefit for the client. He accomplished this potential effect by being non-judgmental, and by allowing the client to initiate moral reflection. He did not assert that to have an abortion or an affair is wrong. He asserted that *it is wrong not to consider them as moral questions*. Spiritual development comes, in part, through the process of considering them as moral questions. "Moral reflection is best initiated by inviting the one seeking care to engage in such reflection himself or herself. Questions such as, 'I wonder how you judge the appropriateness of . . . ?' or, 'How have you approached the ethical or moral aspect of . . . ?' are often helpful." (pp. 154 – 155). Some clients will come seeking moral guidance:

They may have drifted into ethically questionable behavior and wonder how their moral compass became so flawed as to allow them to get there. Others may have violated one of their most deeply held moral principles and feel a need to review their personal moral philosophy. Yet others may simply feel the need for moral

stocktaking or an opportunity to reflect on their stewardship of life. What better context for reflection could such people find than a relationship of soul care?

(p. 226)

A client might be more open to hearing a pastor ask such questions and be more likely to engage in moral self-reflection with a pastor than with a lay counselor. However, if the lay counselor proceeds carefully to establish that it is the client's wish to consider spiritual and moral issues in counseling, then the client may be ready to enter such discussions.

Benner thought that the values of traditional lay counseling, as shown in table 5, were more materialistic than the values of soul care. He thought of materialistic values as contrasting with spiritual values, but not necessarily opposing them. He did not say, for example, that "emphasis on cure" is bad and "emphasis on care" is good. The counselor can give and the client can receive both. If the client chooses to pursue spiritual values, spiritual development is more likely to occur than if the client chooses only materialistic values.

Benner's open-minded insistence on the inclusion of morality and values in the therapeutic dialogue is the best reconciliation of the spiritual and material world-views of morality and values that I have seen.

Pastoral counseling sees the soul as real and eternal. If an approach to spiritual-psychological counseling is to address content as well as process, the existence and meaning of God and the soul are likely to be two content issues for clients.

### *Criteria*

Pastoral counseling does meet criterion 1 but not criteria 2 and 3. It recognizes the possibility of a realm of existence that is non-material but real. Benner's approach could be pluralistic; but it is not clear how easily it would adjust to what James saw as the human need for a variety of faiths. It is explicitly Christian and would not be easily adaptable to both spiritual and non-spiritual milieus and to spiritually inclined and non-spiritually inclined clients. Benner suggests two processes for accessing the spiritual side: prayer and dreams.

### *Jung and Jungians*

Jung's theories are presented and compared to Freud's theories in Chapter 3. Among the American lay public, Jungian psychology may currently be the most popular of the traditional psychological approaches, because of its emphasis on growth after midlife and its acknowledgement of religion as a potentially healthy part of life. Jungian psychology may also be the approach most feared and misunderstood by traditional psychologists because of its use of symbol, archetype, dream, the unconscious, and the collective unconscious, all of which may seem irrational and non-scientific. They violate a beloved rule of science: parsimony. They seem too complicated. Jung's increasing popularity upset Richard Noll, Associate Professor of psychology at DeSales University, so much that he wrote two books in which he described Jungian psychology as a cult: *The Jung Cult: Origins of a Charismatic Movement* (1994) and *The Aryan Christ: The Secret Life of Carl Jung* (1997).

### *The Meaning of Soul in Jungian Psychology*

Although Jung believed in God and was the president of the Guild of Pastoral Psychology, his theory did not deal explicitly with God or the soul. Other Jungians have

written about the soul, including former monk Thomas Moore, *Care of the Soul* (1992), June Singer, *Boundaries of the Soul* (1972), and James Hillman.

Hillman struggled with the definition of soul throughout his career. He wrote that soul is “a perspective rather than a substance, a viewpoint toward things. . . . [It is] reflective; it mediates events and makes differences” (1975). In contrast to Benner’s holistic, somatopsychospiritual approach, Hillman (1989) defined the soul, spirit, and body as separate perspectives. The perspective of the spirit values the eternal, non-physical aspects of existence and seeks unity and harmony. Soul is found in the valleys and depths. Soul mediates between the demands of the material body and the aspirations of the spirit and helps the spirit respect and learn from the body. Jungians are sensitive to the shadow aspects of existence. The spirit’s shadow includes ego-inflation and losing touch with the ground. Distinguishing the spirit from the soul is useful to a theory of spiritual-psychological counseling. It gives meaning to both words. It fits with the secondary meanings of the word “soul,” which, according to the *Oxford English Dictionary* (Soul, 2007) include deep feeling and sensitivity, and it fits with the association between the blues and soul music. A counselor can see Benner’s holistic approach and Hillman’s distinctions as complementary views of the client’s soul.

Consciously alluding to Keats’s phrase, Hillman wrote, “We are all in therapy all the time insofar as we are involved in soul-making” (1975). When he wrote about “soul-making,” Keats had been reading two books: one about early American history and the other about France in the reign of Louis XIV. It struck him that human existence consisted of suffering whether one was an aboriginal American or endured the “Bailiffs, Debts, and Poverties of civilized Life.” In a letter to his sister Georgiana and brother

George, Keats wrote:

Man is . . . destined to hardships and disquietude of some kind or other. If he improves by degrees his bodily accommodations and comforts – at each stage, at each ascent there are waiting for him a fresh set of annoyances. . . . I do not at all believe in . . . perfectibility – the nature of the world will not admit of it. . . . [S]uppose a rose to have sensation, it blooms on a beautiful morning, it enjoys itself – but there comes a cold wind, a hot sun – it cannot escape it, it cannot destroy its annoyances – they are as native to the world as itself: no more can man be happy in spite, the worldly elements will prey upon his nature. . . . Call the world if you please “The vale of Soul-making.” Then you will find out the use of the world (I am speaking now in the highest terms for human nature admitting it to be immortal which I will here take for granted for the purpose of showing a thought which has struck me concerning it) . . . Do you not see how necessary a World of Pains and troubles is to school an Intelligence and make it a soul? A Place where the heart must feel and suffer in a thousand diverse ways!

(In Gittings, R., ed., *Letters of John Keats*, 1970, pp. 249 – 250).

Keats wrote this letter between February and May 3, 1819. In July 1819, he showed the first signs of tuberculosis. In 1821 he was dead at age 25.

Hillman studied with Jung and was the first director of studies at the Jung Institute in Zurich after Jung’s death. He was seen as the heir apparent to Jung, but he chose to leave and return to the United States in 1980. He developed his own theory, which he called Archetypal Psychology. He emphasized accepting the chaos of experience rather than attempting to form an integrated self. Western psychology had always served as a

mirror to the inner self. Hillman re-imagined psychology as a window through which client and therapist could look out at the world.

Hillman thought that psychologists should pay attention to the souls of their individual clients and to the soul of the world, which he called *anima mundi*. He thought that the world was sick, depressed, and anxious, and that the world's sickness was the cause of much human psychological illness. Drob (2007) wrote:

For Hillman, the ultimate psychological value, indeed the ultimate value in general, is a realization and deepening of the soul in its widest possible sense. The ultimate goal of psychology, however, is not to find answers and solutions to problems, but, rather, to deepen our experience of the problems themselves. . . .

Soul, according to Hillman, is most apt to emerge in those chaotic, "pathological," moments when we experience the disintegration of our beliefs, values, and security. (Retrieved from New Kabbalah website, unpaginated.)

In *The Soul's Code: In Search of Character and Calling*, Hillman wrote:

This book is about calling, about fate, about character, about innate image.

Together they make up the "acorn theory," which holds that each person bears a uniqueness that asks to be lived and that is already present before it can be lived. (Hillman, 1996, p. 6)

This unique calling Hillman called the soul's code. If a person's acorn cannot develop, if it is stifled, it may appear as symptoms. Hillman himself compared this theory of the soul to karma (London, 2007). He wrote that Alice Miller, whose views are discussed in the next chapter, was "naive" to think that all of a person's bad character derives from bad parenting (London, 2007). Hillman was trying to make the point that

character has inborn strength that can withstand and learn from bad circumstances.

Hillman was angry at the victim mentality of the age. I heard him say once that he thought people needed to “get out of the victim’s chair.”

In *The Force of Character*, Hillman wrote:

My war- and I have yet to win a decisive battle - is with the modes of thought and conditioned feelings that prevail in psychology and therefore also in the way we think and feel about our being. Of these conditions none are more tyrannical than the convictions that clamp the mind and heart into positivistic science (geneticism and computerism), economics (bottom-line capitalism), and single-minded faith (fundamentalism). The idea of character is alien to all three. (1999, p. xxiv)

Hillman’s ideas could be included in a non-fundamentalist, spiritual approach to psychology. However, to some, his theories have seemed even more abstruse and fragmented than Jung’s. “At times it seems that for Hillman it is the disintegration of theory and dogma which is creative and interesting and not the new theories that arise in the old theories' place” (Drob, 2007, unpaginated). Hillman wrote that *The Soul’s Code* was based on neither institutionalized religion nor institutionalized science; it “moves nimbly down the middle between those two old contesting dogmas” (Hillman, 1996, p. 11). That is nice, but it may not be much help for a lost soul. Hillman never said that the soul and spirit have any reality apart from their metaphorical and mythological meanings. If the client agrees, I would prefer to counsel from a working assumption that the soul and spirit are real. Hillman never acknowledged God, so far as I can determine; although I have heard him speak in churches twice. He shared the existentialists’ hunger for meaning, and he shared their sense of aloneness in the universe. If Jesus or

Mohammed had spoken only in parables, people would hunger for something more substantial. I assume that clients may hunger for more than Hillman's abstractions and symbols. A spiritual approach to psychology, as I envision it, would be open to the possibility that the spiritual realm is real, although not completely known or knowable.

Despite Hillman's disagreement with Miller, his idea of the soul's code and her idea of the true self are similar. In both cases, the growing person can be damaged if put under a rock and will benefit from recognition, warmth, feeding, and watering. Hillman is explicitly non-religious. Miller is anti-spiritual. But, if the soul is real, and not just a metaphor, it might have much in common with her true self and his acorn.

I have found it useful to combine ideas from Hillman, Plotinus, Rogers, and Assagioli, whose theories are discussed in Chapter 9, to define "soul" as both a perspective and the part of humans that has that perspective. First, I distinguish the soul from the spirit. The spirit is the part of each human that is eternal and completely non-material. The soul is an intermediary that connects people's spirit to their material minds and bodies; it is partly mortal and partly eternal. The soul has important things to learn at the material level of existence. What the soul learns it teaches the body and the spirit. Sooner or later, most souls must learn how to keep on loving, even when it hurts, and how to keep on living, even when one's material existence seems to have been a failure. The soul is the eternal component of the true self.

### *Owning the Shadow*

Jung acknowledged evil. Evil can occur when the inflated ego destroys projections from the rejected shadow. The language of the unconscious is myth: the rejected parts of one's self become monsters. The shadow is composed of every rejected

part that does not develop because it is repressed so that other parts may develop. The shadow of a stockbroker might be his or her creative painter. As people get older, it takes more energy to repress the shadow, and it is more likely to break through, as in a midlife crisis. The shadow is often expressed in people's play. So the stockbroker might play at being a painter on weekends. If the shadow is not allowed to express itself even in play, it will become more distorted and primitive. It will express itself in dreams and in projections. People project their shadows onto others in distorted form; so they may see other painters as foolish and dissolute. The shadow may find expression in one's children: they may become painters, or, as was the case with one daughter of an accountant, they may become an art history major. If people completely suppress the shadow, it may lash out like a caged animal. If people come to fear their shadow in others, they may label them as "evil" and harm them. They may denounce their own children for becoming art history majors. They may damage them psychologically and not allow them to grow in the outer world, as they have prevented themselves from growing within.

### *The Evolution of Consciousness*

Robert Johnson called owning one's shadow a religious process (Johnson, 1991b). Through the inner work of making the shadow conscious "you take part in a process in which every element of life, including the dark elements, has a place of dignity and worth" (Johnson, 1991a, p. 70). Johnson wrote that Hamlet was the first three-dimensional hero in literature. Hamlet did not move straight ahead unreflectively like a knight of old. Instead he hesitated, reflected, and wondered about the rightness of his actions and the justice of his fate. Today every human being is three-dimensional and confused. Jung believed that we live in an age in which the collective unconscious is

devoted to the evolution of a four-dimensional consciousness. "The transition from three-dimensional to four-dimensional consciousness is exceeding painful. Medieval Christianity called it the dark night of the soul. . . . The process can be summed up in one sentence: it is the relocating of the center of the personality from the ego to a center greater than one's self" (Johnson, 1991b, pp. 83 - 84). This is similar to Maslow's conception of an egoless transcendence of dichotomies and Frankl's goal of happiness through self-transcendent work for the benefit of others.

In *The Thought of the Heart and the Soul of the World*, Hillman suggested that the spiritually evolved person finds evil ugly; so that in totalitarian societies "beauty is sequestered into the ghetto of beautiful things: museums, the ministry of culture, classical music, the dark room in the parsonage – Aphrodite imprisoned" (1992, p. 62). Hillman was referring to the fact that Jung's father was a minister and Jung was raised in a parsonage in which all paintings were kept in one dark room. Hillman cited Plato's equation of beauty with virtue and Keats's lines from *Ode on a Grecian Urn*: "Beauty is truth, truth beauty, that is all ye know of earth and all ye need to know" (Keats, 1978, 1982, p. 283). Hillman then argued:

Were there no beauty, along with the good and true and the one, we could never sense them, know them. Beauty is an *epistemological* necessity; it is the way in which the Gods touch our senses, reach the heart, and attract us into life.

(Hillman, 1992, p. 45, italics in original.)

Hillman pointed out that psychotherapy "invites confession but omits prayer. The religious impulse is provoked and then unsatisfied" (1992, p. 35). He added that the poet and critic Samuel Taylor Coleridge:

invented the term self-realization [but] saw that this self of personality becomes only self-seeking subjectivism unless its ground is “outside” itself in a “person” that is not the human person and to whom prayer is addressed. . . . His immense problems with prayer and with his creative imagination perhaps are linked because he did not accept the intercessory figures which are so essential to the notion of prayer . . . as a dialogical encounter with such figures. Coleridge could never release prayer from moral duty to a single high God into prayer as imagination. (Hillman, 1992, pp. 80 – 81, footnote 31)

Pastoral counselor Benner (1998) also conceived of prayer as dialogical: questions are asked; responses are heard; thanks is given. Benner did not think of prayer as a purely imaginary act. Hillman does not say whether or not he does.

In the book *Spiritually Oriented Psychotherapy*, Jungian therapists Lionel Corbett and Murray Stein wrote that Jungian therapy is a good fit for “people who wish to approach their emotional distress in a way that does not separate its psychological and spiritual dimensions,” for people who are spiritual but abhor organized religion, and for liberal Christians and Jews (2005, p. 71); because it is open to spirituality but empty of content. Jungian therapy deals with archetypes, including human beings’ inborn archetypes for God and the Self. The archetype of the Self corresponds to “the presence of a spiritual principle at the core of our development and our psychopathology” (p. 53). It may surprise some who are not familiar with Jungian ideas to hear that his theory is empty of content. Jungian archetypes are like empty picture frames that can surround very different pictures. Human beings are born with these archetypes, but Jungian theory does not take a position on whether or not they correspond to a real God or a real spiritual

realm. Jungian theory also recognizes numinous experiences (sensations of the uncanny) and synchronicity (experiences in the outer world that correspond to one's inner world) as real parts of human experience. Again it takes no position on whether or not these experiences correspond with a real metaphysical reality beyond the limits of the material world.

Corbett and Stein suggested a binocular approach to therapy in which one lens sees the therapy in traditional terms and the other sees “the transpersonal or archetypal background. . . . At times one or the other level is at the foreground, but both are always present, like the warp and the woof of a fabric” (2005, p.60). They add: “For the Jungian approach, the two are also enclosed in the larger field of the transpersonal Self, a third presence in the room that is often palpable, although not acknowledged as such by schools of psychotherapy that do not focus on the transpersonal dimension of reality” (p. 60).

Corbett and Stein equated the Self with a transpersonal, spiritual self. They made the extraordinary, almost mystical claim that “we are not really separate from each other; we feel separate at the levels of the ego, the body, and the personality, but at the level of the transpersonal psyche consciousness is undivided – the Self is the same in all of us” (2005, p.72).

Jung invented the terms extroversion and introversion. Jungian psychiatrist Anthony Storr argued in *Solitude: A Return to the Self* (1988) that contemporary society, with its emphasis on relationship and social adjustment, devalues the importance of solitude. In the Middle Ages, solitude was recognized as a valid pursuit. Some religions honor the practice of solitude and silence. Spiritual-psychological counseling needs to

recognize, validate, and nurture an introverted dimension of the spirit, as well as the extroverted.

Jung and Jungian theorists, such as James Hillman, Robert Johnson, and the poet Robert Bly, have constituted a branch of mainstream 20th Century psychology that has been at home with both the positive and dark sides of the unconscious, and the positive and dark sides of the soul and spirit. It is the branch of psychology most utilized by David Benner in his work as a pastoral counselor. It agrees with paleontologist-theologian Teilhard de Chardin and with the Hindu mystic Sri Aurobindo that human consciousness is still evolving. If that is so, counselors have an opportunity to participate in and contribute to that evolution, individually and in their professional role. It is consistent with the hypothesis that human consciousness is evolving from three-dimensional to four-dimensional consciousness that, for the first time in history, many of the heroes of the 20th Century were heroes for peace, rather than heroes from war, and that the Nobel Peace Prize, funded by an arms maker, is now widely considered the most prestigious award a human being can win.

#### *Differences between Jungian Psychology and Traditional Psychology*

Jungian psychology is open to the value of religion. It is “spiritual” as I defined that term in Chapter 1: it recognizes the possibility and importance of a realm of existence that is non-material but real. Jung thought that the spiritual self, the soul, and God were archetypes born in every human, but devoid of content. Society and each human being define that content. Jung did not insist that God and the soul are real; clients are free to arrive at their own beliefs. His approach is open to the importance of the ideas of God and the soul and the need of each person to come to terms with them. Jung’s

theories are, therefore, open to being adapted and used in a person-centered approach to spiritual-psychological counseling, in which spiritual questions, answers, and growth come from within the client, not from a counselor or minister.

Jungian psychology views development as life-long and positive, and as part of the evolution of human consciousness. It sees the unconscious as a source of positive inspiration and growth. It recognizes the values and the dangers of the dark side of experience.

### *Criteria*

Jungian psychology meets the first three criteria: it recognizes the possibility of a realm of existence that is non-material but real; it is spiritual but non-religious; and it is adaptable to both spiritual and non-spiritual milieus and to spiritually inclined and non-spiritually inclined clients. Jungian therapy could be practiced pluralistically. It pays positive attention to the shadow. Nonetheless, I have not explicitly included Jungian psychology in the approach to spiritual-psychotherapy that I suggest in Chapter 17; because it is esoteric and limited. While it recognizes the reality of the spiritual side of humans, it offers no clear processes for approaching or developing that side.

### *Developmental Counseling and Therapy*

During the last decades of the 20<sup>th</sup> Century, many psychologists and counselors perceived clients through a medical, illness-based model. Until the client is diagnosed as mentally ill, no help is available. As soon as an ill client is functioning with minimum adequacy, help is ended (Ivey, Ivey, Myers, & Sweeney, 2005). This limited perspective has been applied to more and more clients as managed care and Medicare have been implemented in the United States. This model included the assumptions that each human

ill has an identifiable (a) name (diagnosis), (b) cause, (c) best treatment to eradicate the cause, and (d) technological specialists who are best qualified to provide that treatment.

In the 1980's, in reaction against the medical model, the counseling profession began to conceptualize and advocate for a wellness model of mental health care. In the 1990s, the psychology profession began to develop a similar alternative called positive psychology or health psychology (Ivey, et al., 2005; Masters, 2005). Psychologists Kohlberg (1958, 1986) and Gilligan (1982) defined moral development and theologian Fowler defined spiritual development (Fowler, 1981, 1991) as distinct components of human development.

The wellness model was based in part on the theories of Alfred Adler. Adler saw humans as having needs that they sought to develop throughout their lifetimes. These included spiritual needs. Human beings were social, goal striving, and often driven by unconscious forces. While Freud saw the personality as fragmented and at war with itself, Adler saw the personality as striving for wholeness and balance. Balance included balancing the needs of self and community. Wholeness included the development of one's spirit (Ivey, et al., 2005). Witmer, & Sweeney (1998) conceived of the Wheel of Wellness, a holistic, as opposed to linear, model of wellness in which spirituality is at the center and other life tasks identified by Adler and his follower Dreikurs are shown as the spokes of the wheel (Ivey, et al, p. 45).

The Wheel of Wellness was based on a hypothesized hierarchy in which spirituality was the foundation and the most important part of wellness. Myers and Sweeney developed the Indivisible Self Model (IS-WEL), in response to factor analysis of Wellness, which showed that each of the five components contributed equally to the

one overall factor of wellness. These findings are consistent with Benner's holistic somatopsychospiritual perspective. The five components were the Essential Self, the Coping Self, the Social Self, the Creative Self, and the Physical Self. No component could be considered statistically more or less important than the others. Each component has sub-components, with the result that there are 17 factors which affect the wellness of the Indivisible Self. The Essential Self includes the factors of spirituality, gender identity, cultural identity, and self-care. The Coping Self includes realistic beliefs, stress management, self-worth, and leisure. The Social Self is composed of friendship and love. The Creative Self is composed of thinking, emotions, control, positive humor, and work. Finally, the Physical Self includes nutrition and exercise. Ivey, Ivey, Myers, and Sweeney (2005) wrote:

Central to the idea of the Indivisible Self is the conviction that positive change in one area of one's being can have positive benefits in other areas as well. Change, then, can be incremental, cumulative, and self-paced in one or more areas of life but all areas may benefit. (p. 48)

The idea that one may start at any time and at any place and get better is encouraging news. The goal of the wellness model of mental and physical health is to promote wellness throughout the lifetime.

Developmental Counseling and Therapy (DCT) was developed by Allen Ivey, Mary Ivey, Jane Myers, and Thomas Sweeney and was described in their 2005 book *Developmental Counseling and Therapy: Promoting Wellness Over the Lifespan*. DCT combines the most recent theoretical conceptualization of wellness with theories of developmental psychology. DCT incorporates the cognitive developmental stages of Jean

Piaget, the psychosocial stages of ego development described by Erik Erikson, and the stages of faith development described by James Fowler. DCT refers to stages as styles, which expresses the attitude that no stage is superior and that all are needed for the complete experience of reality. Table 2, which appears on page 204, compares the developmental stages of DCT, Piaget, Erikson, Fowler, and the moral stages theorized by Kohlberg. This table is based on tables in Ivey, et al. I have added the Stages of Care suggested by Gilligan (1982). In this Table, stages are separated by semicolons and each new stage, or style, is capitalized. As family therapist Bowen had theorized, the ability of people to develop healthily is affected by their ability to balance their needs for attachment and separation, and their needs for autonomy and connectedness. Different individuals and different cultures prefer different balances of independence and interdependence. Balance sometimes means compromise between opposing claims. Human development can be helped by guided introspection, in which people look for patterns, and take conscious action to continue the positive and discontinue destructive patterns. Stages have transitions, and it helps to be aware of and prepare for them. Both vertical and horizontal development occurs: vertical development is movement from one stage or style to another; horizontal is development within the stage. Sometimes a counselor needs to be patient and work with a client's horizontal development. DCT takes the position that one style is not superior to another. Many clients who have highly developed cognitive functioning have lost the ability to experience the world through their senses in the here-and-now and have lost the ability to experience feelings immediately and concretely. These thinkers analyze every thought and abstract every feeling. They can benefit from regressing and fully experiencing the "lower" stages. One

goal (continued from p. 202) of counseling and of life is to be able to experience reality at all levels, cognitively and emotionally, concretely and abstractly. When a client is ready to move vertically but is resistant, the counseling office can become an environment for change. The client should be encouraged to be creative in his or her own life, and may benefit from thoughtful “perturbation” and caring confrontation.

Human beings develop emotionally as well as cognitively. For each of the four DCT styles, Ivey, et al, gave a characteristic affect and cognition in Appendix 2 of their book. For example, the affect for the Early Dialectic/Systemic style is: The client offers a wide range of emotions and recognizes that they can change contextually; the client feels that she or he can change and adapt to new situations. The characteristic cognitions at this stage are: The client demonstrates an ability to coordinate concepts and put together a holistic integrated picture; the client demonstrates an awareness that the evolving integration was co-constructed in a dialectical or dialogic relationship with family, history, and culture (p. 407). The authors wrote that there is no affect without cognition and no cognition without affect. Therapists can help their (continued on p. 205)

Table 2: Developmental Stages

Theory	Concrete		Abstract	
	Conventional	Conventional	Postconventional	Postconventional
DCT	Sensorimotor	Concrete	Formal	Dialectic/ Systematic
Piaget	Sensorimotor; Preoperational	Concrete	Formal	Post-formal
Maslow	Survival & safety	Belonging	Self-esteem	Self-actualization; Transcendence
Erikson	Trust vs. mistrust	Initiative vs. guilt; Industry vs. inferiority	Identity vs. role confusion	Intimacy vs. isolation; Integrity vs. despair
Kohlberg moral development		Obey to avoid punishment; Obey to get rewards.	Obey to gain approval; Conformity to society's rules and laws.	Obey because necessary for social order but recognize that rules can be changed; May violate rules to meet one's own internalized standards of justice.
Gilligan moral development		Concern for oneself and survival.	Concern for one's responsibilities; Self-sacrifice & caring for others.	Concern for responsibilities to others and to oneself, self and others seen as interdependent.
Fowler Stages of Faith	(1) Primal faith: trust develops through contact with parents.	(2) Intuitive- projective faith: first conscious constructs of God; (3) Mythic-literal faith: God consistent, caring, but just ruler; good is rewarded, bad punished.	(4) Synthetic- conventional: thinking back and evaluating; (5) Individuative- reflective: more serious evaluation and questioning, authority for evaluation firmly within self.	(6) Conjunctive faith: humbleness, awareness of non- literal, metaphorical meaning and of need for multiple interpretations; (7) Universalizing faith: a sense of oneness and egolessness.

Adapted with additions from Ivey, Ivey, Myers, & Sweeney (2005). Used with permission.

(continued from p. 203) clients tie it all together and develop their feeling capacity along with their cognitive ability. In the book *Emotional Intelligence* (1995), Goleman pointed out that emotional intelligence is distinct from traditional IQ. Emotional intelligence is valuable to the individual and to society, and needs nurturance and development.

DCT is eclectic and integrative. The authors recognized that clients may come from a culture where they have experienced oppression. Even if they have not, they may have experienced oppression in their own personal lives. Therefore, DCT counselors try to relate to the client as an equal and speak of co-constructing the counseling plan. DCT is committed to the “liberation of consciousness” (p.254). DCT is “strengths based,” which means that counseling, even for clients who present with severe DSM diagnoses, begins with an “asset search” (p. 279). DCT theorizes that human development continues throughout the lifetime and does not stop with childhood. If a client is diagnosed as mentally ill, the client can still grow psychologically and spiritually, and can still benefit from counseling.

The authors began their book by saying that wellness and developmental counseling were developed because something was missing from the medical model of mental health care. This raises the question of whether anything is missing from the wellness/developmental model. What does one do when one has lived well and things do not go well? The authors acknowledge, “When clients are faced with a serious illness, a death, or other major life issue, usually effective helping strategies such as those used in cognitive behavioral therapy and other theories may not be sufficient” (p. 372). At these times the authors suggested searching for the meaning of one’s life through the practice of “discernment.” Discernment and the Stages of Faith are discussed in the only chapter

of their book that deals expressly with spirituality. The authors began that chapter with the following caveat:

With the exception of William James, Alfred Adler, Carl Jung, and the proponents of the transpersonal psychology movement, spiritual and religious issues have historically played little part in counseling and therapy. Even today, only minimal attention is given to this important area of life in counselor and therapist training programs. (Ivey et al, 2005, p. 366)

At the very least, they say, spirituality should be respected as a matter of multicultural sensitivity. The authors have found that clients do not want to have therapists define what spirituality means for them, the clients; so they encourage their clients to teach them, the counselors, what spirituality means to them. Some clients feel uncomfortable talking about spirituality, but are open to discussing their values and the things that have meaning for them. With the agreement of the client, counselors can help the clients exercise an active search for meaning and transcendence in their lives. The authors present a chart of questions that can help the client and therapist discern the client's meaning and values. They present questions directed toward each of the three DCT developmental stages (sensorimotor, formal operational, and dialectic) and they stress the importance for the client of experiencing all of the stages, not just the "highest" one. The authors wrote that preparation, openness, and "quiet and alone time" can be critical to discerning one's life goal (Ivey et al, 2005, p. 373).

Inspired by Kohlberg's research into stages of moral development, theologian James Fowler researched stages of faith. He thought that the human need to believe developed naturally from childhood: "We look for something to love that loves us,

something to value that gives us value, something to honor and respect that has the power to sustain our being” (Fowler, 1981, p. 5). As the stages develop, “each stage represents a widening of vision and valuing, correlated with a parallel increase in the certainty and depth of selfhood, making for qualitative increases in intimacy with self-others-world” (p. 274). Faith must confront and deal with certain life-issues at each stage; thus Fowler envisioned progress from one stage to the next as an upward spiral, circling back as one rose upward. Individuation culminates at stage 4; then the next stages, 5 and 6, move back toward oneness and participation, but at different levels of complexity, differentiation, and inclusiveness. Fowler also thought that each stage of faith is sufficient for its own time in a person’s life, and that people tend to move on to a higher stage when they are ready.

DCT re-defines the DSMIV-TR diagnoses. It conceives of the Personality Disorders as Personality Styles in which a person is developmentally stuck in some mode of thinking and acting that is less than ideal, because, in some way, this mode helps the client deal with some past insult or abuse. An example would be a diagnosis of Borderline Personality Disorder in a woman who had been sexually abused by her father as a child and whose mother covered up the abuse. As abused children grow, they pass through Erikson’s sociopsychological stages, but they are more likely to get stuck. If they move on to the next stage, the positive resolution of the last developmental crisis is less likely. The same is true for Maslow’s hierarchy of needs: abused children are not likely to feel a sense of safety or belonging, and are, therefore, not likely to attain self-esteem. These are deficiency needs; until they are met children cannot move on to seek fulfillment of the higher needs of self-actualization and transcendence. The authors

suggest that the best treatment is to support individuation and to re-parent the client in a positive way. They quote attachment theorist John Bowlby: “Treatment involves treating the client differently than he or she has been treated in the past” (Ivey & Ivey, 1998, p. 342). They provide a table of Personality Disorders, showing the positive adjustment value of each style, the possible family history, and possible treatment approaches. If the Personality Style continues to serve the client badly, at some point the client’s condition may change from an Axis II disorder to an Axis I disorder; for example, Borderline Personality may become Major Depression. The authors provide a table of Axis I disorders that may result from a failure of the defensive structures of each Axis II disorder.

#### *Differences between DCT and Traditional Psychology*

Development is multidimensional and life-long. Development includes moral and spiritual development. Clients are seen as stuck, not sick. Even people with DSM diagnoses can develop.

DCT counseling is strengths-based and begins with an asset search; in other words, the counselor begins by looking for and explicitly recognizing all that is right with the client, *not* all that is wrong.

DCT recognizes that the spiritual realm is important to many clients. DCT does not define the content of this spiritual realm. It does not explicitly include God or soul. It suggests that clients tell the counselor what spirituality means to them.

#### *Criteria*

Developmental Counseling and Therapy meets the first three criteria. In my practice, I have adapted the authors’ pluralistic suggestion that I allow clients to define

what spirituality means to them. DCT honors the client's symptoms as their best attempts to express their sorrow and pain. The authors of DCT suggest two processes: writing a spiritual narrative and practicing discernment, which can be done alone or in dialogue with the counselor. Discernment is defined as:

Discernment is about finding one's purpose and mission in life – why are you living and what difference do you want to make in the world?

When a person has a sense of mission, a vision for the future, problem solving and action often can more easily follow. Perhaps the key questions in discernment are “What do you really want to give to the world?” and “How best can I spend my limited time on earth?”

(Ivey, Ivey, Myers, and Sweeney, 2005, p. 372)

I find these processes limited, vague, and cumbersome.

### *Conclusions*

For reasons I explain in Chapters 16, the approach that I have found most adaptable to meeting all five of the criteria listed at the start of this chapter is person-centered counseling. The person-centered counselor listens to the clients, validates them, and allows them to develop, spiritually and otherwise, as they feel the need and when they feel the need. In the nurturing, safe atmosphere of person-centered counseling, each client's soul and unique, true self can emerge and grow. The “true-self” is discussed in the next chapter. Although I have not adapted any of the above theories completely as my own, I hope that my approach to counseling will be informed and enriched by all of them when appropriate. A spiritually sensitive person-centered approach to counseling is described in Chapter 17.

## CHAPTER IX

### THE TRUE-SELF

The true-self is a term used by all of the theorists discussed below, but not clearly defined by any of them. I will define the “true-self” to mean the self that emerges and expresses itself within people and in relationship with others under the following circumstances:

- When they receive unconditional positive regard, acceptance, and genuine empathy (Rogers, 1951, 1961, 1975).
- When they feel understood and valued (Rogers).
- When they are given hope that their life has some meaning (van Deurzen, 1997, 2002).
- When they meet their need for positive regard from others, have positive regard for themselves (Rogers, 1969), and show positive regard for others (Rogers, 1970).
- When they feel seen and valued and loved for who they are (Miller, 1984, 1994).
- When they are at their best (Assagioli, 1971, 1973).
- When they are as they would like to be (Rogers, van Deurzen).
- When they are able to share with others what they value in themselves (Rogers, 1970).
- When they feel simultaneously challenged and competent.
- When they feel at home in their mind and body and in their feelings and their thought.

- When they are comfortable in the present moment; when they can see and just see, hear and just hear (Chödrön, 2009).
- If the soul is real, not merely metaphorical, the true-self accompanies the soul and develops as it develops.

The true-self in each person is unique. The true-self has unique gifts to give and unique things to learn. The true-self exists at the center of consciousness. Life often damages the true-self. People are often unaware of their true-selves. The true-self is not perfect. It is never complete: it is always partly formed and partly forming, so one's true-self can always change, learn, and develop. It is a spiritual concept because, if people pre-exist this life, the true-self enters life with them, and if people experience life after death, the true-self accompanies them to that next realm of existence. I have chosen to write the true-self as a hyphenated word so that when I refer to it, it will be clear that I am referring to my on-going attempt to make sense of this idea. I invite amendments and additions from clients and colleagues.

#### *Object-Relations Theory and the True-Self*

Donald Winnicott, an English pediatrician, was one of the first modern theorists to use the term "true-self." He observed that if infants received dependable warmth and attention from their mothers, they developed healthy "true-selves." If infants did not receive these things, they developed "false selves" that sought to please their mothers rather than attempting to meet their own needs (Sharf, 2004). Heinz Kohut described a process between parent and child he called healthy mirroring. The infant is reliably supported by the parent and encouraged to individuate in gradual steps. If the parent does not provide empathic support, a damaged sense of self develops (Nevid, Rathus, &

Greene). The ideas of Winnicott and Kohut contributed to object-relations theory, which focused on the child's relationship with her or his first love object(s). Every child needs to be loved and supported in his or her individuation. For most people this process falls short of perfection, so that they continue to ask in every relationship: Do you see me? Do you like what you see? Do you care? Can you provide me warmth and reassurance and security? Can you help me grow and individuate? And, finally, can you let go of me in the right way when the right time comes? People may reject parts of their true-selves in order to please parents. They may compensate by attempting to find these parts in a spouse, or they may project threatening parts, e.g. anger, onto the spouse, who is then seen as threatening and angry, while one's own anger is denied.

#### *Carl Rogers and the True-Self*

Carl Rogers spoke of the true-self as a part of humans that is sometimes damaged by parents or society, and needs validation and expression. Rogers wrote that the idea of the true-self did not, for him, originate in theory. It was a case of Rogers fitting his theory to what clients repeatedly said.

[T]hose doing therapeutic work from a client-centered orientation certainly had no initial leanings toward using the self as an explanatory construct. Yet so much of the verbal interchange of therapy had to do with the self that attention was forcibly turned in this direction. The client felt he was not being his real self, often felt he did not know what his real self was, and felt satisfaction when he had become more truly himself. Clinically these trends could not be over-looked. (Rogers, 1951, p. 136)

Person-centered counselor Brian Thorne wrote that the “true conscience” is what allows people to distinguish what is good for their true-selves and for their spiritual growth from what is not (Thorne, 1991, pp. 118 – 119). Thorne thought that counselors should not serve as a client’s conscience but counselors can help create an environment in which the “true conscience can make itself heard” (1991, p. 119).

*Carl Jung and the True-Self*

Jung spoke of the Self as the part of each human that is unique and distinct from the ego. He theorized that the Self is an archetype, inborn in every person. Each person, with the support or criticism of family and society, tries to give that archetype meaning in his or her own life. Jung believed that the main task of life and of therapy was individuation, which was the attempt to realize and express the Self, which he capitalized. This entailed making the unconscious conscious: large parts of the Self are often repressed because the conscious mind finds them threatening. These repressed parts become the person’s shadow. In public, everyone wears a *persona*, which is the name given to an actor’s mask in ancient Greece (Singer, 1994). Jung wrote that one would have to be naive to think that he or she did not sometimes need a *persona*. However, if people confuse their *persona* with their Self, they cannot individuate successfully.

*Alice Miller, the True-Self, and Justice or Forgiveness*

Alice Miller wrote more extensively and explicitly than anyone else about the injuries her clients had suffered to their true-selves and the consequences. She also wrote extensively about how to approach treatment in a loving, validating way. Reading her books, I felt transported back into the lives of her clients, especially their childhoods. Because she did not favor forgiveness, Miller introduces the subject of forgiveness and

whether it is better to be just or loving, which I will discuss below in this chapter.

Although Miller is a-spiritual or anti-spiritual, her analysis of damaging parenting could apply as well to damaging religious upbringing: if children experienced God or religion as abusive or remote, then as adults they might be forever alienated from religion or they might put on a false-religious-self, whereby they are seen to go to church but do not develop spiritually inside.

Alice Miller has doctorates in philosophy, psychology and sociology. Ivey et al, did not discuss Miller but her writings are consistent with their approach to therapy, because she thought that childhood mistreatment was the primary cause of every kind of psychological disorder (Miller, 1994, p. 10; Alice Miller, Wikipedia, 2007). In the counseling relationship, she did not call herself a therapist; she called herself an “enlightened witness.” By child mistreatment, Miller meant physical, sexual, and psychological abuse, and “poisonous pedagogy.” “‘Poisonous pedagogy’ referred to that tradition of child-rearing which attempts to suppress all vitality, creativity, and feeling in the child and maintain the autocratic, godlike position of the parents at all costs” (Miller, 1984, p. 18).

Miller practiced in Switzerland, in the latter half of the 20<sup>th</sup> Century. Many of her clients had been talented, intelligent, and well educated. Many were raised in middle-class or upper middle-class homes, and they claimed to have had happy, even idyllic childhoods. But they were depressed and anxious, or grandiose and Narcissistic. They appeared to have no direct connection to their own true feelings and no secure sense of self. Over the course of treatment, it emerged that many had been good children, or had eventually become good children. They had adjusted to the expectations and demands of

their parents, whatever those were. A frequent expectation was that the children become successful, and many had fulfilled that expectation. They were successful, but empty and unhappy and did not know why.

Sometimes the parents themselves were Narcissistic and saw the child as an extension of themselves; they could not “imagine that what gives them pleasure could have a different effect upon the child” (Miller, 1984, p. 6). Sometimes the parents were depressed and needy. Sometimes a parent was openly abusive, sometimes merely emotionally unavailable. In many cases, the parents were simply raising their children as they had been raised. In all cases, the parents had set their own needs above the needs of their child to be held, talked to, listened to, to be seen as an independent person with legitimate, separate needs of his or her own, and to be supported in a patient, reliable, non-violent, loving way. As a result of not receiving this support, the child’s true-self had not developed. Instead a false self had developed that pleased the parent and society, at least for the moment. Compare this dynamic to Fowler’s description of the development of faith. If one was raised in a home in which one was not allowed to see the truth or speak the truth, then, when one looked for someone to love, one would have found only a false mirror. One would have developed the “illusion of love” (Miller, 1994, p 57). When one looked for something to value that gave one’s self value, one would find hypocrisy and Narcissism, and when one looked for something to honor, one would find a cold statue. Therefore, until one could confront these lies and break free of them, one could not deepen in faith: not faith in one’s self, nor in others, nor in the world. At one time, churches and pastors provided a healthy mirror for some children; and thus strengthened the children’s faith in their selves, in religion, and in society. That is less often true today.

If a representative of religion does not provide supportive mirroring, or if the church also exercises poisonous pedagogy, the adult-child may not trust religion.

As adults, Miller's clients had no conscious memory of their mistreatment; they had bought the party line: their parents were wonderful and their childhood was happy. The only reality children are likely to know is the reality their parents define for them. Many children have not been allowed to see the truth or to speak the truth; thus, the title of one of Miller's books: *Thou Shalt Not Be Aware* (1984). Sometimes this denial of the child's reality is done intentionally; often it is done by unconscious manipulation. Many children have not been allowed to feel, because they were told what to feel. According to Miller, the goals of therapy are to see the past accurately and recover it emotionally; then mourn the lost child; then put the truth into words; and, finally, begin to feel life in all its complexity in the present moment. The development of the true-self will be painful. The result will not be happiness. The result will be vitality. "Vitality is the freedom to experience spontaneous feelings. It is part of the kaleidoscope of life that these feelings are not only happy, beautiful, or good but can reflect the entire range of human experience, including envy, jealousy, rage, disgust, greed, despair, and grief" (1994, p. 81). Miller does not favor cognitive-behavioral treatment for depression:

Some psychiatrists, for instance, suggest that the therapist should demonstrate to the patient that his hopelessness is not rational or make him aware of his oversensitivity. I think that such procedures will not only strengthen the false self and emotional conformity but will reinforce the depression as well. If therapists want to avoid doing so, they must take *all* of the patient's feelings seriously. (1994, p. 81).

By telling the client what to feel and how to behave, the cognitive-behavioral therapist may re-enact the role of the poisonous parent and collude with society in keeping the child unaware.

According to Miller, it is not uncommon for her male clients to speak with pride of having been severely beaten by their fathers and to tell her that they plan to beat their own sons “for their own good.” If such attitudes are common, it is no wonder that Kohlberg’s stages of moral development, which favor justice, would be preferred by men, over Gilligan’s stages of caring, which value warmth and relationship over justice. In 1958, Lawrence Kohlberg developed a theory of moral development and tested the stages on boys and men. Later, when he tested women, he found that adolescent females tended to reason at a lower stage than males of the same age (Arnett, 2007, p. 122). This inspired a former student, Carol Gilligan, to criticize Kohlberg’s moral stages as biased in favor of the a masculine justice orientation toward morality and to undervalue the care orientation of many women, which emphasizes warmth, solidarity, community, and caring about one's special relationships.

Kohlberg (Arnett, 2007; Kohlberg, Wikipedia, 2007) and Gilligan remained on good terms, and he revised his scoring methods as a result of her critique. After that, boys and girls scored evenly. Any measurement of morality must make assumptions about what is of moral value. As initially designed, Kohlberg’s tests explicitly gave weight to equality, reciprocity, merit, and abstract principles. One could just as well have designed a test that placed more value on other virtues, such as mercy and loyalty. Gilligan formulated her “ethics of care” based on interviews with women who were deciding whether or not to have an abortion. She found they were trying to balance needs and

responsibilities, as opposed to rights and fairness. She called this a morality of responsibility compared to Kolberg's morality of rights. James Fowler suggested that the morally mature person is one who integrates both positions and learns to "deal with the inevitable tensions and ambiguities that this will involve" (2000, p. 34 - 35).

Miller did not advocate forgiveness. "My own experience has taught me that the enactment of forgiveness – which 16 years ago, I still believed to be right – brings the therapeutic process to a halt. It blocks the unfolding of feelings and perceptions" (1994, p. 21). It sometimes takes years of therapy for repressed memories to come to the surface. Miller did favor apologizing to one's own children. She believed that parents who confront their own past will not abuse, and that children who are not mistreated will not mistreat their own children.

If one followed a moral system based on justice, one might not forgive an abusive parent. If one followed an ethics of care, one might forgive in the interest of the relationship. There is another reason to forgive: forgiveness is an opportunity for spiritual growth. Miller is not enthusiastic about AA and other spiritual approaches to treatment; she thinks they let parents off the hook. In *When to Forgive* (1999), Mona Gustafson Affinito, a professor at the Adler Graduate School, defined "forgiveness" as "deciding not to punish a perceived injustice, taking action on that decision, and experiencing the emotional relief that follows" (p. 11). Forgiveness takes time and hard work. Affinito believed that forgiveness is usually the right thing to do, but not always. The person who has the most to gain from forgiveness is the forgiver. If one decides to forgive, Affinito believed, one is likely to enjoy better health and freedom from enslavement to the offender. One will be calmer and more loving, and one's other personal relationships are

“guaranteed” to improve and grow warmer (p. 15). Affinito saw forgiveness as an opportunity for personal growth.

Forgiveness begins with seeing clearly the wrong that was done and putting it into words. In this, Affinito agreed with Miller, but unlike Miller, Affinito suggested that one develop a forgiveness attitude as a way of life, and look for ways to practice forgiveness and small acts of kindness in everyday situations, such as traffic and the supermarket line. I would argue that Miller has over-simplified the complexity of being a parent and of being a child. If a child received all the warmth and attention that Miller prescribed, the child might grow up unprepared for the real world and reluctant to leave home. Most people need both to forgive and be forgiven. Forgiveness and repentance need to be included in a theoretical approach to spiritual-psychological counseling.

*Roberto Assagioli and the True-Self*

The Italian psychiatrist Roberto Assagioli (1888-1974) was explicitly spiritual. His books do not contain the rich descriptions of client’s experiences that Miller provided, but his description of the true-self was more detailed. Assagioli accepted Jung’s collective unconscious and added the concept of a higher unconscious, which he called the “superconscious.” The higher unconscious shows itself in two classes of genius. The first class is composed of geniuses who have demonstrated both (1) superior abilities and (2) self-realization. Assagioli named Pythagoras, Plato, Dante, Leonardo da Vinci, and Einstein as examples. The second class is composed of persons who demonstrated extraordinary ability at something, but were immature psychologically. He cited Mozart, who had the social grace of a boor and claimed that he wrote down the music he heard inside his head, with no idea of where it came from. On occasion, the first type of genius

is able to function in conscious contact with the higher unconscious. The second type, never. Assagioli founded the school of psychosynthesis. Its goal was to help people synthesize all fields of consciousness. Genius is not the only quality that comes from the superconscious. Noble and moral feelings, altruistic love, self-sacrifice, inspiration, and some intuitions derive from the higher unconscious. Anyone can seek to gain regular awareness of their higher unconscious; but this field is accessible only rarely for most people. God may speak to humans through their higher unconscious. Richards and Bergin wrote: Theistic realism “affirms the reality and value of intuitive and inspirational ways of knowing, assuming that in the scientific process many great ideas, creations, and discoveries are given as insights to scientists through divine inspiration during or after diligent effort by the scientist” (2005, p. 101). They believed, as did Assagioli, that if we recognize this aspect of existence, we are more open to it and more thankful.

Another goal of psychosynthesis was to help people integrate their ego and their higher self. It seemed to Assagioli, as it had to Jung, that the true-self is distinct from the ego. He wrote:

The conscious self is generally not only submerged in the ceaseless flow of psychological contents but seems to disappear altogether when we fall asleep, when we faint, when we are under the effect of anesthetic or narcotic, or in a state of hypnosis. And when we awake the self mysteriously re-appears, we do not know how or whence – a fact which, if closely examined, is truly baffling and disturbing. This leads us to assume that the re-appearance of the conscious self or ego is due to the existence of a permanent center, or a true Self situated beyond or “above” it. (Assagioli, 1965, p. 18)

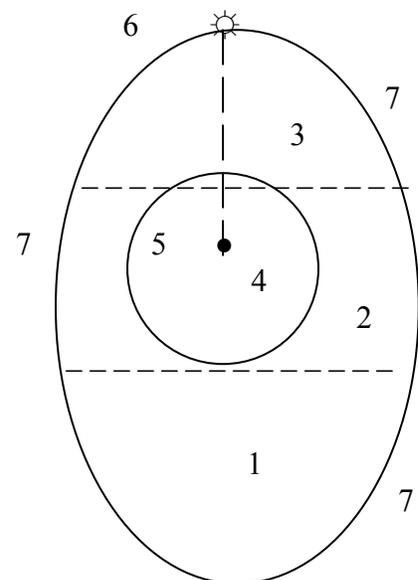
Assagioli called this self “*il sè transpersonale*,” the true self, higher self, spiritual self, or inner teacher. It was distinguished from the ego, or little self, by the fact that the little self is acutely aware of itself as a distinct separate individual, and a sense of solitude or of separation sometimes comes in the existential experience. In contrast, the experience of the spiritual Self is a sense of freedom, of expansion, of communication with other Selves and with reality, and there is a sense of Universality. It feels itself at the same time individual and universal (Assagioli, 1965, p. 87).

It seemed to Assagioli that the higher self in each person called to the conscious ego to be more, to expand its awareness, especially into the super unconscious, and to become a better person. Some Christians, he wrote, found the symbol of the Christ within helpful; others did not.

Assagioli saw the goal of therapy and life to be the realization of one’s true-self and the discovery or creation of a unifying center around that true-self. He drew a diagram of the personality, which is shown in Figure 2.

Figure 2

1. The Lower Unconscious
2. The Middle Unconscious
3. The Higher Unconscious or Superconscious
4. The Field of Conscious Awareness
5. The Conscious Self or “I”
6. The Higher Self
7. The Collective Unconscious



From R. Assagioli, *Psychosynthesis* (1971), p. 17. Used with permission.

Most people stop their development at the line that divides conscious awareness from the Higher Unconscious. They do not explore or bring the Higher Self into awareness. Assagioli asked clients to visualize themselves as they wish to be. He encouraged them to take steps to express that ideal in the world, and he helped them take steps within to develop aspects of the personality that they thought to be deficient or inadequate for the purpose of becoming the person they wanted to be. The attempt to realize the true-self is energized by energy flowing in from the Higher Unconscious. A person's ideal might be quiet, harmonious, and introverted, or it might be active and extroverted. A person might hope to be a good parent or to write a symphony. When a person's true-self was realized, that person's "true life" began (Assagioli, 1965, p. 30), whether at age 22 or age 102. A word of warning may be called for here. Based on my experience as a lawyer who became a mental health counselor, life does not necessarily become easy or free from pain when one's true life begins. Van Deurzen agreed; she thought that the emergence of the true-self could be painful, and if therapists attempted to relieve this pain they might interfere with that growth:

The client needs to find in herself an inner source of life that she can always rely on as a safe place where truth can be found, no matter what lies and deceit go on in the outside world. As long as the therapist tries to accommodate the client and attempts to ease her pain and anxiety, she stands in the way of discovery of this safety in herself. The therapist must avoid playing the role of a drug or a television set or a kind neighbor. (van Deurzen, 2002, p. 171)

Because of the existence of the transpersonal self, Assagioli agreed with Maslow that people could fulfill all their material needs and still feel empty, restless, and

depressed (Assagioli, 1973, p. 106). The transpersonal self calls people to be more. They can answer that call through the exercise of their transpersonal wills. Assagioli believed that there is a universal will, with which people can align themselves without losing their individuality. Assagioli thought that each of Jung's types (intuition, feeling, intellect, and sensation or direct perception) was a separate but equal way of knowing reality. Science operates almost exclusively in the realm of intellect and direct observation. Assagioli's descriptions of the psyche and transcendent reality appear to be based on deductive reasoning applied to his intuition, rather than on empirical research.

Assagioli intuited the presence of a universal mind. He wrote that the highest "need" of humanity is "harmonization, communion, unification" of one's personal and transpersonal will with the universal will (1973, p. 130). In this his thinking is similar to Kant's and Rollo May's. Kant found it difficult to imagine that people operate under a moral imperative that is impossible to attain. Therefore, Kant thought it likely that God exists to help people attain it. Similarly, Assagioli found it difficult to suppose that individual human will did not reflect "transcendent Reality" and an "aspect of the Universal Self or Being" (p 126). "If there was no Universal Will, man would possess something not existing in the universe, and therefore the microcosm would be superior to the macrocosm – indeed a ridiculous conceit" (1973, p 130). Assagioli wrote:

A difficulty in dealing with this subject is the fact that up until recently this relationship has been conceived and expressed chiefly in religious terms. At present such an approach has little appeal to many people, and is even flatly denied. One might say, in rather irreverent terms, that presently God has a bad press. . . . This attitude can be understood largely as a reaction against both the

anthropomorphic images of God and the theologies which have attempted to give theoretical conception to a Reality which transcends any such formulations. Man had created god in his own image, attributing to him his own limitations and imperfections. It is these images and the various theological models of God which are being refused, which are “dying.” (1973, pp. 123 – 124)

*The True-Self as Part of a Spiritual-Psychological Approach to Therapy*

The true-self can be equated with the soul; it is the closest thing traditional psychology has to the concept. Spiritual-psychology can begin with the love and equality recommended by Benner and with recognition of the client’s true-self. Through use of listening, mirroring, and empathy, the counselor can demonstrate to the client: “I see your soul. I like your soul. I care for you. I will show warmth and help you grow, and when the time comes I will let go.” To the extent that the client has experienced religion as a rejecting parent, the counselor may serve as a model of open, accepting spirituality.

The true-self, its recognition, liberation, and enhancement were not valued by traditional psychology. In bringing their true-selves into consciousness, clients may realize that they value things that traditional psychology and mainstream society do not value. Helping each client recognize, value, and express those things in positive ways that enhance the client’s spiritual development can be one undertaking of a spiritual approach to counseling. Those values may be different for each client. Some important values that are not traditionally valued are considered in the next two chapters.

## CHAPTER X

### THE SPIRITUAL VALUE OF SADNESS AND PAIN

In this chapter and the next, I will enumerate values and goals that I would include in a spiritual approach to counseling that traditional psychological approaches usually do not. In my view, a spiritual approach to counseling should value negative outcomes, failure, creativity, conflict, revolution, anger, justice, knowledge, experience, truth, and moral deliberation. These are things that I value, but I do not want to impose my values on the client, nor should I. In bringing their true-selves into consciousness, clients may realize that they value things that traditional psychology and mainstream society do not value, or they may find they are content with traditional values. The client's values may be similar to the ones discussed in this chapter, or not. My duty as a spiritually sensitive psychological counselor is to listen to clients and help them recognize, value, and express their unique selves, whether or not their values are identical to mine; so long as those values are not immoral. Morality is discussed further in Chapter 15, under Values and Morals in Counseling. Spiritual-psychological counseling should also promote spiritual health and spiritual development throughout the lifetime. How spiritual health and development may differ from material health and development is discussed in Chapter 12. Valuing the spirit may lead to devaluing the body; therefore, spiritual-psychological counseling needs to include a healthy awareness of and care for the body.

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*A Spiritual-Psychological Approach to Counseling Should Value Negative Experiences and Outcomes including Sorrow, Pain, and Failure*

Scientific psychology traditionally measures wellness, happiness, and success. Science measures positive outcomes that are consistent with the values of the scientist and, usually, consistent with the values of society (Richards, Rector, & Tjeltveit, p. 146). People are likely to need spirituality most when they are in the midst of negative outcomes and when they are outcast. Human life is full of negative outcomes and it ends in a negative outcome. There are limits to what human beings can control. Van Deurzen pointed out (1997) that the universe moves in natural cycles in which dark follows light

and sadness follows happiness, and, then, light and happiness are reborn. Scientific psychology attempts to interfere with and stop this cycle, by pills if necessary.

People fear failure. In my mid-forties, I concluded that I was a “failure” in at least some sense of that word. I found that acceptance to be liberating: I felt free of the judgment of others and proud to fail on my own terms. When I tried to discuss the “benefits” of failure with two other people, one left the room and the other was visibly uncomfortable. I have not brought it up again until now. Sooner or later everyone faces failure and sickness and death. AA has lead the way in recognizing relapse as part of the human condition. In scientific studies of AA, Humphreys (1999, 2006) concluded that AA was successful because a significant number of patients did not relapse. If most had relapsed, the outcome of the study would have been that AA had failed. But another important question would have been, “Did AA give the patients a sense of meaning, fellowship, value, encouragement, and direction even when they failed?” At some point in life, in some way, everyone relapses, not just alcoholics. Some people are more vulnerable. Some are more sensitive to the pain in the world. Some relapse in more socially acceptable ways. AA takes the position that human beings can learn from each relapse and continue to grow.

Concerning the role of suffering in counseling, Rollo May wrote:

A human being will not change his or her personality pattern, when all is said and done, until forced to do so by suffering. . . .

Suffering is one of the most potentially creative forces in nature. . . .

A counseling principal arises here: *the counselor should not relieve the counselee of suffering, but rather redirect the suffering into constructive suffering.* (1989a, pp. 123 – 124, italics in original)

Therefore, May thought that if clients left counseling happier, they might be delaying the transformation they needed to make. It is more important that clients leave counseling courageous.

The counselor needs to recognize that spiritual health may not always be evidence-based and may not always match the medical model's definition of health. Masters (2005) pointed out that it is not reasonable to expect God's existence to be subject to scientific proof; and, if there are miracles, they will almost certainly arise as statistical outliers, which means they are likely to be statistically insignificant and discountable. William James observed that sometimes it is necessary to be spiritually unhealthy in order to become healthy. There are limits to what scientists can measure. If life is a spiritual journey, the only map for measuring movement is within each individual soul.

Sorrow is an honorable emotion. It shows that one cares. But contemporary psychology has made it almost shameful. The *DSMV-TR* has pathologized sadness and pain. The pressure to diagnose and treat clients on the basis of their *DSMV-TR* diagnosis has reduced the Beatitudes to a catalogue of mental illnesses: Blessed are the meek, for they suffer from Generalized Anxiety Disorder. They shall receive systematic desensitization, gradual exposure, and Xanax. Blessed are the poor in spirit. They suffer from Dysthymic Disorder and they shall receive cognitive-behavioral therapy and Prozac.

In *The Loss of Sadness: How Psychiatry Transformed Normal Sorrow into Depressive Disorder* (2007), Horowitz and Wakefield wrote that modern psychiatry has inflated normal sorrow into depressive illness because of adoption of a symptom based definition of depression by the *Diagnostic and Statistical Manual of Mental Disorders* in 1980. Prior editions had taken account of the cause of the sadness in the definition. Psychiatry had been criticized because different doctors would diagnose the same patient differently. The American Psychiatric Association responded to this reliability problem by defining each order according to a list of present symptoms that could be objectively observed. The current definition makes only one exception for cause: if the patient's sadness is due to recent bereavement, the patient is exempt from the diagnosis.

Horowitz and Wakefield argued that sadness has an evolutionary function, which the present medical attitude discredits. "Sadness traditionally has been viewed as humanity's natural response to death of intimates, losses of love, reversals of fortune, and the like. It arises, as Shelley says, because 'the world is wrong!' (Shelley, 1824/1986)" (Horowitz & Wakefield, 2007, p.26). The authors defined normal sadness as typified by three characteristics: (1) it is context specific, (2) it is "of roughly proportionate intensity to the magnitude and permanency of the loss," and (3) it persists "in accordance with the contexts and internal coping process. Normal sadness remits when the context changes for the better or as people adapt to their losses" (pp. 28 – 29).

The authors argued that sadness has these evolutionary, adaptive functions: attraction of social support; protection from aggression after status loses; and promotion of disengagement from nonproductive activities (Horowitz & Wakefield, pp. 47 – 50). They wrote that sadness can result from social problems. "Recognizing the impact of

social problems on normal human emotions would suggest that correcting these problems would be an appropriate initial response” (p. 20), rather than pathologizing the client.

The authors point out that the poet W. H. Auden characterized the period after World War II as the “age of anxiety,” and that anxiety was then a normal response to the devastation of war and the dawn of the Nuclear age (Horowitz & Wakefield, 2007, p. 3). They thought that we now live in an age of depression, but, they maintained, there is no contemporary cause for depression in society. I disagree. There is a lot wrong with the world today, and individuals are increasingly less isolated from that wrongness. There is much to grieve if one is sensitive. Today many people have lost their psychological and spiritual homes; many are lonely. There are more divorces and less stable church affiliation. In February 2008, the Pew Forum on Religion and Public Life found:

More than one-quarter of American adults (28%) have left the faith in which they were raised in favor of another religion -- or no religion at all. If change in affiliation from one type of Protestantism to another is included, roughly 44% of adults have either switched religious affiliation, moved from being unaffiliated with any religion to being affiliated with a particular faith, or dropped any connection to a specific religious tradition altogether. The survey finds that the number of people who say they are unaffiliated with any particular faith today (16.1%) is more than double the number who say they were not affiliated with any particular religion as children. Among Americans ages 18-29, one-in-four say they are not currently affiliated with any particular religion. (The U.S. Religious Landscape Survey Reveals a Fluid and Diverse Pattern of Faith, Pew Forum on Religion and Public Life, 2008)

Acceptance and commitment therapy (ACT), developed by Steven Hayes (2004), is one contemporary theory of counseling that accepts unhappiness and anxiety as normal parts of life. As a young man, Hayes suffered frequent, disabling panic attacks (Cloud, 2006). No treatment worked until he decided to accept his fearful thoughts and get on with his life. Hayes maintained that pain is an unavoidable concomitant of human language, which allows people to remember the past and plan for the future. Humans try to control their inner and outer worlds. As an automatic part of this process, they judge whether or not they have succeeded. Human beings have an enormous advantage over animals when it comes to controlling their outer world, but language does a very poor job of controlling the inner world. When people try to control their own thoughts, they usually make matters worse. To use Hayes's phrase, they turn pain into suffering.

Through mindfulness, ACT clients practice observing their thoughts without identifying with them. Then, ACT clients explore their values and work to realize those values in their lives. This value directed work is the "commitment" part of ACT. Committed action will result in some conflict and pain, but it will give meaning to that pain. ACT is a form of individual therapy, but I would suppose that the tendency of language to create inner conflict and pain carries over to the interpersonal world; so, for example, one might see people as problems to be solved rather than as unique individuals to be valued and accepted.

In *Mindfulness and Acceptance*, Hayes wrote under the heading "A Transcendent Sense of Self:"

It is not realistic to ask clients to experience private events fully and without defense without providing psychological space within which that is possible. . . .

Perspective taking is psychologically critical because it forms a direct experiential basis for human spirituality. (2004, pp. 20 - 21)

By connecting the idea of a “transcendent sense of self” with spirituality, Hayes might have meant the same thing as Assagioli, that there is a real universal mind with which our transcendent self can connect. But Hayes did not reference Assagioli; he referenced only a 1984 article by himself in the journal *Behaviorism*, entitled Making Sense of Spirituality (Hayes, 1984). In that article Hayes attempted to define “spirit” and “spirituality” in ways that are meaningful whether the spirit is real and immortal or merely metaphorical and mortal. He began by distinguishing human seeing from animal seeing. “To non-verbal organisms there is just the world and seeing.” But with language and self-consciousness, “not only seeing but what we might call ‘seeing seeing’ or self-knowledge” emerges (Hayes, 1984, p. 102). Seeing seeing is not all there is to consciousness. There is the experience of perspective. Humans not only see and are aware that they see; humans also see that they see from one particular perspective, a perspective that seems unchanging and undying. For a person engaging in that awareness, it is not possible to see that awareness as an object. “It is only experienceable in its effects” and through “the feelings associated with it. . . . If you were to see your own perspective (i.e. as an object) from what perspective would you see it? (p. 103) ” In everyday speech, people sometimes use the word “you” to mean “you as object,” e.g. “I saw you asleep last night.” But more often, “you as perspective” is the intended meaning. People experience themselves at age 10 and age 45, as being the same. For a behaviorist and materialist those apparent facts are, in reality, fiction. Your body has aged; your knowledge is greater; your heart has been broken ten times. On the surface, you have

changed in many ways, but “the locus or context of self-knowledge will not and cannot” change. “While the *content* of your life may change, *you-as-perspective* cannot” (p. 104). If spirit is that part of humans that is not a thing and is experienced as eternal and unchangeable, you-as-perspective fits that definition.

Hayes is in competition with the cognitive-behavioral model of Aaron Beck. The efficacy of Beck’s approach has been demonstrated in many studies. Hayes has been busy conducting studies of his own approach and authoring or co-authoring some 300 peer-reviewed articles and 27 books (Cloud, 2006). Thus, while Hayes claimed to value negative experiences, he was in the position of having to prove the effectiveness of ACT by demonstrating positive outcomes; for example, he has done studies that found that depressed clients receiving ACT scored lower on a depression scale than those receiving traditional cognitive therapy and studies showing that drug addicts reported less drug abuse with ACT than with a 12-step program (Cloud, 2006, p. 63).

The importance that ACT gives to values gives it a depth that traditional behavioral and cognitive treatments do not have. However, because “it is the client’s values that direct therapy” (Wilson & Murrell, 2004, p. 140), ACT leaves two important questions unanswered: Are all values equal and are any values wrong? These are moral questions and ACT does not consider moral questions.

Since Darwin, when writers claim an evolutionary approach, as did the authors of *The Loss of Sadness*, they mean to convey that their approach is not spiritual. Horowitz and Wakefield did not suggest that sadness has spiritual value. Yet, the evolutionary approach to psychology is not, itself, evidence based; it is speculative. Scientists cannot go back hundreds of thousands of years to watch the development of human

psychological traits. In his reference to a “transcendent sense of self,” Hayes hinted that he might see a spiritual value to sadness and anxiety, but he did not develop that idea. I would argue that sadness, anxiety, and psychological pain have the spiritual functions of enabling people to see their own limitations, bringing them closer to others, and, if there is a God, bringing them closer to God. Negative experiences can, thereby, aid people’s spiritual development.

*Elio Frattaroli and a Falling Down that is Good*

In *Healing the Soul in the Age of the Brain: Becoming Conscious in an Unconscious World*, Philadelphia psychiatrist Elio Frattaroli came closest to expressing my sense of the value of negative outcomes. Frattaroli wrote:

Let me be clear about what I am suggesting here. I believe that humans possess a spiritual as well as a physical dimension, and that there are very real differences between brain, mind, and soul. I think of the soul as the experiencing self, the “I,” an ineffable whole that integrates processes happening at four different levels of experience – body, brain, mind, and spirit. In this I disagree radically with the vast majority of psychiatrists today, who are so entranced with the powers of modern medication that they concern themselves with symptoms rather than souls, treating the chemically imbalanced brain but ignoring the experiencing self. (Frattaroli, 2001, p. 6)

Frattaroli contended that there is “no evidence whatsoever to support” the assertions of many contemporary psychologists that the mind is entirely a physical phenomenon and that mental illnesses are solely a matter of chemical changes in the brain. If the mind is a computer, he wondered, who is sitting at the controls? He wrote:

They [materialistic assertions] are not statements of scientific fact but rather articles of quasi-religious faith cloaked in the language of science. No philosopher, scientist, or psychiatrist ever pretends to have any idea *how* brain processes could possibly produce the mysterious and ineffable experience of human consciousness. Yet the belief that “brain . . . creates ‘mind’” – and the general philosophy of “scientific materialism” it reflects – is so strongly held by so many scientists nowadays that it is considered unscientific even to question it. . . .

[T]here is no logical or scientific reason *not* to assume that the soul is a distinct entity, rooted in the brain and dependent on it for consciousness just as a tree is rooted in the soil and dependent on it for life. If we think of the soul as the place where experiencing happens, then brain processes would be a necessary condition (like soil for a tree), but not a sufficient cause, for that experiencing. For mental illness, this would mean that what goes on at the level of the brain can never account fully for the illness as it is experienced at the level of the person; and that even though medication is often quite helpful, *it is never a sufficient treatment* for an inner crisis of the soul. . . .

Mental illness cannot be just a chemical imbalance in the brain. Rather it is a *disharmony* of body, brain, mind, and spirit within the whole person: an inner conflict of the soul. Such a disharmony may include a chemical imbalance in the brain as one of its elements, but the chemical imbalance itself is not the mental illness, nor does it cause the mental illness. (Frattaroli, 2001, pp. 8 - 9, italics in original)

Frattaroli believed that psychiatrists and general practitioners are over prescribing psychoactive medications and that they often prescribe medications with no talk therapy.

Frattaroli thought of the practice of psychiatry as “healing the soul.”

Healing the soul requires a growth-enhancing personal encounter with another human being in a *psychotherapeutic process*. It requires what Martin Buber called an I-Thou relationship – a “personal making present,” in which one person recognizes the unique individuality of another, and the other flourishes in being so recognized. Unfortunately, with the advent of cosmetic psychopharmacology and managed care, too few psychiatrists remember, if they ever knew, what a psychotherapeutic process is, and too few patients realize that healing the soul through an I-Thou relationship with their physician is a potential treatment option that is no longer being offered them. In the Age of the Brain, psychiatric treatment has been reduced to an exclusively I-It relationship, in which patients are objectified, diagnosed as “cases,” equated with their brains (and genes), and treated according to standards of statistical science rather than of personal knowledge.

(Frattaroli, 2001, p. 12, italics in original)

Frattaroli thought that psychological pain usually results from inner conflict and that most inner conflict is moral. Anxiety results from trying to remain unaware of shame and guilt. Frattaroli called the process of making one’s anxiety, shame, and guilt conscious “listening to the soul.” It is primarily from becoming aware of our feelings, not from our thinking, that “we discover who we really are” (p. 19).

The Medical Model . . . contributes greatly to this problem by catering to our fear of consciousness. It teaches us to think of anxiety, shame, and guilt as meaningless neurological glitches, and not as urgent calls to self-reflection. . . . [I]t promotes the pharmacological quick fix, neglecting the deepest long-term needs of the soul. (Frattaroli, 2001, p.24)

To illustrate the value of negative outcomes, Frattaroli told of teaching his son to ride a bike. He and his son had tried to learn without falling down. His son had not learned. The next day, Frattaroli came home from work to find his son riding his bike. His son's friend had told him that to ride a two-wheeler, the first thing he had to do was fall down a lot. He and his son had assumed that "falling down is bad." His son had finally learned how to ride a bike when he made the opposite assumption that "falling down is good." Frattaroli compared this outlook to what he called the "swimming pool philosophy," which values staying afloat and functioning smoothly without bumping into other swimmers or the walls. If you do not know how to swim and cannot stay afloat, falling into a swimming pool is bad. Frattaroli concluded that sometimes falling down is bad and sometimes falling down is good. He called the falling down is good outlook "the quest philosophy" (Frattaroli, 2001, pp. 108 - 109).

The quest is an adventurous seeking of a better state. According to the quest philosophy, the purpose of life is to pursue this higher state – enlightenment, wisdom, self-actualization – by progressing through a series of difficult, dangerous trials. The successful mastery of each trial brings the seeker to the next level in his or her gradual ascent toward the ultimate goal, which, though it may be un-attainable, is

inherently worth pursuing. But the process of undergoing a trial inevitably involves some error. You can't find your way to a higher level without learning from your missteps. Falling down is therefore good. (Frattaroli, 2001, p. 110)

Frattaroli believed that the soul needs this quest the way a tree's roots need water and good soil. He praised Erikson's stages of life as coming close to articulating the need to integrate "emotional equilibrium and social adjustment" with "our larger need for self-actualization through the struggles of the quest. . . . The one limitation of Erikson's theory is that it doesn't explicitly address the mind-body question – how the neurological and the spiritual are integrated in human nature" (Frattaroli, 2001, p. 117).

Frattaroli did not include experimental or quantitative data. He discussed his own experiences and he gave case histories from his practice. Frattaroli had treated a young woman, Anne, who became suicidally depressed after being raped while in her first year at college, and, three years later, he also treated her father, Joe, for depression. As Frattaroli talked with Anne, he found that she was trying to keep up a false image of herself, a "False-Self." The rape had shattered her conformist image of a good girl and a strong woman. She had been good and strong and she had still been hurt. She felt her parents and society would never accept her. Her depression represented a loss of identity (a falling down that was bad), and it was an attempt to find a new identity, a genuine identify (a falling down that was good). When Anne was first hospitalized for depression she did not inform Frattaroli or her parents of the rape. Her parents did not believe in mental illness: "As they saw it, being depressed was simply an excuse for being weak

. . . . They prided themselves on being able to suppress or ignore all painful emotions. . . . They. . . became openly skeptical of my ‘talk therapy’” (Frattaroli, 2001, p. 122). When Anne finally told her parents about the rape, they did not blame her, but they still expected her to be strong, and her father repeatedly preached to her about the necessity of being tough. Frattaroli was able to support Anne in objecting out loud to her father’s lectures.

Anne’s depression was the inner result of unexpressed anger and rebellion against her false self and against her parents and society for whom she had worn a mask. “[I]n her pain she could recognize the sound of her innermost being crying out for something more real, more genuinely hers, to care about and live for” (Frattaroli, 2001, p. 119). In confronting her father, Anne was able to make her unconscious rebellion conscious. Eventually, after many months, Anne was able to integrate what she valued in her parents with her own unique self.

Three years after Frattaroli saw Anne for the last time, he received a call from her father, Joe. Joe was suffering a severe clinical depression and he was unhappy with his job as an accountant. Frattaroli prescribed an antidepressant to help Joe function. Then Frattaroli and Joe began to talk. Joe did not like being an accountant. He liked woodworking, but he had been afraid that if he went into the handyman business on his own, he would fail and displease his parents. Joe decided to take the chance. He opened a shop, and he discovered that he liked the work just as much as he had thought he would. His customers liked his work, too; his depression disappeared; and he was able to discontinue the antidepressant. Frattaroli concluded:

I believe that our choice between two models of psychiatry is really a choice between two competing sets of moral values that will ultimately determine the kind of society we live in. One is the Psychotherapeutic Model's ideal of healing the soul with its values of self-awareness, autonomy, personal growth, an I-Thou spirit of love, respect, and compassion for others, and an acceptance of moral responsibility for our own egoistic impulses and emotions. The other is the Medical Model's ideal of the quick fix, with its swimming pool values of stability and conformity, and an I-It orientation toward material success and other superficial addictive pleasures. (Frattaroli, 2001, p. 403)

Frattaroli thought that the medical model is often part of the answer, but that it is rarely or never the complete answer. He thought that therapy for the soul and therapy for the material mind were complementary in the sense that wave theory and particle theory are both correct views of subatomic reality, even though they appear to contradict each other. In subatomic physics, how one chooses to view reality "collapses the function" and determines present reality. Frattaroli wished psychiatrists to be aware that how they are choosing to view reality is determining their reality and, to some extent, the reality of their clients. Frattaroli's point is that even though the spiritual view of reality and the material view may appear to contradict each other, they can co-exist and support each other, rather than denying each other. If a therapist chooses to view reality in this way, then the medical and the spiritual models are additive; each contributes something distinct to the client's possibilities.

I smiled when I read that Frattaroli's editor frequently asked him to be less wordy and polemical. My advisor has had occasion to ask me the same.

*The Meaning of Pain*

In *The Problem of Pain* (1940), C. S. Lewis (1898 – 1963) wrote that pain and evil are natural concomitants of physical existence and of free will, and are unavoidable in this life. He thought that psychological pain is sometimes God's way of telling us that our actions are morally wrong, like thorned buoys in a river guiding us back to the right course. When he wrote *The Problem of Pain*, Lewis was 42 and had never been married. In 1956, he married Joy Davidman, who died of bone cancer in 1960. He then adopted her two sons and wrote *The Lion the Witch and the Wardrobe* for them. The motion picture *Shadowlands* (1993) portrays this part of Lewis's life. At the end, Anthony Hopkins, who portrays Lewis, says, "Why love, if losing hurts so much? Twice in my life I've been given the choice: as a boy and as a man. The boy chose safety. The man chooses suffering."

Moral direction cannot be the meaning of physical disease and physical pain, which often strike randomly and unfairly. When one's body is in pain, and God does not answer one's prayer, it can be hard to believe in a kind God or in a spiritual reality. Nonetheless, Lewis argued that it can be even harder "to turn our thoughts to God when everything is going well for us" (Lewis, 1940, p. 94); pain is sometimes necessary to shatter our "false self-sufficiency" (p. 101). It may be very difficult to grow spiritually when one is successful and happy and well. One sees no reason to change.

In the remarkable children's book *Tuck Everlasting* (2000), Natalie Babbitt convincingly dramatized two aspects of life. If human beings are to live forever in the

material world, they must stop changing. If they stop changing, they will also stop developing and learning. After much consideration, the protagonists of the novel chose to continue to change, and thus chose inevitable disease and death.

Humans may choose to be born. In her near-death experience Betty Edie was told that we each choose life and that God does not judge us; we judge ourselves (Eadie, 1992). At the level of existence where the human spirit is eternal, humans may not be able to learn and change, or they may learn different things in different ways. People may choose to be born because they can learn and develop faster at the material level of existence due to the urgency of time. The urgency of time may also make mortal love more intense. Because of pain and death, people can also learn empathy for others in a way that would not be possible in the purely spiritual realm.

Buddhism has the concept of bodhisattvas: people who have developed spiritually enough so that they do not need to be reborn; nevertheless, they choose to go back and re-enter the world of pain out of love for others. Some people appear to me to be spiritually more developed than others. I have observed remarkable differences in wisdom and kindness even in very young children. These differences appear greater than could be accounted for by good genes or training: they seem to come from a depth of experience that precedes this life, as Plato thought. These explanations do not address Darwin's concern for the pain of animals. It may be, as Hindus believe, that the spirits of animals are also eternal, and that their pain in this world is a necessary concomitant of material existence but only temporary.

One goal of spiritual-psychological counseling, as I envision it, is the client's spiritual and psychological growth across the entire life span, as opposed to adjustment to

current circumstances. Maslow pointed out that continued growth is often risky and painful (Frick, 1971). When people have the courage to try to expand their personal and spiritual horizons, they run the risk of failing. Failure can offer more opportunities to learn than success. Sadness offers more opportunities to empathize with others than happiness.

From an eternal, spiritual perspective, happiness is important, as May indicated, because if one is not happy, it is difficult to make others happy. Neither colleagues nor clients would want to be around a gloomy counselor. A counselor's genuine smile is part of the warmth clients welcome that brings them back. Frankl (1959) wrote that there is only one pathway to happiness in this life: to transcend oneself through work for others. Frankl could feel some personal happiness amidst the horrors of Auschwitz by doing little things to help lessen the day's pain for others. But the pain did not go away, and Frankl must have felt great sorrow, at the same time that he was experiencing small happiness. Western thinking tends to see things as all or nothing: one must be either happy or sad (Hardy & Laszloffy, 2002). One goal of spiritual counseling would be to expand one's capacity so as to feel both happiness and sadness at the same time and to value both. This capacity might be characterized by Alice Miller's term: "vitality."

Illness and pain can interfere with one's ability to transcend self. Some people turn pain into bitterness. Instead of becoming softer, they harden. Some are exhausted from pain. But some do not harden or give up; they persevere with a generous smile, which may be encouraged by faith. A friend and fellow student, who was having trouble with school, said to me, "Life is often hard. I think that the true measure of our character is how we handle the parts that are hard" (personal communication, 2008, used with

permission).

The next chapter will examine the spiritual value of creativity, conflict, and immorality.

## CHAPTER XI

## THE SPIRITUAL VALUE OF CREATIVITY, CONFLICT AND IMMORALITY

It is my position that a spiritual-psychological approach to counseling should value creativity, constructive conflict and anger, healthy revolution, justice, moral deliberations, knowledge and truth.

*Creativity*

Rollo May thought that creativity is a sign of mental and spiritual health even though it often results from suffering. People are creative when they express their true-selves authentically (May, 1989a). Stendhal wrote the novel *The Red and The Black* (1830) to demonstrate that it is not possible to be both creative and happy. If one thinks creatively, one imagines alternatives and asks questions. The title referred to the red uniforms favored by officers of the French cavalry and the black cassocks of church priests: the two forces most likely to dislike questions. The hero tried both roles and several others, all unhappily.

*Constructive Conflict*

Conflict can be positive and constructive or negative and debilitating. If negative, it “can rigidify the social and cultural system in which the conflict takes place, and it can lead to a host of other distortions, polarities, and additional conflicts” (Kellett & Dalton, 2001, p. 10). Constructive conflict, on the other hand, can increase creativity and deepen understanding of oneself and others, by drawing out alternative perspectives.

Kellett and Dalton took the position that total peace is not a realistic goal, in the world or in the family, but that peace-making is, nonetheless, a worthwhile pursuit. Peacemakers promote understanding through dialogue, in which the participants “learn to

speak from a ‘we’ perspective, as opposed to an ‘I versus you’ position” (2001, p. 9). Dialogue is rare because people fear conflict and suppress it within and without (Kellett and Dalton, p. 11). Freud’s defense mechanisms are an example of the inner suppression of conflict. Society begins to suppress dissent from an early age in the schools (Frick; Rogers). Suppression of unpopular opinion continues to be common in adult society, so that “we all tend to experience a world consisting of the results of compromises, avoidance, and aggressions” (p. 11). If peace is gained by suppression within or oppression without, it is not healthy for the individual or society; the conflict will re-emerge as illness or violence or both. Frattaroli (2001) took the position that inner moral conflict is a necessary precursor to growth of the soul. If one cares about others and has values, one will come into conflict with others. If one acts on this conflict, one becomes a revolutionary.

### *Revolution*

Jesus was a healthy rebel. He did not ask his disciples if they were happy or well adjusted. Sadly, when the Christian “heresy” gained political power, further questioning was condemned (Fromm, 1963). Frick (1971) and Rogers (1977) wrote that the school system, from kindergarten through graduate school, values control, order, and obedience, and that critical questioning is discouraged by fear and intimidation. Psychiatrist Robert Linder (1944, 1952, 1956) wrote that Freud, and many other psychologists, valued adjustment above all. Linder believed that society itself is sick, and that anyone who adjusts to society becomes sick. James Hillman (1989), Erik Fromm, Viktor Frankl (1959), and feminist psychologists have concurred in the judgment that contemporary society can make people depressed and anxious. Linder and Fromm

believed that, in order to be mentally healthy, people needed to become healthy revolutionaries. Zimbardo (2007) recommended that people practice saying “No” to authority and that they leave any group that does not support their independence of thought. He asked people to imagine standing trial in the future for their every present action, at a time when they will not be allowed the defense that everyone was doing it or that they were following orders. Some of the most significant leaders of the 20<sup>th</sup> Century have been spiritual revolutionaries: Gandhi, Martin Luther King, Bishop Tutu, and the 14<sup>th</sup> Dalai Lama.

### *Anger and Justice*

If one’s values come into conflict with the values of others, one will feel anger. In *Couple Therapy Using a Multicultural Perspective*, Hardy and Laszloffy wrote:

Because we believe that rage is a natural response to pain and injustice, it is never our intention to work toward the elimination of rage. Rather, we strive to rechannel it. We encourage clients to identify their rage and explore the underlying roots of it. We also support clients in expressing their rage directly, although always nonviolently. Other related emotions (e.g. grief, pain, fear, and shame) may also be explored; we draw attention to how these are often tied to rage. Finally, we invite clients to consider ways that they can harness their rage and make it work for, rather than against them. (2002, p. 585)

Tavis warned that if people extinguished their anger completely they would lose "all sense of caring about community or hope of change" (1982, p. 252). She thought that people should preserve the ability to feel righteous anger against social and personal

injustice, but that it is difficult to distinguish righteous anger from destructive anger.

Tavis concluded:

The moral use of anger, I believe, requires an awareness of choice and an embrace of reason. It is knowing when to become angry -- "this is wrong, this I will protest" -- and when to make peace; when to take action, and when to keep silent; knowing the likely cause of one's anger and not berating the blameless. For most of the small indignities of life, the best remedy is a Charlie Chaplin movie. For the large indignities, fight back. And learn the difference. (Tavis, 1982, p. 253)

When people repress and deny their rage, it is likely to come out in other ways that damage others. Such clients may need help in identifying and expressing their anger. Hardy and Laszloffy also believed in the "transparency of therapists" (p. 579). Anger may be an issue concerning which I need to be transparent. In my judgment, I have often been too quick to anger. The result has always been that I have hurt someone else, and I have hurt myself. For a while, I tried to become an unangry person. I tried to drop anger in the same way I had quit smoking. When I felt the urge, I did not give in. I waited for it to pass. I went for several years without getting angry once. Sometimes my old rage leaks through, but that is now rare. For me anger almost always masked sadness, including the sadness of being in a career I did not like. I have tried to go directly to the sadness. Wilmot and Hocker, on the other hand, thought that anger usually results from fear (2007). All my relationships have benefited from my becoming a less angry person. Nonetheless, it is important, I think, as Hardy and Laszloffy wrote, not to lose touch

with one's anger. I try to use my anger to remind me when something is wrong. Then I try to identify what is wrong and work on it.

Despite all these noble sounding sentiments, I have lost my temper twice in the past year. Both times I was exhausted and afraid. I injured no one. I displayed it by rudeness. As an Intern, I now lead an Anger Management Group. I tell the clients that I designed this program because I thought I had an anger problem. I had attended an anger management group and, although I had learned a lot, I thought I could do better; so I designed a 12 week group in my Group class. I tell my clients I am still learning and perhaps they can teach me something.

Ultimately, I came to the conclusion that being in touch with one's anger is as important as learning to regulate it and express it in non-destructive ways. I think that if people, including me, lose track of their anger or deny it completely, they will lose one way in which their true-selves gain important feedback from the world. For me, anger is one of May's dialectic dimensions in which I seem to move a little too far in one direction; then adjust and move a little too far in the other. If May is correct that the underlying nature of existence is dialectic, I will continue to experience anger in this way for the rest of my life.

I am glad that I no longer tend to express anger destructively. Carl Rogers thought that if people were truly in touch with their feelings, they could feel anger without being destructive. Rogers expressly rejected Skinner's ideal of a person who is "happy, informed, skillful, well-behaved, and productive" (Skinner, 1955-56, p, 47). Rogers's ideal was a client who can feel the entire range of emotion. He wrote:

It seems to me that clients who have moved significantly in therapy live more intimately with their feelings of pain, but also more vividly with their feelings of ecstasy; their anger is more clearly felt, but so also is love; that fear is an experience they know more deeply, but so is courage. And the reason they can thus live fully in a wider range is that they have the underlying confidence in themselves as trustworthy instruments for encountering life. (Rogers, 1961, p. 195)

Concerning his own anger, Rogers wrote: "I find it difficult to be easily or quickly aware of angry feelings in myself. I deplore this; am slowly learning in this respect" (Rogers, 1970, p. 54).

*Knowledge, Experience, Truth, and the Client's True-Self*

Human knowledge should include, as Prothero urged in *Religious Literacy: What Every American Needs to Know* (2007), the continuing pursuit of spiritual knowledge. I think that spiritual knowledge should be taught and learned critically; that is, it should be appreciated and evaluated in the same way as all other types of knowledge. I advocate critically examining the basis of one's own beliefs, as well as the beliefs of others.

Spiritual knowledge is not identical to scientific knowledge: it can come from experience as Plato argued, or from intuition, as Assagioli sensed, or from an inner feeling, as Lewis felt. One criticism I have of Lewis is that, once he became a Christian, he ceased to be a critical reader. He accepted all Christian texts as completely and literally true without examining inconsistencies within them.

Theologian Fowler (2000, 1991, 1981) and educator Tisdell (2003) thought that people develop spiritually more rapidly when they get away from home and are exposed

to other religions, cultures, and ideas, even though this experience is likely to be uncomfortable.

If one's personal truth has been oppressed, one will feel righteous anger. When parents, society, and schools have collaborated in suppressing that inner truth, people may conform without being aware that they are obeying. They may not know that their true-self is different from their mask.

One of the obligations of a spiritual-psychological counselor is to see the client's true-self and re-parent it. Counselors can do this by exercising advanced, additive empathy, by providing the positive mirroring that the client has been denied, and by loving the client with the non-possessive agape that Rogers and Tillich spoke of.

#### *Moral Deliberations & Outcomes*

Frattoroli (2001) thought shame, guilt, and anxiety are valuable because they are signs of inner moral conflict. He thought that making this conflict conscious in therapy was a moral choice. He wrote that one danger of Prozac and other psychotropic drugs is that they can make clients feel better without their undertaking inner moral change.

At times Carl Rogers appeared to deny, or overlook, the existence of evil in individuals and societies; for example, he acted as a facilitator of encounter groups of blacks and whites in South Africa when apartheid was still in effect. His accomplishments suggest that as a counselor with spiritual concerns, I can approach individuals and groups with a presumption of underlying goodness. Nonetheless, I hypothesize, as did Plato, that among individuals evil occurs in a natural distribution; in other words, some people are better, some are worse, and a very few, the statistical outliers, are saints and monsters.

### *Existentialist Psychology's View of Good and Evil*

Existentialist psychologists do acknowledge the reality and significance of good and evil. Existentialists are more awake to human darkness and, thus, may be more ready to help clients face their own dark places and help them begin to move toward the light. They come close to acknowledging that life does not always work. They are the only mainstream approach to psychotherapy that recognizes the importance of time in the material dimension of existence.

Existentialists recognize good and evil, but most do not offer a basis for defining either. May is the exception. He says that without God we do not have any basis external to ourselves for a moral code; and therefore, without God we would be free to do as we chose. May does not, however, spell out what he thinks God's moral code is or how humans are supposed to apprehend it. May thought that immorality is important as one end of the moral dialectic and that it is necessary to spiritual learning that people sometimes act immorally. Human beings do not need to fear immorality because it is mortal, while good is immortal (May, 1989, 1940).

### *Evolutionary Psychology's View of Morality*

Evolutionary psychology is a recent attempt to explain human goodness from a purely scientific basis. Darwinian evolution posits survival of the fittest. A genetic trait increases in the population if that trait increases the probability that an individual will survive and pass down his or her genes to future generations. Traits will decrease and eventually disappear if they decrease an individual's chance of survival. So how did altruism evolve and why has it not died out? If one sacrifices one's food, or shelter, or life for another, it is the other person's genes that are more likely to be passed down, not the

genes of the sacrificer. E.O. Wilson, a scientific humanist and the originator of sociobiology, a precursor to evolutionary psychology, framed the problem thus: "Fallen heroes do not have children" (1978). Evolutionary psychologists have two explanations of inherited altruism: kin selection and reciprocity (Myers, 2002, pp. 478 - 481). Kin selection means that not just a person's individual genes, but also the genes of one's kin are passed down to future generations. So if a mother sacrifices her life for a son, the son will pass down half of her genes, and, because he is young, he will be more likely to pass down those genes through his progeny than she will be to pass down her genes through additional direct progeny of her own. Thus, a family of self-sacrificers might win out in the evolutionary competition against a family of selfish non-sacrificers. In 1964, William D. Hamilton proposed Hamilton's rule, which is " $\text{cost} < \text{relatedness} \times \text{benefit}$ ." This means that altruism can evolve so long as the fitness cost of the altruistic act to the actor is less than the degree of genetic relatedness of the recipient times the benefit to that recipient (Judson, 2007; Evolutionary Psychology, Wikipedia, 2006). Kin selection does not apply to groups larger than the family, because the effects would quickly dissipate as the group grew, and group members outside the family would not contain any of the genes to be passed down. In other words, from the point of view of Hamilton's rule, the odds are against altruism from the start.

Evolutionary biologist Stephen Gould, arguing against biological determinism, wrote that regardless of how traits may be acquired genetically, humans are characterized by an enormous adaptive capacity to learn to behave differently. Rather than blaming human violence on Upper Paleolithic hunter ancestors, people can learn to be kinder now (Gould, 1974b & c). Gould's statement highlights one limitation of the evolutionary view

of psychology: it conceives of humans as having already evolved, and not as continuing to evolve, as did Jung. From Gould's point of view, humans must make the best of what they are. From Jung's point of view, humans can change who they are for the better.

Reciprocity means that if one person helps another, that person expects to be helped in return. If two people help each other, both are more likely to survive and pass down their genes than are selfish loners. Reciprocity works best in small groups. It has been scientifically measured by social psychologists. The people of the Cook Islands of the South Pacific are in fact more willing to help each other than the people of New York City (Myers, p. 480). So tribes in which reciprocity was the rule might win out over selfish tribes. But it seems to me that the small kind tribes would eventually be wiped out by the big warlike ones.

*Group selection of altruism.* I agree with Peck and Zimbardo that evil is more likely to emerge under the influence of group thinking. For example, President Andrew Jackson exiled the Cherokees from their home in North Carolina to Oklahoma (then called the "Indian Territories"). They had to walk, and many died on the way. To this day, many Cherokees think of Jackson as an evil man. Jackson could act as he did, and still sleep at night, because he and the other members of his in-group, Euro-Americans, saw the out-group as being different and of less value. If Jackson had slaughtered a community of white settlers in the same fashion he slaughtered several tribes of Native Americans, or if he had killed his own adopted Indian son in bed, those settlers would also have seen him as a monster instead of electing him President twice. Today, everyone would see him as a monster, not just the Cherokees. In the Second World War, the

Germans and the Japanese committed atrocities against other groups. Both believed that they were members of a superior race.

Ironically, this group tendency toward racism and xenophobia may have evolved in tandem with generosity and kindness. Kirkpatrick (1999) thought religion and in-group morality may have evolved in part because of out-group savagery. People not only valued warriors but also leaders who could make them feel loved and safe at home after the battle was won. Groups with high cohesion would have an advantage in war. High cohesion would be encouraged by kindness and conformity. Thus groups whose altruistic members were kind to other members might win more wars and pass down more genes than unkind groups with selfish members.

According to Judson (2007), evolutionists once thought that group selection was too inefficient a process to result in evolved traits. However, recent studies have shown that in the Pleistocene Epoch, from 100,000 B.C.E. to 10,000 B.C.E., perhaps 15 percent of human deaths were accounted for by inter-group wars, and this could have “represented a significant source of natural selection” (Judson, p. 96). Frequent, lethal warring between groups of humans may have caused some individuals to evolve to be more helpful and kind to each other. The same process resulted in what Judson, like Gould, deemed to be the most important trait of human beings: flexibility. This flexibility “suggests that we can, in principle, organize society so as to bring out the best facets of our complex, evolved natures” (Judson, p. 98). If humans have evolved to be capable of kindness and self-sacrifice on behalf of others within their in-group, they have also developed the capacity to identify with members of out-groups and to choose to extend to them the same kindness. This identification can be acquired from experience and

education. Of the two capacities, love and war, it appears to me that the capacity to love has made gradual gains in my lifetime. From an evolutionary perspective, wining the war was the point. From a spiritual perspective, the point is to learn to extend in-group kindness to out groups. To facilitate this, spiritual-psychological counseling must value all people equally.

Altruism is an odd characteristic to result from evolution. It is completely lacking in some people, very strong in others, and found in varying degrees in the rest. The only other characteristic, that comes to mind, that is similarly distributed in the human population is musical ability. These may also be the two characteristics that have developed the most in the 4,000 years of recorded history. The ability to hear harmonic structure, as opposed to melodic line, appears to have evolved only recently. Harmonic music first occurs in the 15th or 16th Century, and only in certain parts of the world (R. A. Johnson, 1991a, p. 105).

#### *C. S. Lewis's View of Morality*

Kant and C. S. Lewis thought that morality is built into us as part of the design of the universe. If that is so, then a person cannot be mentally well and morally ill. If a person is immoral, then from the perspective of spiritual psychology, he or she will be incongruent with the design of the universe and will feel and act sick in some way. Although morality is a condition of mental health, contemporary psychiatry and cognitive-behaviorist psychology are materialistic and amoral. The humanists Rogers and Maslow were prone to what van Deurzen called "wishful thinking," because they did not consider both good and evil.

Whether or not kindness is an evolved trait that we inherited from our ancestors, C. S. Lewis thought that we also inherited it from God. Lewis wrote that altruism is prompted by an inner voice that tells us when we have done wrong. This inner voice, he thought, is a hint to the meaning of the universe. Writing in England in 1952, Lewis said that this law is apparent to everyone. If it were not, "then all the things we said about the war were nonsense. What was the sense in saying the enemy were in the wrong unless Right is a real thing which the Nazis at bottom knew as well as we did and ought to have practiced?" (Lewis, 1952, p. 5). Although there has been some moral development and improvement, Lewis thinks that the moral teachings of all times and all religions are very similar. A few people have no inner moral sense, just as some people "have no ear for a tune;" but taking the human race as a whole, the idea of decent behavior is apparent to almost all (Lewis, p. 5). Morality is nowhere considered a matter of mere taste or opinion. That inner moral law has validity independent of us, but, Lewis observed, none of us is keeping the law. Every day we fail to practice what we expect from others. We take credit for the good we do and make excuses for the bad. Those excuses, Lewis believed, are further evidence of the independent validity of the moral law. The law tells us to do things we do not want to do. The moral law is not an instinct; it tells the instincts how to act. Sometimes we are not fair; sometimes we are not unselfish; but we ought to be and we know it. From the beginning of history, Lewis wrote, there have been two different views of the meaning of life and of the universe. The first is the materialist view: that people and the universe just happened by chance. The second is the religious view: that there is a conscious purpose, something like a mind, behind the universe, and that that mind prefers some things and does not prefer others. You cannot find out which view is

correct by science. Science makes observations concerning objects in the material universe. "But why anything comes to be there at all, and whether there is anything behind the things science observes -- something of a different kind -- this is not a scientific question" (Lewis, p. 23). If there is something behind the universe, something in the nature of a mind, then it is going to have to make itself known to human beings in a different way. In answering the question, "What, if anything, is behind the universe?" humans are limited to external observation of everything except themselves. But we are not limited to external observation of humans. We are humans. We are in the inside. And from that privileged position we know that humans "find themselves under a moral law, which they did not make, and cannot quite forget even when they try, and which they know they ought to obey" (Lewis, p. 23). If we were only observing humans from the outside, as some materialistic psychologists do, especially the behaviorists, we would not know of the existence of this moral law. We want to know if the universe just happens or if there is some power and meaning behind it. We cannot look behind stones or inside of the stars. "There is only one case in which we can know whether there is anything more, namely our own case. And in that one case, we find out there is. If there were a power, a mind, behind the universe, there is only one way we would expect it to show itself, that is, "inside ourselves as an influence or a command trying to get us to behave in a certain way. And that is just what we do find inside ourselves" (Lewis, p. 24). When I look inside myself, Lewis wrote, "I find that I do not exist on my own, that I am under a law; that somebody or something wants me to behave in a certain way" (Lewis, p. 25).

Lewis might be criticized for finding just what he wanted to find. Durant had said of Aristotle that he "supposes that thought begins with premises and seeks their

conclusions, when actually thought begins with hypothetical conclusions and seeks their justifying premises, -- and seeks them best by the observation of particular events under the controlled and isolated conditions of an experiment” (Durant, 1926, pp. 101 – 102). Lewis conducted no experiments. He was not a scientist or a historian. He was a widely read professor of English literature. Lewis started with the conclusion that he found an inner moral law written inside of himself. In *The Abolition of Man* (1947), he wrote:

Until quite modern times all teachers and even all men believed the universe to be such that certain emotional reactions on our part could be either congruous or incongruous to it – believed, in fact, that objects did not merely receive, but could *merit*, our approval or disapproval. . . . This conception in all its forms, Platonic, Aristotelian, Stoic, Christian, and Oriental alike, I shall henceforth refer to for brevity simply as ‘the *Tao*.’ . . . It is the doctrine of objective value, the belief that certain attitudes are really true, and others really false, to the kind of thing the universe is and the kind of things we are.

(Lewis, 1947, pp. 25, 28 – 29, italics in original)

One cannot arrive at the Tao through instinct or through reason. One cannot arrive at the principles of the Tao “as conclusions: they are premises” (Lewis, 1947, p. 53).

Lewis continued:

This thing which I have called for convenience the *Tao*, and which others may call Natural Law or Traditional Morality . . . is not one among a series of possible systems of value. It is the sole source of all value judgments. (Lewis, 1947, p. 56)

Lewis thought that this natural law allowed for some development, so long as that development was in the same spirit (Lewis, 1947, p. 58 - 59). He thought that there had been improvements in the natural law over the course of human history, but that these improvements had been small. He wanted to know if people had found the same inner law in different civilizations throughout history. So he conducted qualitative research, much like the review of literature that William James conducted in writing *The Varieties of Religious Experience* (1902/2004). Lewis reported his findings in the Appendix to *The Abolition of Man* (1947).

Lewis began the Appendix by writing that this list “makes no pretense of completeness. . . . I am not trying to prove its validity by the argument from common consent. Its validity cannot be deduced” (Lewis, 1947, p. 95). By that, I think he meant that one either feels the validity of the Natural Law in one’s bones and heart, or one does not. He then gave negative (thou shalt not’s) and positive (thou shalt’s) instances of the natural law in the following areas: the law of general beneficence; the law of special beneficence; duties to parents, elders, and ancestors; duties to children and posterity; the laws of justice; the law of good faith and veracity; the law of mercy; the law of magnanimity. He quoted sources from ancient and contemporary Egypt, Babylon, Chinese, Rome, Australian Aborigines Greece, and Jewish, Anglo-Saxon and Hindu texts. They were all remarkably similar.

In Rogers’s experience, people possess an inborn tendency to develop in healthy, prosocial ways. Rogers saw his clients under conditions of absolute safety and unconditional positive regard. Rogers called it a "growth promoting climate"

(1964, p. 183), comparable perhaps to a greenhouse. What happens after the clients leave Rogers's office? When plants are removed from a greenhouse, many but the most hardy fail to thrive. Peck pointed out that people are most likely to commit evil acts when they are under stress. He wrote: "Stress is the test of goodness. . . . One measure -- and perhaps the best measure -- of a person's greatness is the capacity for suffering" (1983, p. 222).

The opening sentence of Peck's *The Road Less Traveled* is: "Life is difficult" (Peck, 1978, p. 15). That book was intended to provide its readers with a spiritual approach to dealing with that fact. If life is a "test of goodness" some have thought that there must be a test administrator and that that administrator is God, as portrayed in the *Old Testament* story of Job. Some religions take the view that those who pass this "test of goodness" are rewarded in heaven. By comparison, Buddhism takes the attitude that people cannot control most events, including whether or not there is a heaven or a God, but they can control their response to events and possibilities. Buddha taught that by the attitude one takes to one's suffering, one could remove one's self from it. The cause of suffering is selfish desire. The cure is self-less desire for the welfare of all. Those who attain that state will still feel pain, but they will escape suffering (Smith, 1986, pp. 152 -- 153). A good life is rewarded not with heaven, but with escaping the cycle of birth and re-birth, and arriving at nirvana, which is a state of mind more than a place. Nirvana is sometimes thought of as union with the eternal, in which individuals lose their individuality. His contemporaries thought of Buddha as a rebel and a saint, because he was reacting against what he saw as the abuses of Hinduism, such as the privilege and authority of the Brahmin caste. Buddha was anti-authoritarian. Each individual is his own

or her own spiritual authority. Buddha insisted that he was not a God and he refused to speculate on whether or not God exists. Buddha was an egalitarian: every person is of equal value and of equal potential (Smith, 1986, pp. 135 -- 139; Sikhism Home Page, 2007). In comparison, communion with the eternal, in which individuals retain some of their individual identity, characterizes the western view of the afterlife.

### *The Meaning of Immorality*

Rollo May argued that morality has meaning and value only if human beings possess free will and the power to choose to be moral or immoral (May, 1940, 1969, 1989a, 1989b). Van Deurzen took the similar position that "people may evolve in any direction, good or bad, and that only reflection on what constitutes good and bad makes it possible to exercise one's choice in the matter. . . . The existential practitioner is less certain of human goodness [than the humanistic practitioner] and she will take into account people's weaknesses as well as their strengths" (2002, p. 51).

### *A Spiritually Sensitive Approach to Morality in Counseling*

A spiritual approach to counseling could see individuals as being between polarities on many spiritual dimensions, including the dimension of good and evil, and as having the innate potential to move in the direction of either good or evil. The Buddhist dimension of selfish desire vs. selfless desire could be included. Choice plays a role in the direction people commit themselves to. People can choose at any point to change direction. Counselors can help; that is part of the faith of being a counselor. Benner (1998) argued that it is not essential to arrive at one right answer; the important thing is to consider moral questions as moral questions. Counselors do not need to be free from sin. AA has taught that those who have made wrong turns on life's path are often the best

guides; they are called "wounded healers." Therapists who take a wellness and strength-based approach may be treating "evil" as nonexistent or of little relevance to a therapist's work. If one dismisses evil, one may miss the good and fail to celebrate it.

In order to be complete, a theory of spiritual-psychology needs to see the potential for good and evil within each person. Buber's ideas can be combined with those of Plato and James. Plato spoke of misanthropy as a mistake of inexperience. The misanthropist has been betrayed and misused and has concluded that no one is to be trusted. "Is it not obvious," Plato asked, "that such an one having to deal with other men, was clearly without any experience of human nature; for experience would have taught him the true state of the case, that few are the good and few the evil, and that the great majority are in the interval between them?" (Plato, 1948, p. 239.) It is also a mistake of inexperience, and of what van Deurzen calls wishful thinking, to believe that all people are "in the interval between." James said that the healthy-minded often deny evil, but that the sick souls cannot: it is part of their experience. Once they have become religious, the sick souls see evil as natural but not terrifying, because they see good as supernatural. Some writers make a distinction between natural evil and moral evil (see, for example, Sanford, 1987). For those writers, natural evil is impersonal, like a volcano; moral evil is human sin. That is not what James meant by natural evil. James meant that humans, as they naturally exist, sometimes choose to hurt others for the sake of hurting others, that some humans sometimes take pleasure in doing harm. Buber added that good and evil are a question of polarities and direction. At any time, most people will be in Plato's middle. Most people will be as Rogers sees them: they will want to move in the direction of the good. But, as Buber pointed out, when they come into therapy, some will be

directionless, some will not be headed in the direction of good, and some, a very few, will reject the good and choose the direction of evil. Like Milton's Satan in *Paradise Lost*, they will want to be evil. They will personify Scott Peck's definition of evil, based on his experience with real clients: they will intentionally, repeatedly seek to harm or kill the life force of others without provocation (Peck, 1983).

Evil represents the extreme end of the good–bad continuum. It also involves an intentional choice of direction away from the good toward the bad. But it is not supernatural. May wrote that evil is not eternal because it destroys itself (May, 1940, p. 155). May thought that God, goodness, and love are eternal and supernatural.

Jung valued the dark side, which he called the shadow. He taught the importance of making the shadow conscious so that it becomes part of the Self. He warned that if people suppress their shadow, they are likely to project distortions of the suppressed traits onto others, and, thereby, see them as evil. When we project evil onto others we may do evil to them.

### *Conclusions*

As a goal of spiritually sensitive counseling, knowledge has the advantage that it can be measured objectively and incrementally. The other values discussed in this chapter and the last (negative outcomes, creativity, conflict, revolution, anger, justice, truth, and morality) cannot readily be integrated into a scientific approach. They are subjective and difficult to quantify. May (1989a, 1940) and Benner (1998) thought that spiritual counseling could be complementary and additive to scientific counseling. Corbett and Stein (2005) thought that counselors could wear bifocals and adjust their eyes in order to see both the spiritual and the non-spiritual needs of their clients. Counselors could then

respond to one or the other as needed. Paleontologist Gould (1997) thought that religion and science were non-overlapping magisteria. The values discussed in these two chapters appear to overlap and contrast with material, selfish values. Valuing pain and sorrow puts a client at odds with the normative values of society. Society does not usually value failures. Creativity, constructive conflict, healthy revolution, just anger, and the pursuit of knowledge, experience, and truth are likely to bring a client into opposition with others. Valuing moral deliberations will bring a client and counselor into conflict with the amorality of science and the non-judgmentalness of 21<sup>st</sup> Century multi-culturalism. Do the spiritual values in this chapter conflict with materialistic, scientific values in such a way that they contradict them? Must the client choose one or the other? Are these values part of a moral code, so that to choose the spiritual values is in some way right and good and to choose the scientific values in some way wrong and bad? That has sometimes been the religious view, but it is not my view. In my view these values represent divergences between the materialistic world-view and the spiritual: they are like Frost's poem, "Two roads diverged in a yellow wood" (Frost, 1971), which will be discussed further in the next chapter. One can choose one road or another. To experience life fully, one must choose both materialistic roads and spiritual roads at times. For some clients at some times, the spiritual choices may lead to spiritual development, and the material choices may stall spiritual development. How that can happen is discussed in the next chapter.

In bringing their true-selves into consciousness, clients are likely to discover non-traditional values in themselves that I have not considered. The values discussed in this chapter are important to counseling only if they are important to the client. If the client chooses to pursue these values, that choice must be made as an exercise of the client's free will, without interference from the counselor. Like a mid-wife, a spiritually sensitive

counselor can facilitate the birth of spiritual values out of the counseling process, but the child must be the client's. Counselors must guard against imposing their values. How to integrate traditional psychological counseling with spiritual counseling is discussed in Chapters 16 and 17.

In the last three chapters, I have considered the importance of seeing the clients' true-selves and helping them realize their true values. That is not all there is to spiritual-psychological counseling. Page and Berkow wrote that the "process through which an individual integrates personal growth with participation in a communal or universal reality is basic to spiritual development" (1998, pp. 296 - 297). As clients begin to feel stronger and more secure, they will begin the work of expressing their true-selves, interacting with the world, and integrating feedback. That process will lead to spiritual development, which is the subject of the next chapter.

## CHAPTER XII

## SPIRITUAL HEALTH AND DEVELOPMENT

All prior chapters have been leading toward this one. In this chapter an integrated spiritual-psychological approach to counseling will begin to emerge. I think that one goal of spiritual-psychological counseling is the client's spiritual health and development; and I think people are spiritually healthy when they are developing spiritually, regardless of how they are functioning in other realms. Other realms that may be relevant to counseling are the physical, mental, emotional, and social/relational. All realms affect each other; so, illness in one, e.g. physical sickness, may lead to spiritual illness, but not necessarily; one might learn spiritual lessons from physical illness.

*Spiritual Health Differs from Traditional Psychological Definitions of Mental Health*

Today in America, those who embrace the medical model of psychotherapy consider a person to be mentally healthy if she or he is *not* suffering from a mental disorder as described in the *Diagnostic and Statistical Manual of Mental Disorders, 5th edition, Text Revision* (Ivey et al, 2005). Managed care service providers usually require a *DSMV-TR* diagnosis before they will authorize services; therefore, the pressure to diagnosis a client, even one who appears normal, is extraordinary if a client needs financial support for mental health services. Depression and anxiety are the most common symptoms for which individuals seek counseling services (Young, Cashwell, Shcherbakova, 2000). Who hasn't felt sad and anxious on occasion? Hillman, Fromm, and Frankl each took the view that if we possess a healthy sensitivity, contemporary society will make us sad and anxious. Diagnosis is facilitated by the fact that the diagnoses available in the *DSMV-TR* have expanded to cover almost every imaginable

complaint, including so-called adjustment disorders. The key determinates of most *DSMV-TR* diagnoses are unhappiness and maladjustment. Do the symptoms, e.g. the sadness, make the client unhappy ("depressed mood") or make it difficult for the client to fit in at work or at home ("marked impairment in social or occupational functioning")?

I would define a spiritually healthy person without reference to the *DSMV-TR*. I think of a spiritually healthy person as someone who chooses and attempts to develop spiritually. I believe, as do Ivey, Ivey, Myers, and Sweeney (2005), that people can develop spirituality, and otherwise, throughout their lifetime, even when they are physically sick or "mentally ill." People can suffer from a mental illness according to the *DSMV-TR* and still be spiritually healthy if they are attempting to develop along any of the spiritual dimensions. Spiritual development and dimensions are discussed in the next part of this chapter.

I conceive spiritual health to include moral courage. It would be characterized by thankfulness, unselfishness, altruism, empathy, trust, sacrifice, forgiveness, surrender, self-transcendence, valuing the dark side of human experience, a healthy interdependence with others, openness to others, and vulnerability to the suffering of others. A spiritually healthy person learns from failure and sadness. Spiritual health is realized in one's ability to love and be loved. Freud was concerned with adjustment in love and work as indicators of mental health. Spirituality is not concerned with adjustment but with loving whether or not we are loved and working for others whether or not we are rewarded. Spiritual health would take into account the health of one's relationship with God, however one defines Him/Her/Them. On the other hand, people may be spiritually sick if they regularly block their own or another's spiritual development.

*Spiritual Development Differs from Traditional Psychological Conceptualizations of Development*

Traditional psychological conceptualizations of development look at cognition (Piaget) and ego strength (Erikson). Very little has been written about the spiritual aspects of development. I discuss it at length here, because it forms the foundation of my approach to counseling. If the client is ready and consents, my goal is for him or her to develop in both the traditional ways and spiritually. In *Healing the Soul in the Age of the Brain* (2001), Philadelphia psychiatrist Elio Frattaroli wrote that he thought spiritual development involves moral choices and that it is superior to material development. I agree, but I would not impose my values on the client. I think counseling will benefit the client and society, only if each client discovers and chooses her or his own values and his or her own path of spiritual development. This requires allowing clients to move at their own pace. Frattaroli gave examples of a daughter who began therapy reluctantly and of her father who delayed three years before coming to therapy; but both came, and both grew when they did.

Spiritual development is not defined by phases or stages. It is not linear. It is spiral, “due to the tendency we have to return to old experiences and make new meaning of them” (G. Miller, 2005, p. 107). Elisabeth Tisdell is an associate professor of adult education at Pennsylvania State University-Harrisburg. She observed that education and spirituality are two ways that people make sense of their lives; however, except in religious institutions, the two areas have, as a rule not worked together. Tisdell wrote:

Typically higher education has focused on knowing through rationality. . . .  
Higher education has been primarily about ‘intellectual’ knowledge – the

rational world of theory and ideas. Furthermore, in North America, we have argued for and founded our education system based on ‘the separation of Church and State,’ except of course in the case of religiously affiliated institutions.

(Tisdell, 2003, p. ix - x)

Tisdell believed that education is and should be “transformative.” If education is going to be transformative, “it must engage learners on a variety of levels: the cognitive or rational, the affective, the sociocultural, and the symbolic or spiritual level” (Tisdell, 2003, p. xiii). She did not define “transformative.” I define transformation as growth from one stage to another in any of the lines of development that are illustrated in table 2. Tisdell interviewed adults involved in adult education concerning their views on spirituality and their own development. In *Exploring Spirituality and Culture in Adult and Higher Education* (2003), she reported on those interviews and wrote that, of all the sorts of development, it is spiritual development that is most clearly marked by its spiral nature. She wrote:

For those who value spirituality, spiritual development is strongly related to claiming of a more authentic identity. It is also about the search for wholeness and integration. . . . For nearly all I spoke with, this required attempting to embrace spirituality as a way of life that requires inner reflection and outer action (p. 108). . . .

There is a lot of pressure in North American culture to spend a lot of time ‘working’ for productivity. But outer work based on spirituality is not about productivity. It is about an approach to life that integrates spirituality, work, living, loving, learning, and social activism (p. 110). . . .

Spiritual development is the integration of the developmental lines and connects to cognitive development, moral development, and culture and gender identity development. (Tisdell, 2003, p. 111)

Spiritual development is how people make sense of the other lines of development. As they develop cognitively, spirituality tells them which thoughts matter. As they develop emotionally, spirituality tells them who and what are worth caring about. As they move through Erikson's stages of ego development, spirituality helps them resolve each crisis in a positive way that, in the end, gives integrity to their lives. As they develop morally, spirituality calls them to apply morality in their work and personal relationships, even when moral action harms the moral actor materially. As they develop in faith, spirituality helps them decide what to believe and how to act on that belief. Finally, spirituality integrates all the other lines of development into a meaningful whole.

Although spiritual development does not occur in stages, Tisdell observed that some crucial turning points in people's lives tended to occur at the same ages. People developed more rapidly if they were able to get away and were exposed to other religions, cultures, and ideas. Some people have only been exposed to one religion or to none. Moving away and questioning were most likely to occur when people were in their twenties. Moving away was often accompanied by a period of agnosticism or atheism. The second crucial turning point in people's lives was at midlife. Fowler found that conjunctive faith tended to emerge at midlife, and Tisdell found that adults were better able to live with paradox and the tension of opposites in midlife and beyond (Tisdell, 2003, p. 108). She also found that, at midlife, many adults began to focus for the first time on integrating inner spiritual work with outer work.

### *Spiritual Dimensions of Growth*

I think of spiritual development as marked by growth along spiritual dimensions. In his discussion with Carl Rogers, in which Rogers took the position that human nature was essentially positive, Buber contended that human nature is bi-polar (in the sense of having two opposing poles, not in the sense of bi-polar disorder) (Buber & Rogers, 1997). Buber said that good and bad are a matter of direction along a polar dimension, and that counselors and theologians should be concerned with getting people moving in a moral direction. I have extended Buber's analogy to all spiritual values by grouping them into the dimensions that follow. Unlike existential development, which is dialectic, I think of spiritual development as directional; it may be beneficial to experience both poles, but the ideal is to grow toward one and away from the other. Each spiritual dimension is composed of opposing values. Spiritual development is measured by movement along these axes from the value on the left toward the value on the right. Many of these axes overlap. The 61 axes below do not comprise a complete or completeable list; they are only some of the possible pathways to spiritual growth. I cannot have thought of all possible spiritual values. The client is free to add to these, change them, or disagree with them. These values are not, necessarily, opposites. They are alternatives. One value may, therefore, be an alternative to more than one other value.

Love dimension:

- (1) Narcissism *toward* Love.
- (2) Closed Heartedness *toward* Empathy (the ability and willingness to feel the pain of others).
- (3) Fear *toward* Warmth.

- (4) Stinginess *toward* Community.
- (5) Selfishness *toward* Giving.
- (6) Indifference *toward* Care for the soul of the world.
- (7) Anger *toward* Forgiveness.
- (8) Taking no responsibility for one's misdeeds *toward* Repentance.
- (9) Hurriedness *toward* Gentleness.
- (10) Meanness *toward* Kindness.
- (11) Selfish desire *toward* Selfless desire.

Soul dimension (the dimension of experience and of choice by which the eternal spirit learns from and gives value to material, mortal existence):

- (12) Cowardice *toward* Awareness and acceptance of one's death.
- (13) Inflation *toward* Awareness, acceptance, and nurturance of one's body.
- (14) Harshness *toward* Softness.
- (15) Isolation *toward* an Expanding Self.
- (16) Lost *toward* Recovering and developing one's spiritual identity.
- (17) False Self *toward* True-Self.
- (18) Submission to the judgment and evaluation of others *toward* Humble faith in one's self and one's own judgment, with God's help.
- (19) Closed mindedness *toward* Hearing the voice, evaluation, and point-of-view of others with an open mind and open heart.
- (20) Blindness toward others *toward* Being able to experience the point of view of another.
- (21) Self-absorption *toward* Communication.

- (22) Coldness *toward* Intimacy.
- (23) Distrust *toward* Naiveté.
- (24) Frenzy *toward* Solitude.
- (25) Walls *toward* Touch.
- (26) Denial *toward* Vulnerability.
- (27) Arrogance *toward* Self-doubt.
- (28) Snobbishness *toward* Humbleness.
- (29) Disconnection *toward* Emulation.
- (30) Laughing at others *toward* an Ability to laugh at oneself.
- (31) Drudgery *toward* Fun.
- (32) Interrupting *toward* Listening.
- (33) Taking no responsibility for the brokenness in the world *toward* Taking actions to repair the brokenness of the world.

Introverted dimension:

- (34) Inner noise *toward* Mindfulness.
- (35) Inner deafness *toward* Hearing within the voices of one's true-self and the true-selves of others.
- (36) Inner rage *toward* Inner Peace.
- (37) Distraction *toward* Centeredness.
- (38) Fear of loneliness *toward* Liking one's own company.

Death dimension:

- (39) Obliviousness *toward* Accepting one's own death.
- (40) Frittering *toward* Integrating one's death into one's life.

- (41) Fame *toward* Attempting to make one's life and death meaningful, in this life and the next, to oneself and to another.

Moral dimension:

- (42) Evil *toward* Conscience.  
 (43) Control *toward* Equality.  
 (44) Egotism *toward* Patience.  
 (45) Sociopathy *toward* Honesty.  
 (46) Opportunism *toward* Loyalty.  
 (47) Judgmentalness *toward* Mindfulness.

Transpersonal dimension:

- (48) Selfish desire *toward* Unselfish desire for the well-being of the world.  
 (49) Fretting *toward* Seeking a higher state of consciousness.  
 (50) Self-aggrandizement *toward* Seeking union with the eternal/God.  
 (51) Materialism *toward* Seeing the sacred in everyday things and people.  
 (52) Acquisitiveness *toward* Following a spiritual path.  
 (53) Stuck in one's own fears and pain *toward* Working with and for others.  
 (54) Winning *toward* Transcending dichotomies.

God dimension:

- (55) No relationship with God *toward* A daily relationship with God.  
 (56) Shallowness *toward* Spirituality.  
 (57) Habit *toward* Imagination.  
 (58) Solipsism *toward* Hope and faith.  
 (59) Falseness *toward* Beauty.

(60) Holding on *toward* Letting go.

(61) Prayer for oneself only *toward* Prayer for Others.

From my perspective as a counselor, there is no point on the line of any spiritual axis at which a person is healthy or not healthy. There are people who are moving toward the qualities on the right, which tends to deepen them and gladden others. There are people who are moving toward the values on the left, which may sadden or enrage them and others. And there are people who are stuck and unhappy and do not know why. Everyone is somewhere in between, including the counselor. Following the leads of Ivey, et al (2005), and of Structural-Strategic family therapy, as elucidated by Keim and Lappin (2002), I view clients as stuck, not sick. It is possible to conceive of people as spiritually sick if they regularly block their own or another's development along a spiritual axis. But sickness is not the focus or concern of spiritual-psychological counseling, as I conceive it. Movement is. Everyone can choose at any time to walk faster, or to pause, or to walk in the opposite direction. Each axis represents a choice, like Frost's road dividing in a wood:

Two roads diverged in a yellow wood,  
 And sorry I could not travel both  
 And be one traveler, long I stood  
 And looked down one as far as I could  
 To where it bent in the undergrowth;  
 Then took the other, as just as fair,  
 And having perhaps the better claim,  
 Because it was grassy and wanted wear;  
 Though as for that the passing there

Had worn them really about the same.  
 And both that morning equally lay,  
 In leaves no step had trodden black.  
 Oh, I kept the first for another day!  
 Yet knowing how way leads on to way,  
 I doubted if I should ever come back.  
 I shall be telling this with a sigh.  
 Somewhere ages and ages hence:  
 Two roads diverged in a wood, and I ---  
 I took the one less traveled by,  
 And that has made all the difference.

Robert Frost, *The Road Not Taken* (Frost, 1971, p. 270.)

For Frost the choice was to stay on the farm in Vermont or to risk everything and concentrate on writing poems. This poem inspired the title of M. Scott Peck's *The Road Less Traveled: A New Psychology of Love, Traditional Values and Spiritual Growth* (1978). The counselor can help the client see forks in the road ahead and can help make spiritual choices conscious. These spiritual sub-dimensions or axes are also like the two wolves in a Cherokee story. A grandfather told his grandson about two wolves that live inside of each of us. One wolf is evil. It represents anger, envy, jealousy, regret, greed, arrogance, self-pity, guilt, resentment, inferiority, lies, false pride, superiority, and ego. The other wolf is good. It stands for joy, peace, love, hope, serenity, humility, kindness, benevolence, empathy, generosity, truth, compassion, and faith. These two wolves battle inside of all of us. "Which wolf wins?" the grandson asked. His grandfather answered, "The one you feed."

On some occasions, as Maslow said, one must choose between safety and growth. If a person keeps returning to the same spiritual intersection, that person may need courage to try a different path. One key to spiritual growth is one's willingness and ability to face those aspects of one's ego that are damaging or preventing the development of one's true-self or the true-selves of others. If clients can stay in that awareness, they can grow. It will be painful and frightening. Therapists can help.

C. K. Chandler, J. M. Holden, and C. A. Kolander defined "spiritual development to be the process of incorporating spiritual experience that results ultimately in spiritual transformation. . . . Transformation is demonstrated by the stable expression of a new mode of functioning that is characterized by a broader locus of centrism and by greater knowledge and love" (1992, p.170). Spiritual growth may or may not be precipitated by a spiritual crisis. Spiritual development is marked by an inner struggle, which is often painful, toward a Higher Self within and toward union and communion with a Higher Power without. This struggle and growth bring with them an increased capacity to love others and self (Cashwell & Young, 2005, p. 4).

Some clients will not need to work on some of these spiritual-value axes. Others will need to work on many. Those who are most willing to work will probably have the least work to do. Some will disagree with the proposed oppositions or will suggest others. The spiritual axes are flexible: one can change them or add to them. The ideal is not to move toward the right in all directions. The goal is to find the axes that one currently needs to work on and start. William James described the incompleteability of the spiritual dimensions. In *The Varieties of Religious Experience* he wrote about the need for many different religions and sects, because everyone has different spiritual needs and problems,

different weaknesses and strengths, and all have different gifts (1902/2004, pp. 419 – 420). People are exposed to different temptations and stresses. The same yardstick cannot measure everyone. It may be, as the Hindus believe, that there are old souls, that some people have lived before and have less learning and growing to do. Nonetheless, every human being can grow spiritually in this lifetime, starting at any age from 2 to 102, and no one has less to give. Because of life's infinite complexity and incompleteability, a person could live many lifetimes and still meet new challenges in the path toward inner peace and outer love.

The closest anyone has come to defining spiritual maturity is James Fowler in the seven stages of faith. The stages are shown in table 2. For Fowler, a theologian, the Stages of Faith were a description of how humans dealt with their aloneness, powerlessness, and inevitable death. In response to awareness of these conditions, some people have adopted or formed master stories about their own value, and the value of others, and about their relationship with the power in the universe that is greater than them. These stories help people interpret and respond to the significant positive and negative events in their lives. The stories also disclose the ultimate meaning of people's lives (Fowler, 1981, pp. 276 – 277). Some people have responded to these ultimate questions by becoming atheistic humanists. Fowler thought that development from stage to stage followed a rising spiral pattern. He explained:

Certain life issues with which faith must deal recur at each stage; hence the spiral movements in part overlap each other, though each successive stage addresses these issues at a new level of complexity. Overall, there is a movement outward toward individuation, culminating in Stage 4. Then the movement doubles back,

in Stages 5 and 6, toward the participation and oneness of earlier stages, though at quite different levels of complexity, differentiation and inclusiveness. Each stage represents a widening of vision and valuing, correlated with a parallel increase in the certainty and depth of selfhood, making for qualitative increases in intimacy with self-others-world. Please do not forget that transitions from one spiral stage level to another are often protracted, painful, dislocating and/or abortive. Arrests can and do occur at any of the stages. Also I ask you to keep in mind that each stage has its proper time of ascendancy. For persons in a given stage at the right time *for their lives*, the task is the full realization and integration of the strengths and graces of that stage rather than rushing on to the next stage. Each stage has the potential for wholeness, grace and integrity and for strengths sufficient for either life's blows or blessings. (Fowler, 1981, p. 274)

These words, combined with Rogers's experience of clients making prosocial choices, reassure me that I can, and should, allow clients to seek their own level of spiritual development and move at their own pace. In terms of the stages of faith, Ivey et al recommend that the counselor "start spiritual counseling where the client is" (2005, p. 376).

Fowler wrote that Stage 7, universalizing faith, is extremely rare. In his book *Becoming Adult, Becoming Christian: Adult Development and Christian Faith* (2000), Fowler provided only one example of Stage 7: Gandhi. In their book, Ivey, et al cite Gandhi, Martin Luther King, Jr., and Mother Teresa. Nonetheless, Ivey et al think that it is not so rare that someone can experience Stage 7 as a temporary state of being, or "there is a part of us that can approach it." They pointed out that people often become

counselors in part from a spiritual commitment to service to others. “In those times when you focus your efforts on service to others, you are starting to enter the higher world of universalizing faith. In this one case, we as authors admit that perhaps ‘higher is better’” (Ivey et al, 2005,p. 379).

Fowler thought of each circle of the developmental spiral as being larger than the circle below it. Thus, movement from a lower to a higher stage corresponded with an expansion of a person’s modes of knowing and valuing. One mode is not better than another, but one contains more and takes account of more. Fowler did think that one proper goal for humans is to expand their modes of knowing and valuing, and, thereby, to become religiously mature. That is one reason I have included knowledge and experience as spiritual values in Chapter 11. In 1979, Fowler met with other theologians, educators, and psychologists at the First International Conference on Moral and Religious Development. Fowler and those who attended, including Lawrence Kohlberg and Carol Gilligan, contributed to a book entitled *Toward Moral and Religious Maturity* (1980). There has not been, so far as I have been able to determine, a second such conference. That is evidence, to my mind, that spirituality and psychology remain reluctant to embrace and learn from each other.

Stage 5, individuative-reflective faith, is the first stage at which people consciously see themselves as acting on their own authority and making critical choices concerning what to believe (Fowler, 2000, p. 49). Clients may be more open to the challenges posed by an ecumenical, open-minded approach to spiritual-psychological counseling, such as I envision, if they are at Stage 5 or higher.

Stage 7 is very rare. “Many persons in this stage die at the hands of those they hope to change. Universalizers are often more honored and revered after death than during their lives” (Fowler, 1981, p. 201). In light of these considerations, Fowler has been asked if Stage 7 “truly constitutes a normative image for *all* human becoming? Does a stage that is attained by so few persons in any tradition and that seems to require the coupling of a strong mystical dimension with transforming social action qualify as representing a *general* vocational ideal?” (Fowler, 2000, p. 58). Some have suggested that Stage 6, conjunctive faith, be taken as the normative end point of faith development. Fowler disagreed for these reasons:

Human development toward wholeness is, I believe, always the product of a certain *synergy* between human potentials, given in creation, and the presence and activity of Spirit as mediated through many channels. The most crucial factor differentiating the quality and movement of a person or group’s development in faith, therefore, has to do with the conscious and unconscious availability of that person or group’s potentials for partnership – for synergy – with Spirit. In a complex range of ways, we can be in either conscious or unconscious enmity with Spirit. From a variety of factors, the etiologies of which are exceedingly complex, we can bear deep dispositions that make us inimical to synergy and Spirit. Where and to the degree that we bear this kind of enmity, growth to and in the latter stages of faith will be blocked. When one who was previously blocked experiences the effective breakthrough of Spirit that brings release and new openness to synergy with Grace, we are in the presence of what Christian theologians have traditionally called salvation or saving Grace. Christians have

traditionally called the condition of enmity toward Grace or blockage to synergy with Grace sin. (2000, pp. 59 - 60).

In theology “synergism” is the doctrine that humans are not saved by either grace, or faith, or works alone; that the human will can co-operate with divine grace in the work of regeneration (*OED*, 2007).

The crucial point to be grasped is that the image of human completion or wholeness offered by faith development theory is not an estate to be attained or a stage to be realized. Rather, it is a way of being and moving, a way of being on pilgrimage. . . . The human calling -- which we take to be universal – is to undergo and participate in the widening inclusiveness of those who count as neighbor, from the narrowness of our familial beginnings toward real solidarity with a commonwealth of being. This calling means movement from the limiting love of those who love us and on whom we are dependent toward the limitless love that comes from genuine identification with the source and center of all being. . . . The goal however is not for everyone to reach the stage of universalizing faith. Rather, it is for each person or group to open themselves, as radically as possible – within the structures of their present stage or transition – to synergy with Spirit. The dynamics of that openness – and the extraordinary openings that can come with “saving Grace” – operate as lure and power toward ongoing growth in partnership with Spirit and in the direction of universalizing faith. (Fowler, 2000, p. 60)

### *Conclusions*

Spiritual development continues from birth until death. Spiritual development is a process of learning from sadness and failure, as well as from happiness and success. It involves becoming a constructive rebel: seeking revolutionary spiritual change within oneself and in society, as did Buddha, Moses, Jesus, Mohammed, Ghandi, Martin Luther King, and as do Bishop Tutu and the Dalai Lama. It requires moral self-evaluation and speaking one's truth.

Spiritual development is continuous; however, it can be interrupted and it can stop. It takes courage to grow spiritually, especially if one is stuck. From mid-life on, spiritual development is likely to be the most important type of development. Spiritual development is what allows some humans to grow kinder and softer as they age, instead of becoming bitter and angry on account of past failures, heartbreaks, and illness. One mechanism for becoming wise instead of mean is to listen to the voice of the true-spiritual-self in one's spiritual unconscious and to hear, see, and validate the true-spiritual-selves of others.

Spiritual development is relevant for all clients, regardless of their beliefs or doubts, but it cannot be forced upon anyone. It must be chosen consciously and re-chosen at every spiritual crossroad. Traditional psychology has been silent on the subject of spiritual development or it has deemed it to be a delusion. Clients are likely to come to counseling experiencing pain, often expressed as depression, and fear, often experienced as anxiety. Their developmental may be stuck in any of the traditional ways discussed by Ivey et al (2005), and their spiritual development may be stuck, in ways discussed in this chapter. Counselors can help clients use their pain and fear to increase their appreciation

of spiritual values and to expand their awareness of the spiritual realm of existence. Through such a process, clients can become unstuck and continue to develop both spiritually and psychologically. Chapter 17 will offer one possible approach to helping clients with that work.

In the past four chapters, I have looked at the association between the realization of the clients' true-selves and true values, on the one hand, and their spiritual health and development, on the other. In the next chapter, chapter 13, I will look at research which has shown a correlation between clients' spiritual health on the one hand, and their physical, mental, and emotional health, on the other.

## CHAPTER XIII

### RESEARCH REGARDING SPIRITUALITY

This chapter obstructs the flow of this paper. I would have preferred to compare research regarding the value of spiritual approaches to research regarding the value of scientific approaches to human wellness as I discussed the development of each new school of scientific psychology from Wundt in 1879, to behaviorism in the first half of the 20<sup>th</sup> Century, to cognitive psychology in the latter half. But no such research existed. Not until recently did researchers begin to measure the effects and of spirituality. This chapter discusses every study I found. I found no study prior to 1995. In this chapter I will describe studies that show a correlation between spiritual wellness, on the one hand, and physical and psychological wellness, on the other. I will also consider counselor attitudes toward spirituality, as shown in research surveys. Counselor attitudes are important. There would be little point in developing an integrated spiritual-psychological approach to counseling if counselors had no interest. Finally I will discuss current demographics relevant to a spiritual-psychological approach to counseling.

#### *Spirituality's Correlation with Physical and Psychological Wellness*

Research has shown that spirituality correlates positively with physical and psychological wellness. In *Spiritual Wellness and Depression: Testing a Theoretical Model with Older Adolescents and Midlife Adults*, Briggs and Shoffner (2006) operationalized spiritual wellness through the Spiritual Assessment Scale, a Likert scale developed by Howden (1992), that measured four components of spiritual wellness. Those components were (a) meaning and purpose in life, (b) inner resources, (c) transcendence, and (d) positive interconnectedness. They found that greater spiritual

wellness correlated with lower depression scores among both midlife adults and adolescents. Spirituality and spiritual wellness have also been correlated with quicker recovery from depression among physically ill older patients (Koenig, George, & Peterson, 1998), and with higher scores for positive affect, psychological well-being and life satisfaction (Elam, 2000).

In *The Moderating Relationship of Spirituality on Negative Life Events and Psychological Adjustment* (2000), Young, Cashwell, and Shcherbakova operationalized spirituality by use of the Human Spirituality Scale, a 5-point Likert scale that measured three dimensions of spirituality. Those dimensions were (a) a larger context in which one views one's life, (b) an awareness of life and of others, and (c) a reverent compassion for the welfare of others. Young et al wrote that depression and anxiety are the symptoms for which individuals most commonly seek counseling and that depression and anxiety are often brought about by stressful adverse life events. They found that spirituality provided a significant moderating effect between stressful adverse life events and depression and anxiety. Three-hundred three undergraduates, ages 18 to 29, participated in this study. The authors recommended that the study be repeated with a more diverse population.

If future researchers report similar findings, Young et al argued, that would indicate the efficacy of intentionally integrating spirituality into counseling practice. Eighty-five percent of counselors report a spiritual orientation as compared to 68 % of other mental health practitioners (Kelly, 1995). In light of this, Young et al urged counselors to take the lead in developing a theory and technique that enhances the client's sense of spirituality.

To begin to fill the theoretical lack, two of those authors, Young, and Cashwell edited *Integrating Spirituality and Religion into Counseling: A Guide to Competent Practice* (2005), published by the American Counseling Association. In that book, seventeen contributors discussed the nine spiritual competencies. Those spiritual competencies, approved by the Board of the Association for Spiritual, Ethical, and Religious Values in Counseling are discussed further in Chapter 16.

The operational definitions used in the above referenced research, such as the Spiritual Assessment Scale, were non-theistic and they did not stipulate that the spirit is real. The spirit could be a metaphor and spirituality could mean nothing more than non-materialistic values. On the other hand, some definitions were based on religious practice. In a 28-year longitudinal study, Strawbridge, Cohen, Shema, and Kaplan (1997) found that more frequent church attendance was correlated with better physical health and longer lifespan. These effects remained significant when adjusted for the improved health practices, more extensive social connections, and greater marital stability of frequent churchgoers.

Some definitions provided by researches have distinguished between non-religious spirituality and a religious spirituality that is connected with a specific organization and set of beliefs, which beliefs would presumably, at least in the United States, include a belief in God (Elam, 2000). Graham, Furr, Flowers, and Burke (2001) found that participants who expressed spirituality through religious beliefs had greater spiritual health and immunity to stressful situations than participants who identified themselves as spiritual but not religious.

### *Meaning of Spirituality for Clients*

God was named explicitly when the researchers began their research by asking the participants what spirituality meant to them. Koenig, Larson, and Larson (2001) reviewed the research regarding the role religion plays in helping patients cope with serious illness. They found that a significant percentage of patients reported that religious beliefs and practices were the most important factors that enabled them to cope. They found that developing a personal relationship with God, serving God by serving others, and finding self-worth through religious identity, rather than through physical capabilities, helped people experience psychological growth during suffering.

### *Counselor Attitudes toward Spirituality*

In a survey of the attitudes of Licensed Professional Counselors toward the importance of spirituality in counseling, Hickson, Housley, and Wages (2000) found that more than 85% of the respondents agreed or agreed strongly with five of the fifteen statements on their survey. Those five statements were: Significance of LPCs' self-awareness of spiritual beliefs (94%); Awareness of the spiritual self as a powerful psychological change agent within the counseling process (90%); Need for the skills and ability to discuss spiritual issues (89%); Belief that there is a universal yearning within all humans to tap into their spiritual selves (86%); and Willingness to discuss spirituality when counseling gerontological clients (86%). Surveys of family counselors reported in Chapter 7 (Walker, Gorsuch, & Tan, 2004; Carlson, Kirkpatrick, Hecker, & Killmer, 2002) showed that most family counselors place a high value on their own spirituality and on their clients'.

*Demographics Relevant to a Spiritual-Psychological Approach to Counseling*

Between 82 % (Harris Interactive Poll, 2005) and 92 % (Fox News Poll, 2004) of Americans reported a belief in God. Seventy percent of Americans reported a belief in the soul and life after death (Harris Interactive Poll, 2005). Although most believe in God, 42% of Americans are “*not* absolutely certain” that there is a God (Harris Interactive Poll, 2006) and only 34 % attend church regularly (Pew Forum on Religious and Public Life, 2008). Approximately 20 % of Americans consider themselves “spiritual but not religious;” that is, they are uncomfortable with all denominations, but they believe in God or in some transcendent spiritual reality. The spiritual-but-not-religious may now constitute the third largest religious group in the United States, after Catholics and Baptists, and their number is growing (Fuller, R. C., 2001; ReligionLink.org, 2003). Theologian James Fowler (2000) thought that the increasing contemporary use of the word “spiritual” is a good thing, because it indicates that people value spirituality and are willing to practice it, even outside of established religions.

In *The Stages of Life: Modern Man in Search of a Soul*, Jung wrote that after age 50 the main concern of his clients was the ultimate meaning of their lives (1933). The population is ageing. The U.S. Census Bureau indicates that 29.8% of the United States population is age 50 or above as of 2006, and projects that 36.0 % will be 50 or over by 2030 (U.S. Census Bureau, 2008). In 2003, life expectancy in the US hit an all-time high of 77.6 years (National Center for Health Statistics, 2008). As people get older they are more likely to face illness, their own death, and the illness and death of others.

Traditional psychology provides them little guidance or comfort.

Stephen Prothero, chair of the Religion Department at Boston University, believed that religious literacy is a necessary part of cultural literacy. His research convinced him that most Americans are religiously illiterate. He advocated teaching religious texts and beliefs objectively and critically in public schools, including the harmful aspects of religions (Prothero, 2007a, 2007b; *Time*, 2007). I define spiritual literacy to include knowledge of the following history described by Principe (2006): In the Middle Ages, theology was the most honored and most difficult branch of study to pursue. Theology is still characterized by the pursuit of knowledge, but most people are unaware of the work of any contemporary theologians. In the late 18<sup>th</sup> Century, German theologians developed what is called “higher criticism,” by which was meant an analysis of the *Bible* and other religious texts from a historical, textual, and philosophical perspective. As long ago as Saint Augustine (354 - 430 AD) some theologians had recognized that every word of the *Bible* could not be literally true, because of the two conflicting stories of the creation in Genesis. According to Principe, not taking the *Bible* to be the literal dictation of God liberated its spiritual message. Armstrong's *The History of God* (1994) and Borg's *Reading the Bible Again for the First Time* (2001), which are referenced in Chapter 2, are examples of higher criticism. My research has been an attempt to provide a foundation for an approach to counseling that is both psychologically and spiritually literate.

#### *Conclusions regarding Research and Demographics*

Recent research on spirituality has shown the following:

- (1) There is a correlation between spirituality, on the one hand, and mental health and longevity, on the other. A caveat is in order: the definitions of spiritual

health used by the above studies vary among each other and are each different from my definition of spiritual health, which is given in the last chapter.

Therefore, the above-described benefits may not accrue to a client who obtains spiritual health as I have defined it.

- (2) Most clients rely on spirituality when faced with physical or psychological adversity.
- (3) Many counselors agree that spirituality is an important aspect of psychological health and development, and an important part of counseling.
- (4) Many counselors feel uncertain of how to incorporate spiritual concerns in counseling and would like to receive training in this area.
- (5) Although most clients and counselors say they value spirituality, if they are like most Americans, they are spiritually illiterate.

Despite those findings, the fields of counseling and psychotherapy have been slow to develop and teach approaches to spiritual-psychological counseling. This paper is an attempt to help fill that gap.

At the beginning of this research, I set out to find the answers to seven questions regarding the relationship between the spiritual and the scientific views of psychology. In the next two chapters, Chapters 14 and 15, I will re-state those questions and summarize the answers I have found.

## CHAPTER XIV

WHAT I AS A COUNSELOR LEARNED FROM THE HISTORY  
OF THE RELATIONSHIP BETWEEN PSYCHOLOGY AND SPIRITUALITY

The seven research questions with which I began this paper appear in table 3. I have added letters in parentheses to subdivide some questions. This chapter will discuss the answers I found to questions 1 through 4. Chapter 15 will discuss questions 5 and 6. Chapter 16 will answer question 7, for me, for now. When a question is first addressed, I italicize it as a heading in the left margin. I conclude this chapter with a discussion of my hopes and concerns for the future relationship between spirituality and psychology.

Table 3. The Seven Research Questions

- (1) What is the history of the relationship between the spiritual world-view and the scientific world-view?
- (2) (a) Were the scientific and the spiritual views of human beings ever the same?  
(b) If they diverged, when did they diverge? (c) What was the nature of that separation: how did each view the other? (d) Does antagonism toward religion still dominate psychology, or is the science of psychology becoming more open to the value of spirituality?
- (3) (a) What, if anything, was missing from the spiritual view that the scientific view contributed? (b) What, if anything, was missing from the scientific view that the spiritual view included?
- (4) Are there recognized approaches to the study of psychology and the practice of psychotherapy that include spiritual perspectives and values? If so, how do those approaches differ from scientific psychology?

(5) What are spiritual values? How do they differ, if at all, from materialistic, scientific values? What are the possible goals for the client in an approach to counseling that is spiritually based? How do those differ, if at all, from the goals of scientific approaches?

(6) Are the spiritual and the scientific views of human beings and psychotherapy (a) complementary and supportive; or (b) distinct and non-overlapping; or (c) at odds; or does that answer depend on the client, the context, and the problem?

(7) Is there a way to combine the spiritual and scientific world views in one approach to counseling, that, based on my research, class work, and experience with clients, I would feel comfortable recommending to clients? What should that approach look like?

*(1) What is the history of the relationship between the spiritual world-view and the scientific world-view?*

Throughout this chapter and the next, I will discuss what I have learned of the history of the relationship between the two world-views that seems significant to me as a counselor who hopes to be sympathetic to the concerns of both viewpoints.

*(2)(a) Were the scientific and spiritual views of the world ever the same?*

There was once no separation between the individual's psyche, religion, secular power, and culture. Before humans wrote, when history and knowledge were oral, individual human beings must have felt as if they had been born floating on a small raft down a wide river. The current carried them. Sometimes the river was so wide that people could not see the sides. They thought that the river was all there was. When people were born, their parents threw them on to the river, as their parents had thrown

them, and from then on the river defined the meaning of their lives. If one was a man whose father had been a tailor, that man would probably be a tailor until the end of his life. If one was a woman, one would bare children, send sons off to war, and often die in childbirth. Life ended when people got to the sea, which swallowed them into oblivion. Government, religion, and culture powered the river's current; they flowed in the same lineal direction. No one climbed out onto the banks to look around. The river moved too fast and life was too short.

The Iliad was composed in approximately 900 BC, the Odyssey shortly thereafter. In ancient Greece, the Iliad and the Odyssey were repeated aloud by rote at important government holidays. The tradition was oral; there were few books and almost no libraries. The Iliad and the Odyssey passed down the religious and cultural teachings of ancient Greece. The Iliad taught courage in battle. The Odyssey taught the dangers of climbing out of the river: if you wander far from home, monsters will eat you.

Sometimes a tributary would join the main branch and bring strangers floating down along an unknown river, speaking an incomprehensible language. When barges met, conflict arose. People tended to conceptualize that conflict either in terms of power (Alexander the Great) or in terms of understanding (Euripides). In ancient times most people lived in small in-groups, and feared and fought members of out-groups; so they were likely to think of conflict in terms of power. It seems to me that the power perspective has been dominant for most of history and still is. Recently, more and more people are advocating understanding, openness, and collaboration instead. Some people conflate power and spirituality and take up a sword for their beliefs; some conflate power with scientific knowledge and material wealth. Others seek to understand the world either

in terms of spirituality or materiality, but not from both perspectives. For the most part, the science of psychology has been an attempt to understand people from a materialistic perspective.

In 560 BC one man climbed out of the river alone in northern India. His given name was Siddhartha Gautama. He became known as Buddha, which means “I am awake” or the “Enlightened One” (Smith, 1986, pp. 121 - 122). His concerns were mainly spiritual. His principle dispute was with the river: he objected to the Hindu caste system and taught that each individual can be her or his own spiritual guide. He did not articulate a position on whether the spiritual or scientific view of life was superior. The scientific world-view had not yet developed.

Eastern views of spirituality are not considered in depth in this paper. I include Buddha’s action because it gives a sense of perspective: it shows how extraordinary such actions were and it is humbling to Western pride to realize that an Easterner went first. As a counselor, I wish to be ecumenical: I wish to be welcoming and open to all spiritual beliefs. I would not have excluded Eastern beliefs from this paper, but for my own ignorance. I have no intention of excluding people who have those beliefs from the approach to therapy that I will suggest in Chapter 17. If they come as clients, we can learn together. If they are counselors, I hope they will make suggestions that will expand my approach. In the *Handbook of Psychotherapy and Religious Diversity* (1999), the editors Richard and Bergin present approaches to therapy with clients of many different faiths, including Hindu, Muslim, Buddhist, and Native American clients, written by therapists who practice those faiths.

*(2)(b) When did the scientific and spiritual views diverge?*

The second person I know of who climbed out of the river was Socrates (470 – 399 BC). There must have been something about the conditions in Athens, Greece in its Golden Age that gave Socrates hope; and there must have been something about the person that gave him vision and courage. He encouraged others to join him, including Plato, who formed the Academy. Socrates thought independently, not as the government and state religion had taught him to think. As a result, he was found guilty of both treason and atheism, and condemned to die.

Aristotle (384 – 322 BC) climbed out on the other side of the river and formed the Lyceum, where he taught Alexander the Great. Aristotle began to develop the scientific world-view of nature and of human beings. At the time of Socrates and Aristotle, there was no word for psychology and no need for it. As Jung pointed out (1931/1971), most people did not think of themselves as having any questions which the culture did not answer. The river, however, did have an undercurrent: the collective unconscious, which carried human capacities in symbolic form. This accounts for similarities in the myths of different parts of the ancient world.

*(2)(c) What did those who held a spiritual world-view think of those who held a scientific world-view, and vice versa?*

Socrates and his student Plato were of a metaphysical temperament. They climbed out on the spiritual side of the river. They sat by the river's bank and wondered about the true, underlying nature of reality; they wondered about morality and virtue, about the soul and the meaning of life, and about death. They gained knowledge by inner contemplation and Socratic dialogue, demonstrating the human ability to think for one's self and to

defend the value and truth of one's thoughts. Aristotle was more practical and precise than Socrates or Plato. He climbed out on the materialistic side of the river. He valued gaining knowledge by careful observation of external reality; for example, he collected and catalogued shells along the river's bank and coined the term *mollusca*, meaning soft-bodied (Conchologists of America website, 2008). From the beginning, there have been people of all Jungian types on both sides; however, the intuitive-feeling types tended to gather on the metaphysical side, and the intellectual and direct-perceptual types have tended to congregate on the materialistic side.

These three men were contemporaries; they could have built a bridge across the river. They did not. Socrates, Plato and Aristotle represent two distinct acts of divergence. (1) All three diverged from the river. They stepped out onto the banks, saw the world with independent eyes, and described what they saw for others. (2) Then they diverged from each other: Socrates and Plato described the world symbolically and metaphysically; Aristotle described it literally and physically. Instead of seeing themselves as supplementing each other's world-view, they took the attitude that one view was correct and the other view was incorrect.

*(2)(d) Does antagonism toward religion still dominate psychology?*

From 400 BCE to 2008 CE, most Europeans who held a scientific world-view have been antagonistic toward religion. Suspicion of spirituality was intensified by the fact that Hypocrites (460 – 377 BC), the father of medicine, was a materialist who disfavored spiritual explanations and cures. The principal early psychologists all had medical degrees: Wilhelm Wundt, William James, Freud, and Jung. In the first half of the 20<sup>th</sup> Century, most psychotherapy was practiced by psychiatrists with medical degrees, as

opposed to those with PhDs in psychology or Masters Degrees in counseling. Academic psychologists, who worked in laboratories, were usually monists, beginning with Wundt (1832 - 1920) and continuing through the work of radical behaviorist Skinner (1904 – 1990). In their laboratories, then and now, they often worked with rats, instead of humans. They denied the existence and relevance of God and the soul.

*(2)(e) Is the science of psychology becoming more open to the value of spirituality?*

Looking back through the literature of the 20<sup>th</sup> Century, it appears that since around 1990, slowly but steadily, an increasing number of psychologists and counselors have been recognizing the importance of the spiritual side of people. In part, this results from multiculturalism, which teaches that if spirituality is important to a client, the counselor should respect it, whether or not it corresponds to an objective reality. I will return to consideration of this current improvement in the relationship between psychology and spirituality, its possible causes, and where it may lead, at the end of this chapter, under Future Prospects.

*(3) (a) What, if anything, is missing from the spiritual view that the scientific view contributes?*

After the deaths of Socrates, Plato, and Aristotle, according to Durant (1933), the European world fell into intellectual darkness for 1, 800 years. It takes enormous energy and some courage and optimism for an individual or society to obtain and maintain a perspective independent from the river. The world fell back into the river and did not climb out again until Francis Bacon (1561 – 1626) lit intellectual lamps in the minds of European thinkers. Unlike Socrates, Bacon's contribution was not that he climbed out of

the river himself. Bacon's contribution was that he inspired others to climb out, and he showed them how.

Bacon articulated a new approach to knowledge: the method of experimental testing and inductive reasoning. This path lay on the materialistic side of the river. He warned that there were two enemies to materialistic progress: "idols of the tribe" (the river) and "superstitious philosophies" (the metaphysical side of the river). Bacon named Plato as a superstitious philosopher, and explicitly discouraged attempts to bridge the river, which he thought would lead to muddy thinking. Bacon articulated a scientific value that the spiritual view was missing: hard-nosed realism and an objective search for knowledge, which lead to material progress.

Bacon had a receptive audience. At the outset of the 16<sup>th</sup> Century, the European river was powered by the Catholic Church and by temporal rulers who collaborated with the Church. Free thinkers were starved for intellectual food; they longed for a view of life that was independent of the perspective of the river, and since 1454, they had had a tool waiting to assist in their liberation: the printing press. Two of the most transformative occurrences of the Reformation were the publication of Luther's German translation of the *Bible* (1522) and the King James Version of the *Bible* (1611), which allowed everyone access to the river's secrets and the opportunity to interpret them for themselves, even if that led to heresy.

In Bacon's time, most people were still dualists: like Socrates and Aquinas, they believed in a soul, which survived death. The soul is different from the material body. Monists, on the other hand, believe that there is only one dimension to reality: the material dimension. By 1800, monists were gaining strength rapidly in every scientific

field in Europe. Darwin's *Origin of Species* was published in 1859. Darwin, himself, came to conclude that his research supported the view that humans were material and animal and nothing more. This was considered an enormous victory for monism and still is. The dualists have had no victories since. One could look at the evolution of human beings as a joint project of God and chance, but almost no writers have. An alternative way of defining dualism is openness to the value of both the material and the spiritual perspective. In that sense, dualism may be regaining respectability.

*(3)(b) What, if anything, is missing from the scientific view that the spiritual view includes?*

Carl Rogers thought "ethics is a more basic consideration than science" (1961, p. 214). It would be hard to arrive at a code of ethics by the scientific method unless the scientist took a survey. Individual scientists can choose to adopt a moral code and follow it. Until they do, science is amoral and morality is optional; when they do, they sit in judgment of themselves. Amoral therapy may value the client's present happiness above painful moral reflection and spiritual growth. Morality is part of the spiritual DNA of the universe. Morality is one of the principal concerns of religion. When religious people act immorally it is a concern of all religious people.

If the spiritual realm is real, scientific psychology is missing half of reality. Table 4 compares some materialistic assumptions to some spiritual beliefs. If the spiritual realm is real, those who accept only the materialistic assumptions are missing everything on the right-hand half of this table. Some atheists respond that the right half is delusional. Some agnostics argue that there is no way to know if the right-hand half is real, and they are content to wait until death to learn.

Table 4: Materialist Assumptions Compared to Spiritual Possibilities.

The natural/supernatural line	
<u>Materialistic Assumptions</u>	<u>Spiritual Possibilities</u>
Belief	Belief
Belief	Hope *
There is no God.	God(s) may exist.
Humans are alone in the universe.	It may be possible to communicate with God(s) through prayer and to obtain guidance and help for one's self & for others. God may provide an experience of companionship and of being loved.
Spirit and Soul are metaphors.	Spirit and Soul may be real and survive this life.
Individuals' contributions are limited to this lifetime, plus however long they are remembered or the impact of their works is felt.	The Soul and Spirit may take what they have learned in this life into the next, and may continue to grow there. It is, therefore, possible to develop and learn up to the moment of death and possible to learn as much from one's pain and failures as from one's success & joy.
Love is carnal.	Love is spiritual and loving relationships may endure into another realm of existence or into another lifetime. Love entails sacrifice, loss, and pain, as well as joys.
Humans invented morality. James thought that the best morality humans have invented is utilitarianism: the greatest good for the greatest number.	Morality, including good & evil, has an external reality based in God, but felt inside of humans, as their conscience. God's morality is how s/he hopes we will treat each other. Following that morality may lead to personal unhappiness. (Kant, James, Lewis.)
The impact of good and bad deeds is limited to their material consequences.	Human good and evil have consequences for the individual's spiritual development and the spiritual welfare of others, in this life and the next. It is, therefore, never too late to make amends, to ask for forgiveness, or to forgive
Human evolution is done.	Human evolution, including moral evolution, may be continuing in the Collective and Spiritual Unconscious and present humans can contribute to its growth. (Jung, Assagioli.)

\* Lawrence Crocker, Senior Lecturer in Philosophy at Dartmouth, pointed out in the

as yet unpublished book *Hope to God*, that hope is generally spoken of as a spiritual value. It is possible to pray, for oneself and others, not in certainty, but in hope. I am also grateful to Crocker's *Hope to God*, pp. 36 – 37, for the phrase “the natural/supernatural line.” (Used with permission, August 3, 2008.)

*(4) Are there approaches to the study and practice of psychology that include both the scientific and the spiritual perspectives?*

Maslow (in Frick, 1971) observed that there might be an inborn tendency to see reality one way or the other, not both ways at once. Because it takes energy and courage to climb out of the river and to see reality with unprejudiced eyes from either side, most people follow a scientific or religious leader, not their own private vision. This may account for why so few have attempted to see with independent eyes from both sides; that would require overcoming the pull of the river (social acceptance) and the claim of each side that the other side is wrong.

In my research I found, in the 2,400 years since Socrates and Aristotle, only the following persons who have made an effort to combine the two world-views (listed chronologically from the earliest to the most recent): Thomas Aquinas, who defaulted to doctrine; William James; Carl Jung; William Wilson, founder of AA; Roberto Assagioli, creator of psychosynthesis; M Scott Peck, author of the best selling *The Road Less Traveled: A New Psychology of Love, Traditional Values and Spiritual Growth* (1978); Rollo May (1989a); pastoral counselor David Benner (1998); Elio Frattaroli (2001); P. Scott Richards and Allen E. Bergin, editors of *Handbook of Psychotherapy and Religious Diversity* (1999) and authors of *A Spiritual Strategy for Counseling and Psychotherapy* (2005); William R. Miller, editor of *Integrating Spirituality into Treatment: Resources for Practitioners* (1999) and *Judeo-Christian Perspectives on Psychology: Human Nature, Motivation, and Change* (2005); Allen Ivey, Mary Ivey, Jane Myers, and Thomas

Sweeney authors of *Developmental Counseling and Therapy: Promoting Wellness over the Lifespan* (2005); Craig S. Cashwell and J. Scott Young, editors of *Integrating Spirituality and Religion into Counseling* (2005); and spiritually sensitive person-centered counselor Brian Thorne. All of these authors wrote well and thoughtfully. Some did not suggest any specific approach to counseling. Among those who did, for reasons I discussed in Chapter 8 on Contemporary Theories that Combine Spirituality and Psychology, I found no approach that I could adopt completely as my own. Brian Thorne is the exception. His conclusions concerning the relationship of spirituality and psychology were the closest to my own. His approach is discussed in Chapter 17. I list all of these authors and their works here so that readers can turn directly to them and make their own evaluation. In Chapter 17, I will combine what I have learned from the above writers with my experience as a counselor, to suggest my own approach to spiritual-psychological counseling. All of these people have written books on this subject. I am omitting people who have written just articles, chapters, and dissertations. There must be many writers I did not find and to them I apologize.

All of these writers respected spirituality as important for the client. They all allowed for the possibility that the soul and God might be real and that, therefore, healthy people may sanely choose to pursue goals that lie on the supernatural side of the natural/supernatural line in table 4. Clients may seek to act in present material reality so as to benefit themselves or others in future spiritual reality. Many of these writers dealt explicitly with morality, a subject that psychology has avoided. I consider morality further in the next chapter.

*Future Prospects for the Relationship between Spirituality and Psychology*

In Bacon's time there was a hunger for a view of reality that was free from the river and religion. Ever since Bacon's time, most respected scientists, including psychologists, have advocated a materialistic, research based approach to human knowledge that was untainted by "idols of the tribe" or "superstitious philosophies." Since around 1990, more and more books and articles have appeared written by psychologists and counselors who argue that spirituality is part of what it means to be human and that counselors should, therefore, be sensitive to this aspect of their clients' needs and longings.

I think interest in the spiritual aspects of psychotherapy will continue to increase, and that those who are now concerned will not be easily satisfied or silenced. I feel a hunger today similar to the hunger that existed in Bacon's time: a hunger to see more of the world more clearly, without prejudice. Such a view is now obscured by scientific psychology's refusal to see or consider the metaphysical side of the river, which means some psychologists are missing part of what their own clients value. It seems to me that more and more people are becoming four-dimensional: they are intentionally striving to relocate the center of the personality away from the ego toward a center greater than themselves. They see conflict not in terms of power, but in terms of understanding, openness, and collaboration. More and more people have tried on binoculars and have seen both sides of the river at the same time. It seems to me that this is a matter of spiritual development and religious maturity, which is occurring in the collective and spiritual unconscious of the human race, and that people who have reached this point will not willingly turn back.

Four-dimensional, binocular viewing requires independence from both the river and from the two dimensional, either-or thinking of both sides. I conjecture that the Western world has reached a critical mass of people at Fowler's stage 5, conjunctive faith. These are people who are spiritually open-minded and flexible. They are well educated and not intimidated by either religion or science. They are curious about both sides of the natural/supernatural line. This curiosity and movement to stage 5 and beyond are accounted for in part by the facts that the population is aging and stage 5 tends to occur at midlife and beyond. These people are likely to be among the spiritually non-religious. Their numbers are growing. They are less likely to go to church. They may be in need of and open to an approach to counseling that combines traditional scientific benefits with spiritual benefits. I will suggest one such approach in chapter 17.

Questions 5 and 6 (What are spiritual values and are the spiritual and scientific views supportive or at odds?) are addressed in the next chapter. Question 7 (Is there a way to integrate the two world views in counseling?) will be answered in Chapters 16 and 17.

## CHAPTER XV

## WHAT I LEARNED REGARDING VALUES AND MORALS IN COUNSELING

I found it difficult to answer questions 5 and 6: What are spiritual values and do the two worldviews conflict in counseling? These questions, and their answers, are intertwined. If spiritual values are at odds with materialistic, scientific values, then the two views would presumably be at odds in counseling. At some point, clients and counselors would have to choose between them. My discussion of these questions below does not satisfy me, but I offer it as a necessary beginning.

*(5) What are spiritual values? How do they differ, if at all, from materialistic, scientific values? What are the possible goals for the client in spiritually based counseling? How do these differ, if at all, from the goals of approaches to therapy that are scientific?*

Goals and values go together: people pursue and emulate what they value. Scientific approaches to therapy tend to be based on the medical model, which values wellness and sees the client as sick. The goal of treatment is to make the client physically better. If the client is feeling sad or anxious, the goal is to make the client feel happy and secure. It is assumed that there may be a physical cause and cure for sadness and anxiety. When the effectiveness of evidence-based treatments is tested, clients are asked, “Do you feel better?” and therapists are asked, “Did you ‘cure’ the client?”

In comparison, spiritual approaches to counseling can be conceptualized as turning pain, sadness, and anxiety not into happiness, but into spiritual growth, which is harder to measure objectively.

When Bergin (1980) asserted that counseling needed to include theistic values, Ellis (1980) countered indignantly that atheists have values too. Almost any goal can be

conceptualized as advancing either materialistic goals or spiritual goals; for example one can work hard to earn a good income so that one can buy nice things (material) or so that one can give to charity (spiritual) or both. An approach to psychotherapy that bridges the river would be open to the client's need to grow in both ways. Clients should choose their own pathways to growth as an exercise of free-will. Clients' free-will is maximized to the extent they are free of the river. They are free of the river if they can question themselves and society. Socrates and Aristotle both questioned. One questioned the nature of metaphysical reality; the other questioned the nature of physical reality.

Parents, family, schools, government, and churches push people back into the river. Pressures for social acceptance and the fear of being left out on earth and in heaven draw people back. Empathy and compassion for others can give people courage to fight the river's pull. Learning to value their true-selves can help people see with their own eyes and speak their own truth. Clients can be invited to sit beside the river and look at their lives and society with self-less desire.

Morals are different from values. I discuss morality below under the heading Moral Considerations in Counseling.

*(6) In the practice of psychotherapy, are the spiritual and the scientific views of human beings (a) cooperative; or (b) complementary and supportive; or (c) distinct and non-overlapping; or (d) at odds; or does that answer depend on the client, the context, and the problem?*

The two world-views are each part of most clients' reality; however, many scientists have seen religion as an enemy and many priests have seen science as an enemy. As a counselor, I do not want to choose sides, but I need to be sensitive to both.

In *Nonoverlapping Magisteria* (1997), paleontologist Stephen Jay Gould took the position that religion and science constituted and should continue to constitute two separate and non-overlapping teaching authorities. The Catholic Church uses the term “magisterium” to denote its teaching authority. It comes from the Latin word “magister,” which means teacher. Gould described himself as an agnostic Jew, who, nonetheless, believed that it is possible to be both a good scientist and a religious Jew or Christian. He thought that there is no conflict between science and religion because there is no “overlap between their respective domains of professional expertise—science in the empirical constitution of the universe, and religion in the search for proper ethical values and the spiritual meaning of our lives” (p. 18). Gould continued:

The net of science covers the empirical universe: what is it made of (fact) and why does it work this way (theory). The net of religion extends over questions of moral meaning and value. These two magisteria do not overlap, nor do they encompass all inquiry (consider, for starters, the magisterium of art and the meaning of beauty). To cite the arch clichés, we get the age of rocks, and religion retains the rock of ages; we study how the heavens go, and they determine how to go to heaven.

(Gould, 1997, pp. 19 -- 20)

Conflict would arise if either attempted to exercise authority in the jurisdiction of the other: if, for instance, pastors claimed evolution to be false or if scientists made what Crocker called a naturalistic “induction across the natural/supernatural line” (Crocker, 2008, p. 39). Crocker mentioned Richard

Dawkins's assertion in *The God Delusion* that God "almost certainly" does not exist (Dawkins, 2006, p. 111) as such an unjustified induction. Gould wrote:

I have enormous respect for religion, and the subject has always fascinated me, beyond almost all others (with a few exceptions, like evolution, paleontology, and baseball). Much of this fascination lies in the historical paradox that throughout Western history organized religion has fostered both the most unspeakable horrors and the most heart-rending examples of human goodness in the face of personal danger. (The evil, I believe, lies in the occasional confluence of religion with secular power. The Catholic Church has sponsored its share of horrors, from Inquisitions to liquidations—but only because this institution held such secular power during so much of Western history. When my folks held similar power more briefly in Old Testament times, they committed just as many atrocities with many of the same rationales.) (Gould, 1997, p. 61)

Gould was warning that the religious world-view can be dangerous when it is joined to the power of the river. When religion, secular power, and culture join, they overwhelm most individuals' ability to see and think independently. Science may pose less danger of such abuse because, from the time of Aristotle and Hypocrites, it has attempted to position itself apart from the river, in order to see and measure nature and health more accurately.

Gould concluded, "I believe, with all my heart, in a respectful, even loving concordat between our magisteria—the NOMA solution. NOMA represents a principled position on moral and intellectual grounds, not a mere diplomatic

stance” (p. 60). As a rationalist, Gould relied on “intellectual grounds” so as not to overlap with religion’s moral jurisdiction. Gould seemed to be saying that the spiritual magisterium takes precedence in matters of morality; therefore, if a contradiction should arise, the spiritual magisterium would decide questions of value and ethics. I find that puzzling because it would secede moral jurisdiction to a realm lead by people, many of whom Gould must have thought were suffering from a possible delusion that God exists.

Gould wrote with a Harvard accent. I find his “more scientific than thou” tone condescending at times. When I first read *Nonoverlapping Magisteria*, my reaction was that there must be occasions when materialistic values overlap with and contradict spiritual values and when one must choose. As I conducted research for my paper, I looked for occasions when the spiritual world-view might overlap and conflict with the materialistic: times when a person must choose either a spiritual path or a materialistic path, and to choose one would clearly be wrong by the standards of the other. I could think of very few. As examples of times when some people put temporary materialistic gain or comfort ahead of long term spiritual value, I thought of abortion, physician assisted suicide, and the death penalty; although there are sensitive religious thinkers on both sides of these issues. As examples of people putting spiritual belief ahead of scientific knowledge, I thought of martyrs and Christian Scientists who refuse medical care.

In *Care of Souls: Revisioning Christian Nurture and Counsel* (1998), pastoral counselor David Benner discussed differences between the values of soul care and the values of traditional lay counseling. As I read his book, I made a list of his suggestions

and added my own. The result appears in table 5, page 313. As a person who values spirituality, I tend to prefer (as an ideal which I may not live up to) actions that reflect the Values of Soul Care, which are listed on the right-hand side of this table. Nonetheless, the values of soul care do not literally contradict the values of traditional, secular care. They express an alternative or expanded perspective.

Romantic poet John Keats thought of life as “a vale of soul making” (Keats, Letter written to his brother and sister between February and May 3, 1819, in R. Gittings, ed., *Letters of John Keats*, 1970, pp. 249 – 250). It seemed to him, and it seems to me, that disappointments, failures, and pain are essential parts of the growth of the soul. Disappointment, failure, and pain have spiritual value, which evidence-based, scientific psychotherapy devalues. The evidence behind most evidence-based approaches consists of positive outcomes, such as success and happiness. Nonetheless, I cannot say, nor do I believe, that if others make materialist assumptions and pursue materialistic values, they are morally wrong or that their lives are meaningless. If people wish to develop spiritually and they pursue materialistic values, they may be mistaken, but they are not morally wrong.

I believe that spiritual development results from pursuit of the values of soul care. I think that if people continually choose only materialistic goals, they will eventually feel empty, lonely, and incomplete. But some people have no spiritual temperament or curiosity and they may never develop either; they may be like the people Abraham Maslow called the “merely healthy,” people who were content to fulfill their basic needs and never strove for self-realization or transcendence (Maslow, quoted in Frick, 1971, p. 42). If the spiritual realm is real, everyone may be drawn to (continued on p. 314)

Table 5:

<u>Values of Traditional Lay Counseling</u>	<u>Values of Soul Care</u>
Emphasis on cure.	Emphasis on care.
Diagnosis.	People are more than their symptoms and more than their illness.
Symptom removal.	Openness to the value of one's own pain and empathy for the pain of others.
Self-sufficiency.	Living our lives aware of the reality that we are in the presence of God.
Moral safety.	Moral maturity.
Control of others and of the environment.	Relinquishment of the idea that a person can master life.
Willfulness.	Willingness.
Self-realization	Self-denial.
Personal success.	Personal sacrifice.
Freedom.	Discipline.
Self-reliance.	Community.
Service to friends and family.	Service to strangers.
Self-esteem.	Self-transcendence.
Individual growth.	Expanding self.
Security.	Openness to darkness. (This is especially true of Jungian approaches.)
Postponement and denial of death.	Acceptance of death.
Self-control.	Surrender.
Understanding.	Awe.
Know-how.	Spiritual literacy, recovery of the sacred in everyday life.
Competency in I – it relationships.	Competency in I – thou relationships, dialogue.
Progress.	Openness to the cycles of existence.
Busy-ness.	Stillness.
Search for a home on earth.	Search for a home in the universe.
Wisdom.	Trust.
Happiness.	Vitality. Peace.
Understanding.	Acceptance.
Answers.	Hope.
Love	Unconditional love.
Truth	Loyalty
Accuracy	Truth
Utility	Beauty
Experience	Naiveté

(continued from p. 312) explore spiritual questions and their own spirituality at some point; but I may be wrong, and it is not for me as a counselor to say when, if ever, that point is.

Rollo May left the ministry to become a psychotherapist, but he did not think that God was dead. He thought that religion and scientific psychology were complementary collaborators. May wrote "psychotherapy concerns itself with helping straighten out the structure of the meaning of an individual's life, and religion is the meaning" (May, 1940, p. 24). Religion teaches us to love our neighbor, and psychotherapy helps us understand why that is so difficult to do. May's complementary domains are almost indistinguishable from Gould's nonoverlapping magisteria. May also thought that people experience values dialectically: that humans realize their potential not by achieving one end or the other of opposed qualities, but by balancing and compromising the two. In this process people are often pulled too far in one direction and compensate by going too far in the other. Eventually, as a result of this process, they experience psychological and spiritual growth. The dialectical perspective values failure and sadness, as well as success and happiness, and it values sin as part of the dialectic of good and evil. May thought that people needed to experience both good and evil, and to be aware of both within themselves, in order to appreciate the good and empathize with the bad.

#### *Moral Deliberations in Counseling as a Precursor to Spiritual Development*

It is important that Gould, a respected agnostic, conceded jurisdiction of moral concerns to religion. For science, morality is optional and that option may not be exercised. Religion, on the other hand, views morality as an essential concern of every human being. If morality is only a concern of the spiritual magisterium; if psychology

and psychotherapy are to be exclusively scientific in their concerns and approaches; then it follows that the science of psychology should not address morality. However, Gould also wrote, “It is only by paying attention to both that we become fully human. The attainment of wisdom in a full life requires extensive attention to both domains,” the spiritual and the scientific (1997, p. 18). In my opinion, attainment of wisdom in psychotherapy requires attention to both magisteria. If one is a scientist who studies fossils or stars, one can limit one’s focus to the data, at least while on the job, and hold one’s awe in check. But if counselors are not ready to address a client’s spirituality while on their job, they may miss half of what it means to be human, and if they do not address the client’s moral questions, they will be missing half of what it means to be spiritual.

Jung, May, and Peck (1978) insisted that evil is real and needs to be defined by psychology, if moral courage and charity are to be fostered in today’s secular, materialistic society. Benner, May, Frattoroli and Jungian Robert Johnson (1991a, 1991b) wrote that healthy psychological growth requires conscious moral deliberations and decisions. The questions addressed in therapy are moral questions, because they concern how one ought to live; but “psychotherapy patients do not separate moral and psychological phenomena in the way that psychotherapists often do” (Benner, 1998, p. 43). When discussing moral questions, Benner was non-judgmental and open-minded; therefore, he did not say, for example, that to have an abortion or an affair is wrong. He said *it is wrong not to consider them as moral questions*. Spiritual development comes, in part, through the process of considering them as moral questions. “Moral reflection is best initiated by inviting the one seeking care to engage in such reflection himself or herself” (Benner, p. 154). Wilson thought that in order to become psychologically well,

people first needed to become morally well by doing a personal moral inventory, asking others for forgiveness, and making amends.

I agree that morality is the most important aspect of the human psyche that is missing from the theory of psychology and the practice of psychotherapy. I do not want to omit morality and evil from my approach to counseling. I want to arrive at definitions of goodness and immorality and decide how to deal with moral questions in counseling. I will begin by distinguishing morals from values; then I will define moral and immoral acts.

*Morals are distinct from values.* Morals and values are often conflated but they are not the same. There is much disagreement concerning values; there is little disagreement concerning morality. Values measure what is important, what one wishes to strive for and protect. Values measure what is important to a person's growth.

Morals measure what is destructive to growth. Morality contains prohibitions, the *thou shalt not's*. It says what one must not do, even if one wishes to, even if one values the result. Positive morality can measure a choice to sacrifice personal material well-being for the material or spiritual welfare of another.

It is possible to rank values: a counselor can ask what is most important to a client. Most values exist in relative relationship to other values; for example, self-love cannot be absolute; it must be adjusted to account for love of others, critical self-awareness, awareness of the need for self-change, and awareness of one's own death.

It is not possible to rank moral acts: good or bad. People sometimes face alternatives when both choices are morally wrong: whatever they do, they will hurt someone. A spiritually sensitive counselor can help clients attend to moral decisions and

forgive themselves when they fail. Moral questions are most likely to come up in the context of relationships. When clients demonstrate moral courage, counselors should celebrate their courage.

Throughout the world, there is and has been little difference in moral standards within groups (Lewis, 1947). Historically, the critical difference has not been between material and spiritual morality; the critical difference has been between in-group and out-group morality. People who are not members of one's own group have been thought to be of lesser value. Acts that would have been immoral if committed against a member of one's own group were allowable or even noble when committed against a member of another group (Judson, p. 98).

*Moral and immoral acts.* I define a moral act to be an intentional or knowing action that fairly promotes the physical, mental, emotional, social, or spiritual well-being of oneself or another. I define an immoral act to be an intentional, knowing, or reckless action that unfairly harms the physical, mental, emotional, social, or spiritual well-being of oneself or another.

To be meaningful, moral actions must result from an exercise of the client's free-will. As a counselor, I will assume, as a matter of working faith, that there are no evil persons, just immoral acts. Anyone can change. Anyone can choose at any time to begin to move in a positive direction on any of the spiritual dimensions, including the moral dimension. The need for psychology to consider morality was made apparent by the Holocaust.

*Auschwitz.* After modern, so-called civilized human beings perpetrated the horrors of Auschwitz, it seemed to me psychology had a moral obligation to be moral: to

understand how and why seemingly decent people could align themselves with evil. Psychologists should try to figure out how it happened and work to prevent its recurrence. A few have, such as Robert Lifton. In the 1930s and 1940s, the Nazis and the Japanese took the position that they were members of superior races and could treat inferior races as they wished. Since World War II, science and religion have both contradicted those claims. From a spiritual perspective no soul is worth more than another. Scientific psychology has demonstrated that, genetically, all humans come from the same ancestors. Multicultural psychologists have pointed out that race is a pseudobiological term (Pedersen, 2000, p. 105). Gould (1974a, b, c, & d) argued that there is no biological basis for the term “sub-species,” and Atkinson argued, “There is no biological basis for the term race” (Atkinson, 2004, p. 8). Scientifically there is only one human race, which demonstrates variability in extrinsic traits. Socially, those who have possessed the superficial traits that are currently valued have discriminated against those who did not (Atkinson, pp. 6 – 8).

In *The Nazi Doctors* (1986), Jewish psychiatrist Robert Jay Lifton wrote that the best way to avoid future genocidal horrors is to teach, from childhood, that all humans are equal and to practice empathy “toward all human beings” (1986, p. 500). I believe that equality extends to both genders. Equality must be a moral tenet of a spiritually sensitive psychotherapy. In *The Genocidal Mentality: Nazi Holocaust and Nuclear Threat* (1988), Lifton and Eric Markusen advocated a “species consciousness” which sees all humans as part of one species, not as members of subspecies and races. They wrote that everywhere they traveled in the world, they found people hungry and ready to share such a view (1990, p. 256). One way people can gain a sense of common humanity is by

traveling the world; but if they stay at home, they can choose to expose themselves to people of different ethnicities, cultures, and religions. That is also an important way for people to mature spiritually. The key method by which counselors teach empathy is not by lecturing, but by modeling. Clients may show to others the same understanding and empathy that has been shown to them.

*A Binocular, Four-Dimensional Perspective*

Jungian therapists Lionel Corbett and Murray Stein suggested a binocular approach to therapy in which one lens sees the therapy in traditional terms and the other sees the spiritual background. “At times one or the other level is at the foreground, but both are always present, like the warp and the woof of a fabric” (Corbett & Stein, 2005, p.60).

Jungian Robert Johnson thought that humans began to develop from a two-dimension, linear view of psychic life toward a three-dimensional, self-questioning, introspective view around the time of *Hamlet* (circa 1601), who was the first three-dimensional hero. Johnson thought that humans now “live in an age where the collective unconscious is devoted to the evolution from” three-dimensional consciousness to four-dimensional consciousness (Johnson, 1991b, pp. 82 – 83). The transition is and must be painful, because it involves a death of the former self. Johnson wrote:

The process can be summed up in one sentence: it is the relocating of the center of the personality from the ego to a center greater than one’s self.

This superpersonal center has been variously called the Self, the Christ nature, the Buddha nature, superconsciousness, cosmic consciousness, satori, and samadi. (Johnson, 1991b, p. 84)

According to historian of science Principe (2006), prior to the professionalization of science in the 19<sup>th</sup> Century, it was assumed by most learned people that science and theology both constituted legitimate pursuits of knowledge: one in the natural-material realm, the other in the supernatural-spiritual. It was assumed that the material and spiritual worlds were both real and that the proper attitude was one of cooperation. Cooperation is compatible with a four-dimensional, binocular approach to counseling.

### *Conclusions*

At the end of my research, I concluded that, as a spiritually sensitive psychotherapist, I can wear bifocals, or trifocals, or whatever lenses may be necessary to allow me to see from what James called a pluralistic perspective: I can appreciate that the spiritual and material needs of each client may be different and may change in the course of counseling. I can adjust my perspective so as to bring the client's and my own spirituality into focus when appropriate, and to bring the materialistic needs of the client and my scientific knowledge into focus when that is more appropriate. If clients appear to be experiencing a contradiction between their spiritual ideals and materialistic values, I can use the confrontation skills I have been taught as a counselor; that is, I can ask the client if they see a contradiction without implying that one view is right and the other wrong. My ideal for my clients and myself is that our spiritual and materialistic sides will collaborate, and that, as a result of that collaboration, we will see four-dimensionally from outside our egos. Collaboration is not an approach that I can impose. Collaboration is a point of view that explicitly rejects avoidance, competition, accommodation, and compromise. It rejects either-or thinking in favor of both-and thinking. It is additive. It attempts to honor all points of view without choosing one (Wilmot & Hocker, 2007).

From the collaborative standpoint, if the perspective of NOMA, or May, or of Corbett and Stein is inaccurate or incomplete, that is unimportant compared to what they all contribute, which is an acknowledgement of the importance of both the spiritual and the scientific world-views. Maslow (1968, 1980, 1987) wrote that people cannot turn to self-actualization and self-transcendence until their basic needs for safety, shelter, food, love, and self-esteem are met. As a counselor, I do not want to lose my head in the spiritual clouds. I need to be able to come down to earth when required and respond with respect and sensitivity to each level of need in my clients.

CHAPTER XVI  
HOW I RECONCILED THE SPIRITUAL AND  
SCIENTIFIC WORLDVIEWS IN MY APPROACH TO COUSELING

When I began my research, I wanted to learn how psychotherapy had become separated from the soul and, if possible, I wanted to reunite them in my approach to counseling. I planned my Master's research paper to serve as a foundation for that approach. When I proposed this paper to my advisor, Dr. Lyle White, I was concerned that he might think that I was trying to do too much. But he authorized this paper and stuck with me through many re-writes. He kept asking difficult questions, which, at first, I resented. Answering his questions took a lot of work, but it resulted in a paper that was more fair-minded to both world-views and, therefore, more practicable. As I answered his questions, I climbed a spiral staircase in my mind. The ascent was slow and sometimes painful, but at the top, looking back, I saw old things from a new expanded vantage point. At the end of my paper, I returned to two philosophic points-of-view that I had rejected at first, but which, ultimately, I included in my approach to counseling. Those points-of-view were the Nine Spiritual Competencies recommended by the Association for Spiritual, Ethical, and Religious Values in Counseling (ASERVIC) and the theory and practice of Carl Roger.

In this chapter and the next, I will discuss how, based on my research and my experience with actual clients in practica and internship, I arrived at a spiritually sensitive approach to counseling that I am comfortable with and that I think many clients will be comfortable with. I will explain why I did not, at first, include the spiritual competencies and Rogers's person-centered therapy and why ultimately I adapted and included each of

them in an approach I call spiritually sensitive person-centered counseling. In the next chapter, I will describe spiritually sensitive person-centered counseling in detail.

*The Nine Spiritual Competencies*

The nine spiritual competencies are as follows:

- (1) The professional counselor can explain the relationship between religion and spirituality, including similarities and differences.
- (2) The professional counselor can describe religious and spiritual beliefs and practices in a cultural context.
- (3) The professional counselor engages in self-exploration of religious and spiritual beliefs in order to increase sensitivity, understanding, and acceptance of diverse belief systems.
- (4) The professional counselor can describe her or his religious and or/spiritual belief system and explain various models of religious or spiritual development across the lifespan.
- (5) The professional counselor can demonstrate sensitivity and acceptance of a variety of religious and/or spiritual expressions in client communication.
- (6) The professional counselor can identify the limits of her or his understanding of a client's religious or spiritual expression and demonstrate appropriate referral skills and generate possible referral sources.
- (7) The professional counselor can assess the relevance of the religious and /or spiritual domains in the client's therapeutic issues.

- (8) The professional counselor is sensitive to and receptive of religious and/or spiritual themes in the counseling process as befits the expressed preference of each client.
- (9) The professional counselor uses a client's religious and/or spiritual beliefs in the pursuit of the client's therapeutic goals as befits the client's expressed preference. (Reprinted with permission from Cashwell and Young, ACA's *Integrating Spirituality and Religion into Counseling: A Guide to Competent Practice*, 2005, p. 2.)

These spiritual competencies reflect a multicultural sensitivity in which the most important reality is the client's. These skills are important whether the spiritual realm of existence is real or imaginary. These competencies reflect a concern for "process" over "content." They focus more on the counselor's attitude than on what is said. When I began my research, I did not appreciate the need or justification for a spiritual approach to counseling that was empty of content. Content is personal, as the competencies suggest. What is personal is dear to each of us but may not be transferable. By including explicit beliefs in my approach to counseling, I might appear to be denying the validity of other beliefs. As I worked on the paper, I engaged in self-exploration of my own beliefs. To me, spiritual content meant my experiences of the spiritual realm, which formed me as a child and as an adult. These experiences are an important part of who I am as a person and as a counselor. They gave me the courage to return to college at 60, faith in the value of each client, and commitment to each client's right to make his and her own spiritual choices. If possible, I wanted to express this spiritual content in my approach to counseling.

When I was a child, my experiences of the spiritual realm had come from a gay Episcopalian priest who taught open-mindedness and tolerance and my father who taught Romantic Poetry at SIU. In our summers, my father and I would go on long, almost wordless walks in the mountains and forests, where I experienced God exactly as Wordsworth had:

There was a time when meadow, grove, and stream,  
 The earth, and every common sight,  
     To me did seem  
     Apparelled in celestial light,  
 The glory and freshness of a dream,  
 It is not now as it hath been of yore; --  
     Turn wheresoe'er I may,  
     By night or day.

The things which I have seen I now can see no more.

...

Our birth is but a sleep and a forgetting:  
 The Soul that rises with us, our Life's Star,  
     Hath had elsewhere its setting,  
     And cometh from afar:  
 Not in entire forgetfulness,  
 And not in utter nakedness,

But trailing clouds of glory do we come

From God, who is our home:

Heaven lies about us in our infancy!

(Wordsworth, *Intimations of Immortality from Recollections of Early Childhood*, 1802 – 1804, 1807/ 2004, pp. 340 – 342)

My best friend in high school was an agnostic rationalist, and for a few years between childhood and adulthood, so was I. One summer, when we were 17, we stood on the rocky shores of Lake Kashi, in northern Ontario, where his parents owned a summer cabin, and we debated. “There is no way that we can know for certain whether or not God exists,” my friend said. “You are correct,” I answered. But inside I demurred. I was not content with that answer. In my mind, I yelled at the silence: “God, I want to know. Do you exist and will you be there to help when things get rough, at least to accompany me? If you are there, show me.” To my surprise, God answered. God answered through mystical experiences and miracles and by listening to my prayers and offering comfort and guidance, sometimes in dreams at night, sometimes in waking dreams. Thus, in my adulthood, God continued to be an important part of my experience. William Alston (2002), a philosopher and practicing Anglican, thought that atheists often assume that believers base their beliefs on unquestioning obedience to authority or blind faith in things unseen; but he thought many, like himself, base their beliefs on their personal experience. Person-centered therapist Brian Thorne, a self-identified Christian, thought it possible that as many as one in five persons has mystical experiences, but that they seldom talk about them because such experiences run counter to the scientific weltanschauung. “They come as gifts,” Thorne wrote, “but also as challenges to our

concept of reality and it is sad in the extreme when, as a result of conditioning we dismiss them as unimportant or even crazy” (Thorne, 1991, p. 20).

### *Carl Rogers and Person-centered Counseling*

Carl Rogers began graduate school at Union Theological Seminary; but, after an uncomfortable summer giving sermons, he dropped out and enrolled in the graduate program in Educational Psychology at Columbia across the street, because he did not want to tell others what to do or believe (Thorne, 1992). I feel the same. If there is a line that divides the scientifically observable world from the spiritual realm, I do not claim to know with absolute certainty anything that lies on the spiritual side of that line; and even if I did, I would not wish to impose my beliefs on others. Like the 14<sup>th</sup> Dalai Lama, I do not think it is necessary to believe in God or in any religion to be a good or spiritual person (Iyer, 2008). And like Carl Rogers, I believe that people learn from their own experience, not from being told.

When I began my research, I thought it likely that, although Rogers had left the seminary, he would have made some allowance for the spiritual world-view in his approach to counseling. He did not explicitly do so. In a dialogue with theologian Paul Tillich, which is also quoted in Chapter 5, Rogers explained:

I realize very well that I and many other therapists are interested in the kind of issues that involve the religious worker and the theologian, and yet, for myself, I prefer to put my thinking on those issues in humanistic terms, or to attack those issues through the channels of scientific investigation. I guess I have some real sympathy for the modern view that is sort of symbolized in the phrase "God is dead;" that is, that religion no longer does speak to people in the modern

world, and I would be interested in knowing why you tend to put your thinking – which certainly is very congenial to that of a number of psychologists these days – why you tend to put your thinking in religious terminology and theological language. (Rogers, 1989b, p. 72)

Rogers was asking why humanistic psychology and humanistic psychotherapy are not enough. Tillich answered that Rogers was concerned with human relationships on the horizontal plane; Tillich was also concerned with human relationships on the vertical plane, or the plane of the divine and eternal. Rogers wanted humans to be open to their own inner voice and to the voices of others; Tillich also wanted humans to be open to God.

The God of my experience has always been patient and non-directional with me, just as a person-centered counselor would be. I believe that God has shown me unconditional positive regard, non-possessory love, and understanding, even when I have not deserved them.

I tried to construct an approach to spiritual-psychological counseling that explicitly included some of the beliefs that appear on the right of tables 14.2, 17.1 (Beliefs Common in the West), and 17.2 (Beliefs Less Common in the West). But every approach I tried seemed argumentative, rather than accepting. I ultimately came to the conclusion that I could not construct a fair-minded approach to counseling that included explicit spiritual content. I then began to consider what process would work best with non-explicit spiritual content. I looked for a process that would be consistent with the spiritual competencies and would meet the following criteria:

- (1) The approach would recognize the possibility of a realm of existence that is non-material but real.
- (2) The approach would be spiritual but non-religious; that is, it would be open to all religious and spiritual beliefs but not bound to any one.
- (3) It would be adaptable to both spiritual and non-spiritual milieus and to spiritually inclined and non-spiritually inclined clients.
- (4) The approach would fulfill the potential of William James's ideas, as if he had become a therapist. It would be pluralistic. It would value both the healthy minded and sick souls. It would pay positive attention to sorrow, pain, and death and value shades of gray. It would honor these thoughts of James writing in favor of a multiplicity of religious beliefs:

No two of us have identical difficulties, nor should we be expected to work out identical solutions. Each, from his peculiar angle of observation, takes in a certain sphere of fact and trouble, which each must deal with in a unique manner. One of us must soften himself, another must harden himself; one must yield a point, another must stand firm, – in order the better to defend the position assigned him. (James, 1902, pp. 419)

- (5) It would include an explicit process by which the spiritual aspect of humans could be accessed and nurtured.

In Chapter 8, I considered Alcoholics Anonymous, pastoral counseling, Jungian psychology, and developmental-wellness counseling, and I concluded that none of them met all these criteria. It seemed to me that I could best share my experiences of the

spiritual realm by treating my clients as God has treated me, as a person-centered client. The theory of person-centered therapy is empty of content except for its underlying philosophy, which is that clients must build their true selves and their true values out of their own experience, not the experience of their parents or teachers or priests; that they are capable of doing so; and they can be trusted to do so without coaching from the counselor. This attitude can be extended to the client's true spiritual self, whom clients must build out of their own spiritual experiences, not mine. As a spiritually sensitive person-centered counselor, I have faith in the client and faith in the universe. I believe if the client cries out to the vertical dimension of existence, it will respond. When the vertical dimension has responded, people have translated that response into many different beliefs and liturgies, all of which I respect.

I believe that spiritual development, alluded to in competency 4, is one of the purposes of life. If that is so, each of us must begin at a separate point and progress at a different rate. I cannot pick the point or impose the rate. I can trust clients to grow spiritually the same way Rogers trusted them to develop in non-spiritual ways.

So far, all my clients in internship who have discussed spiritual issues have been Christian. However, my approach is not limited to Christians. I think that the client's true spiritual self must come entirely from the client's experience, which may not be Christian. I believe that the spiritual true-selves of Christians, Muslims, Jews, Hindus, agnostics, atheists, and others are of equal value and deserve equal respect and understanding. Like Lifton (1986), I believe this attitude is essential if we are to evolve beyond the mentality of Auschwitz. I think spiritually sensitive person-centered counseling can be helpful to clients of any religion, and to the spiritually non-religious,

and to curious doubters. My job is not to convert. My job is to listen and to help clients hear their own spiritual voice.

May criticized Rogers for not acknowledging or dealing with both good and evil in his clients. One reason I rejected person-centered therapy at first was that I was concerned that it might be an a-moral approach. Rogers believed in his clients, and he fostered their growth. In order to promote that growth, he practiced unconditional positive regard. He said to all clients, "I want to understand." Rogers wished to teach his clients nothing; he wished to provide his clients with an environment in which they could learn for themselves (Rogers, 1951, 1961). Concerning person-centered therapy and values, Hobbs wrote:

One of the cardinal principles in client-centered therapy is that the individual must be helped to work out his own value system, with a minimal imposition of the value system of the therapist. This very commitment is, of course, itself an expression of a value which is inevitably communicated to the client in the intimate course of working together. This value, which affirms the individual's right to choose his own values, is believed to be therapeutically helpful. The suggestion of an array of other values by the therapist is believed to be therapeutically harmful, possibly because, if they are presented by the therapist, they will inevitably carry the authority of the therapist and constitute a denial of the self of the client at the moment.

(Hobbs, 1951, p. 292)

It seemed to me that there was a danger that by always saying, “Yes, I understand,” and never saying, “No,” a person-centered therapist could become one of “Hitler’s willing executioners,” to employ Daniel Jonah Goldhagen’s cautionary phrase for “good” people in Germany who did not say, “No,” to Hitler (1996). Despite these concerns, I have concluded that the counselor can provide a climate in which the client can listen to his or her own conscience, but that the counselor cannot be the client’s conscience. If Himmler came to counseling and suggested the final solution today, in 2009, the counselor would be legally and ethically required to report him because of the danger he would pose to all living kind. And the counselor could resign as his therapist. Be that as it may, I cannot imagine Himmler coming to counseling of his own free will. If clients come to counseling voluntarily, they are probably motivated by what Rogers called a feeling of incongruence between their perception of themselves and their actual experience. Himmler would not have felt incongruent. If clients do not come to counseling of their own free will, they are probably sent by family members, or a court, or the welfare department, who at least claim to be seeking the client’s best interests. If he had come, Himmler would probably have felt uncomfortable with the self-examining nature of counseling and would have left. If he had stayed and had contemplated doing evil, the counselor would have had a moral obligation to him and to society to confront him and say, “I disagree with the moral choices you are making; I do not think they are good for your growth or the growth of others.”

Spiritually sensitive therapist Brian Thorne agreed that person-centered therapy relies on clients to develop their own morality out of their own experience, rather than depending on a morality of authority, which is, in any case, ineffective. Given

acceptance, understanding, and support, it was his experience and Rogers's that most people tend to develop in prosocial ways. Nonetheless, Thorne acknowledged that there were times when he has had to take a stand against what a client was doing. Thorne has counseled some clients who began to grow, then suddenly stopped and fled in fear from their own development, freedom, and health. Concerning this, Thorne wrote:

Moral non-intervention has been a logical outcome of my positive view of mankind and of my understanding of the therapeutic process. Now, however, as I experience my client's rejection of me and of the process to which I have attached such value, I find myself shaken to the foundations. I seem to be witnessing the most shocking act of self-betrayal on my client's part. He has found himself, only to be overcome with such fear and dismay that he is now striving to lose himself again as quickly as possible. (Thorne, 1991, pp. 121-122)

When he senses that clients are about to flee, Thorne confronts them, lovingly, non-moralistically, with his perception of the damage they are doing to their own growth, and he tries to re-engage them through his authoritative faith in the process. This authority is not expressed judgmentally; it is expressed graciously, out of Thorne's genuine concern and regard for the client. He wrote:

I have suggested that there is much in the person-centered tradition (and in the analytical for that matter) which implies that unconditional acceptance and moral confrontation are incompatible activities. That is a view to which I can no longer subscribe. On the contrary, I have come to believe that it is the very holding of these two in healthy tension which constitutes the counselor's greatest challenge and can prove to be his or

her most potent force for healing at those crucial times when the client hovers between health and neurosis. (Thorne, 1991, pp. 124 – 125)

Thorne's willingness to confront immorality is strengthened by his belief, which I share, that it is impossible to be mentally well and morally ill, that immorality is a form of mental illness, which is destructive to self and others. "The healing of neuroses is dependent upon the creation of a non-moralistic relationship" (p. 121), but "ethical development is a fundamental of growth toward wholeness" (Thorne, 1991, p. 123). There is natural tension between these two ideals, which Thorne thinks it is best to acknowledge to one's self and, on occasion, to the client.

I think May may have misunderstood Rogers when he accused him of not taking human evil into account in his approach. Rogers wrote:

Gradually my experience has forced me to conclude that the individual has within himself the capacity and the tendency, latent if not evident, to move forward toward maturity. In a suitable psychological climate this tendency is released, and becomes actual rather than potential.

(Rogers, 1961, p. 35)

By maturity, Rogers did not mean happy and well behaved. He meant able to enter into relationships that were congruent with one's true-self and with the other person's. Rogers was not saying that all people are good; he was saying that given the right conditions they will all try to become better. In *On Becoming a Person* (1961), Rogers asked his readers if it had not been their experience that "the core of human personality is positive" (p. 91). Peck wrote *People of the Lie* (1983) in part because of evil clients he had known, people who were intent upon destroying the life force in

others, sometimes in their own children. I was an assistant District Attorney for seven years and a criminal defense lawyer for 10. In that time I, too, have known people who do not fit Rogers's description. One was a contract killer, who took pleasure and pride in causing pain and fear. Another was a serial sex-abuser who had sexually abused every male and female child who had come under his power for years. Those were the only two cases from which I resigned. Another person who did not live up to Rogers's expectations was a 14-year-old girl who may have committed as many as seven murders. Like the child in *The Bad Seed* (1956), she got rid of anyone who displeased her. She was represented by another member of the firm for which I worked, not by me personally. I also represented a client with antisocial personality disorder, a strong young man who was charged with stealing a purse by force from an older woman. On the witness stand, he changed to a new lie as quickly as the old lie was revealed. He tried to sound sincere, but he was like a bad high school actor performing the role of a "sincere person." Nonetheless, by his eagerness to seem sincere and truthful, he demonstrated an inner awareness of morality and a wish to be seen to live up to it; in other words, morality was still his ideal. I prosecuted and represented hundreds, probably thousands, of people charged with every crime imaginable, and all the rest of them fit Rogers's characterization. Although they often failed, they tried to be better people.

*Person-centered therapy and taking sides in counseling.* In my training, I have wanted to ask some clients, "Can't you see what you are doing is wrong?" In working with some couples and families, I have been tempted to take sides. In *Interpersonal Conflict*, Wilmot and Hocker, wrote that if mediators or counselors took sides, they would gain an enemy and create an issue of "unfair bonding," which "precludes you [the

counselor] from being an effective neutral helper” (2007, p. 273). I decided, therefore, to focus all my attention on seeing and validating the positive aspects of each individual client and each member of the couples and families I was seeing. This is called “assets based counseling,” and it is an approach that is stressed in SIU’s program. Although I had said nothing specific, clients could tell that my attitude was different and the atmosphere in the counseling room improved immediately and enormously.

Frattaroli (2001) and May (1989) wrote that spiritual growth arises from inner moral conflict. Wilmot and Hocker (2007) and Kellett and Dalton, authors of *Managing Conflict in a Negotiated World* (2001), wrote that growth can arise from constructive conflict with others. If I apply the same approach to inner conflict that I have tried with couples and families, I would not take sides in a clients’ inner moral conflict. If I want to be an agent of moral change, I must support what is good and wait for clients to become better when they are ready. Some may never be ready. If counselors are moralistic and judgmental, they are likely to be ineffective, because clients will see them as taking sides in the client’s inner conflicts.

The person-centered approach is consistent with this non-judgmental attitude toward clients. Rogers found that clients naturally tended to judge themselves and the world in moral terms, as “‘good’ or ‘bad,’ ‘right’ or ‘wrong,’ ‘satisfying’ or ‘unsatisfying’” (Rogers, 1951, p. 149). In the course of person-centered counseling, the client changes in three ways:

He perceives himself as a more adequate person, with more worth and more possibility of meeting life. He permits more experiential data to enter awareness, and thus achieves a more realistic appraisal of himself, his relationships, and his

environment. He tends to place the basis of standards with himself, recognizing that the “goodness” or “badness” of any experience or perceptual object is not something inherent in that object, but is a value placed on it by himself.

(Rogers, 1951, p. 139)

At the outset of counseling, the locus of control lies outside the client, in their parents, culture, friends, and in the counselor. One role of the counselor is to keep the locus of control within the client; so that “as therapy progresses, the client comes to realize that he is trying to live by what others think, that he is not being his real self, and he is less and less satisfied with this situation” (Rogers, 1951, p. 149). Eventually, clients internalize and take responsibility for their own values and morality. They develop their own values and morality out of their own experience, and their basis for judging themselves changes from how others judged them to how they judged themselves. If these new values are the counselor’s, the client will only have replaced one set of introjected values with another and will still be in the river’s thrall.

One result of spiritually sensitive person-centered therapy could be that clients sit above the river, above both banks, and judge reality (the material bank) and values (the metaphysical bank) for themselves. Clients can only arrive at this point if they feel “complete freedom from threat to the self” (Rogers, 1951, p. 144).

*(7) Is there a way to combine the spiritual and scientific world views in one approach to counseling, that, based on my research, class work, and experience with clients, I would feel comfortable recommending to clients? What should that approach look like?*

I answer this question in the next chapter. The person-centered approach that I propose there comes from Rogers and is almost without content. It emphasizes attitude,

philosophy, and process, as do the nine competencies. It is adaptable to the content of the client's spiritual and material world-views whether those views are nonoverlapping or conflict.

CHAPTER XVII  
A SPIRITUALLY SENSITIVE  
PERSON-CENTERED APPROACH TO COUNSELING

This chapter presents an approach to talk therapy that builds on what I have learned in my research about the relationship between spirituality and psychology. This approach conceptualizes the client as having the following five spheres of growth and difficulty: physical, mental, emotional, social/relational, and spiritual. This five-sphere perspective was suggested to me by psychologist David Elam of Southern Illinois University's Counseling Center and is used with his permission. When all five spheres are addressed, the total client is being cared for. In any of the five spheres, a client can be growing or stuck. These five spheres are interconnected and wellness in one affects the wellness of all. Care of the total client may be approached from two distinct perspectives: the material, scientific perspective of Aristotle, Hypocrites, B. F. Skinner, and Albert Ellis, or the metaphysical, spiritual perspective of Socrates, Plato, William James, and Rollo May. If used sensitively, with an appropriate client, the therapist does not have to choose one of these approaches to the exclusion of the other. The two perspectives can be supportive of each other. Traditional talk therapy has addressed the total client, with these exceptions: counselors could recommend that a client consider medicine, exercise, or dietary changes but did not usually oversee the physical aspects of treatment; and most counselors have not been willing to work with spiritual concerns, on the grounds that they are unscientific and are more appropriately the business of rabbis, imams, or priests.

As I conducted the research for this paper, I wanted to find or develop an approach that I could use in my own work as a counselor. For reasons explained in the

last chapter, the approach that seems most open to combining the spiritual and the naturalistic world-views is the person-centered therapy of Carl Rogers. Therefore, I call the approach suggested in this chapter spiritually sensitive person-centered counseling.

This chapter is organized into the following sections, which appear on the following pages:

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The Values, Goals, and Results of Spiritually

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*Spiritually Sensitive Person-Centered Counseling's View of the Client*

Traditional non-spiritual psychotherapy views the client as sick, abnormal, in pain because of psychosocial maladjustment, and in need of expert care and medicine. Some clients prefer this approach.

As a spiritually sensitive person-centered counselor, I view clients as stuck in one or more of the five areas of potential development, including spiritual. I view clients from both a scientific perspective and a spiritual perspective. I adjust to emphasize one view or another as clients' needs, expressed preferences, and receptivity allow.

Carl Rogers believed that each person has a true-self which is unique and valuable, but which may be stuck in its development. It is not the counselor's job to define that true-self or change it. It is the counselors job to make clients feel valued and safe, so that they can define and develop themselves through their own experience and conscious choices.

I will never equate the diagnosis with the client. I do not, for example, think of someone with a diagnosis of borderline personality disorder as "a borderline." I think of him or her as a human being who demonstrates pain and fear of a sort that the *DSMIV-TR* associates with that diagnosis. I will also try to be aware of the occasions when I demonstrate my own pain and fear similarly. I will think of each client as a complete human being, who is a lot more than his or her diagnosis, and who can continue to develop in many ways, including spiritually.

If the spiritual half of the natural/supernatural world is real, clients can also be trusted to develop the spiritual component of their true-selves, which I will call their souls. As a spiritually sensitive person-centered counselor, I will not tell clients what to believe, as a priest or imam might. Development of a client's spiritual true-self does not depend upon any particular set of beliefs. The spiritual true-selves of Christians, Muslims, and atheists are of equal value. Rogers trusted clients to develop healthily in non-spiritual ways. Clients can also be trusted to develop healthily in spiritual ways.

*The Values, Goals, and Results of Spiritually Sensitive Person-Centered Counseling*

*Values.* Carl Rogers had confidence in the process of person-centered therapy whereby each client is valued as a unique individual of worth and trusted to develop in prosocial and self-syntonic ways. “One of the cardinal principles in client-centered therapy is that the individual must be helped to work out his own value system, with a minimal imposition of the value system of the therapist” (Hobbs, 1951, p. 292). This insistence on the client choosing his or her own values is thought to be therapeutic. Making value choices and moral deliberations are part of maturing psychologically and spiritually.

*Goals.* One goal of therapy is to move the locus of valuation from outside the client to inside. At the outset of counseling, the locus of control lies outside the client, in their parents, culture, friends, and in the counselor. One role of the counselor is to keep the locus of control within the client; so that “as therapy progresses, the client comes to realize that he is trying to live by what others think, that he is not being his real self, and he is less and less satisfied with this situation” (Rogers, 1951, p. 149). The next stage of person-centered therapy is for clients to develop their own values that are true to their own experience. If these new values are the counselor’s, the client will only have replaced one set of introjected values with another.

*Results.* Spiritually sensitive person-centered counseling can help clients become unstuck in their cognitive, emotional, psychosocial, or spiritual development. In the course of counseling, clients become unstuck through a four-step process: (1) They perceive themselves as more adequate persons, with more worth and more possibility of meeting life. They begin to see the world with their own eyes. (2) In the second step,

clients begin to feel their own pain, instead of denying it or projecting blame onto others. They permit more experiential data to enter awareness, and thus achieve a more realistic appraisal of themselves, their relationships, and their environment. (3) They tend to place the basis of standards within themselves, recognizing that the “goodness” or “badness” of any experience or perceptual object is not something inherent in that object, but is a value placed on it by themselves (Rogers, 1951, p. 139). (4) In the final step, clients become more accepting and loving toward others. They can use their pain, fear, and sadness to feel the pain, fear, and sadness of others and to help. At the outset of therapy, clients may scream in rage at the world; in mid-therapy they may cry for themselves; at the conclusion, they can cry for others. This final change is accelerated if they are in a person-centered group, as well as in individual counseling. As a result of therapy, clients may sit above the river, on either bank, and judge reality (the material bank) and values (the metaphysical bank) for themselves. Clients can only arrive at this point if they feel “complete freedom from threat to the self” during therapy sessions (Rogers, 1951, p. 144).

As this progress occurred, Rogers observed that the following “value directions” emerged. These value directions were quoted in Chapter 6, in the section that discussed the values of Humanistic and Existential Psychology, but they are worth repeating:

- (1) As they mature, people tend to move away from façades. Pretense, defensiveness, putting up a front are negatively valued. They move away from "oughts." The feeling of "I ought to do or be thus and so" is negatively valued.

(2) They no longer seek to meet the expectations of others. Pleasing others, as a goal in itself, is negatively valued. Being real is positively valued. The client tends to move toward being himself, being his real feelings, being what he is.

(3) Self-direction is positively valued. They discover increasing pride and confidence in making their own choices, guiding their own lives. One's self, one's own feelings, come to be positively valued. From a point of view where they look upon themselves with contempt and despair, they come to value themselves and their reactions as being of worth.

(4) Being a process is positively valued. From desiring some fixed goal, clients come to prefer the excitement of being a process of potentialities being born.

(5) They come to value an openness to all of their inner and outer experience. To be open to and sensitive to their own *inner* reactions and feelings, the reactions and feelings of others, and the realities of the objective world -- this is a direction which they clearly prefer. This openness becomes their most valued resource.

(6) Sensitivity to others and acceptance of others is positively valued. They come to appreciate others for what they are, just as they come to appreciate themselves for what they are.

(7) Deep relationships are positively valued. To achieve a close, intimate, real, fully communicative relationship with another person seems to meet a deep need in every individual, and is highly valued.

(Paraphrased from Rogers, 1964, p. 182).

As they develop these value directions, I anticipate that clients will tend to choose consciously and explicitly to move in positive directions on the spiritual dimensions,

which are described in Chapter 12, Spiritual Health and Development. They will attempt to integrate these spiritual dimensions into the structure of their true-selves with the guidance of their “true consciences” as that term is defined by Brian Thorne, whose views are discussed below (Thorne, 1991, pp. 118 – 119).

Development is maximized when clients learn from all of life, the good and the bad. Clients begin this process by understanding their own suffering and seeing more possibilities in themselves. As they develop, they become more understanding and forgiving of others and see more possibilities in others.

If the spiritual true-self, or soul, is real, it will emerge and express itself in the safety of the counseling relationship. In the Introduction to *Existential Psychotherapy*, Yalom wrote: “The claim that the ultimate existential concerns never arise in therapy is entirely a function of a therapist’s selective inattention: a listener tuned into the proper channel finds explicit and abundant material” (1980, p. 13). I believe that the same is true of the spiritual concerns raised in this paper. I think that many people share these concerns, even many who think of themselves as agnostic and non-spiritual, and that, given the opportunity, many clients will appreciate a counselor who is comfortable discussing such concerns.

In *A Spiritual Strategy for Counseling and Psychotherapy* (2005), Richards and Bergin gave case examples in which both traditional psychological concerns and spiritual concerns arose. They pointed out a phenomenon similar to Yalom's experience: the spiritual issues may be there as part of the client's presenting concerns, but they could be overlooked or the counselor could feel uncomfortable or incompetent addressing them. Richards and Bergin also pointed out the danger of imposing one's own spiritual view on

the client. But they did not think that danger justified avoiding the client's spiritual issues, if one is sensitive and knowledgeable and proceeds carefully.

I define spiritual issues to be concerns of clients that the materialistic assumptions of the Aristotelian-scientific side of the river, which appear on the left in table 4, and the non-religious beliefs, which appear on the left of tables 5 and 6, on pages 347 and 348, may not accurately and completely account for all of their experience; and may not, therefore, form a complete and adequate basis for discussing life's problems with a counselor. Clients have spiritual concerns if they wish to make their lives meaningful in the context of a reality that includes any of the hopes, possibilities, speculations on the right-hand side of table 4 or any of the religious beliefs on tables 5 and 6.

My heroes have been people who combined spiritual revolution with peace making, such as Jewish Agnostic Erich Fromm, Hindu Ghandi, Christian Martin Luther King, the 14<sup>th</sup> Dalai Lama, a Buddhist, and Muslim Irshad Manji, who is the current Director of the Moral Courage Project at New York University. Through the process of spiritually sensitive person-centered counseling, I hope that my clients and I will have the opportunity to become peaceful spiritual revolutionaries in our own lives. Ideally, we will acquire a binocular and four-dimensional perspective. We will learn to see independently of the river and to see from both sides. We will think for ourselves while listening to others of all faiths, and we will be able to sacrifice ourselves for others when appropriate, like Maslow's self-transcending persons and Fromm's healthy revolutionaries. Through the counseling relationship, we will both gain a greater capacity to love and to hope. If we do not realize all of these ideals, that does not mean we have failed; it means that "life is difficult" (Peck, 1978, p. 15). Like Fowler's stage 6, these ideals (continued on p. 348)

Table 6. Beliefs Common in the West:

Alternative Belief	Intermediate value	Belief
Atheism.	Agnosticism	God (All the principal religions except Buddhism.)
No life after death.	Don't know; wait and see.	Eternal spirit is real and survives death. (All major religions.)
Perfection of the ego. (Selfism.)	Extinction of the ego. (Buddhism.)	Transcendence of the ego through love and good works. (Islam, Judaism, & Christianity.)
Self-realization. (Platonism, Selfism.)	Union with the eternal. (Buddhism, Hinduism, Sikhism.)	Communion with the eternal. (Islam, Judaism, & Christianity.)
Naturalism: There is no God.	God is everywhere. Polytheism and Pantheism.	Monotheism.
Some believe that humans are essentially evil, and are saved from original sin by the sacrifice of Christ's death on the cross.	Humans are essentially good. (Early Rogers.)	Humans contain the potential to become good or bad. (Later Rogers.)
Literal interpretation of sacred texts.	Symbolic interpretation.	Critical examination of religious texts as historic documents.
There is only 1 true religion.	There is a preferred religion.	Different religions represent different perspectives on the same ultimate truths; all religions contain some error and are incomplete.
There is no punishment or reward after death.	God rewards the good and punishes the evil after death. (Christianity, Islam.)	Humans make their own heaven and hell by the spiritual choices they make in this life and the next. (Hinduism, Socrates, Jesus in St. Mark's Gospel.)
See one's self as self-directing and independent of God, if there is a God.	See one's relationship with God as primarily deferring & obedient.	See one's relationship with God as principally collaborative. (Richards & Bergin, 2005.)

0      1      2      3      4      5      6      7      8      9      10

Strength of Belief

Table 7. Beliefs Less Common in the West:

Alternate Belief	Intermediate value	Belief
We are only born once.	Don't know.	Humans are reborn. (Hinduism, Sikhism, Buddhism.)
We have no choice in whether or not we are born or reborn.	Some choose to be reborn (bodhisattvas).	All humans choose to be born. (Betty J. Eadie's recounting of her near-death experience in <i>Embraced by the Light</i> , 1992).
Human consciousness does not continue to evolve.	Don't know.	Human consciousness continues to evolve in the Collective Unconscious and in the Spiritual Unconscious (Jung, Assagioli, Teilhard de Chardin, Sri Aurobindo).
There are many Gods, or many aspects of the 1 God. (Hinduism.)	There are 3 aspects of the 1 God. (Christianity).	There is only one God. (Islam, Judaism, Sikhism.)
0	1	2
3	4	5
6	7	8
9	10	

## Strength of Belief

10 = I believe with absolute certainty.

7 = I hope it is true.

5 = I wonder if it is true.

3 = I doubt it is true.

0 = I believe with absolute certainty that it is *not* true.

(continued from p. 346) are worth retaining as imaged goals even if attained by only a few. Although these are my ideals, I do not preach them and they cannot be taught.

Through a slow and painful process, each individual must grow toward these ideals out of his or her own experience, reflection, and choices. Person-centered therapy is ideal for fostering that growth.

*Spiritually Sensitive Person-Centered Counseling's View of the Counselor/Therapist*

The ideal spiritual-psychological counselor, as I envision him or her, is open to the possibility that any of the prospects on the right of table 4, and any of the beliefs on tables 6 (Beliefs Common in the West) and 7 (Beliefs Less Common in the West) may reflect ultimate reality. These beliefs are shown with intermediate beliefs and against a scale from 1 to 10, with 10 meaning "I believe with absolute certainty" and 0 meaning "I believe with absolute certainty that the alternative is *not* true." According to this scale, a Believer whose strength of belief is 7 may be able to communicate more easily with an Agnostic at 6 than with a Believer at 10. A spiritually sensitive counselor is open to the possibility that there is one God, more than one God, or no God. The ideal spiritual-psychological counselor is comfortable accompanying clients in their own search for their own answers. The ideal counselor would be psychologically literate and spiritually literate.

The ideal spiritual-psychological counselor possesses what Keats called "negative capability:"

I had not a dispute but a disquisition with Dilke, on various subjects; several things dovetailed in my mind, & at once it struck me, what quality went to form a Man of Achievement especially in literature & which Shakespeare possessed so enormously - I mean Negative Capability, that is when man is capable of being in uncertainties, Mysteries, doubts without any irritable reaching after fact & reason. (*The Letters of John Keats*, letter of December 22, 1817, to George and Thomas Keats)

I think of negative capability as the ability to negate one's ego so as to perceive one's true-self, the true-selves of others, and the world more completely. It is a perspective above the river and above both sides: an intentional centering outside the self. It includes the ability to appreciate the ambiguity and unfinishedness of oneself and others without needing to impose completeness.

As a spiritual-psychological counselor, I hope I will demonstrate non-possessory love, or agape. I believe that morality is an important component of human development, but moral questions must originate within the client. If a client appears to me to be "evil," in some sense of the word, I will wait patiently for him or her to develop morally. If he or she does not, I will end the relationship and explain why: that my view of the right path is different, and I cannot accompany him or her any further along a path that I sense will be destructive to him and her and to others.

*Spiritually Sensitive Person-Centered Counselor Brian Thorne*

Brian Thorne (born 1937) is Director of the Center for Counseling Studies at the University of East Anglia. In doing the research for this paper, I encountered his writings twice. When I was working on Chapter 5, Humanistic Psychology, my advisor, Dr. White, suggested I read Thorne's 1992 biography of Carl Rogers. When I got to Chapter 16, and sought to apply what I had learned to the actual practice of psychotherapy, Rogers's person-centered approach seemed the best fit. I then set out to learn how to be a person-centered counselor. The best source I have found is Rogers's original works: *Client Centered Therapy* (1951) and *On Becoming a Person* (1961), which I have relied on for much of this chapter. Rogers's view of counseling, as expressed in these books, still seems fresh. The second best source I have found is Brian Thorne, who has authored

and co-authored many books and articles about how to be a person-centered therapist, including several which have explicit spiritual themes: *Person-centered Counseling: Therapeutic and Spiritual Dimensions* (1991), *Person-centered Counseling and Christian Spirituality: The Secular and the Holy* (1998), and *The Mystical Power of Person-Centered Therapy: Hope Beyond Despair* (2002).

On Good Friday, 1946, when he was 9, Thorne had a mystical experience. He was playing cricket in Bristol Park, which was still full of air-raid shelters from World War II. There appeared in the street a religious procession “headed by a crucifer, candle bearers and a thurifer swinging a censer” (Thorne, 1991, p. 19). The effect on Thorne was instantaneous and powerful: he felt comforted, loved, and understood to the very core of his being. He left his friends, ran home, shut himself in his bedroom and sobbed for hours. From that moment to this, he has “had the unshakeable conviction that love is the primary force in the universe no matter how great the evidence may seem to the contrary” (1991, p. 19). Thorne thought that perhaps as many as one in five people have had some form of mystical experience, but they “seldom talk about them and often, indeed, dismiss them from consciousness” (p. 20). The scientific *weltanschauung* intimidates them and they keep silent. Acknowledged atheist Crocker (2008) discussed many arguments for and against the existence of God, and ultimately found no argument convincing one way or the other. Crocker pointed out that many intelligent, critical thinkers claim to believe in God, *not* on the basis of authority or argument, but on the basis of experience, an experience that Crocker has not had, and therefore, felt he could not judge. Thorne is one of these. The God that Thorne experienced was not a judgmental God. God did not say, “You have been a naughty boy, Brian, playing Cricket on Good Friday instead of going

to church!” (Thorne, 1991, p. 21). The God Thorne experienced was unqualifiedly loving, reassuring, and understanding. Part of what God said to Thorne that day, wordlessly, in Thorne’s heart, was: “Whatever you do, don’t let people tell you you’re no good and go on trying to find out more and more what is going on. Most people don’t want to know, it seems, but that’s only because they’re frightened” (p. 21). Based on that experience, Thorne concluded that the purpose of life is spiritual growth. Spiritual growth consists of trying to “find out more and more what is going on,” on the material and the supernatural side of the line. It consists of understanding more and more and loving more and more. It includes inner growth and requires reaching out with love toward others.

In the 1950s and 1960s, Thorne felt isolated, as I had, when psychology and counseling made no attempt to define, account for, or care for the human spirit. At first, Thorne took comfort from Jung’s acknowledgement of the spiritual realm. Then he found Carl Rogers and person-centered counseling and “found in Rogers someone who seemed to esteem the validity of my own experience and who gave names to attitudes and activities which I had falteringly attempted to embody for many years” (Thorne, 1989, p.59).

Thorne wrote that the “true conscience” is what allows people to distinguish what is good for their spiritual growth from what is not (Thorne, 1991, pp. 118 – 119). Thorne thought that counselors should not serve as a client’s conscience but counselors can help create an environment in which the “true conscience can make itself heard” (1991, p. 119). He thought that ethical growth is a necessary part of personal growth and that immorality is, therefore, ultimately self-destructive. Ethical growth begins with gaining a sense of freedom, which allows a client to rely on his or her own experience, rather than

on the judgment of others. Person-centered counseling fosters that freedom and nurtures the true conscience. While clients are growing, even though they are likely to make moral mistakes, Thorne felt no need to confront them. When a healthy ambience is established, bad people will eventually become good (p. 11). Nonetheless, some people retreat in fear from continued growth, and then it is “not enough for the counselor to remain passively acceptant of this flight from health” (1991, p. 124). Genuineness on the counselor’s part was another of Rogers’s conditions for growth. Genuineness required Thorne to express anguish at the client’s self-betrayal, while simultaneously communicating that the client’s rejection of health is acceptable.

However counselors conceptualize good and evil, the person-centered therapist is “the representative of the forces of light against the powers of darkness” (Thorne, 2002, p. 25). Thorne believed that forces of good exist on the supernatural side of the natural/supernatural line, in what he called “the invisible world” (p. 81), and that those supernatural forces of good can be called upon to offer help and comfort on the natural side of the line (pp. 25 – 26, 81 - 83).

Thorne thought that, as a species and as individuals, humans are always unfinished. Humans become less unfinished through relationships, and the relational aspect of spiritual development and health is not adequately addressed by the self-actualization metaphor (2002, p. 10).

Thorne holds each client in mind each day for a brief period in a way “much akin to silent intercessory prayer” (p 42). Thorne’s experience was that this holding in mind “can have a remarkable impact on the therapeutic relationship and its development. The ability of the therapist to be fully with the client is greatly enhanced as is the capacity for

acceptance and validation” (p. 42). Clients must sense this dedication because they “experience the therapist’s commitment in a way which greatly increases their trust in themselves and the process” (p. 42).

### *Content vs. Process*

When I began this research paper, I was resistant to an approach that valued process over content. I wanted to end up with an approach to counseling that included at least some explicit beliefs. I have concluded that if I am to take that approach, I must take it as a pastor, not as a counselor. I think that a spiritually sensitive person-centered approach to counseling can function best if it includes no specific beliefs but is open to all. Just as person-centered counseling trusts clients to define their true-selves, it can trust them to define their true spiritual selves. Such openness is obtained through the process of counseling, which is discussed next.

### *The Process of Spiritually Sensitive Person-Centered Counseling*

“Unlike other therapies, in which the skills of the therapist are to be exercised upon the client, in this approach the skills of the therapist are focused upon creating a psychological atmosphere in which the client can work. If the counselor can create a relationship permeated by warmth, understanding, safety from any type of attack, no matter how trivial, and basic acceptance of the person as he is, then the client will drop his natural defensiveness and use the situation” (Rogers, 1946, p. 422).

Rogers laid down six necessary and sufficient conditions for client change, to which I add four below, for a total of ten. Person-centered therapy was first called non-directive therapy, then client-centered, and finally person-centered therapy. Each of these conditions can be described in terms of a non-directive processes. If Rogers’s six

conditions are met, the client will change from within in prosocial and self-syntonic ways; that is, in ways that the client feels are consistent with her or his true-self. Most people find change difficult, painful, and frightening; therefore, according to Rogers, if any one of his six conditions is not met, the client is unlikely to change. The ten conditions are:

1. *Psychological contact*. Sharf defined “contact” as follows:

There must be a relationship in which two people are capable of having some impact on each other. Brodley (2000) describes the concept of *presence*, which refers to the therapist not just being in the same room with the client but also bringing forth her abilities to attend to and be engaged by the client.

(Sharf, 2004, p. 210)

My mother, Patricia Benziger, who was a counselor, told me that one duty and skill of a good counselor is to “hook the client” at the start; that is, to get the client interested and invested in coming back. If the counselor believes in the process, the counselor can help the client learn to share that belief. Psychologist Garry Prouty developed techniques of “pre-therapy” to bring people into psychological contact who are normally “contact impaired,” such as people with learning disabilities and psychoses (Prouty, 2008). I try to establish contact by helping clients understand cognitively and feel emotionally that I am there for them, that I am interested in them and committed to our relationship. I sense that the strongest hook is my interest; they do not expect that; they are not used to another person taking a genuine interest in them and it feels good. Interest is followed by caring. My interest demonstrates my caring in a non-possessory way.

2. *Incongruence*. Clients feel incongruent; that is, they feel distress that is attributable to an incongruence between their perception of themselves and their actual experience. This may include incongruence between a client's spiritual ideals and material ambitions, or, as in AA, incongruence between one's will and the will of a higher power. Conditions 1 and 2 are less likely to be present with clients who do not choose to be there, such as court-ordered clients and reluctant spouses dragged to marital therapy. Nonetheless, it has been my experience that counseling is a powerful, self-validating experience, so that many involuntary clients soon learn to love coming, although others will always remain reluctant.

3. *Genuineness and congruence*. The therapist is genuine and congruent. Thorpe wrote that the process of person centered counseling begins by establishing a "facilitative climate for change," and the first element in the creation of this climate is genuineness (Thorpe, 1991, p. 390). For me, genuineness includes integrating my spiritual beliefs into my approach to counseling, without imposing those beliefs on anyone.

4. *Unconditional positive regard and acceptance*. This means that clients feel valued and loved, in a non-possessory, non-threatening way. Fromm wrote that love is a capacity rather than an emotion. Love is "a capacity for the experience of concern, responsibility, respect, and understanding of another person and the intense desire for that other person's growth" (Fromm, 1950, p. 87).

Rogers wrote that when a client enters person-centered therapy:

Every aspect of self which he exposes is equally accepted, equally valued.

His most belligerent statement of his virtues is accepted as much as, but no more

than, his discouraged picture of his negative qualities. His certainty about some aspects of himself is accepted and valued, but so are his uncertainties, his doubts, his vague perception of contradictions within himself.

(Rogers, 1951, pp. 192 – 1930)

5. *Empathy*. Rogers defined “empathy” as a “deep understanding of the emotionalized attitudes expressed [by the client] and acceptance of them” by the counselor (Rogers, 1946, p. 417). Rogers wrote:

The way of being with another person which is termed empathic has several facets. It means entering the private perceptual world of the other and becoming thoroughly at home in it. It involves being sensitive, moment to moment, to the changing felt meanings which flow in this other person, to the fear or rage or tenderness or confusion or whatever, the he/she is experiencing. It means temporarily living in his/her life, moving about in it delicately without making judgments, sensing meanings of which he/she is scarcely aware, but not trying to uncover feelings of which the person is totally unaware, since this would be too threatening. It includes communicating your sensings of his/her world as you look with fresh and unfrightened eyes at elements of which the individual is fearful. It means frequently checking with him/her as to the accuracy of your sensing, and being guided by the responses you receive. You are a confident companion to the person in his/her inner world. By pointing to the possible meanings in the flow of his/her experience you help the person to focus on this useful type of referent, to experience the meanings more fully, and to move forward in the experiencing. (Rogers, 1975, p. 4)

Does empathy mean that the counselor actually feels what the client feels, or is it limited to an intellectual understanding? The author of *Theories of Psychotherapy and Counseling*, Richard Sharf (2004) and my counselor mother thought it was limited to an intellectual understanding. My Methods of Counseling instructor, Dr Gail Mieling, thought it meant that the counselor actually feels what the client feels. I agree with Dr. Mieling. I try to feel what the client feels.

6. *Perception by the client of the counselor's empathy and acceptance.* It is not enough for the counselor to be accepting and empathic. The client must feel the counselor's empathy and acceptance. That feeling is communicated by a climate of welcoming, warm acceptance, which the therapist establishes in the sessions from the first intake interview.

Wickman and Campbell (2003a) analyzed a well-know videotape of Carl Rogers counseling a 30 year old, recently divorced woman named Gloria. This videotape is frequently used to demonstrate Rogers's method. Dr Mieling showed this videotape in our Methods of Counseling class. Wickman and Campbell found that Rogers had used the following conversational devises:

*Nonexpert language* referred to Rogers intentionally using "not knowing" language that displayed his inability to decide for Gloria what was best for her. *Meta-statements* referred to both Rogers's and Gloria's explicit here-and-now talk about their ongoing interaction. *Affiliative negative assessments* were Rogers's acknowledgement of the difficulty of Gloria's situation. *First-person quotes* externalized Gloria's hypothetical internal dialogue, stating out loud Rogers's understanding of what Gloria was saying

to herself. *Invitations for repair* involved explicit and implicit requests by Rogers for Gloria to correct him if he misunderstood what she was saying or implying. *Withholding direct responses to requests for advice* referred to Rogers's not providing an immediate solution or answer when Gloria specifically asked what she should do. *Problem reformulation* referred to Rogers's highlighting what Gloria said that was both within her control and manageable in a counseling session. (Wickman & Campbell, p. 179)

Wickman and Campbell demonstrated that Rogers used each of these conversational devices to establish one or more of these three conditions of positive change: empathy, genuineness, or unconditional positive regard. First-person quotes and affiliative negative assessments established empathy. Invitations for repair and meta-statements established empathy. Meta-statements also established genuineness. Non-expert language demonstrated genuineness and unconditional positive regard. Somewhat paradoxically, unconditional positive regard was also demonstrated by withholding advice. Sensitive problem reformulation established unconditional positive regard and empathy. The authors further explain problem reformulation:

Gloria's topic introductions often began with fuzzy, ill-defined problem descriptions not within her control to change. Rogers responded in a way that captured the essence of what she said (empathy) while converting the description into a better-defined and more workable problem. In this way, problem reformulation gave the power of change and ownership of control for problem resolution back to the client (unconditional positive regard). Through problem reformulation, Gloria was the initiator both of the problem

and its manageable resolution. Consequently, Gloria not only felt heard, but also empowered, reinforcing her ability to change. (Wickman & Campbell, 2003a, p. 182)

Wickman and Campbell conclude, “Gloria’s consistent response to Rogers’s conversational devises was to talk in more detail and at a more complex level about her problem situation until it became no longer problematic” (Wickman & Campbell, 2003a, p. 182).

In another article, Wickman and Campbell analyzed how Gloria’s situation became non-problematic through the use of conceptual metaphors by Gloria and Rogers. They found that Gloria began the session employing three individual metaphoric systems for construing her reality: Self as Container, Knowing Equals Feeling, and Knowing Oneself is Seeing Oneself Through Others’ Eyes. Rogers adapted these metaphors and incorporated them in his therapeutic interventions. By the end of the session, Rogers and Gloria had worked together to co-construct a new metaphor that blended the meanings of the old metaphors to produce the new metaphor of Utopia, in which feeling right or perfect was reinterpreted as feeling whole. “By reframing the meaning of perfect to no longer depend on how others might see her, the Utopia metaphor provided a congruent way for Gloria to ‘feel right’ and ‘comfortable’ by accepting herself as an authentic ‘whole’ person. . . . For Gloria, Utopia described the perfect-as-whole feeling in which she could know things were ‘right’ by ‘feeling all in one piece’ (i.e. accepting all the pieces into her self-container) without ‘worry’ or ‘guilt’ from trying to look ‘perfect’ in others’ eyes (i.e. Knowing Oneself Is Seeing Oneself Through Others’ Eyes). Gloria’s frame of reference for self-evaluation gradually shifted from external (e.g. Pammy) to

internal (i.e. self) criteria” (Wickman & Campbell, 2003b, p. 20). Pammy is Gloria’s daughter. The Utopia metaphor originated with Gloria; then she and Rogers worked on its meaning together.

In addition to Rogers’s six conditions, I try to establish the following four conditions with each client:

7. *Understanding*. “Understanding” may be the same thing as empathy.

But it is so vital to the process that I think it needs to be separately defined and discussed.

The pursuit of understanding means having an interest in the client and being curious.

Rogers wrote:

In client-centered therapy the client finds in the counselor a genuine alter ego in an operational and technical sense – a self which has temporarily divested itself (so far as possible) of its own selfhood, *except for the one quality of endeavoring to understand*. In the therapeutic experience, to see one’s own attitudes, confusions, ambivalences, feelings, and perceptions accurately expressed by another, but stripped of their complications of emotion, is to see oneself objectively, and paves the way for acceptance into the self of all these elements which are now more clearly perceived. (Rogers, 1951, pp. 40 – 41, italics added)

In the forward to *Carl Rogers: The Quiet Revolutionary, An Oral History*, Eugene Gendlin explained how understanding works:

Rogers eliminated all interpretation. Instead, he checked his understanding out loud, trying to grasp exactly what the patient wished to convey. When he did that, he discovered something: The patient would usually correct

the first attempt. The second would be closer, but even so, the patient might refine it. Rogers would take in each correction until the patient indicated, “Yes, that’s how it is. That’s what I feel.” Then there would be a characteristic silence. During such a silence, after something was fully received, the next thing comes inside. Very often it is *something deeper*. Rogers discovered that a self-propelled process arises from inside. When each thing is received utterly as intended, it makes new space inside. Then the steps go deeper and deeper. . . .

When you listen in this way, each person expands from inside and becomes intricate, elaborate and beautiful before your eyes. If you interpret or edit even for a moment, there is a jarring interruption. It stops the inwardly arising process. (Gendlin, 2002, retrieved over the Internet unpaginated)

In *Client-Centered Therapy* (1951), contributing author Nicholas Hobbs wrote that understanding is most effectively expressed by restatement of content, free of all interpretation. Simple acceptance (“I see,” “I understand”) and reflection of feeling are also important, but less so. Reflections of feeling must be interpretive, and clients are more likely to respond to reflections of feeling defensively. A spiritually sensitive person-centered counselor would be able to recognize spiritual and moral content and would be comfortable working with spiritual and moral metaphors. Clients would be more likely to express such content if the counselor’s disclosure statement says explicitly that the counselor is willing to work with spiritual issues and questions. My disclosure statement, which is found in Appendix 1, does so.

8. *Tenderness*. Thorne added this condition. He felt he had experienced it in his best therapeutic relationships. He thought of it as a feminine characteristic, which he intentionally added to a male dominated field, and he defined it as:

In the first place it is a quality which irradiates the total person – it is evident in voice, the eyes, the hands, the thoughts, the feelings, the beliefs, the moral stance, the attitude to things animate and inanimate, seen and unseen. Secondly, it communicates through its responsive vulnerability that suffering and healing are interwoven. Thirdly, it demonstrates a preparedness and an ability to move between the worlds of the physical, the emotional, the cognitive and the mystical without strain. Fourthly, it is without shame because it is experienced as the joyful embracing of the desire to love and is therefore a law unto itself. Fifthly, it is a quality that transcends the male and female but is nevertheless nourished by the attraction of the one for the other in the quest for wholeness. (Thorne, 1991, p. 76)

In Thorne's experience, when the counselor demonstrates attitudes of genuineness, total acceptance, empathic understanding, and tenderness, the therapeutic relationship will move through three stages:

The first stage is characterized by the establishing of *trust* on the part of the client. This may happen very rapidly or it can take months. The second stage sees the development of *intimacy* during which the client is enabled to reveal some of the deepest levels of his experiencing. The third stage is characterized by an increasing *mutuality* between therapist and client. When such a stage is reached, it is likely that therapists will be increasingly self-disclosing and will

be challenged to risk more of themselves in the relationship.

(Thorne, 1991, p. 42)

I initially suggested condition 9 in Chapter 5 on Humanistic Psychology and condition 10 in Chapter 7 on Group and Family therapy. They are:

9. *Privacy and Confidentiality.* Many of the books I have read contain case histories. Rogers's session with Gloria is an example. Except for Thorne's books, none have explicitly discussed how the issue of breaching the client's right to privacy was dealt with. In the 1940s, the right of privacy was thought to belong to the counselor, and the counselor was free to disclose information in the client's best interests (Winslade & Wilson, 1985). That has evolved slowly, so that today, every mental health field recognizes privacy as the right of the client. Confidentiality is how the counselor honors that right. If clients do not trust that the relationship is absolutely private and confidential, they are unlikely to risk dropping their defenses and undertaking deep self-questioning and growth.

10. *Optimism.* Clients are likely to come to counseling if they are in distress. That distress may originate in their own perception of incongruence or it may originate in the perception of others, such as a parent, spouse, or court. Clients are likely to have tried things that did not work. They are likely to be pessimistic that counseling will lead to a better result. If the counselor believes in the process and communicates that optimism to the client, the client may be encouraged to come back and continue when the work is hard. I am optimistic, in part, because I believe that at least some of the possibilities on the spiritual side of the natural/supernatural line are real, that the universe has meaning, and that each person has the opportunity to contribute positively to that

meaning. Whether or not conditions 8, 9, and 10 are necessary, they are good qualities to establish with each client; so I include them on my mental checklist.

Implementation of the above conditions is mostly a matter of process. Person-centered therapy does involve content at two junctures: the philosophy of the therapist and the result for the client. Rogers did not like the fact that some critics, and even some practitioners, reduced person-centered therapy to techniques and tricks, like reflective empathy. In Rogers's experience, those techniques would not work if the therapist did not believe in the philosophy; if the therapist did believe, then the therapist's genuineness could transcend errors of technique. The client's liberated true-self is content, but it cannot be pre-defined by the counselor.

#### *Spiritually Sensitive Person-Centered Group Counseling*

Rogers thought that group therapy was probably the most potent social invention of the 20<sup>th</sup> century (Rogers, 1970). He thought it important that the leader, now generally called the facilitator, facilitate the expression of both thoughts and feelings, which meant that the facilitator must be comfortable with both and ready to attempt to understand and re-state the cognitive and emotional content of members' statements. A spiritually sensitive group facilitator would also recognize and be comfortable discussing spiritual concerns. If a group facilitator can develop "a psychological climate of safety in which freedom of expression and reduction of defensiveness gradually occur" (Rogers, 1970, p. 6) then the following tends to occur (*The notes in italics are my additions to Rogers*):

1. In such a climate, many of the immediate feelings and reactions of each member toward others and toward herself or himself will be expressed.
2. A climate of mutual trust develops. Each member moves toward greater

acceptance of his or her total being -- emotional, intellectual, and physical -- as it is and as it might potentially be. (*I would add that in a group that was friendly to spirituality, the client's spiritual being, present and potential, will also be accepted and expressed.*)

3. With individuals less inhibited by defensive rigidity, the possibility of changes in personal attitudes and behavior becomes less threatening.
4. With the reduction of defensive rigidity, individuals can hear each other, can learn from each other, to a greater extent.
5. There is a development of feedback from one person to another, such that each individual learns how he appears to others and what impact he has in interpersonal relationships. (*This is different from individual person-centered counseling, in which the counselor's feedback is supposed to be limited to a restatement of the client's meaning and feelings.*)
6. With the greater freedom and improved communication, new ideas, new concepts, new directions emerge.
7. These learnings in the group experience tend to carry over into the relationships with spouse, children, students, subordinates, peers, and even superiors following the group experience.

(Adapted from Rogers, 1970, pp. 6 – 7)

Rogers thought that groups were growing in popularity in response to the “increasing dehumanization of our culture” and the loneliness of many people (Rogers, 1970, p. 10). People who come to contemporary groups are hungry:

It is a hunger for relationships which are close and real; in which feelings and emotions can be spontaneously expressed without first being carefully censored or bottled up; where deep experiences – disappointments and joys – can be shared; where new ways of behaving can be risked and tried out; where, in a word, he approaches the state where all is known and all accepted, and thus further growth becomes possible. (Rogers, 1970, p. 11)

Rogers thought that many people are lonely, because they live behind a false front. This façade has been acquired in response to criticism from parents, schools, and churches. Behind that front, people live inauthentic lives, in which they cannot express their true feelings or thoughts to any other person. Although they may never consciously articulate this feeling, they feel certain that their inner selves will not be accepted or understood. Group therapy allows members to feel accepted, understood, valued, and loved by a number of other people, not on account of their false fronts, but on account of their willingness to take the risk of expressing their inner selves and, thereby, putting themselves “in genuine touch with other human beings” (Rogers, 1970, 114).

As with one-on-one therapy, the desire to understand is vital to the process: “My attempt to understand the exact meaning of what the person is communicating is the most important and most frequent of my behaviors in a group” (Rogers, 1970, p. 51). Rogers came to trust groups to develop the potential of their members in positive ways when the groups were facilitated rather than directed, similar to his trust in the process of individual non-directive therapy.

Rogers hoped “gradually to become as much a participant in group as a facilitator” (Rogers, 1970, p.45); so, Rogers was exposing himself in group in a way he

never had as an individual therapist. Rogers wrote that the group therapy experience was the closest he came to an I-Thou relationship. Rogers did “not like the facilitator who withholds himself from personal emotional participation in the group – holding himself aloof as the expert, able to analyze the group process and members’ reactions through superior knowledge” (p. 67).

The distinguishing characteristic of person-centered group counseling is that through modeling, interpersonal learning, and the power of group dynamics, members will come to demonstrate towards themselves and toward each other the same acceptance, empathy, understanding, and love that the group facilitator shows for each of them. Concerning this process, Thorne wrote:

The role of the group leader (usually known as “the facilitator”) is to engage in the process in such a way that an atmosphere or climate is established in which members can gradually exhibit towards each other the qualities of genuineness, acceptance and empathic understanding which characterize the effective therapeutic relationship. The facilitator eschews the role of the expert or the consultant and, if he does his work effectively, his behavior and involvement may well become indistinguishable from that of other group members. (p. 51)

Most large groups are notorious for their tendency to render individuals powerless. In a person-centered workshop, however, the large group can become the arena in which an individual feels *empowered* and this comes about through the conscious valuing of differences. First the staff members, then others demonstrate by their behavior that validating and empowering others is the facilitator’s chief art. The person who feels him- or herself

respected and valued is then willing to put his or her skills and resources at the disposal of the community. People who are empowered are unlikely later to abuse their power. (Thorne, 1991, p. 64)

In a chapter in Rogers's *Client-Centered Therapy* (1951) concerning groups, Hobbs wrote: "In group therapy a person may achieve a mature balance between giving and receiving, between independence of self and a realistic and self-sustaining dependence on others" (p. 293).

Concerning spirituality and groups, Thorne wrote:

As someone who has frequently facilitated person-centered encounter groups in many parts of the world and often been a member of cross-cultural communities, I am well aware of the transforming effect such groups can have on many participants. There is a sense in which these experiences can lead to a greatly heightened sense of awareness and a much enhanced feeling both of self-worth and of interconnectedness with others. The encounter group can provide an avenue into a level of experiencing which can appropriately be described as spiritual, mystical, transcendental. (Thorne, 1992, p. 103)

Rogers thought that groups could be used to increase inter-group understanding and reduce institutional and international tensions. He held encounter groups around the world, in such place as the Soviet Union during the cold war and South Africa while apartheid was still in force.

*Conclusions*

Through the process of person-centered therapy, Rogers thought that clients were able to develop and express their true-selves: first in the counseling office, then in the outside world. Assagioli (1971, 1973) expanded that concept to include a true spiritual self, which exists at the intersection of the material and spiritual worlds. I believe that the client's true spiritual self will develop in the process of person-centered counseling if the counselor accepts and tries to understand the client's spiritual concerns and experiences. In the next chapter, I will reflect a final time on what I have learned as a counselor since starting out in Chapter 1.

## CHAPTER XVIII

## CONCLUDING THOUGHTS: LOOKING BACKWARD AND FORWARD

Freud, Skinner, and Ellis, three dominant thinkers in the field when I was young, had explicitly excluded God and spirituality from their theoretical approaches to psychotherapy. When I returned to school, I intended to do the opposite: to find a way to combine the scientific facts of psychology with spiritual sensitivity in whatever approach to counseling I ended up adapting. When I began my research, I knew that I wanted to end up with an approach to counseling that was scientifically and spiritually literate and sensitive to both worldviews, but I had only a vague idea of how I would get there and no idea of what such an approach would look like. My initial strategy in Chapters 2 and 3 was to build a foundation of understanding by looking back. If I could learn when, how, and why psychology had become separated from the soul, I might figure out how to reconcile them. The seven research questions were intended to critically examine the relationship between spirituality and psychology from different perspectives. At first I was groping: so much was new to me, and there were few guides. No approach to reconciling the different worldviews in counseling emerged from history; so I decided to define more precisely what I was looking for and I chose the criteria that appear at the beginning of Chapter 8. Several contemporary approaches that combine spirituality and psychology were helpful, but none satisfied all these criteria. Then I tried to come up with a completely original approach that satisfied these criteria and included some of my own personal beliefs. I found I could not do so without imposing those beliefs.

I enjoyed the only psychology class I took in college. In that class, in 1964, I first heard Carl Rogers's voice and his echoic restatement of clients' concerns with little or no

interpretation. The professor warned us, “This may not sound like a serious theoretical approach, but it is.” Then he played an audiotape. I do not remember its exact content, but it sounded something like this:

Client (enraged): My wife doesn’t respect me!

Rogers (empathetic): You feel that your wife doesn’t respect you. That makes you angry?

I took Rogers’s kind, understanding, patient voice seriously from the start. I thought then that if I ever became a counselor I might use his approach, at least in part. I did not become a counselor then because of psychology’s antagonism toward spiritual concerns, and because I thought to myself, “If I become a psychotherapist now, in my 20s, I will think I know all the answers, and I do not. I do not know enough of life to provide any help to others in living theirs.” The older I get the more I realize how little I know. Age and experience have given me not answers, but patience and sympathy for the pain and lostness of others.

My experience has made me even less interested in the role of the counselor as expert. When I began my research I did not know what approach to counseling I would end up with, but I had a hunch, based on my classroom experience in 1964, that my final approach would in some way include Rogers’s. Therefore, I intentionally did not read Rogers’s three main texts on how to become a counselor, *Client Centered Therapy* (1951), *On Becoming a Person* (1961), and *Carl Rogers on Encounter Groups* (1970), until I had finished the first 15 chapters of my paper and eliminated all other possible approaches. Then I read these books. Reading the books by Rogers and the books of person-centered counselor Brian Thorne, I felt that I had finally come home. I felt here

are people who speak my language, whose sympathies are my sympathies, whose experience I can learn from and whose skills I can use to help others. I was then able to come up with my own approach, which I call spiritually sensitive person-centered counseling.

The philosophic position of Rogers and Thorne, which is embodied in their non-directive approach, is that the counselor cannot teach anything, including values, and should not try to do so. Clients can be trusted to learn what they need to learn from their own experiences, which would have to include their spiritual experiences, not mine. Even with full-disclosure on my part, an approach that followed my beliefs would raise ethical questions of whether I was imposing my beliefs on clients. Jung (1931/1971) thought that before the 19<sup>th</sup> Century, culture had done the work of reconciling the naturalistic and spiritual worldviews. But following the divorce of science from religion, each individual psyche must now do that work alone. Therefore, there exists no overall approach to reconciliation that applies to every client. If the materialistic worldview is going to be reconciled with the spiritual, that reconciliation must occur within each client based on his or her spiritual issues, beliefs, and experiences. I can be an empathetic companion but not an authoritative guide.

The approach of Rogers and Thorne requires faith in the client. Nothing in my work as a counselor has shaken that faith. Since returning to school, my personal and professional experiences have kept me humble and have reaffirmed my sense that as a counselor I am at my best when I offer understanding and appreciation, not answers. My experiences have affirmed my sense of the uniqueness, value, and continuing potential of each human being, couple, and family, whether diagnosed with no dysfunction, or

diagnosed with an Axis I or Axis II disorder or both. I have learned that, once in counseling, most clients will grow; but they will grow at their own pace and in response to their own needs, not in response to my agenda. Some clients feel spiritual needs; some appear to feel none.

The seven research questions have served their purpose well. No question had a right or wrong answer, but each obliged me to become more understanding of both worldviews. This research has been intellectually and spiritually rewarding. I enjoy it most when I am sharing those rewards with clients. I bring the hypothesis and belief that each client holds within a unique true-spiritual-self or soul, which will emerge, express itself, and grow in a climate that is open and accepting of the client as both a material and spiritual being. That hypothesis is unprovable; so, I am glad that I have found an approach that allows me to move comfortably and ethically between a naturalistic worldview and a spiritual one in the counseling office, as the needs of each individual client determine.

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**Brad Benziger**  
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Carbondale, Illinois

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## **Client-Counselor Service Agreement**

### ***Informed Consent***

Thank you for considering my counseling services. In order to help you make an informed decision, I have prepared this document for you to read. Please review this statement and sign it in the space provided at the bottom. If you have any questions or concerns, I would be pleased to discuss them with you.

### ***Education, Training, and Experience***

I am a student in the Master of Science in Education Program with a specialty in Marital, Couple, and Family Counseling at Southern Illinois University at Carbondale.

### ***Counseling Services***

The types of counseling services I provide are directed toward individuals, groups, couples, and families.

My philosophy of counseling is based on the belief that the client possesses the power to change, and that it is my role to help the client become aware of how to understand and utilize that power. This philosophy accepts the client as the driving force in change. I believe this approach brings about effective long-term results because the client discovers how to utilize his or her own inner strengths and gifts rather than having to rely on someone else for solutions to life's challenges.

My approach to counseling is eclectic. In selecting treatment methods, I tend to draw ideas and techniques from a number of major counseling approaches, including cognitive-behavioral, client- or person-centered, existentialist, and family systems therapy. I also believe that a spiritual perspective is important to counseling, and I am open to exploring any religious or spiritual concerns or issues you might have, including how to address spiritual questions in the context of non-religious counseling. My own perspective on the role of spirituality in counseling is attached to this Service Agreement and is titled "A Spiritually Sensitive Person-Centered Approach to Counseling."

Counseling can have risks as well as benefits. Because therapy often involves discussing unpleasant aspects of your life, you may experience uncomfortable feelings such as sadness, guilt, anger, frustration, loneliness, or helplessness. On the other hand, counseling can also lead to positive resolutions such as solutions to specific problems, improved relationships, and reduction in distressful feelings. I cannot guarantee the outcome of your counseling experience.

During the first few sessions, I will ask you to share information with me that will help me understand you and that will help me know more about the nature and history of the concerns that brought you to counseling. As such, I may inquire about a number of aspects of your life, including work, family, social relationships, church, and spirituality. During these first sessions I will be evaluating you and your concerns to determine if I think I can help you and you will be evaluating me to judge if I can help you. After this initial mutual evaluation, we will discuss and decide together how best to proceed, including what problems to address and what approaches to utilize. You should determine whether or not you feel comfortable working with me. Feel free to discuss any questions or concerns with me. If your concerns persist, I will be happy to refer you to another professional. If at any time I do not believe your concerns can best be addressed by me an appropriate referral will be made. You are absolutely free to discontinue counseling with me at any time. If you decide you do not wish to continue counseling with me, we can discuss whether you prefer to discontinue counseling altogether or would prefer me to refer you to another counselor.

### ***No Secrets***

If you are seeing me for couple or family counseling, I have found it helpful to establish a rule of “no secrets.” This means that if at all possible I do not want to communicate with any one without both or all present. If you do communicate with me without the other person or persons present, I will fully disclose the contents of that communication to the other(s) at the first opportunity.

### ***Mandatory Disclosures***

As a rule I will keep our communications and all records of those communications confidential and secure. Security means that I will keep your records in a locked file cabinet. Confidentiality means that I will not disclose even the fact that you are my client to another person without your permission. I will not disclose anything you tell me to any other person, except to your spouse or other family member if you are seeing me for couples or family counseling. If I work for an agency, I may discuss things you tell me with my supervisor or with other mental health professionals who work for the same agency in order to better plan for and address your treatment needs.

Other exceptions to confidentiality are: I will disclose information to another person or agency if you explicitly request it in writing, signed and dated by you. That disclosure request should also specify when it will expire; if it contains no expiration date, I will assume that it expires one year from the date you sign it.

Finally, I may be required to disclose information without your consent if I (1) suspect that you were physically or sexually abused as a child or that you are physically or sexually abusing a child, or if you tell me of a child who is being physically or sexually abused by someone else; (2) suspect that you, as an elder adult, are being abused or that that another elder adult who is unable to seek assistance for her or himself is being subject to abuse, neglect, or financial exploitation by you or by someone else; or (4) determine that you are a danger to yourself or others; or (5) if I am ordered by a court to disclose information.

I, or we, as clients, have read the above Client-Counselor Service Agreement, which, including this page, consists of 4 pages, and agree to its terms. If we have any questions, we know that we are free to discuss them at any time with the counselor. This agreement has been executed in duplicate originals. One original has been kept by the counselor; the other has been given to the client or clients for their records.

In addition, we as clients have been given a copy of “A Spiritually Sensitive Person-Centered Approach to Counseling,” consisting of 3 pages of text and 3 tables to read at our leisure. The counselor encourages us to read this and provide feedback concerning our own thoughts about this approach. In what ways are we comfortable with it? How are we not comfortable with it? How would we change it?

\_\_\_\_\_  
Client Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Client Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Brad Benziger - Counselor Signature

\_\_\_\_\_  
Date

(In drafting this Service Agreement, I drew upon the language in the service agreement of Dr. Laura K. Harrawood (2006), used with her permission, and the sample Informed Consent provided in *A Spiritual Strategy for Counseling and Psychotherapy*, Richards & Bergin, 2005, p. 162.)

A Spiritually Sensitive Person-Centered Approach to Counseling  
(Attachment to Brad Benziger's Client-Counselor Service Agreement)

I, Brad, think of people as having five spheres of growth and difficulty: physical, mental, emotional, social/relational, and spiritual. When all five spheres are addressed, the total client is being cared for. In any of the five spheres, a person can be growing or stuck. These five spheres are interconnected and wellness in one affects the wellness of all.

I call my approach to caring for the total client “spiritually sensitive person-centered counseling.” It is based on Carl Rogers’s person-centered therapy (Rogers, 1951 & 1961). Rogers posited that if the client feels incongruent and if the counselor establishes a relationship of congruence and genuineness and shows unconditional positive regard, acceptance, and empathy for the client, the client will grow from within and become his or her true-self. This conceptualization was not imposed upon clients; Rogers found that clients spoke of becoming more their true-selves.

Rogers defined “incongruence” as a contradiction between people’s perception of themselves and their actual experience. I include incongruence between one’s material desires and spiritual ideals and conflict between one’s personal will and the will or wish of God (*Alcoholics Anonymous*, 3d ed., 1976). I believe that in the course of counseling, clients will also develop the spiritual component of their true-selves, or their souls (Frattaroli, 2001; Assagioli, 1971).

If I express and communicate unconditional positive regard and understanding, then clients will change in four ways: (1) They will perceive themselves as more adequate people, with more worth and more possibilities of meeting life; (2) they will

permit more experiential data to enter awareness, and thus achieve a more realistic appraisal of themselves, their relationships and environment; (3) they will place the basis of valuation within themselves and see with their own eyes, not the eyes of their parents, teachers, priests, or counselor; and (4) in the final step, clients will become more accepting and loving toward others. Through dialogue with the client, the counselor will grow similarly.

I include spiritual sensitivity in my approach because, if I excluded it, I would not be authentic or genuine. By spiritual sensitivity, I mean openness to and respect for the religious beliefs of others. This includes my sense that people may sanely hope that the possibilities to the right of the natural/supernatural line in table 1 are real, and that people may reasonably base decisions in the seen, material world on consequences in the unseen, supernatural world. Supernatural possibilities also include the beliefs in table 2 (Beliefs Common in the West) and table 3 (Beliefs Less Common in the West). I define spiritual issues to mean clients' concerns that the materialistic assumptions, which appear on the left in table 1, and the non-religious beliefs, which appear on the left of tables 2 and 3 of this Service Agreement, may not accurately and completely account for all of their experience; and may not, therefore, form a complete and adequate basis for discussing life's problems with a counselor. The religious beliefs are shown with intermediate values and against a scale from 1 to 10, with 10 meaning "I believe with absolute certainty" and 0 meaning "I believe with absolute certainty that the alternative is *not* true." According to this scale, a believer whose strength of belief is 7 may be able to communicate more easily with an agnostic at 6 than with a believer at 10. A spiritually sensitive counselor is open to all these possibilities and is comfortable accompanying clients in their own

search for their own answers. Some clients have many spiritual concerns and questions; some have none. One of my ethical obligations as a counselor is not to impose my beliefs on you even by implication.

Jungian therapists Lionel Corbett and Murray Stein suggested a binocular approach to therapy in which one lens sees the therapy in traditional terms and the other sees the spiritual background. “At times one or the other level is at the foreground, but both are always present, like the warp and the woof of a fabric” (Corbett & Stein, 2005, p.60). As a spiritually sensitive psychotherapist concerned with the whole client, I will wear bifocals, or trifocals, or whatever lenses may be necessary to allow me to adjust my approach to the view and needs of each client. I will adjust my perspective so as to bring the client’s and my own spirituality into focus when appropriate, or to bring the materialistic needs of the client and my scientific knowledge into focus when that is more appropriate. If clients appear to be experiencing a contradiction between their spiritual ideals and materialistic values, I can use the confrontation skills I have been taught as a counselor; that is, I can point out the contradiction without asserting that one view is right and the other wrong. My ideal for clients and myself is that our spiritual and materialistic sides will collaborate in counseling and in life. If the soul and spirit are real, not merely metaphors, I believe that they will emerge, express themselves and grow in the accepting, loving climate of person-centered counseling.

Table 1, Service Agreement: Materialist Assumptions Compared to Spiritual Possibilities.

The natural/supernatural line	
<u>Materialistic Assumptions</u>	<u>Spiritual Possibilities</u>
Belief	Hope Belief
There is no God.	God(s) may exist.
Humans are alone in the universe.	It may be possible to communicate with God(s) through prayer and to obtain guidance and help for one's self & for others. God may provide an experience of companionship and of being loved.
Spirit and Soul are metaphors.	Spirit and Soul may be real and survive this life.
Individuals' contributions are limited to this lifetime, plus however long they are remembered or the impact of their works is felt.	The Soul and Spirit may take what they have learned in this life into the next, and may continue to grow there. It is, therefore, possible to develop and learn up to the moment of death and possible to learn as much from one's pain and failures as from one's success & joy.
Love is carnal.	Love is spiritual and loving relationships may endure into another realm of existence or into another lifetime. Love entails sacrifice, loss, and pain, as well as joys.
Humans invented morality. James thought that the best morality humans have invented is utilitarianism: the greatest good for the greatest number.	Morality, including good & evil, has an external reality based in God, but felt inside of humans, as their conscience. God's morality is how s/he hopes we will treat each other. Following that morality may lead to personal unhappiness. (Kant, James, Lewis.)
The impact of good and bad deeds is limited to their material consequences.	Human good and evil have consequences for the individual's spiritual development and the spiritual welfare of others, in this life and the next. It is, therefore, never too late to make amends, to ask for forgiveness, or to forgive
Human evolution is done.	Human evolution, including moral evolution, may be continuing in the Collective and Spiritual Unconscious and present humans can contribute to its growth. (Jung, Assagioli.)

Table 2, Service Agreement: Spiritual Beliefs Common in the West

Alternative Belief	Intermediate value	Belief
Atheism.	Agnosticism	God (All the principal religions except Buddhism.)
No life after death.	Don't know; wait and see.	Eternal spirit is real and survives death. (All major religions.)
Perfection of the ego. (Selfism.)	Extinction of the ego. (Buddhism.)	Transcendence of the ego through love and good works. (Islam, Judaism, & Christianity.)
Self-realization. (Platonism, Selfism.)	Union with the eternal. (Buddhism, Hinduism, Sikhism.)	Communion with the eternal. (Islam, Judaism, & Christianity.)
Naturalism: There is no God.	God is everywhere. Polytheism and Pantheism.	Monotheism.
Some believe that humans are essentially evil, and are saved from original sin by the sacrifice of Christ's death on the cross.	Humans are essentially good. (Early Rogers.)	Humans contain the potential to become good or bad. (Later Rogers.)
Literal interpretation of sacred texts.	Symbolic interpretation.	Critical examination of religious texts as historic documents.
There is only 1 true religion.	There is a preferred religion.	Different religions represent different perspectives on the same ultimate truths; all religions contain some error and are incomplete.
There is no punishment or reward after death.	God rewards the good and punishes the evil after death. (Christianity, Islam.)	Humans make their own heaven and hell by the spiritual choices they make in this life and the next. (Hinduism, Socrates, Jesus in St. Mark's Gospel.)
See one's self as self-directing and independent of God, if there is a God.	See one's relationship with God as primarily deferring & obedient.	See one's relationship with God as principally collaborative. (Richards & Bergin, 2005.)

0      1      2      3      4      5      6      7      8      9      10

Strength of Belief

Table 3, Service Agreement: Spiritual Beliefs Less Common in the West

Alternate Belief	Intermediate value	Belief
We are only born once.	Don't know.	Humans are reborn. (Hinduism, Sikhism, Buddhism.)
We have no choice in whether or not we are born or reborn.	Some choose to be reborn (e.g. bodhisattvas).	All humans choose to be born. (Betty J. Eadie's recounting of her near-death experience in <i>Embraced by the Light</i> , 1992).
Human consciousness does not continue to evolve.	Don't know.	Human consciousness continues to evolve in the Collective Unconscious and in the Spiritual Unconscious (Jung, Assagioli, Teilhard de Chardin, Sri Aurobindo).
There are many Gods or Many aspects of the 1 God (Hinduism).	There are 3 aspects of the 1 God (Christianity).	There is only one God. (Islam, Judaism, Sikhism).
0      1      2      3	4      5      6	7      8      9      10

## Strength of Belief

10 = I believe with absolute certainty.

7 = I hope it is true.

5 = I wonder if it is true.

3 = I doubt it is true.

0 = I believe with absolute certainty that it is *not* true.

## Service Agreement References

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